

DEVELOPMENTS IN THE THEORY OF UNIVERSALITY

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UNIVERSALITY IN STATISTICAL PHYSICS

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- Precise test for universality in shuttle experiments for helium (2000); agreement with several digits.
- Universality allow testable predictions even if we do not know the details of the microscopic model.
- Connections between universality and renormalization. Deep connections between QFT and statistical physics (statistical field theory).

THE ISING MODEL

The paradigmatic model for statistical mechanics is the 2D Ising model

$$H = J \sum_{j=0,1} \sum_{\mathbf{x} \in \Lambda} \sigma_{\mathbf{x}} \sigma_{\mathbf{x} + \mathbf{e}_j} \equiv \sum_{\mathbf{x} \in \Lambda} h_{\mathbf{x}}$$

$\sigma_{\mathbf{x}} = \pm 1$, Λ is a square lattice, $\mathbf{x} \in \Lambda$, $\mathbf{e}_0 = (0, 1)$, $\mathbf{e}_1 = (1, 0)$.

THE ISING MODEL

- The *partition function* is $Z = \sum_{\sigma} e^{-\beta H(\sigma)}$ and phase transitions appear as non-analyticity points of $f_{\beta} = -\beta^{-1} \lim_{|\Lambda| \rightarrow \infty} \frac{1}{|\Lambda|} \log Z$.

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- (Onsager (1944)) The critical temperature is $\tanh \beta_c J = \sqrt{2} - 1$ and the specific heat (second derivative) and the correlations

$$C_v(\beta) \sim -C_1 \log |\beta - \beta_c| + C_2 \quad \langle h_x h_y \rangle_{\beta_c} \sim \frac{C}{|\mathbf{x} - \mathbf{y}|^2}$$

while for $\beta \neq \beta_c$ $\langle h_x h_y \rangle_{\beta}$ decays faster than any power of $\xi^{-1} |\mathbf{x} - \mathbf{y}|$, with $\xi^{-1} \sim C |T - T_c|$. The **critical indices** are *pure number* i.e. independent from J .

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$$\eta(\lambda) = \eta(0)$$

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- A mathematical proof of universality is achieved in $D \geq 4$ (Aizenamnn (1982), Frohlich (1982)) where is a consequence of a strengthened version of the central limit theorem. In lower dimension is more difficult.

SYSTEMS WITH CONTINUOUS EXPONENTS

- There are however systems in which the indices are not pure numbers but **depend on the microscopic structure**. This happens in planar magnetic materials, carbon nanotubes or spin chains like KCuF_3 (Ishii et al. Nature 2003)

SYSTEMS WITH CONTINUOUS EXPONENTS

- There are however systems in which the indices are not pure numbers but **depend on the microscopic structure**. This happens in planar magnetic materials, carbon nanotubes or spin chains like KCuF_3 (Ishiii et al. Nature 2003)
- What is universality in these cases?

COUPLED ISING MODELS

- The simplest example with model-dependent exponents is obtained coupling two 2D Ising models

$$H(\sigma, \sigma') = H_J(\sigma) + H_{J'}(\sigma') - \lambda V(\sigma, \sigma')$$

with $H = -J \sum_{j=0,1} \sum_{\mathbf{x} \in \Lambda} \sigma_{\mathbf{x}} \sigma_{\mathbf{x} + \mathbf{e}_j}$ $\sigma_{\mathbf{x}} = \pm 1$, Λ is a 2D square lattice, $\mathbf{x} \in \Lambda$, $\mathbf{e}_0 = (0, 1)$, $\mathbf{e}_1 = (1, 0)$.

- V is a short ranged, quartic in the spin and invariant in the spin exchange, like

$$V = \sum_{j=0,1} \sum_{\mathbf{x}, \mathbf{y} \in \Lambda} v(\mathbf{x} - \mathbf{y}) \sigma_{\mathbf{x}} \sigma_{\mathbf{x} + \mathbf{e}_j} \sigma'_{\mathbf{y}} \sigma'_{\mathbf{y} + \mathbf{e}_j}$$

with $v(\mathbf{x})$ a short range potential.

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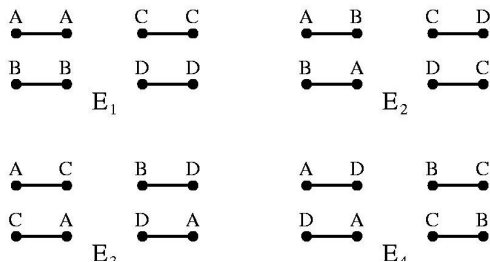
- V is a short ranged, quartic in the spin and invariant in the spin exchange, like

$$V = \sum_{j=0,1} \sum_{\mathbf{x}, \mathbf{y} \in \Lambda} v(\mathbf{x} - \mathbf{y}) \sigma_{\mathbf{x}} \sigma_{\mathbf{x}+\mathbf{e}_j} \sigma'_{\mathbf{y}} \sigma'_{\mathbf{y}+\mathbf{e}_j}$$

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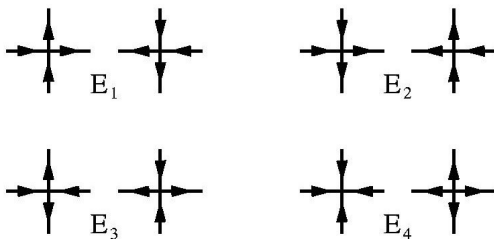
- It is well known that several models in statistical mechanics can be rewritten as coupled Ising models.

THE ASHKIN-TELLER MODEL



In the **Ashkin-Teller** model the spin has four values A, B, C, D , and two neighbour spins is associated an energy E_0 for AA, BB, CC, DD , E_1 for AB, CD , E_2 for AC, BD , E_3 for AD, BC .

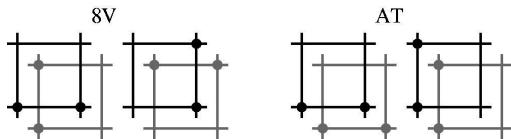
THE EIGHT VERTEX MODEL



The **8V model** is a generalization of the Ice model for the hydrogen bonding in which at each point is associated one among eight vertices.

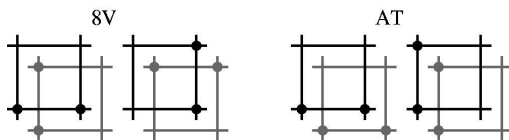
ISING MAPPING

- Both models can be rewritten, with a suitable choice of the parameters, as coupled Ising models; in the case of the AT for instance $V = \sum_{j=0,1} \sum_{\mathbf{x} \in \Lambda} \sigma_{\mathbf{x}} \sigma_{\mathbf{x}+\mathbf{e}_j} \sigma'_{\mathbf{x}} \sigma'_{\mathbf{x}+\mathbf{e}_j}$.



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- Despite their similarity, an exact solution (Baxter (1971)) exists **only** in the case of the 8V model and some of the exponents can be computed. They depend from λ , that is it is **not** in the Ising universality

- The Heisenberg spin chain (physically realized in several compounds like KCuF_3) is a quantum generalization of the Ising model; $H =$

$$- \sum_{x=1}^{L-1} [J_1 S_x^1 S_{x+1}^1 + J_2 S_x^2 S_{x+1}^2 - h S_x^3] + \lambda \sum_{1 \leq x, y \leq L} v(x-y) S_x^3 S_y^3$$

where $S_x^\alpha = \sigma_x^\alpha / 2$ for $i = 1, 2, \dots, L$ and $\alpha = 1, 2, 3$, σ_x^α being the Pauli matrices and $|v(x-y)| \leq C e^{-\kappa|x-y|}$

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- Despite looks very different, it is related to the previous models: if $v(x-y) = \delta_{|x-y|,1}/2$ and $h = 0$ the hamiltonian of the **XYZ model** commutes with the transfer matrix of the 8V model.

1D INTERACTING FERMIONS

The spin chain can be equivalently written as a model of non relativistic interacting fermions through the Jordan-Wigner transformation $H =$

$$-\frac{1}{2} \sum_{x=1}^{L-1} [a_x^+ a_{x+1}^- + a_{x+1}^+ a_x^-] - u \sum_{x=1}^{L-1} [a_x^+ a_{x+1}^+ + a_{x+1}^- a_x^-] \\ + h \sum_{x=1}^L (\rho_x - \frac{1}{2}) + \lambda \sum_{1 \leq x, y \leq L} v(x-y) (\rho_x - \frac{1}{2}) (\rho_y - \frac{1}{2})$$

where a_x^\pm are the fermion creation or annihilation operators and $\rho_x = a_x^+ a_x^-$, $J_1 = J_2 = 1$, $u = (J_1 - J_2)/2$. This hamiltonian describes non relativistic fermions on a lattice (1D metals).

CONJECTURES

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- For instance, in the coupled Ising model, if X_{\pm} are the exponents of the energy or crossover correlations, ν is the exponents of the correlation length, α the exponent of the specific heat

$$X_- X_+ = 1 \quad \nu = \frac{1}{2 - X_+} \quad 2\nu = 2 - \alpha$$

Kadanoff (1977), Kadanoff and Wegner (1971).

In the spin chains or 1D fermions, the same relations hold with a different identification (Luther and Poeschel 1974).

CONJECTURES

- Even the knowledge of a single exponent can be lacking; in the case of spin chains or 1D fermions, Haldane (1980) conjectured other relations **allowing the determination of the exponents in terms of two quantities** . (*Luttinger liquid conjecture*)
- In particular if v_s is the Fermi velocity and κ is the susceptibility, $v_N = (\pi\kappa)^{-1}$

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- Even if the critical exponents depend on the extraordinarily complex microscopic details, the universal relations allow concrete and testable predictions in terms of a few measurable parameters.

CHECK IN THE SOLVABLE CASES

- Its validity can be checked in the XYZ case; the index ν is, if $\cos \bar{\mu} = \lambda$

$$\nu = \frac{\pi}{2\bar{\mu}} = 1 + \frac{2\lambda}{\pi} + O(\lambda^2)$$

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- From Bethe ansatz (Yang Yang (1966))

$$v_s = \frac{\pi}{\bar{\mu}} \sin \bar{\mu} \quad \kappa = [2\pi(\pi/\bar{\mu} - 1) \sin \bar{\mu}]^{-1}$$

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- How can we prove such relations when a solution is lacking?

FERMIONIZATION FOR THE ISING MODEL

If $\eta_\alpha, \eta_\alpha^+$, $\alpha = 1, \dots, N$ are anticommuting **Grassman variables** $\eta_\alpha \eta_\beta = -\eta_\beta \eta_\alpha$ and the Grassman integration

$$\int d\eta = 0 \quad \int d\eta \eta = 1$$

and extended by linearity; moreover

$$\int \mathcal{D}\eta \mathcal{D}\eta^+ e^{\sum_{\alpha, \beta} \eta_\alpha A_{\alpha, \beta} \eta_\beta^+} = \det A$$

FERMIONIZATION FOR THE ISING MODEL

- The *Ising model partition function* (with p.b.c.) (Hurst, Lieb, Schultz, Mattis, Kasteleyn, McCoy) can be written as sum of Grassman integrals (the square root)

$$\int \prod_{\omega=\pm, \mathbf{k}} d\psi_{\mathbf{k},\omega}^+ d\psi_{\mathbf{k},\omega}^- e^{-\frac{Z}{L^2} \sum_{\mathbf{k}} \psi_{\mathbf{k},\omega}^+ A_{\mathbf{k}} \psi_{\mathbf{k},\omega}^-} = \mathcal{N} \int P_{Z,\mu}(d\psi)$$

where $\psi_{\mathbf{k},\omega}^{\pm}$, $\omega = \pm 1$, $\mathbf{k} = (k_0, k)$ are a finite set of Grassman variables and

$$A_{\mathbf{k}} = \begin{pmatrix} (-i \sin k_0 + \sin k + \mu_{11}) & -\mu + \mu_{12} \\ -\mu + \mu_{21} & -i \sin k_0 - \sin k_1 + \mu_{22} \end{pmatrix}$$

with $\mu = O(|\beta - \beta_c|)$, $\tanh \beta_c J = \sqrt{2} - 1$, $Z = O(1)$, $\mu_{ij} = O(k^2)$.

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with $\mu = O(|\beta - \beta_c|)$, $\tanh \beta_c J = \sqrt{2} - 1$, $Z = O(1)$, $\mu_{ij} = O(k^2)$.

- $P_{Z,\mu}(d\psi)$ is the Gaussian Grassman integration of a Dirac field in $d = 1 + 1$ on a lattice (no fermion doubling).

FERMIONIZATION FOR THE COUPLED ISING MODEL

- The partition function of the coupled Ising model with Hamiltonian $H(\sigma, \sigma') = H_J(\sigma) + H_J(\sigma') - \lambda V(\sigma, \sigma')$ can be **exactly** written as sum of **non quadratic** Grassman integrals

$$\int P_{Z,\mu}(d\psi) e^{V(\psi)}$$

where $\lambda_0 = O(\lambda)$

$$V = \lambda_0 \sum_{\mathbf{x}} \psi_{\mathbf{x},+}^+ \psi_{\mathbf{x},+}^- \psi_{\mathbf{x},-}^+ \psi_{\mathbf{x},-}^- + \dots$$

- $\int P_{Z,\mu}(d\psi) e^V$ is the partition function of a **interacting Dirac field** with a lattice regularization in $d = 1 + 1$ and mass μ (criticality correspond to massless fermions); if $J \neq J'$ two masses are present.

FERMIONIZATION FOR THE COUPLED ISING MODEL

We are interested in the specific heat C_v and the energy $\varepsilon = +$ and cross-over ($\varepsilon = -$) correlations, defined as

$$G_{\beta}^{\varepsilon}(\mathbf{x} - \mathbf{y}) = \lim_{\Lambda \rightarrow \infty} \langle O_{\mathbf{x}}^{\varepsilon} O_{\mathbf{y}}^{\varepsilon} \rangle_{\Lambda} - \langle O_{\mathbf{x}}^{\varepsilon} \rangle_{\Lambda} \langle O_{\mathbf{y}}^{\varepsilon} \rangle_{\Lambda} \quad , \quad \varepsilon = \pm$$

where $\langle \dots \rangle_{\Lambda}$ is the average over all the spins configurations with weight $e^{-\beta H}$ and

$$O_{\mathbf{x}}^{\varepsilon} = \sum_{j=0,1} \sigma_{\mathbf{x}} \sigma_{\mathbf{x}+\mathbf{e}_j} + \varepsilon \sum_{j=0,1} \sigma'_{\mathbf{x}} \sigma'_{\mathbf{x}+\mathbf{e}_j}$$

They can be also written as Grassman integrals with source $\psi_{+}^{+} \psi_{-}^{-}$ and $\psi_{+}^{+} \psi_{-}^{+}$ respectively.

THEOREM

(Mastropietro JSP (2003), CMP(2004)) In the coupled Ising model with $J = J'$ and λ small enough

- The specific heat

$$C_v \sim -\frac{1}{\alpha} [1 - |\beta - \beta_c|^{-\alpha}] + O(1)$$

with $\alpha = O(\lambda)$, $\tanh \beta_c J = \sqrt{2} - 1 + O(\lambda)$.

- If $\beta \neq \beta_c$ the energy and crossover correlation $G_{\beta}^{\varepsilon}(\mathbf{x} - \mathbf{y})$, $\varepsilon = \pm$ decays faster than any power of $\xi^{-1}|\mathbf{x} - \mathbf{y}|$, with $\xi^{-1} \sim C |\beta - \beta_c|^{\nu}$ with $\nu = 1 + O(\lambda)$.

-

$$G_{\beta_c}^{\varepsilon}(\mathbf{x} - \mathbf{y}) \sim \frac{C_{\varepsilon}}{|\mathbf{x} - \mathbf{y}|^{2X_{\varepsilon}}}, \text{ as } |\mathbf{x} - \mathbf{y}| \rightarrow \infty,$$

with $X_{\pm} = 1 + O(\lambda)$.

- The series for X_+ , X_- , ν , X_T are **convergent** for small λ ; by explicit computation of the lowest order the above result gives the first proof of the fact that the critical exponents are non trivial function of the interaction (in particular for the AT case)

- The series for X_+ , X_- , ν , X_T are **convergent** for small λ ; by explicit computation of the lowest order the above result gives the first proof of the fact that the critical exponents are non trivial function of the interaction (in particular for the AT case)
- In the case of a single perturbed Ising model, it was proved by Pinson and Spencer (2000) that the indices $\nu = 1$, $X_{\pm} = 1$, that is are the same as the Ising ones.

ANISOTROPIC AT MODEL

THEOREM

(Giuliani, Mastropietro CMP, PRL(2005)) In the case of the anisotropic AT model ($J \neq J'$) there are two critical temperatures, T_c^+ and T_c^- such that

$$|T_c^+ - T_c^-| \sim |J - J'|^{X_T}$$

with $X_T = 1 + O(\lambda)$ and

$$C_v \sim -\Delta^\alpha \log \frac{|T - T_c^-| \cdot |T - T_c^+|}{\Delta^2}$$

where $2\Delta^2 = (T - T_c^-)^2 + (T - T_c^+)^2$.

- The analysis is based on Wilsonian **Renormalization Group** and multiscale analysis. The Grassmann variables are written as $\psi_{\mathbf{k}} = \sum_{h=-\infty}^1 \psi_{\mathbf{k}}^{(h)}$ with $\psi_{\mathbf{k}}^{(h)}$ living at momentum scales $O(\mathbf{k}) = O(2^h)$. After the integration of the fields $\psi^{(0)}, \dots, \psi^{(h)}$ we get

$$\int P_{Z,\mu}(d\psi) e^V = e^{L^2 N_h} \int P_{Z_h, \mu_h}(d\psi^{(\leq h)}) e^{V^{(h)}(\sqrt{Z_h} \psi^{(\leq h)})}$$

where Z_h is the wave function renormalization, μ_h the effective mass; V^h is sum of monomials of any degree and λ_h the effective coupling.

- The physical observables are expressed as renormalized series in λ_k ; contrary to the original series in λ , there are no divergences (Gallavotti trees: no overlapping divergences). Analyticity in λ_k follows from the **compensations** between Feynman graphs coming from the minus signs due to anticommutativity (Caianiello 1973); technically via **Gram bounds** in the Battle-Brydges-Federbush formula for truncated expectations (Gawedzki and Kupiainen (1985)).

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- The exponents are convergent power series in $\lambda_{-\infty}$. **Do they verify the universal relations?**

AN UNIVERSALITY RESULT FOR THE COUPLED ISING MODEL

THEOREM

(Benfatto, Falco, Mastropietro CMP(2009)) If the coupling of the coupled Ising model is small enough

$$X_+(\lambda) = \frac{1}{X_-(\lambda)} \quad \nu = \frac{1}{2 - X_+(\lambda)} \quad \alpha = \frac{2 - 2X_+(\lambda)}{2 - X_+(\lambda)}$$

and in the case of the anisotropic AT model the transition index verify

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The last relation was never proposed; the others were proposed by Kadanoff (1977), Kadanoff and Wegner (1971) and imply the hyperscaling relation $2\nu = 2 - \alpha$.

EQUIVALENCE WITH A QFT MODEL

- We introduce the QFT model, if $j_\mu = \bar{\psi}_x \gamma_\mu \psi_x$

$$\int P(d\psi^{(\leq N)}) e^{\tilde{\lambda}_\infty \int dx v(\mathbf{x}-\mathbf{y}) j_\mu, \mathbf{x} j_\mu, \mathbf{y}}$$

where $P(d\psi^{(\leq N)})$ have propagator $\frac{\chi_N(\mathbf{k})}{\mathbf{k}}$ with a smooth cut-off function vanishing for $|\mathbf{k}| \geq 2^N$ and $v(\mathbf{x} - \mathbf{y})$ a short range symmetric interaction.

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- A multiscale integration is now necessary also in the ultraviolet region (superrenormalizable) to perform the limit $N \rightarrow \infty$; in the infrared is similar to the previous one, with effective coupling called $\tilde{\lambda}_h$.

EQUIVALENCE WITH A QFT MODEL

- While the short distance (large momenta) behavior of the two models are completely different, the large distance behavior is expressed by critical indices η ; they are analytic in $\tilde{\lambda}_{-\infty}$, $\eta \equiv \eta(\tilde{\lambda}_{-\infty}) = a_1 \tilde{\lambda}_{-\infty} + a_2 \tilde{\lambda}_{-\infty}^2 + \dots$ where the coefficients a_i are equal to the ones in the spin model.

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- The crucial point is that one can make a *fine tuning* of the bare coupling $\tilde{\lambda}_{\infty}$ so that $\lambda_{-\infty} = \tilde{\lambda}_{-\infty}$, so that with this choice the indices are identical: of course $\tilde{\lambda}_{\infty}(\lambda)$ is an analytic function of λ depending on all the details of the spin model.
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WARD IDENTITIES FOR THE QFT MODEL

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- **Ward Identities** are derived by using the Gauge transformation $\psi_x \rightarrow e^{i\alpha_x} \psi_x$

$$\mathbf{p}_\mu \langle j_{\mu,\mathbf{p}} \psi_{\mathbf{k},\omega} \bar{\psi}_{\mathbf{k}+\mathbf{p}} \rangle = \langle \psi_{\mathbf{k}} \bar{\psi}_{\mathbf{k}} \rangle - \langle \psi_{\mathbf{k}+\mathbf{p}} \bar{\psi}_{\mathbf{k}+\mathbf{p}} \rangle + \Delta_N(\mathbf{k}, \mathbf{p})$$

where $\Delta_N = \langle \delta_{\mathbf{J}_\mathbf{p}} \psi_{\mathbf{k}} \bar{\psi}_{\mathbf{k}+\mathbf{p}} \rangle$ with $\delta_{\mathbf{J}_\mathbf{p}} = \int d\mathbf{k} [(\chi_N^{-1}(\mathbf{k} + \mathbf{p}) - 1)(\mathbf{k} + \mathbf{p}) - (\chi_N^{-1}(\mathbf{k}) - 1)\mathbf{k}] \bar{\psi}_{\mathbf{k}} \psi_{\mathbf{k}+\mathbf{p}}$.

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$$\frac{\chi_N(\mathbf{k})}{\mathbf{k}} \cdot \mathbf{p} \frac{\chi_N(\mathbf{k} + \mathbf{p})}{\mathbf{k} + \mathbf{p}} = \frac{\chi_N(\mathbf{k})}{\mathbf{k}} - \frac{\chi_N(\mathbf{k} + \mathbf{p})}{\mathbf{k} + \mathbf{p}} + \frac{\chi_N(\mathbf{k})}{\mathbf{k}} C(\mathbf{k}, \mathbf{p}) \frac{\chi_N(\mathbf{k} + \mathbf{p})}{\mathbf{k} + \mathbf{p}}$$

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$$\lim_{N \rightarrow \infty} \Delta_N(\mathbf{k}, \mathbf{p}) = \tau \hat{v}(\mathbf{p}) \mathbf{p}_\mu \langle j_{\mu, \mathbf{p}} \psi_{\mathbf{k}, \omega} \bar{\psi}_{\mathbf{k}+\mathbf{p}, \omega} \rangle$$

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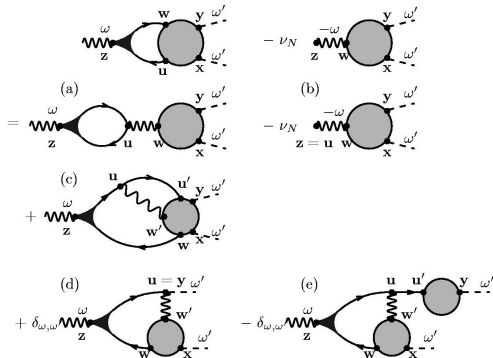
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with

$$\tau = \frac{\tilde{\lambda}_\infty}{4\pi}$$

- The coefficient τ is linear in $\tilde{\lambda}_\infty$ (Mastropietro JMP 2007): in the case of the axial WI, this is the non-perturbative analogue of the **anomaly non renormalization** in QED4.



In a RG analysis $\Delta_N(\mathbf{k}, \mathbf{p})$ the terms $\delta j \psi^+ \psi^-$ are marginal; one subtracts a local term, and one can further decompose them in a sum of terms with have scaling negative dimension (see c,d,e) except a, which is compensated by the local term (b), if $\nu_N = \frac{\tilde{\lambda}_\infty}{4\pi}$.

- The WI in the limit $N \rightarrow \infty$ have the form, if

$$j_{5,\mu} = \bar{\psi} \gamma_\mu \gamma_5 \psi$$

$$\gamma_\mu \mathbf{p}_\mu \langle j_{\mu,\mathbf{p}} \psi_{\mathbf{k},\omega} \bar{\psi}_{\mathbf{k}+\mathbf{p}} \rangle = A [\langle \psi_{\mathbf{k}} \bar{\psi}_{\mathbf{k}} \rangle - \langle \psi_{\mathbf{k}+\mathbf{p}} \bar{\psi}_{\mathbf{k}+\mathbf{p}}^- \rangle]$$

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- Similar relation were *postulated* by Johnson (1961) in its solution of the Thirring model $v(\mathbf{x}) = \delta(\mathbf{x})$ (their value was fixed by self-consistency); here they are derived by a functional integral (essential to prove that the exponents are the same as the spin models).

CLOSED EQUATIONS

- One can combine the WI with the Schwinger-Dyson equation and it turns out that the critical indices are written in terms of the anomaly

$$X_+ = 1 - \frac{1}{1 + \tau} \frac{\tilde{\lambda}_\infty}{2\pi} \quad X_- = 1 + \frac{1}{1 - \tau} \frac{\tilde{\lambda}_\infty}{2\pi}$$

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- The indices have a simple expression in terms of $\tilde{\lambda}_\infty$; all the model dependence is in the function $\tilde{\lambda}_\infty(\lambda) = a\lambda + b\lambda^2 + \dots$
- The crucial point are: the exponents are the same as in a QFT, and we can choose its regularization so that the anomaly non renormalization holds.

The fact that τ is linear in the bare coupling λ_∞ is the non-perturbative analogue of a property called in QED **anomaly non renormalization**, and proved by Adler and Bardeen by an accurate analysis of the Feynman graph expansion. Writing the model as in the equivalent way as a boson-fermion model

$$\gamma_\mu \mathbf{p}_\mu \langle j_{5,\mu,\mathbf{p}} \psi_{\mathbf{k},\omega} \bar{\psi}_{\mathbf{k}+\mathbf{p}} \rangle =$$

$$[\langle \psi_{\mathbf{k}} \bar{\psi}_{\mathbf{k}} \rangle - \langle \psi_{\mathbf{k}+\mathbf{p}} \bar{\psi}_{\mathbf{k}+\mathbf{p}} \rangle] + \tau \varepsilon_{\mu,\nu} \langle A_{\nu,\mathbf{p}} \psi_{\mathbf{k},\omega} \bar{\psi}_{\mathbf{k}+\mathbf{p}} \rangle$$

- Note that had we considered a **local** current-current interaction (Thirring model) with $v(\mathbf{x}) = \delta_M(\mathbf{x})$ with $\lim_{M \rightarrow \infty} v_M(\mathbf{x}) = \delta(\mathbf{x})$, still the ultraviolet fermionic (N) and bosonic M cut-off can be removed (CMP2008)

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- τ it is not renormalized if they are removed in the opposite way $M \rightarrow \infty, N \rightarrow \infty$.
- The wave function renormalization must be chosen as $Z_N \sim 2^{\eta N}$ with $\eta > 0$ and $V(\sqrt{Z}\psi)$.

The quantum spin chain in its fermionic representation

$$\begin{aligned}
 & -\frac{1}{2} \sum_{x=1}^{L-1} [a_x^+ a_{x+1}^- + a_{x+1}^+ a_x^-] - u \sum_{x=1}^{L-1} [a_x^+ a_{x+1}^+ + a_{x+1}^- a_x^-] \\
 & + h \sum_{x=1}^L (\rho_x - \frac{1}{2}) + \lambda \sum_{1 \leq x, y \leq L} v(x-y) (\rho_x - \frac{1}{2}) (\rho_y - \frac{1}{2})
 \end{aligned}$$

where a_x^\pm are the fermion creation or annihilation operators and $\rho_x = a_x^+ a_x^-$, $J_1 = J_2 = 1$, $u = (J_1 - J_2)/2$. This hamiltonian describes non relativistic fermions on a lattice (1D metals).

We denote $\mathbf{x} = (x, x_0)$, $O_{\mathbf{x}} = e^{Hx_0} O_x e^{-Hx_0}$ and, if

$A = O_{x_1} \dots O_{x_n}$, $\langle A \rangle = \lim_{L \rightarrow \infty} \frac{\text{Tre}^{-\beta H} \mathbf{T}(A)}{\text{Tre}^{-\beta H}}$, \mathbf{T} being the time order product and T denoting truncation.

CRITICAL INDICES

For λ small enough (Benfatto, Mastropietro RMP (2002))

$$S_x^{(3)} = a_x^+ a_x^- - \frac{1}{2}, \text{ when } J_1 = J_2 \left\langle S_x^{(3)} S_0^{(3)} \right\rangle_T \sim$$

$$\cos(2p_F x) \frac{1 + O(\lambda)}{2\pi^2 [x^2 + (v_s x_0)^2]^{x_+}} + \frac{1}{2\pi^2 [x^2 + (v_s x_0)^2]} (1 + O(\lambda))$$

- $p_F = \cos^{-1}(h + \lambda) + O(\lambda)$ (if $h = 0$ $p_F = \pi/2$ by symmetry)
- $v_s = \sin p_F + O(\lambda)$, $v_F = \sin p_F$
- κ (the susceptibility) is the limit $p \rightarrow 0$ of the 2D FT of $\left\langle S_x^{(3)} S_0^{(3)} \right\rangle_T$ at $p_0 = 0$

CRITICAL INDICES

- If $J_1 \neq J_2$ $\langle S_{\mathbf{x}}^{(3)} S_{\mathbf{0}}^{(3)} \rangle_T$ decays with correlation length $\xi \sim C |J_1 - J_2|^{\bar{\nu}}$ with $\bar{\nu} = 1 + O(\lambda)$
- The fermionic 2-point function $\langle a_{\mathbf{x}}^- a_{\mathbf{0}}^+ \rangle_T$ for $J_1 = J_2$ decays at large distance as a power law with index $1 + \eta$, $\eta = O(\lambda^2)$
- The correlations of the Cooper pair operator $\rho_{\mathbf{x}}^c = a_{\mathbf{x}}^+ a_{\mathbf{x}'}^+ + a_{\mathbf{x}}^- a_{\mathbf{x}'}^-$, $\mathbf{x}' = (x + 1, x_0)$ decays at large distance with a power law with index X_- .

THEOREM

(Benfatto, Mastropietro 2009) For λ small enough

$$X_+ X_- = 1, \\ \bar{\nu} = \frac{1}{2 - X_+^{-1}}, \quad 2\eta = X_+ + X_+^{-1} - 2,$$

Moreover

$$\kappa = \frac{1}{\pi} \frac{X_+}{v_s}.$$

$X_+ = 1 - \lambda \frac{\hat{\nu}(0) - \hat{\nu}(2p_F)}{\pi \sin p_F} + O(\lambda^2)$ (cfr with $X_+ = 1 - \frac{2\lambda}{\pi} + O(\lambda^2)$ of the exact XYZ solution)

IDEAS OF THE PROOF

- The density and the current operators are

$$\rho_x = S_x^3 + \frac{1}{2} = a_x^+ a_x^- \quad \text{and} \quad J_x = \frac{1}{2i} [a_{x+1}^+ a_x^- - a_x^+ a_{x+1}^-]$$

$$\frac{\partial \rho_x}{\partial x_0} = e^{Hx_0} [H, \rho_x] e^{-Hx_0} = -i [J_{x,x_0} - J_{x-1,x_0}]$$

If $(v_F = \sin p_F)$ $J_x = v_F j_x$

$$ip_0 \langle \hat{\rho}_p \hat{a}_k^+ \hat{a}_{k+p}^- \rangle + pv_F \langle \hat{j}_p \hat{a}_k^+ \hat{a}_{k+p}^- \rangle \sim [\langle \hat{a}_k^+ \hat{a}_k^- \rangle - \langle \hat{a}_{k+p}^+ \hat{a}_{k+p}^- \rangle]$$

Note also that (H_0 is the quadratic part)

$$[H_0, \hat{j}_p] = \frac{1}{L} \sum_k \sin k (\cos(k+p) - \cos k) \hat{a}_{k+p}^+ \hat{a}_k$$

THE REFERENCE MODEL

The partition function of the reference model (Lorentz invariant and not hamiltonian) is, if $\psi_{\pm, \mathbf{x}}^{\pm}$ are Grassman variables, $\mathbf{x} \in R^2$

$$\int P(d\psi^{(\leq N)}) e^{\tilde{\lambda}_{\infty} \int d\mathbf{x} v(\mathbf{x}-\mathbf{y}) j_{\mu, \mathbf{x}} j_{\mu, \mathbf{y}}}$$

where $\psi^{\pm} = (\psi_{+}^{\pm}, \psi_{-}^{\pm})$ is a Grassman spinor, $P(d\psi^{(\leq N)})$ have propagator,

$$g_{\pm}(\mathbf{x}) = \int d\mathbf{k} e^{i\mathbf{k}\mathbf{x}} \frac{\chi_N(\mathbf{k})}{-ik_0 \pm ck}$$

where $\chi_N(\mathbf{k})$ is a smooth cut-off function vanishing for $|\mathbf{k}| \geq 2^N$, $v(\mathbf{x}-\mathbf{y})$ a short range symmetric interaction and $j_{\mu} = \bar{\psi} \gamma_{\mu} \psi$, $\gamma_0 = \sigma_x$, $\gamma_1 = \sigma_y$.

RELATION WITH THE SPIN CHAIN

It is possible to choose $c = v_s$ and $\tilde{\lambda}_\infty$ as convergent series in λ (depending on all the details of the spin hamiltonian) so that

- The critical exponents of the two models are the **same**.

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It is possible to choose $c = v_s$ and $\tilde{\lambda}_\infty$ as convergent series in λ (depending on all the details of the spin hamiltonian) so that

- The critical exponents of the two models are the **same**.
- For $\mathbf{k}, \mathbf{k} + \mathbf{p}$ small if $\mathbf{p}_F = (0, \omega p_F)$, $\omega = \pm$, $J_x = v_F j_x$

$$\langle \hat{\rho}_{\mathbf{p}} \hat{a}_{\mathbf{k}+\mathbf{p}_F}^+ \hat{a}_{\mathbf{k}+\mathbf{p}+\mathbf{p}_F}^- \rangle \sim Z^{(3)} \langle \hat{j}_{0,\mathbf{p}} \hat{\psi}_{\mathbf{k},\omega}^+ \hat{\psi}_{\mathbf{k}+\mathbf{p},\omega}^- \rangle$$

$$\langle \hat{j}_{\mathbf{p}} \hat{a}_{\mathbf{k}+\mathbf{p}_F}^+ \hat{a}_{\mathbf{k}+\mathbf{p}+\mathbf{p}_F}^- \rangle \sim \tilde{Z}^{(3)} \langle \hat{j}_{1,\mathbf{p}} \hat{\psi}_{\mathbf{k},\omega}^+ \hat{\psi}_{\mathbf{k}+\mathbf{p},\omega}^- \rangle$$

$$\frac{\tilde{Z}^{(3)}}{Z^{(3)}} = 1 + a_1 \lambda + O(\lambda^2)$$

Similar relation hold for the 2-point function with constant Z

- Asymptotic to the relativistic model with different density and current renormalizations. Crucial: The fact that $Z^{(3)} \neq \tilde{Z}^{(3)}$ is the effect of the irrelevant operators breaking the relativistic symmetry.

WARD IDENTITIES FOR THE SPIN CHAIN



$$i\mathbf{p}_\mu \langle j_{\mu,\mathbf{p}} \psi_{\mathbf{k}} \psi_{\mathbf{k}+\mathbf{p}}^+ \rangle = A [\langle \psi_{\mathbf{k}} \psi_{\mathbf{k}}^+ \rangle - \langle \psi_{\mathbf{k}+\mathbf{p}} \psi_{\mathbf{k}+\mathbf{p}}^+ \rangle]$$

$$i\mathbf{p}_\mu \langle j_{5,\mu,\mathbf{p}} \psi_{\mathbf{k}} \psi_{\mathbf{k}+\mathbf{p}}^+ \rangle = \bar{A} [\langle \psi_{\mathbf{k}} \psi_{\mathbf{k}}^+ \rangle - \langle \psi_{\mathbf{k}+\mathbf{p}} \psi_{\mathbf{k}+\mathbf{p}}^+ \rangle]$$

with $A^{-1} = 1 - \tau$ $\bar{A}^{-1} = 1 + \tau$.

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$$ip_0 \langle \hat{\rho}_{\mathbf{p}} \hat{a}_{\mathbf{k}}^+ \hat{a}_{\mathbf{k}+\mathbf{p}}^- \rangle + p \tilde{v}_J \langle \hat{j}_{\mathbf{p}} \hat{a}_{\mathbf{k}}^+ \hat{a}_{\mathbf{k}+\mathbf{p}}^- \rangle \sim B [\langle \hat{a}_{\mathbf{k}}^+ \hat{a}_{\mathbf{k}}^- \rangle - \langle \hat{a}_{\mathbf{k}+\mathbf{p}}^+ \hat{a}_{\mathbf{k}+\mathbf{p}}^- \rangle]$$

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with $B = \frac{Z^{(3)}}{Z} (1 - \tau)^{-1}$, $\bar{B} = \frac{\bar{Z}^{(3)}}{Z} (1 + \tau)^{-1}$, $\tilde{v}_N = v_s \frac{Z^{(3)}}{\bar{Z}^{(3)}}$,

$$\tilde{v}_J = v_s \frac{\bar{Z}^{(3)}}{Z^{(3)}}.$$

- One extra WI. Three different velocities

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$$\tilde{v}_J = v_s \frac{\tilde{Z}^{(3)}}{Z^{(3)}}.$$

- One extra WI. Three different velocities

- By comparing with lattice WI $B = \frac{Z^{(3)}}{Z} (1 - \tau)^{-1} = 1$

- By another WI, again derived by the reference model, if
 $D_{\pm}(\mathbf{p}) = -ip_0 \pm cp$

$$\langle \hat{\rho}_{\mathbf{p}} \hat{\rho}_{\mathbf{p}} \rangle = \frac{1}{4\pi v_s Z^2} \frac{(Z^{(3)})^2}{1 - (\tilde{\lambda}_{\infty}/4\pi v_s)^2} \left[2 - \frac{D_-(\mathbf{p})}{D_+(\mathbf{p})} - \frac{D_+(\mathbf{p})}{D_-(\mathbf{p})} \right],$$

so that

$$\kappa = \frac{1}{\pi v_s} \frac{1}{Z^2} \frac{(Z^{(3)})^2}{1 - (\tilde{\lambda}_{\infty}/4\pi v_s)^2} = \frac{1}{\pi v_s} \frac{1 - (\tilde{\lambda}_{\infty}/4\pi v_s)}{1 + (\tilde{\lambda}_{\infty}/4\pi v_s)} = \frac{X_+}{\pi v_s}$$

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- We have established for the first time the validity of a number of universal relations between exponents and other quantities in a wide class of **non** solvable lattice models.

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- Their interest goes much beyond this, as they provide one of the very cases in which the *universality principle*, a general belief in statistical physics and beyond, can be rigorously verified.

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- We have established for the first time the validity of a number of universal relations between exponents and other quantities in a wide class of **non** solvable lattice models.
- Same of the the universal relations are used for the analysis of experiments in carbon nanotubes or spin chains
- Their interest goes much beyond this, as they provide one of the very cases in which the *universality principle*, a general belief in statistical physics and beyond, can be rigorously verified.
- Extensions of our methods will allow hopefully to prove universal relations in an even wider class of models and to prove other relations between spin or dynamic exponents.