

A survey of Cartan Subgroups in Model Theory

Benjamin Druart

CNRS

Université de Grenoble - Université de Lyon

Tuesday 14th january 2014

- 1 Cartan Subgroups, Algebraically Closed Fields and Groups of finite Morley rank
- 2 Cartan Subgroups and \mathcal{o} -minimal Structures
- 3 Cartan Subgroups and p -adic Fields

Definition

If G is an arbitrary group, a subgroup Q of G is called a **Cartan subgroup** if it satisfies the two following conditions :

- 1 Q is nilpotent and maximal with this property ;
- 2 If $X \leq Q$ is a subgroup which is normal in Q and of finite index in Q , then X is of finite index in its normalizer $N_G(X)$.

Definition

If G is an arbitrary group, a subgroup Q of G is called a **Cartan subgroup** if it satisfies the two following conditions :

- 1 Q is nilpotent and maximal with this property ;
- 2 If $X \leq Q$ is a subgroup which is normal in Q and of finite index in Q , then X is of finite index in its normalizer $N_G(X)$.

Remark : If G is an infinite group then all its Cartan subgroups are infinite.

If not, $X = 1$ is a subgroup of finite index in Q but X is not of finite index in its normalizer $N_G(X) = G$.

Definition

Let G be a group and X a subset of G .

- 1 We say that X is **generic** in G if G can be covered by finitely many translates of X .

$$G = \bigcup_{i=1}^n g_i \cdot X \quad \text{with } g_1, \dots, g_n \in G$$

Definition

Let G be a group and X a subset of G .

- 1 We say that X is **generic** in G if G can be covered by finitely many translates of X .

$$G = \bigcup_{i=1}^n g_i \cdot X \quad \text{with } g_1, \dots, g_n \in G$$

- 2 We say that X is **generous** if the union of its conjugates $X^G = \bigcup_{g \in G} X^g$ is generic.

- 1 Cartan Subgroups, Algebraically Closed Fields and Groups of finite Morley rank
- 2 Cartan Subgroups and σ -minimal Structures
- 3 Cartan Subgroups and p -adic Fields

Theorem

Let G be connected affine algebraic group over an algebraically closed field, and Q a subgroup of G .

The followings are equivalent :

- 1 Q is a Cartan subgroup.*
- 2 Q is the centralizer of a maximal torus.*

Theorem

Let G be connected affine algebraic group over an algebraically closed field, and Q a subgroup of G .

The followings are equivalent :

- 1 Q is a Cartan subgroup.
- 2 Q is the centralizer of a maximal torus.

Corollary

- *Every Cartan subgroup of G is algebraic and connected.*
- *Any two Cartan subgroups are conjugated.*

Theorem (Jordan decomposition)

Let G be commutative linear algebraic group.

- 1 If $a \in G$, then it can be written as $a = a_s \cdot a_u$, where :
 - a_s is semi-simple (ie. diagonalizable in some extension);
 - a_u is unipotent (ie. $(a_u - I)$ is nilpotent).
- 2 $G = G_s \times G_u$
 - G_s is the set of semi-simple elements of G ;
 - G_u is the set of unipotent elements of G .

Theorem (Jordan decomposition)

Let G be commutative linear algebraic group.

- 1 If $a \in G$, then it can be written as $a = a_s \cdot a_u$, where :
 - a_s is semi-simple (ie. diagonalizable in some extension);
 - a_u is unipotent (ie. $(a_u - I)$ is nilpotent).
- 2 $G = G_s \times G_u$
 - G_s is the set of semi-simple elements of G ;
 - G_u is the set of unipotent elements of G .

Question: How do we get a similar result for groups of finite Morley rank?

Theorem (Jordan decomposition)

Let G be commutative linear algebraic group.

- 1 If $a \in G$, then it can be written as $a = a_s \cdot a_u$, where :
 - a_s is semi-simple (ie. diagonalizable in some extension);
 - a_u is unipotent (ie. $(a_u - I)$ is nilpotent).
- 2 $G = G_s \times G_u$
 - G_s is the set of semi-simple elements of G ;
 - G_u is the set of unipotent elements of G .

Question: How do we get a similar result for groups of finite Morley rank?

In groups of finite Morley rank, we can define:

- x is semi-simple if x is contained in a Cartan subgroup.
- x is unipotent if $d(x)$ intersects no Cartan subgroup.

Definition

Let G be a group of finite Morley rank.

A subgroup of G is called a **Carter subgroup** if it is definable, definably connected, nilpotent and of finite index in its normalizer in G .

Definition

Let G be a group of finite Morley rank.

A subgroup of G is called a **Carter subgroup** if it is definable, definably connected, nilpotent and of finite index in its normalizer in G .

Theorem

Let G be a group of finite Morley rank, and C a subgroup of G . The followings are equivalent:

- 1 C is a Carter subgroup;
- 2 C is a connected Cartan subgroup.

Theorem (Frécon, 2006)

Let G be a connected solvable group of finite Morley rank and C be a subgroup of G .

C is a Carter subgroup iff C is nilpotent and selfnormalizing.

Connected solvable groups of finite Morley rank - I

Theorem (Frécon, 2006)

Let G be a connected solvable group of finite Morley rank and C be a subgroup of G .

C is a Carter subgroup iff C is nilpotent and selfnormalizing.

Definition

Let G be a group and H be a subgroup.

*H is called **anormal** if for all $g \in G$, $g \in \langle H, H^g \rangle$.*

Connected solvable groups of finite Morley rank - I

Theorem (Frécon, 2006)

Let G be a connected solvable group of finite Morley rank and C be a subgroup of G .

C is a Carter subgroup iff C is nilpotent and selfnormalizing.

Definition

Let G be a group and H be a subgroup.

*H is called **anormal** if for all $g \in G$, $g \in \langle H, H^g \rangle$.*

Theorem

Let G be a connected solvable group of finite Morley rank, and C a subgroup.

C is Carter subgroup iff C is a minimal anormal subgroup.

Theorem (Frécon - 2006)

In a connected solvable group of finite Morley rank, there exist Carter subgroups and any two of them are conjugated.

Theorem (Frécon - 2006)

In a definable subgroup of a connected solvable group of finite Morley rank, there exist Carter subgroups and any two of them are conjugated.

Groups of finite Morley rank

Theorem (Frécon, Jaligot - 2005)

Let G be a group of finite Morley rank, then G contains a Carter subgroup. Furthermore any abelian divisible torsion subgroup of G is contained in a Carter subgroup of G .

Theorem (Jaligot - 2006)

In any group of finite Morley rank, all generous Carter subgroups are conjugated.

Groups of finite Morley rank

Theorem (Frécon, Jaligot - 2005)

Let G be a group of finite Morley rank, then G contains a Carter subgroup. Furthermore any abelian divisible torsion subgroup of G is contained in a Carter subgroup of G .

Theorem (Jaligot - 2006)

In any group of finite Morley rank, all generous Carter subgroups are conjugated.

Conjecture

Carter subgroups of a group of finite Morley rank are conjugated.

Conjecture

In any group of finite Morley rank, Carter subgroups are generous.

- 1 Cartan Subgroups, Algebraically Closed Fields and Groups of finite Morley rank
- 2 Cartan Subgroups and \mathcal{o} -minimal Structures
- 3 Cartan Subgroups and p -adic Fields

Definition

Let G be a group definable in an o-minimal structure.

*A subgroup of G is called a **Carter subgroup** if it is definable, definably connected, nilpotent and of finite index in its normalizer in G .*

Definition

Let G be a group definable in an o-minimal structure.

*A subgroup of G is called a **Carter subgroup** if it is definable, definably connected, nilpotent and of finite index in its normalizer in G .*

Theorem (Otero, Baro, Jaligot - 2011)

In a definable group in an o-minimal structure, any Carter subgroup is the connected component of some Cartan subgroup.

Consequence: Cartan subgroups are definable.

Theorem (Otero, Baro, Jaligot - 2011)

Let G be a definable group in an o-minimal structure.

- 1 G has Cartan subgroups;
- 2 G has finitely many conjugacy classes of Cartan subgroups;
- 3 only one of them is generous.

Theorem (Otero, Baro, Jaligot - 2011)

Let G be a definable group in an o-minimal structure.

- 1 G has Cartan subgroups;
- 2 G has finitely many conjugacy classes of Cartan subgroups;
- 3 only one of them is generous.

Example : $SL_2(\mathbb{R})$ has 2 conjugacy classes of Cartan subgroups:

- $Q_1 = \left\{ \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} \mid a \in \mathbb{R}^\times \right\}$ is generous;
- $Q_{-1} = \left\{ \begin{pmatrix} a & b \\ -b & a \end{pmatrix} \mid a, b \in \mathbb{R} \text{ and } a^2 + b^2 = 1 \right\}$ is not generous.

- 1 Cartan Subgroups, Algebraically Closed Fields and Groups of finite Morley rank
- 2 Cartan Subgroups and σ -minimal Structures
- 3 Cartan Subgroups and p -adic Fields

Cartan Subgroups in $SL_2(\mathbb{Q}_p)$

$$Q_1 = \left\{ \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} \in SL_2(\mathbb{Q}_p) \mid a \in \mathbb{Q}_p^\times \right\}$$

$$Q_\delta = \left\{ \begin{pmatrix} a & b \\ b\delta & a \end{pmatrix} \in SL_2(\mathbb{Q}_p) \mid a, b \in \mathbb{Q}_p \text{ and } a^2 - b^2\delta = 1 \right\}$$

where δ is a non-square in \mathbb{Q}_p .

Remark : For $p \neq 2$ (resp. $p = 2$), there are 4 cosets (resp. 8 cosets) of $(\mathbb{Q}_p^\times)^2$ in \mathbb{Q}_p^\times .

Cartan Subgroups in $SL_2(\mathbb{Q}_p)$

$$Q_1 = \left\{ \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} \in SL_2(\mathbb{Q}_p) \mid a \in \mathbb{Q}_p^\times \right\}$$

$$Q_\delta = \left\{ \begin{pmatrix} a & b \\ b\delta & a \end{pmatrix} \in SL_2(\mathbb{Q}_p) \mid a, b \in \mathbb{Q}_p \text{ and } a^2 - b^2\delta = 1 \right\}$$

where δ is a non-square in \mathbb{Q}_p .

Remark : For $p \neq 2$ (resp. $p = 2$), there are 4 cosets (resp. 8 cosets) of $(\mathbb{Q}_p^\times)^2$ in \mathbb{Q}_p^\times .

Proposition

The group Q_1 and Q_δ are Cartan subgroups of $SL_2(\mathbb{Q}_p)$.

Lemma

$$\textcircled{1} \quad Q_1^{SL_2(\mathbb{Q}_p)} = \{A \in SL_2(\mathbb{Q}_p) \mid \text{tr}(A)^2 - 4 \in (\mathbb{Q}_p^\times)^2\} \cup \{I, -I\}$$

Lemma

- 1 $Q_1^{SL_2(\mathbb{Q}_p)} = \{A \in SL_2(\mathbb{Q}_p) \mid \text{tr}(A)^2 - 4 \in (\mathbb{Q}_p^\times)^2\} \cup \{I, -I\}$
- 2 For any $\delta \in \mathbb{Q}_p^\times \setminus (\mathbb{Q}_p^\times)^2$,

$$Q_\delta^{SL_2(\mathbb{Q}_p)} = \{A \in SL_2(\mathbb{Q}_p) \mid \text{tr}(A)^2 - 4 \in \delta \cdot (\mathbb{Q}_p^\times)^2\} \cup \{I, -I\}$$

Lemma

- 1 $Q_1^{SL_2(\mathbb{Q}_p)} = \{A \in SL_2(\mathbb{Q}_p) \mid \text{tr}(A)^2 - 4 \in (\mathbb{Q}_p^\times)^2\} \cup \{I, -I\}$
- 2 For any $\delta \in \mathbb{Q}_p^\times \setminus (\mathbb{Q}_p^\times)^2$, there exist $\mu_1, \dots, \mu_n \in GL_2(\mathbb{Q}_p)$ such that

$$\bigcup_{i=1}^n Q_\delta^{\mu_i \cdot SL_2(\mathbb{Q}_p)} = \{A \in SL_2(\mathbb{Q}_p) \mid \text{tr}(A)^2 - 4 \in \delta \cdot (\mathbb{Q}_p^\times)^2\} \cup \{I, -I\}$$

Lemma

- 1 $Q_1^{SL_2(\mathbb{Q}_p)} = \{A \in SL_2(\mathbb{Q}_p) \mid \text{tr}(A)^2 - 4 \in (\mathbb{Q}_p^\times)^2\} \cup \{I, -I\}$
- 2 For any $\delta \in \mathbb{Q}_p^\times \setminus (\mathbb{Q}_p^\times)^2$, there exist $\mu_1, \dots, \mu_n \in GL_2(\mathbb{Q}_p)$ such that

$$\bigcup_{i=1}^n Q_\delta^{\mu_i \cdot SL_2(\mathbb{Q}_p)} = \{A \in SL_2(\mathbb{Q}_p) \mid \text{tr}(A)^2 - 4 \in \delta \cdot (\mathbb{Q}_p^\times)^2\} \cup \{I, -I\}$$

Consequence : If $\delta \equiv \delta' \pmod{(\mathbb{Q}_p^\times)^2}$ then Q_δ and $Q_{\delta'}$ are conjugated in $GL_2(\mathbb{Q}_p)$. In particular they are isomorphic.

Complete description of $SL_2(\mathbb{Q}_p)$

$$U = \left\{ \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \mid u \in \mathbb{Q}_p \right\} \cup \left\{ \begin{pmatrix} -1 & u \\ 0 & -1 \end{pmatrix} \mid u \in \mathbb{Q}_p \right\}$$

Complete description of $SL_2(\mathbb{Q}_p)$

$$U = \left\{ \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \mid u \in \mathbb{Q}_p \right\} \cup \left\{ \begin{pmatrix} -1 & u \\ 0 & -1 \end{pmatrix} \mid u \in \mathbb{Q}_p \right\}$$

Corollary

$$SL_2(\mathbb{Q}_p) = U SL_2(\mathbb{Q}_p) \cup Q_1^{SL_2(\mathbb{Q}_p)} \cup \bigcup_{\delta \in \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2} \bigcup_{i=1}^n Q_\delta^{\mu_i} \cdot SL_2(\mathbb{Q}_p)$$

Remark : This is a disjoint union if we exclude $\{I, -I\}$.

Complete description of $SL_2(\mathbb{Q}_p)$

$$U = \left\{ \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \mid u \in \mathbb{Q}_p \right\} \cup \left\{ \begin{pmatrix} -1 & u \\ 0 & -1 \end{pmatrix} \mid u \in \mathbb{Q}_p \right\}$$

Corollary

$$SL_2(\mathbb{Q}_p) = U^{SL_2(\mathbb{Q}_p)} \cup Q_1^{SL_2(\mathbb{Q}_p)} \cup \bigcup_{\delta \in \mathbb{Q}_p^\times / (\mathbb{Q}_p^\times)^2} \bigcup_{i=1}^n Q_\delta^{\mu_i \cdot SL_2(\mathbb{Q}_p)}$$

Remark : This is a disjoint union if we exclude $\{I, -I\}$.

Theorem

The subgroups Q_1, Q_δ (for $\delta \in \mathbb{Q}_p^\times \setminus (\mathbb{Q}_p^\times)^2$) and the externally conjugates $Q_\delta^{\mu_i}$ (for $\mu_1, \dots, \mu_n \in GL_2(\mathbb{Q}_p)$) are the only Cartan subgroups up to conjugacy of $SL_2(\mathbb{Q}_p)$.

Lemma

- 1 The set $W = \{A \in SL_2(\mathbb{Q}_p) \mid v_p(\text{tr}(A)) < 0\}$ is generic in $SL_2(\mathbb{Q}_p)$.
- 2 The set $W' = \{A \in SL_2(\mathbb{Q}_p) \mid v_p(\text{tr}(A)) \geq 0\}$ is not generic in $SL_2(\mathbb{Q}_p)$.

Lemma

- 1 The set $W = \{A \in SL_2(\mathbb{Q}_p) \mid v_p(\text{tr}(A)) < 0\}$ is generic in $SL_2(\mathbb{Q}_p)$.
- 2 The set $W' = \{A \in SL_2(\mathbb{Q}_p) \mid v_p(\text{tr}(A)) \geq 0\}$ is not generic in $SL_2(\mathbb{Q}_p)$.

Corollary

- 1 The Cartan subgroup Q_1 is generous in $SL_2(\mathbb{Q}_p)$.
- 2 The Cartan subgroup Q_δ (for $\delta \in \mathbb{Q}_p^\times \setminus (\mathbb{Q}_p^\times)^2$ and $\mu \in GL_2(\mathbb{Q}_p)$) are not generous in $SL_2(\mathbb{Q}_p)$.

Corollary

Every infinite commutative definable subgroup of $SL_2(\mathbb{Q}_p)$ has dimension 1.

Corollary

Every infinite commutative definable subgroup of $SL_2(\mathbb{Q}_p)$ has dimension 1.

$$Z_\delta^n = \left\{ \begin{pmatrix} a & b \\ b\delta & a \end{pmatrix} \mid b \in p^n \delta \mathbb{Z}_p, a \in 1 + p^{2n} \delta \mathbb{Z}_p \text{ and } a^2 - b^2 \delta = 1 \right\} \subseteq Q_\delta$$

Corollary

Every infinite commutative definable subgroup of $SL_2(\mathbb{Q}_p)$ has dimension 1.

$$Z_\delta^n = \left\{ \begin{pmatrix} a & b \\ b\delta & a \end{pmatrix} \mid b \in p^n \delta \mathbb{Z}_p, a \in 1 + p^{2n} \delta \mathbb{Z}_p \text{ and } a^2 - b^2 \delta = 1 \right\} \subseteq Q_\delta$$

$$U^n = \left\{ \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \mid u \in p^n \mathbb{Z}_p \right\} \subseteq U$$

Model-theoretic consequences

Corollary

Every infinite commutative definable subgroup of $SL_2(\mathbb{Q}_p)$ has dimension 1.

$$Z_\delta^n = \left\{ \begin{pmatrix} a & b \\ b\delta & a \end{pmatrix} \mid b \in p^n \delta \mathbb{Z}_p, a \in 1 + p^{2n} \delta \mathbb{Z}_p \text{ and } a^2 - b^2 \delta = 1 \right\} \subseteq Q_\delta$$

$$U^n = \left\{ \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \mid u \in p^n \mathbb{Z}_p \right\} \subseteq U$$

Corollary

If G is an infinite commutative definable subgroup of $SL_2(\mathbb{Q}_p)$ then it is one of the following subgroups: Q_1 , Q_δ , U , Z_δ^n , U^n , a definable subgroup of Q_1 or one of their conjugates.

Corollary

Every infinite *nilpotent* definable subgroup of $SL_2(\mathbb{Q}_p)$ has dimension 1.

$$Z_\delta^n = \left\{ \begin{pmatrix} a & b \\ b\delta & a \end{pmatrix} \mid b \in p^n \delta \mathbb{Z}_p, a \in 1 + p^{2n} \delta \mathbb{Z}_p \text{ and } a^2 - b^2 \delta = 1 \right\} \subseteq Q_\delta$$

$$U^n = \left\{ \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \mid u \in p^n \mathbb{Z}_p \right\} \subseteq U$$

Corollary

If G is an infinite *nilpotent* definable subgroup of $SL_2(\mathbb{Q}_p)$ then it is one of the following subgroups: Q_1 , Q_δ , U , Z_δ^n , U^n , a definable subgroup of Q_1 or one of their conjugates.