

# Around Dvoretzky theorem in quantum information theory

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# Dvoretzky theorem

If  $K \subset \mathbf{R}^n$  is a convex body, let  $\|x\|_K = \inf\{t > 0 \text{ s.t. } x \in tK\}$ .

## Theorem (V. Milman)

Let  $K \subset \mathbf{R}^n$  or be a convex body and  $X$  a random vector uniformly distributed on  $S^{n-1}$ . Let  $M = \mathbf{E}\|X\|_K$  and  $b = \sup\|X\|_K$ . Then with high probability, a random  $k$ -dimensional subspace  $E \subset \mathbf{R}^n$  satisfies

$$\forall x \in E \cap S^{n-1}, \quad (1 - \varepsilon)M \leq \|x\|_K \leq (1 + \varepsilon)M,$$

with  $k = \lfloor c\varepsilon^2 n(M/b)^2 \rfloor$ .

- Probability is given on the Grassman manifold is the Haar measure.
- Also true for unit balls of complex normed spaces.
- Combined with the fact that every convex body has an affine image for which  $M/b \geq \sqrt{\log n}/\sqrt{n}$ , this shows that every convex body has a  $\lfloor c\varepsilon^2 \log n \rfloor$ -dimensional section which is  $(1 + \varepsilon)$ -Euclidean.

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# Dvoretzky theorem : sketch of proof

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Let  $K \subset \mathbf{R}^n$  be a convex body and  $X$  a random vector uniformly distributed on  $S^{n-1}$ . Let  $M(K) := \mathbf{E}\|X\|_K$  and  $b(K) = \sup\|X\|_K$ . Then with high probability, a random  $k$ -dimensional subspace  $E \subset \mathbf{R}^n$  satisfies

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is  $1 - \exp(-ck)$ .

- 2 A  $\varepsilon$ -net in a  $k$ -dimensional sphere contains  $(1/\varepsilon)^{ck}$  points.

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## How to compute $M(K)$ ?

- Let  $X$  be uniformly distributed on the sphere, and let  $G$  be a  $N(0, \text{Id}_n)$  random vector.

Then  $G/|G|$

- ① is independent of  $|G|$ ,
  - ② has the same distribution as  $X$ .
- Therefore,

$$M(K) = \mathbf{E}\|X\|_K = \frac{\mathbf{E}\|G\|_K}{\mathbf{E}|G|} = \frac{\mathbf{E}\|G\|_K}{\gamma_n}.$$

with  $\gamma_n := \mathbf{E}|G|$  ; one checks that  $\sqrt{n-1} \leq \gamma_n \leq \sqrt{n}$ .

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# Dvoretzky theorem in $\ell_1^n$

Let  $\|x\|_1 = \sum |x_i|$  and  $B_1^n$  be the unit ball of  $\ell_1^n = (\mathbf{R}^n, \|\cdot\|_1)$ .

①  $b(B_1^n) = \sqrt{n}$  because  $\|\cdot\|_1 \leq \sqrt{n}\|\cdot\|_2$ .

②  $M(B_1^n) = \frac{n\mathbb{E}|N(0,1)|}{\gamma_n} \sim \frac{cn}{\sqrt{n}} \sim c\sqrt{n}$

So the Dvoretzky dimension of  $\ell_1^n$  is  $k = c\varepsilon^2 n(M/b)^2 \sim c\varepsilon^2 n$ .

## Question

Is there an **explicit** Dvoretzky subspace of  $\ell_1^n$  ?

Progress in this direction

- Schechtman :  $E$  spanned by i.i.d.  $\pm 1$  vectors ( $n^2$  random bits).
- Artstein–Milman, Lovett–Sodin, Guruswami–Lee–Widgerson : construction using very few randomness ( $n^\delta$  random bits for any  $\delta > 0$ ).
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Let  $\mathbf{K} = \mathbf{R}$  or  $\mathbf{C}$ , and  $\mathcal{M}(\mathbf{K}^d, \mathbf{K}^n)$  be the space of  $d \times n$  matrices, equipped with the Hilbert–Schmidt inner product

$$\langle A, B \rangle = \text{Tr } A^* B \quad \|A\|_{HS} = \sqrt{\text{Tr } A^* A}.$$

Let  $S_\infty^d$  be the space  $\mathcal{M}(\mathbf{K}^d)$  with the operator norm  $\|\cdot\|_{op}$ .

- 1  $b(S_\infty^d) = 1$  since  $\|\cdot\|_{op} \leq \|\cdot\|_{HS}$ .
- 2  $M(S_\infty^d) \sim \frac{1}{d} \mathbf{E} \|G\|_{op}$ , where  $G$  is a random matrix with i.i.d.  $N(0, 1)$  entries.
- 3 Standard results on random matrices assert that  $\mathbf{E} \|G\|_{op} \leq C\sqrt{d}$ ,

Therefore the Dvoretzky dimension of  $S_\infty^d$  is  $k = c\varepsilon^2 d^2 (M/b)^2 = c\varepsilon^2 d$  (in a  $d^2$ -dimensional space).

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# Dvoretzky theorem in $S_\infty^d$ (continued)

A random  $c(\varepsilon)d$ -dimensional subspace of  $S_\infty^d$  is  $(1 + \varepsilon)$ -Euclidean.

## Problem

*Find explicit Dvoretzky subspaces of  $S_\infty^d$ .*

In the complex case, this would be presumably useful for quantum information theory.

**Misleading example** :  $S_\infty^d$  contains obvious  $d$ -dimensional 1-Euclidean subspaces : consider matrices with nonzero entries only in the first row. For such a matrix  $A$  we have  $\|A\|_{op} = \|A\|_{HS}$ , while on Dvoretzky subspaces we have  $\|A\|_{op} \approx \|A\|_{HS}/\sqrt{d}$ .

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## Lemma (Slepian's lemma)

If  $K \subset \mathbf{R}^n$  and  $\Phi : K \rightarrow \mathbf{R}^p$  is a (nonlinear) contraction, then

$$\mathbf{E} \max_{y \in \Phi(K)} \langle g_p, y \rangle \leq \mathbf{E} \max_{x \in K} \langle g_n, x \rangle,$$

where  $g_n$  is a standard  $n$ -dimensional Gaussian vector.

The map  $x \oplus y \mapsto x \otimes y$  is the contraction on  $S^{d-1} \times S^{d-1}$ ,

$$|x \otimes y - x' \otimes y'| \leq (|x - x'|^2 + |y - y'|^2)^{1/2}. \quad (1)$$

Since

$$\|G\|_{op} = \sup_{|x|=|y|=1} \langle Gx, y \rangle = \sup_{|x|=|y|=1} \langle G, x \otimes y \rangle,$$

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# Slepian's lemma

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The inequality (1) is false on  $\mathbf{C}^d$ , even for  $d = 1$ .

## Problem

How to use a Slepian-type lemma for **complex** Gaussian matrices ?

# Rectangular matrices

Let  $X = \mathcal{M}(\mathbf{C}^d, \mathbf{C}^N)$ , equipped with operator norm. Dvoretzky's theorem controls the norm (largest singular value) on a large-dimensional subspace.

One can expect more : if  $N \gg d$ , a typical  $N \times d$  Gaussian matrix  $G$  will be close to an isometry. Let  $s_{\min}(G) = \min_{|x|=1} |Gx|$ . We have by a net argument, that with large probability

$$\sqrt{N} - C\sqrt{d} \leq s_{\min}(G) \leq \|G\| \leq \sqrt{N} + C\sqrt{d}$$

We can use another net argument to prove the following :

## Theorem

Fix  $\varepsilon > 0$ , and let  $N \geq Cd/\varepsilon^2$ . Let  $E \subset X$  be a random  $d$ -dimensional subspace. Then with large probability, every matrix  $A \in E$  satisfies

$$(1 - \varepsilon) \frac{\|A\|_{HS}}{\sqrt{d}} \leq s_{\min}(A) \leq \|A\|_{op} \leq (1 + \varepsilon) \frac{\|A\|_{HS}}{\sqrt{d}}$$

Call such a subspace a **strong Dvoretzky subspace**. In the real case, Slepian-Gordon lemma leads to better estimates in the constants.

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# Correspondance between subspaces and completely positive maps

Let  $\Phi : \mathbf{C}^d \rightarrow \mathcal{M}(\mathbf{C}^d, \mathbf{C}^N)$  and  $\Phi_i : \mathbf{C}^d \rightarrow \mathbf{C}^d$  to be the  $i$ -th row of  $\Phi$ .

$$\Phi(x) = \sum_{i=1}^N |e_i\rangle \langle \Phi_i(x)|$$

- We denote by  $|a\rangle\langle b|$  the rank one operator  $c \mapsto \langle b, c\rangle a$ ,
- $(|a\rangle\langle b|)^* = |b\rangle\langle a|$ ,
- $(|a\rangle\langle b|)(|c\rangle\langle d|) = \langle b, c\rangle |a\rangle\langle d|$ .

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Identifying a unit vector  $x$  with  $|x\rangle\langle x|$ ,  $\Psi$  can be defined on  $\mathcal{M}(\mathbf{C}^d)$  as

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# Correspondance between subspaces and completely positive maps

Let  $\Phi : \mathbf{C}^d \rightarrow \mathcal{M}(\mathbf{C}^d, \mathbf{C}^N)$  and  $\Phi_i : \mathbf{C}^d \rightarrow \mathbf{C}^d$  to be the  $i$ -th row of  $\Phi$ .

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Therefore the range of  $\Phi$  consists of (multiples of) almost isometries iff the range of  $\Psi$  consists of almost multiples of  $\text{Id}$ .

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A linear map  $\Phi : \mathcal{M}(\mathbf{C}^d) \rightarrow \mathcal{M}(\mathbf{C}^d)$  is **completely positive (CP)** if  $\Phi \otimes \text{Id}_{\mathcal{M}(\mathbf{C}^k)}$  maps positive matrices to positive matrices for any  $k$ . This is equivalent to say that there are matrices  $V_i \in \mathcal{M}(\mathbf{C}^d)$  so that

$$\Phi(X) = \sum_{i=1}^N V_i X V_i^* \quad (\text{Kraus decomposition}).$$

The minimal such  $N$  is called the Kraus rank of  $\Phi$  and is at most  $d^2$ .

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A **state**  $\rho \in \mathcal{M}(\mathbf{C}^d)$  is a positive self-adjoint matrix with trace 1.

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$$\text{Tr } \Phi(X) = \text{Tr } X.$$

- A quantum channel maps states to states.
- If  $(U_i)$  are unitary matrices, then  $X \mapsto \frac{1}{N} \sum_{i=1}^N U_i X U_i^*$  is a quantum channel.
- The depolarizing channel  $R : X \mapsto \mathbf{E} U X U^*$  with  $U$  Haar-distributed on the unitary group  $\mathcal{U}(d)$ .
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For  $0 < \varepsilon < 1$ , a quantum channel  $\Phi : \mathcal{M}(\mathbf{C}^d) \rightarrow \mathcal{M}(\mathbf{C}^d)$  is  $\varepsilon$ -randomizing if for any state  $\rho$

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i.e. the spectrum of  $\Phi(\rho)$  belongs to  $[\frac{1-\varepsilon}{d}, \frac{1+\varepsilon}{d}]$ .

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### Problem

*Find  $\varepsilon$ -randomizing channels with small Kraus rank (proportional to  $d$ ). Even better, find explicitly such channels.*

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Theorem (Hayden, Leung, Shor, Winter — A.)

Let  $U_1, \dots, U_N$  be i.i.d. Haar-distributed random  $d \times d$  unitary matrices. Then for  $N \geq Cd/\varepsilon^2$ , the quantum channel

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is  $\varepsilon$ -randomizing with exponentially large probability.

- HLSW had the weaker estimate  $N \geq Cd \log d/\varepsilon^2$ .
- Optimal dependence in  $d$ .
- For such random constructions, the dependence in  $\varepsilon$  is optimal.

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# Proof of the theorem

Let  $\Phi : \rho \mapsto \frac{1}{N} \sum U_i \rho U_i^*$ ,  $R : \rho \mapsto \frac{\text{Id}}{d}$  and  $\Delta = \Phi - R$ . Let  $\mathcal{S}$  be the set of states ; we need to show that for any  $\rho \in \mathcal{S}$ ,  $\|\Delta(\rho)\|_{op} \leq \frac{\varepsilon}{d}$ , i.e.

$$\sup_{\rho, \sigma \in \mathcal{S}} |\text{Tr} \sigma \Delta(\rho)| \leq \frac{\varepsilon}{d}.$$

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- 2 Let  $\mathcal{N}$  be a  $\delta$ -net (w.r.t.  $\|\cdot\|_1$ ) in the set of pure states. Then

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Using Bernstein inequalities, this quantity is smaller than  $\varepsilon/d$  with probability  $1 - 2 \exp(-cN\varepsilon^2)$

- 4 There is a  $1/4$ -net in the set of pure states of cardinality  $400^d$ .
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Proof : For every pure states  $\rho, \sigma$ , there are  $\rho_0, \sigma_0 \in \mathcal{N}$  so that  $\|\rho - \rho_0\|_1 \leq \delta, \|\sigma - \sigma_0\|_1 \leq \delta$ . Then

$$|\text{Tr } \sigma \Delta(\rho)| \leq |\text{Tr}(\sigma - \sigma_0)\Delta(\rho)| + |\text{Tr } \sigma_0 \Delta(\rho - \rho_0)| + |\text{Tr } \sigma_0 \Delta(\rho_0)|$$

Taking supremum over  $\rho, \sigma$  gives  $A \leq \delta A + \delta A + B$ .

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# Derandomization

The same net argument works for i.i.d. copies of a  $\mathcal{U}(d)$ -valued random vector  $U$  which is

- 1 **isotropic** : for any unit vectors  $x, y \in \mathbf{C}^d$ ,  $\mathbf{E}|\langle Ux, y \rangle|^2 = \frac{1}{d}$ . This is equivalent to say that the covariance matrix of  $U$  is the same as the Haar distribution.
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Any subgaussian random vector has exponentially large support (already in  $\mathbf{C}^d$ ), so this proof cannot go below  $N \times Cd \approx d^2$  random bits.

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# Non-subgaussian isotropic $\mathcal{U}(d)$ -valued vectors

Consider the Pauli matrices

$$\sigma_0 = \text{Id}_2, \quad \sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

- Then for any  $X \in \mathcal{M}_2(\mathbf{C})$ ,

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so the uniform measure on  $\{\sigma_i\}$  is isotropic.

- Similarly, for any  $k$ , the uniform measure on  $k$ -fold tensor product of Pauli matrices is isotropic in  $\mathcal{M}(\mathbf{C}^{2^k})$ .
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## Question (Hayden–Leung–Shor–Winter)

Can we construct a  $\varepsilon$ -randomizing channel from  $Cd/\varepsilon^2$  i.i.d. copies of any isotropic  $\mathcal{U}(d)$ -valued random vector ?

- No ; it can be checked that one needs  $N \geq C(\varepsilon)d \log d$  in some cases. Related to the *coupon's collector problem*.
- Yes if we allow extra logarithmic factors.

## Theorem (A.)

If  $U$  is a  $\mathcal{U}(d)$ -valued isotropic random vector and  $U_i$  denote i.i.d. copies, is the channel

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This is an empirical process in the Schatten space  $S_1^d = (\mathcal{M}(\mathbf{C}^d), \|\cdot\|_1)$ .

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**Proof** (following [R],[GMPT])

- Symmetrization argument à la Giné–Zinn

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Here  $\delta$  the distance induced by the Gaussian process and  $N(K, \delta, \varepsilon)$  the number of balls of radius  $\varepsilon$  in the metric  $\delta$  needed to cover  $K$ .

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The metric  $\delta$  can be upper-bounded

$$\begin{aligned}\delta(A, B)^2 &= \sum_{i=1}^N \left| |\operatorname{Tr} U_i A|^2 - |\operatorname{Tr} U_i B|^2 \right|^2 \\ &\leq \left( \sum_{i=1}^N |\operatorname{Tr} U_i (A + B)|^2 \right) \left( \sup_{1 \leq i \leq N} |\operatorname{Tr} U_i (A - B)|^2 \right).\end{aligned}$$

This leads to the bound

$$\mathbf{E}_\varepsilon \dots \leq C \left( \sup_{A \in B(S_1^d)} \sum_{i=1}^N |\operatorname{Tr} U_i A|^2 \right)^{1/2} \int_0^\infty \sqrt{\log N(B(S_1^d), \|\cdot\|, \varepsilon)} d\varepsilon$$

with  $\|\|A\|\| = \sup_{1 \leq i \leq N} |\operatorname{Tr} U_i A| \leq \|A\|_1$ .

The unit ball  $L$  of  $\|\| \cdot \|\|$  has  $N$  « faces » and contains  $B(S_1^d)$ .

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# Covering numbers

We need to estimate

$$I = \int_0^\infty \sqrt{\log N(B(S_1^d), \|\cdot\|, \varepsilon)} d\varepsilon \stackrel{?}{\leq} C \log^3 N$$

Assume for the moment the duality property for covering numbers holds (it is still a conjecture)

$$\log N(K, L, \varepsilon) \leq C \log N(L^\circ, K^\circ, c\varepsilon)$$

This leads to

$$I \leq C \int_0^\infty \sqrt{\log N(L^\circ, B(S_\infty^d), \varepsilon)} d\varepsilon.$$

With  $L^\circ$  the unit ball for  $\|\cdot\|^*$  — a convex body with  $N$  « vertices » contained in  $B(S_\infty^d)$ .

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## Lemma (Maurey's lemma)

If  $K \subset L$  and  $K$  has  $N$  « vertices », then for all  $\varepsilon > 0$ ,

$$\varepsilon \sqrt{\log N(K, L, \varepsilon)} \leq CT_2(L) \sqrt{\log N}$$

Here  $T_2(L)$  is the type 2 constant of the norm associated to  $L$ .

- 1 In our case  $T_2(S_\infty^d) \leq C\sqrt{\log d}$  (Tomczak-Jaegermann).
- 2 The duality conjecture holds up to a logarithmic factor. This follows from results by Bourgain, Pajor, Szarek and Tomczak–Jaegermann since  $S_1^d$  has a equivalent norm which has a good modulus of convexity, namely the norm of  $S_p^d$  for  $p = 1 + 1/\log d$  (Tomczak-Jaegermann, Ball–Carlen–Lieb).
- 3 Collect all the logarithms.

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# Weakly $\varepsilon$ -randomizing channels

A quantum channel  $\Phi : \mathcal{M}(\mathbf{C}^d) \rightarrow \mathcal{M}(\mathbf{C}^d)$  is weakly  $\varepsilon$ -randomizing if for any state  $\rho$ ,

$$\left\| \Phi(\rho) - \frac{\text{Id}}{d} \right\|_{HS} \leq \frac{\varepsilon}{\sqrt{d}}$$

- Since  $\|\cdot\|_{HS} \leq \sqrt{d} \|\cdot\|_{op}$ , a  $\varepsilon$ -randomizing channel is weakly  $\varepsilon$ -randomizing.
- The Hilbert–Schmidt norm is easier to handle than the operator norm because it allows to use spectral methods.
- There are explicit examples of weakly  $\varepsilon$ -randomizing channels with Kraus rank less than  $16d/\varepsilon^2$ , using Pauli matrices (Ambainis—Smith, Dickinson—Nayak). Constructions are based on standard derandomisation techniques (small bias subsets of  $(\mathbf{Z}/2\mathbf{Z})^N$ ).
- It seems hard to decide whether these channels are  $\varepsilon$ -randomizing in the strong sense.

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# Additivity conjectures

## Definition

The (von Neumann) **entropy** of a state  $\rho$  is  $S(\rho) = -\text{Tr } \rho \log \rho$ .

The **minimal output entropy** of a channel  $\Phi$  is  $S_{\min}(\Phi) = \min_{\rho \in \mathcal{S}} S(\Phi(\rho))$

An important question is to decide whether  $S_{\min}$  is additive

## Question (Additivity conjecture)

If  $\Phi$  and  $\Psi$  are quantum channels, is it true that

$$S_{\min}(\Phi \otimes \Psi) = S_{\min}(\Phi) + S_{\min}(\Psi).$$

This would be implied (taking  $p \rightarrow 1$ ) by the following

$$\max_{\rho} \|(\Phi \otimes \Psi)(\rho)\|_p = \max_{\rho} \|\Phi(\rho)\|_p \max_{\rho} \|\Psi(\rho)\|_p. \quad (3)$$

- 1 (Winter) The existence of  $\varepsilon$ -randomizing channels with low Kraus rank implies that (3) is false for  $p > 2$ .
- 2 (Hayden–Winter) Applying Dvoretzky's theorem in  $S_{2p}(\mathbf{C}^d, \mathbf{C}^N)$  gives counterexamples to (3) for any  $p > 1$ .

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