Image and video colorization by variational approaches

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# Overview



- 2 Luminance-chrominance coupled model
- Oprimal-dual like algorithm.
- Unified model for colorization
- 5 Extension to video

## Colorization problem

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### Luminance-chrominance space

Luminance :

Y = 0.299R + 0.587G + 0.114B

(U, V) are defined such that:

$$egin{aligned} [0,255]^3 &
ightarrow [a1,a2] imes [b1,b2] imes [c1,c2] \ (R,B,G) &\mapsto (Y,U,V) \end{aligned}$$

is a bijection.

- The human eye is sensitive to Y;
- If Y is a gray-scale image to colorize, the computation of U and V gives the color image.



Color image. Jean-Francois Aujol

Image colorization

Gray-scale version (Y).

### Manual colorization.

- State-of-the-art: Levin et al. 2004, Sapiro 2005, Horiuchi et al. 2004;
- Need some tedious work for the user;
- Regular results;
- Most of methods work in luminance-chrominance spaces.



Figure : Manual colorization of Levin et al.

# The problem of exemplar-based colorization.



Source.

Target.

Result.

- Correspondence between textures and colors;
- Regularization of the result for a realistic image;
- A new difficulty: find an appropriate source image.

# Add of a prior with textures.

- State-of-the-art: Welsh et al. 2002;
- No regularization;
- Problem of the choice of metric between patches;
- Method of Welsh *et al.* works in the  $l\alpha\beta$  color-space.



# Speed up the search.



- Sub-sampling of 200 pixels of the image on a grid.
- Use of a fast algorithm such as patchmatch (Barnes et al 2009) to find a close patch.

- State-of-the-art: Charpiat *et al.* (*Lab*) 2008, Bugeau *et al.* 2013 (*YUV*), Gupta *et al.* 2013 (*YUV*).
- Two steps:
  - Search of information: candidates extraction from textures criteria;
  - Spatial regularization of colors.

Used criteria:

- standard-deviation:  $\rho_1(p, q, P) := |\sigma^2(P_p) \sigma^2(P_q)|$ , where  $\sigma(P_p)$  stands for the standard-deviation of the patch around the pixel p;
- the DFT:  $\rho_2(p, q, P) := \sum_{\xi} \left| ||\hat{P}_p(\xi)||_2 ||\hat{P}_q(\xi)||_2 \right|$ , where  $\hat{P}_p$  is the DFT of the patch around the pixel p;
- cumulative histogram:  $\rho_3(p,q,P) := \sum_i |H_{P_p}(i) H_{P_q}(i)|$ , where *H* is the cumulative histogram of the patch around the pixel *p*.

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$$F(u, W) := TV_{\mathcal{C}}(u) + \frac{\lambda}{2} \int_{\Omega} \sum_{i=1}^{N} w_i ||u - c_i||^2$$

 $+\chi_{u\in R} + \chi_{W\in\Delta}$ 

u: chrominance vector, to be computed. R is a rectangle (where the chromaticity lives).  $\Delta = \left\{ w \in \mathbb{R}^N \text{ s.t. } 0 \le w_i \le 1 \text{ , } \forall i \in [1..N] \text{ and } \sum_{i=1}^N w_i = 1 \right\}.$ c<sub>i</sub> are chrominance candidates.

$$TV_{\mathcal{C}}(u) = \int_{\Omega} \sqrt{\gamma \partial_x Y^2 + \gamma \partial_y Y^2 + \partial_x U^2 + \partial_y U^2 + \partial_x V^2 + \partial_y V^2}$$

## Intuitions about coupling.

Consider the following model:

$$F(u, W) := TV_{\mathcal{C}}(u) + \frac{\lambda}{2} \int_{\Omega} \|M(u-c)\|^2$$

With M a mask and c seeds of color put by the user.



Scribbles

Without coupling

With coupling

#### Different regularizations with different coupling:



#### Small $\gamma$ : contours of low perimeter for chrominance channels.



#### Target image



#### source Image



without post-processing

Our model





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#### Primal problem:

 $\min_{x\in X}\left[F(Kx)+G(x)\right] ,$ 

where  $G: X \to [0, +\infty]$ ;  $F^*: Y \to [0, +\infty]$  are convex, proper, lower semi-continuous; K is a continuous linear operator. Associated saddle point problem:

$$\min_{x\in X} \max_{y\in Y} \left[ \langle Kx|y \rangle + G(x) - F^*(y) \right].$$

with  $F^*$  convex conjugate of F.

Convergence of the primal-dual algorithm to a saddle-point of the functional.

Algorithm 1 Primal-dual algorithm of Chambolle and Pock 2011.

1: Initialization  $\tau$ ,  $\sigma > 0$ ,  $\theta \in [0, 1]$ ,  $(x^0, y^0) \in X \times Y$  et  $\overline{x}^0 = x^0$ . 2: for  $n \ge 0$  do 3:  $y^{n+1} = \operatorname{prox}_{\sigma F^*} (y^n + \sigma K \overline{x}^n)$ 4:  $x^{n+1} = \operatorname{prox}_{\tau G} (x^n - \tau K^* y^{n+1})$ 5:  $\overline{x}^n = 2x^{n+1} - x^n$ . 6: end for

where 
$$\operatorname{prox}_f(\tilde{u}) = \operatorname{argmin}_u \frac{\|\tilde{u} - u\|_2^2}{2} + f(u).$$

Non-convex model:

$$\min_{x\in X, w\in W} F(Kx) + G(x) + h(x,w) + H(w) ,$$

and associated saddle point problem:

$$\min_{x\in X}\min_{w\in W}\max_{y\in Y}ig\langle {\it K} x|y
angle -{\it F}^*(y)+{\it G}(x)+{\it h}(x,w)+{\it H}(w)$$
 ,

where  $G: X \to [0, +\infty)$ ,  $F^*: Y \to [0, +\infty)$ ,  $H: W \to [0, +\infty)$ and  $h: (X \times W) \to [0, +\infty)$  are proper, lower semi-continuous,  $F^*$ , G, H are convex, h is convex with respect to each variable.

Moreover  $\forall w \in \mathbb{R}^n$ , G + h(., w) and  $\forall x \in \mathbb{R}^n$ , H + h(x, .) are proper.

# Minimization algorithm.

#### Algorithm 2 Computing a solution.

1: for 
$$n \ge 0$$
 do  
2:  $y^{n+1} \leftarrow \operatorname{prox}_{\sigma F^*} (y^n + \sigma K \overline{x}^n)$   
3:  $w^{n+1} \leftarrow \operatorname{prox}_{\rho H + \rho h(\overline{x}^n, .)} (w^n)$   
4:  $x^{n+1} \leftarrow \operatorname{prox}_{\tau G + \tau h(., w^{n+1})} (x^n - \tau K^* y^{n+1})$   
5:  $\overline{x}^{n+1} \leftarrow 2x^{n+1} - x^n$   
6: end for

#### Theorem (Pierre *et al.* 2014)

Assume  $\tau \sigma \|K\|^2 < 1$  and  $\rho > 0$ .

• The sequence  $(x^n, y^n, w^n)$  generated by the algorithm is bounded.

• 
$$x^{n+1} - x^n \rightarrow 0$$
,  $y^{n+1} - y^n \rightarrow 0$ , and  $w^{n+1} - w^n \rightarrow 0$ .

 If the cluster points of (x<sup>n</sup>, y<sup>n</sup>, w<sup>n</sup>) are isolated, then (x<sup>n</sup>, y<sup>n</sup>, w<sup>n</sup>) converges to a fixed point.

# Minimization algorithm.

#### Algorithm 3 Applied to colorization.

1: 
$$W \leftarrow 1/N$$
  
2:  $u^{0} \leftarrow \sum_{i} w_{i}c_{i}$   
3:  $Z^{0} \leftarrow \nabla u^{0}$   
4: for  $n \ge 1$  do  
5:  $Z^{n+1} \leftarrow P_{B} (Z^{n} + \sigma \nabla \overline{u}^{n})$   
6:  $W^{n+1} \leftarrow P_{\Delta} \left( W^{n} - \rho \left( \left( \| \overline{u}^{n} - c_{i} \|^{2} \right)_{i} \right) \right)$   
7:  $u^{n+1} \leftarrow P_{\mathcal{R}} \left( \frac{u^{n} + \tau \left( \operatorname{div}(Z^{n+1}) + \lambda \sum_{i} w_{i}^{n+1}c_{i} \right)}{1 - \delta \lambda} \right)$   
8:  $\overline{u}^{n+1} \leftarrow 2u^{n+1} - u^{n}$   
9: end for

- $P_{\Delta}$  projection onto the simplex;
- $P_{\mathcal{R}}$  is a projection onto a rectangle.

$$F(u, W) := TV_{\mathcal{C}}(u) + \frac{\lambda}{2} \int_{\Omega} \sum_{i=1}^{N} w_i ||u - c_i||^2$$

$$+\chi_{u\in R}+\chi_{W\in\Delta}+\alpha\|w\|_2^2.$$

#### Theorem (Pierre et al. 2014)

Assume  $\tau \sigma ||K||^2 < 1$  and  $\rho > 0$ . Assume the candidates C are all different. Then if  $\alpha > 0$  is small enough, the sequence  $(w^n)$  generated by the previous algorithm converges to  $w^*$ . Moreover,  $w^*$  belongs to the finite set:

 $\mathcal{W} := \{(1,0,\ldots,0),\ldots,(1/2,1/2,0,\ldots,0),\ldots,(1/N,\ldots,1/N)\}.$ 

### Algorithm 4 Minimization of the functional

1: for until convergence of 
$$w^n$$
 to  $w^*$  do  
2:  $y^{n+1} \leftarrow \operatorname{prox}_{\sigma F^*}(y^n + \sigma K \overline{x}^n)$   
3:  $w^{n+1} \leftarrow \operatorname{prox}_{\rho H + \rho h(\overline{x}^n, .)}(w^n)$   
4:  $x^{n+1} \leftarrow \operatorname{prox}_{\tau G + \tau h(., w^{n+1})}(x^n - \tau K^* y^{n+1})$   
5:  $\overline{x}^{n+1} \leftarrow 2x^{n+1} - x^n$   
6: end for  
7: for until convergence of  $(x^n, y^n)$  to  $(x^*, y^*)$  do  
8:  $y^{n+1} \leftarrow \operatorname{prox}_{\sigma F^*}(y^n + \sigma K \overline{x}^n)$   
9:  $x^{n+1} \leftarrow \operatorname{prox}_{\tau G + \tau h(., w^*)}(x^n - \tau K^* y^{n+1})$   
10:  $\overline{x}^{n+1} \leftarrow 2x^{n+1} - x^n$   
11: end for

## Towards a fast algorithm

$$\begin{aligned} & \operatorname{prox}_{\rho H + \rho h(\overline{x}^n, .)}(w^n) \\ &= \operatorname{argmin}_w \|\tilde{w^n} - w\|_2^2 + \rho \left( \int_{\Omega} \sum_i w_i \|x - c_i\|_2^2 + \alpha \|w\|_2^2 + \chi_{\Delta}(w) \right) \\ & \text{becomes, if } \rho \to +\infty: \end{aligned}$$

$$\operatorname{argmin}_W \int_{\Omega} \sum_i w_i \|x - c_i\|_2^2 + \alpha \|w\|_2^2 + \chi_{\Delta}(w).$$

 $\implies w^* \in \mathcal{W} \text{ with}$  $\mathcal{W} := \{(1, 0, \dots, 0), \dots, (1/2, 1/2, 0, \dots, 0), \dots, (1/N, \dots, 1/N)\}.$ 

$$\min_{w} \min_{x} \max_{y} \langle Kx | y \rangle - F^{*}(y) + \int_{\Omega} \sum_{i} w_{i} ||x - c_{i}||_{2}^{2} + \chi_{W}(w) + \chi_{R}(x).$$

#### Algorithm 5 Minimization

1: 
$$W = 1/8$$
 and  $x = \sum_{i} w_{i}c_{i}$ .  
2:  $y \leftarrow \nabla u$   
3: for  $n \ge 0$  do  
4:  $y \leftarrow P_{B}(y + \sigma \nabla x^{n})$   
5:  $x^{n+1} \leftarrow P_{\mathcal{R}}\left(\frac{x^{n} + \tau (\operatorname{div}(y) + \lambda S)}{1 + \tau \lambda}\right)$   
6: end for

where  $S = \sum_{i} w_{i}^{*} c_{i}$  stands for the closest candidate to  $x^{n}$ .

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No current method is effective all situations:

- The selection of an image for the exemplar-based method is complex.
- The manual colorization is long and tedious.
- The exemplar-based method often provides good result, but small defects are very common.

#### Previous functional:

$$F(u, W) := TV_{\mathcal{C}}(u) + \frac{\lambda}{2} \int_{\Omega} \sum_{i=1}^{N} w_i ||u - c_i||^2$$

 $+\chi_{u\in R} + \chi_{W\in\Delta}$ 

# Use of the non-convexity.



Gradient descent algorithm:  $x_{n+1} \leftarrow x_n - \gamma \nabla f(x_n)$ . The result depends of the initialization. W is initialized as the inverse of the geodesic distance for the candidate corresponding to the scribble.



Initial scribble Geodesic distance. Diffusion. Example of diffusion of color with geodesic distance.

# Choice of the initialization.



Source.

Exemplar-based. With one scribble.



3 scribbles.

Final result.

# Choice of the initialization.



Source. Target. With example.



Scribbles.





les. S

Scribbles.

Scribbles.













Target

Source

Scribbles

Manual

Exemplar

Both



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## Overview of the Approach



# Color propagation



From a given result at time t - 1, two possible propagation results are provided at time t from the two maps.



 $\mathsf{Patch}\mathsf{Match}$ 

TVL1 optical flow

Propagation result

#### Fusion of correspondence maps

- advantages of TVL1 optical flow and PatchMatch;
- reducing the number of propagation mistakes.

#### Basic data:

- Chrominance c<sub>1</sub> and correspondence v<sub>1</sub> from PatchMatch;
- $c_2$  and  $v_2$  from TV-L1 optical flow.

# Luminance-chrominance Propagation Model

#### Propagation functional:

$$(\hat{u}^{(t)}, \hat{w}^{(t)}) = \operatorname{argmin}_{u^{(t)}, w^{(t)}} \lambda \int_{\Omega} \sum_{i=1}^{2} w_{i}^{(t)}(x) \| u^{(t)}(x) - c_{i}^{(t)}(x) \|_{2}^{2} dx$$
  
+ $\alpha \operatorname{TV}_{\mathcal{C}}(u^{(t)}) + \beta \operatorname{TV}(v^{(t)}) + \chi_{v^{(t)} = w_{1}^{(t)} v_{1}^{(t)} + w_{2}^{(t)} v_{2}^{(t)}} + \chi_{\mathcal{R}}(u) + \chi_{\Delta}(w),$   
(1)

where  $TV_{\mathcal{C}}(u)$  is the coupled total variation:

$$\mathsf{TV}_{\mathcal{C}}(u) = \int_{\Omega} \sqrt{\gamma |\nabla Y|^2 + |\nabla U|^2 + |\nabla V|^2}.$$

 $u^{(t)}$ : chrominance channels (U, V) at time t;  $w^{(t)}$ : auxiliary weight variable;  $TV(v^{(t)})$  enforces the regularisation of the propagation map;  $\Delta$  is the probability simplex.

The Model is rewritten in the primal-dual form:

$$\begin{split} \min_{u^{(t)} \in \mathbb{R}^{3 \times N \times M}, w^{(t)} \in \mathbb{R}^{N \times M}} \max_{p \in \mathbb{R}^{6 \times N \times M}, z \in \mathbb{R}^{4 \times N \times M}} \\ \lambda \sum_{x \in \mathbb{R}^{N \times M}} w(x) \| u(x) - c_1(x) \|_2^2 + (1 - w(x)) \| u(x) - c_2(x) \|_2^2 \\ &+ \langle p(x) | \nabla u \rangle_{\mathbb{R}^{6 \times N \times M}} - \chi_{B(0,\alpha)^{N \times M}}(p) \\ &+ \langle Aw | z \rangle_{\mathbb{R}^{4 \times N \times M}} + \langle \nabla v_2 | z \rangle_{\mathbb{R}^{4 \times N \times M}} - \chi_{B(0,\beta)^{N \times M}}(z) \\ &+ \chi_{\mathcal{R}^{N \times M}}(u) + \chi_{[0,1]^{N \times M}}(w). \end{split}$$

where  $Aw = (v_1 - v_2) \otimes \nabla w + w(\nabla v_1 - \nabla v_2).$ 

Let us consider the general model :

$$\begin{array}{l} \min \max_{u \in \mathcal{U}, w \in \mathcal{W}} \max_{p \in \mathcal{P}, z \in \mathcal{Z}} \\ h(u, w) \\ + \langle p | Ku \rangle - F^*(p) \\ + \langle Aw | z \rangle - J^*(z) \\ + G(u) + H(w) , \end{array}$$

where  $G: \mathcal{U} \to [0, +\infty)$ ,  $F^*: \mathcal{P} \to [0, +\infty)$ ,  $J^*: \mathcal{Z} \to [0, +\infty)$ ,  $H: \mathcal{W} \to [0, +\infty)$  and  $h: (\mathcal{U} \times \mathcal{W}) \to [0, +\infty)$  are proper lower semi-continuous functions.  $F^*$ ,  $J^*$ , G, H are convex, h is convex w.r.t. each of its variables separately. K and A are a continuous linear mapping.

# A General Algorithm

Primal-dual like algorithm:

1: for 
$$n \ge 0$$
 do  
2:  $p^{n+1} \leftarrow \operatorname{prox}_{\sigma_u F^*} (p^n + \sigma_u K \overline{u}^n)$   
3:  $z^{n+1} \leftarrow \operatorname{prox}_{\sigma_w J^*} (z^n + \sigma_w A \overline{w}^n)$   
4:  $w^{n+1} \leftarrow \operatorname{prox}_{\tau_w H + \tau_w h(u^{n+1}, \cdot)} (w^n - \tau_w A^* z^{n+1})$   
5:  $u^{n+1} \leftarrow \operatorname{prox}_{\tau_u G + \tau_u h(., w^{n+1})} (u^n - \tau_u K^* p^{n+1})$   
6:  $\overline{u}^{n+1} \leftarrow 2u^{n+1} - u^n$   
7:  $\overline{w}^{n+1} \leftarrow 2w^{n+1} - w^n$   
8: end for

Parameters  $\tau_u$ ,  $\tau_w$ ,  $\sigma_u$  and  $\sigma_w$  are the time steps.

#### Theorem (Pierre *et al.*)

Let L = ||K||, Q = ||A||, choose  $\tau_u \sigma_u L^2 < 1$ ,  $\tau_w \sigma_w Q^2 < 1$ . Assume that  $\mathcal{U}$ ,  $\mathcal{P}$ ,  $\mathcal{W}$  and  $\mathcal{Z}$  are of finite dimension.

- Then, the sequence  $(u^n, p^n, w^n, z^n)$  is uniformly bounded.
- There exists a cluster point which is a fixed-point of the Algorithm.

Under additional technical hypothesis, the sequence converges.

# Overview of the Correction Model



# Correction Model

$$(\hat{u}^{(t)}, \hat{w}^{(t)}) = \operatorname{argmin}_{u \in \mathbb{R}^{\Omega \times [0,n] \times 2}, w \in \mathbb{R}^{\Omega \times [0,n] \times 2}} \alpha TV_{[0,n]}(u)$$
  
+  $\lambda \int_{\Omega \times [0,n]} \sum_{i=1}^{2} w_i^{(t)}(x) \| u^{(t)}(x) - \tilde{c}_i^{(t)}(x) \|_2^2 dx + \chi_{\mathcal{B}}(u) + \chi_{\mathcal{E}}(w),$ 

where

$$TV_{[0,n]}(u) = \int_{\Omega \times [0,n]} \left( \|\Lambda \nabla U\|_2^2 + \|\Lambda \nabla V\|_2^2 + \|\gamma \Lambda \nabla Y\|_2^2 \right)^{\frac{1}{2}},$$
  
with  $\nabla = (\partial_x, \partial_y, \partial_t), \Lambda := \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & \mu \end{pmatrix}$ , and  $\gamma$  a coupling

parameter.





Top: Yatziv and Sapiro 2006; down: ours.



Top: Yatziv and Sapiro 2006; down: ours.



Top: Yatziv and Sapiro 2006; down: ours.



Top: Levin et al 2004 (more then 50 well chosen scribbles); down: ours (1 scribble).



Top: Levin et al 2004 (more then 400 well chosen scribbles); down: ours (20 coarse scribbles).



See videos on player.

# Questions ?

More details at: http://www.math.u-bordeaux.fr/~jaujol/