

**STOPPING TIMES  
IN QUANTUM MECHANICS**

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# QUANTUM MECHANICS

(First version)

## 1. States

- State space = a complex Hilbert space  $\mathcal{H}$ .
- Possible states = norm 1 vectors  $\Psi \in \mathcal{H}$  : *wave functions*.

## 2. Observables

- Observables of the system  $\mathcal{H}$  = self-adjoint operators on  $\mathcal{H}$ .
- Possible numerical outcomes for the measure of the observable  $H$  = the (real) spectrum  $\sigma(H)$  of  $H$ .
- If  $\mathcal{H}$  is in a state  $\Psi$ , if the observable  $H$  admits a spectral measure  $A \mapsto \mathbb{1}_A(H)$ , then the probability to measure  $H$  with values in the set  $A$  is

$$\langle \Psi, \mathbb{1}_A(H) \Psi \rangle .$$

Also equal to

$$\| \mathbb{1}_A(H) \Psi \|^2 = \text{Tr}(|\Psi\rangle\langle\Psi| \mathbb{1}_A(H)) .$$

### 3. Time evolution

- Energy observable  $H = \textit{Hamiltonian}$  of the system.
- Consider the unitary group

$$U_t = e^{-itH} .$$

If  $\Psi_0$  is the state at time 0, then it becomes

$$\Psi_t = U_t \Psi_0$$

at time  $t$ .

# OPEN QUANTUM SYSTEMS

- Quantum system  $\mathcal{H}$  in interaction with another  $\mathcal{K}$  :

$$\mathcal{H} \otimes \mathcal{K}.$$

- The typical situation: we have access to  $\mathcal{H}$  only.
  - System  $\mathcal{K}$  is too complicated or unknown (environment, heat bath, noisy channel ...)
  - System  $\mathcal{K}$  not accessible (shared EPR pair, ...)
- State  $\Psi$  on  $\mathcal{H} \otimes \mathcal{K}$ , observable  $X$  on  $\mathcal{H}$ , what does a measurement of  $X$  give?

$$\text{Prob}(X \in A) = \text{Tr}(\rho \mathbb{1}_A(X))$$

with  $\rho = \text{Tr}_{\mathcal{K}}(|\Psi\rangle\langle\Psi|)$ .

- The observer of  $\mathcal{H}$  does not see  $\Psi$  but only

$$\rho = \text{Tr}_{\mathcal{K}}(|\Psi\rangle\langle\Psi|).$$

# QUANTUM MECHANICS

(Second version)

## 1. States

- States = positive, trace-class operators  $\rho$  on  $\mathcal{H}$  with trace 1, the *density matrices* of  $\mathcal{H}$ .

$$\rho = \sum_n \lambda_n |\Psi_n\rangle\langle\Psi_n|$$

( $\lambda_n \geq 0$ ,  $\sum_n \lambda_n = 1$ ).

## 2. Observables

- If  $\mathcal{H}$  is in a state  $\rho$ , if the observable  $H$  admits the spectral measure  $A \mapsto \mathbb{1}_A(H)$ , then the probability that the measurement of  $H$  lies in  $A$  is

$$\text{Tr}(\rho \mathbb{1}_A(H)).$$

### 3. Time evolution

- If  $\rho_0$  is the state at time 0, it becomes

$$\rho_t = U_t \rho_0 U_t^*$$

at time  $t$ .

### 3'. Noisy channels

What is the most general transformation for a state?

$$\rho \mapsto \rho \otimes \omega \mapsto U(\rho \otimes \omega)U^* \mapsto \text{Tr}_{\mathcal{K}} (U(\rho \otimes \omega)U^*) .$$

It is a *completely positive map* on  $\mathcal{L}_1(\mathcal{H})$

$$\mathcal{L}(\rho) = \sum_i L_i \rho L_i^*$$

with

$$\sum_i L_i^* L_i = I$$

(Stinespring, Kraus).

### 3''. Time-dependant case

General time evolution of an open quantum system =  $(P_t)_{t \geq 0}$  semigroup of completely positive maps

$$P_t = e^{tL}$$

with

$$L(\rho) = -i[H, \rho] - \frac{1}{2} \sum_n (L_n L_n^* \rho + \rho L_n L_n^* - 2L_n^* \rho L_n)$$

(Lindblad).

# QUANTUM PROBABILITY

## 1. Setup

- A *quantum probability space* is  $(\mathcal{H}, \rho)$

[  $\neq (\Omega, \mathcal{F}, P)$  ].

- A *quantum random variable* is a self-adjoint operator  $X$  on  $\mathcal{H}$

[  $\neq$  a measurable function  $X : \Omega \rightarrow \mathbb{R}$  ].

- The distribution of  $X$  in the state  $\rho$  is the probability measure  $\mu$  on  $\mathbb{R}$  given by

$$\mu(A) = \text{Tr}(\rho \mathbb{1}_A(X)).$$

Or else

$$\int f(x) d\mu(x) = \text{Tr}(\rho f(X))$$

$$\hat{\mu}(t) = \text{Tr}(\rho e^{itX}).$$

[  $\neq \mu = X \circ P$  ].



## 2. Connecting to classical theory

- When one is given *a single* observable  $X$  it is the same situation as classical theory
  - if  $X$  is an observable, one can represent it as a multiplication operator on some  $(\Omega, \mathcal{F}, P)$ ,
  - if  $X$  is a classical random variable then take  $\mathcal{H} = L^2(\Omega, \mathcal{F}, P)$  and  $\mathcal{M}_X$ .
- It holds the same for any family  $(X_i)_{i \in I}$  of commuting observables.
- The difference lies when considering *non-commuting* observables on  $\mathcal{H}$ . Each one is like a classical random variable, but on its own space.

# STOPPING TIMES

## 1. Setup

- A Hilbert space  $\mathcal{H}$ , a filtration of sub-Hilbert spaces  $(\mathcal{H}_t)_{t \in \mathbb{R}^+}$ , with associated projectors  $\mathbb{E}_t$ .
- A (quantum) stopping time  $T$  is an increasing family of orthogonal projectors  $\mathbb{1}_{T \leq t}$  such that

$$\mathbb{E}_u \mathbb{1}_{T \leq t} = \mathbb{1}_{T \leq t} \mathbb{E}_u$$

for all  $u \geq t$ .

- Set  $\mathbb{1}_{T=\infty} = I - \lim_{t \rightarrow \infty} \mathbb{1}_{T \leq t}$ .
- Equivalently  $T$  can be seen as generalized observable with spectrum  $\subset \mathbb{R}^+ \cup \{+\infty\}$ .
- Physically,  $T$  is not an observable. It cannot be measured directly. Only  $\mathbb{1}_{T \leq t}$  can be.

# RESULTS

- Most definitions and properties can be extended.

$$\mathcal{H}_T = \{f \in \mathcal{H}; \mathbb{1}_{T \leq t} f \in \mathcal{H}_t, \forall t\}.$$

$$\mathcal{H}_{T-} = \overline{\text{span}}\{\mathbb{1}_{T > t} f; f \in \mathcal{H}_t, t \in \mathbb{R}^+\} \cup \mathcal{H}_0.$$

- $S \leq T$  if  $\mathbb{1}_{T \leq t} \geq \mathbb{1}_{S \leq t}$  for all  $t$ .
- One can also define  $S < T$  (more tricky), predictable stopping times.

**Theorem** – *On the Fock space  $\Phi$ , for every predictable quantum stopping time  $T$  we have  $\Phi_T = \Phi_{T-}$ .*

- This generalizes the following remark:

*Every normal martingale ( $\langle X, X \rangle_t = t$ ) with the predictable representation property has a quasi left-continuous natural filtration.*

It shows that this property is not really probabilistic, but more intrinsic to the Fock space structure (continuous tensor product of Hilbert spaces).

- Results on strong Markov property for quantum processes, quantum Dirichlet problem,... have been obtained.

# STOPPING QUANTUM PROCESSES

- One of the main problems: given a family of operators  $(X_t)_{t \in \mathbb{R}^+}$  (for example an observable evolving with time), and a (finite) stopping time  $T$ : how to define  $X_T$ ?

- In the classical case (for  $T$  discrete)

$$X_T = \sum_i X_{t_i} \mathbb{1}_{T=t_i}$$

For a general stopping time, pass to the limit on discrete approximation of  $T$ , that is pass to the limit on

$$\sum_i X_{t_{i+1}} \mathbb{1}_{T \in [t_i, t_{i+1}[}$$

- In the quantum case, what shall we consider?

$$\sum_i X_{t_{i+1}} \mathbb{1}_{T \in [t_i, t_{i+1}[}$$

$$\sum_i \mathbb{1}_{T \in [t_i, t_{i+1}[} X_{t_{i+1}}$$

$$\sum_i \mathbb{1}_{T \in [t_i, t_{i+1}[} X_{t_{i+1}} \mathbb{1}_{T \in [t_i, t_{i+1}[}$$

something else?

- Condition for the convergence of the two first forms are workable. But the resulting object is not satisfactory (not preserving self-adjointness, not adapted,...).
- The third one has some advantages: it preserves self-adjointness, it is the only one to have adaptedness properties (w.r.t.  $\mathcal{H}_T$ ), ... But finding a general condition for the convergence is a completely open problem.
- It is maybe a too strong projection (like conditioning w.r.t.  $\sigma(T)$  instead of  $\mathcal{F}_T$  in classical probability).
- A surprising computation:

$$W_{T_n} = -2T_n .$$

# PHYSICAL EXAMPLES

- Yet no true applications in quantum physics.
- Most examples are as follows: under some quantum evolution a particular observable evolves:  $(N_t)_{t \in \mathbb{R}^+}$  and gives rise to a *commutative* family of self-adjoint operators. Namely, a classical stochastic process (often a Markov process). The natural stopping times of this process can be considered in the general non-commutative setup. They give rise to quantum stopping times.
- But purely non-commutative examples are lacking. The naive definitions fail.
- For example, take the free particle. It undergoes the Schrödinger evolution driven by the Laplacian. Let  $Q_t$  be the position observable at time  $t$ . Let us try to define the *entrance time*  $T$  in  $\mathbb{R}^+$  for the particle.

We must have

$$(T > t) \subset \bigcap_{s \leq t} (Q_s \subset \mathbb{R}^-).$$

But

**Theorem** – For all  $s < t$  we have

$$(Q_s \subset \mathbb{R}^-) \cap (Q_t \subset \mathbb{R}^-) = \{0\}.$$

Idea: By unitary transforms  $s = 0$ . If  $\phi$  is a wave function with support in  $\mathbb{R}^-$  then its Fourier transform  $\widehat{\phi}$  has support on all  $\mathbb{R}$ . But  $\phi$  is the wave function for the position of the particle and  $\widehat{\phi}$  is the wave function for the speed of the particle. As a consequence, immediately after 0,  $\phi_t$  is spread all over  $\mathbb{R}$ , and it stays so. Hence  $\phi \notin (Q_t \subset \mathbb{R}^-)$ .