

All automatic sequences satisfy the full Sarnak conjecture

Clemens Müllner

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Complexity of a sequence

Definition

A bounded complex valued sequence $\mathbf{u} = (u_n)_{n \geq 0}$ is said to be **deterministic** if for every $\varepsilon > 0$ the set $\{(u_{n+1}, \dots, u_{n+m}) : n \in \mathbb{N}\}$ can be covered by $O(\exp(o(m)))$ balls of radius ε (as $m \rightarrow \infty$).

Example

Let

$$u_n = f(T^n x)$$

for a minimal topological dynamical system (X, T) with **zero topological entropy** (and a continuous function f), then $(u_n)_{n \geq 0}$ is deterministic.

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Sarnak Conjecture

The Möbius function is defined by

$$\mu(n) = \begin{cases} (-1)^k & \text{if } n \text{ is squarefree and} \\ & k \text{ is the number of prime factors} \\ 0 & \text{otherwise} \end{cases}$$

A sequence \mathbf{u} is **orthogonal to the Möbius function** $\mu(n)$ if

$$\sum_{n \leq N} \mu(n) u_n = o(N) \quad (N \rightarrow \infty).$$

Conjecture (Sarnak conjecture)

Every deterministic bounded complex valued sequence $\mathbf{u} = (u_n)_{n>0}$ is orthogonal to the Möbius function $\mu(n)$.

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„Full“ Sarnak Conjecture

Dynamical System (X, T) related to \mathbf{u}

$\mathbf{u} = (u_n)_{n \geq 0} \dots$ bounded complex sequence

$T\mathbf{u} = (u_{n+1})_{n \geq 0} \dots$ shift operator

$X = \overline{\{T^k(\mathbf{u}) : k \geq 0\}}$

We say that \mathbf{u} satisfies the „Full“ Sarnak conjecture if all sequences $\mathbf{a} = (a_n)_{n \geq 0} \in X$ are orthogonal to $\mu(n)$.

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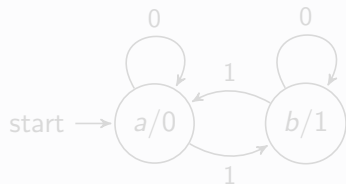
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Deterministic Finite Automata

Definition (Automaton)

$$A = (Q, \Sigma = \{0, \dots, k-1\}, \delta, q_0, \tau)$$

Example (Thue-Morse sequence)



$$n = 22 = (10110)_2, \quad u_{22} = 1$$

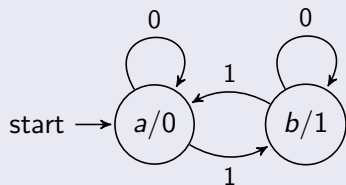
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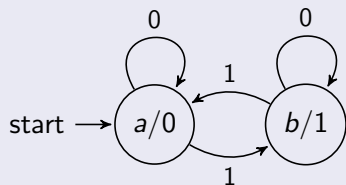
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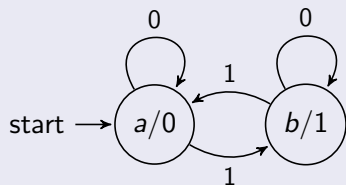
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Properties

- For every automatic sequence \mathbf{u} there exists the logarithmic density

$$\text{logdens}(\mathbf{u}, a) = \lim_{N \rightarrow \infty} \frac{1}{\log(N)} \sum_{1 \leq n \leq N} \frac{1}{n} \mathbf{1}_{[u_n=a]}.$$

- The subword complexity p_k of an automatic sequence is (at most) linear. The dynamical system (X, T) related to an automatic sequence has zero topological entropy.
- Every subsequence $(u_{an+b})_{n \geq 0}$ along an arithmetic progression of an automatic sequence $(u_n)_{n \geq 0}$ is again automatic.
- Let $u^{(1)}(n), \dots, u^{(j)}(n)$ be automatic sequences. Then $u(n) = f(u^{(1)}(n), \dots, u^{(j)}(n))$ is again automatic.

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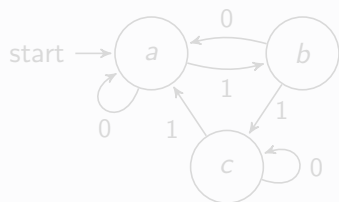
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Synchronizing Automata

Definition (Synchronizing Automaton / Word)

$$\exists \mathbf{w}_0 : \delta(q, \mathbf{w}_0) = a \quad \forall q.$$

Example



$$\mathbf{w}_0 = 010.$$

Theorem (Deshouillers + Drmota + M.)

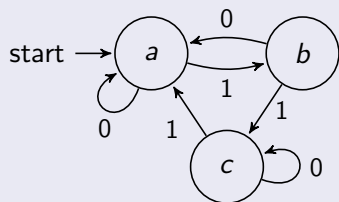
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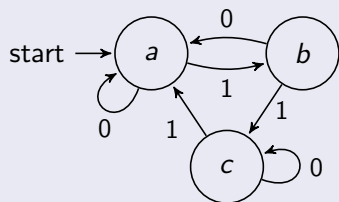
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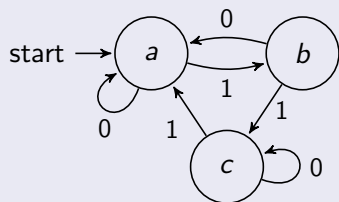
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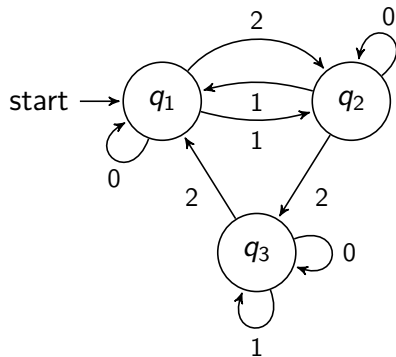
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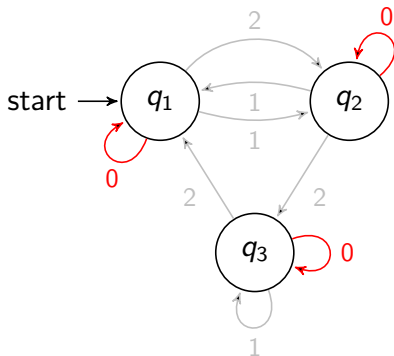


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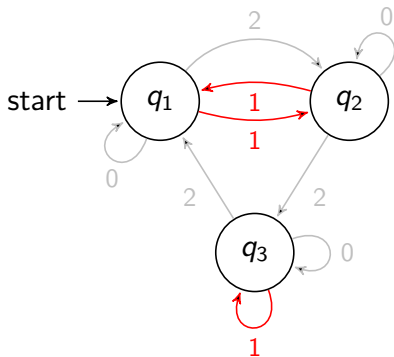
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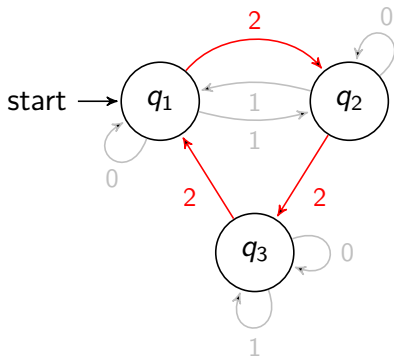




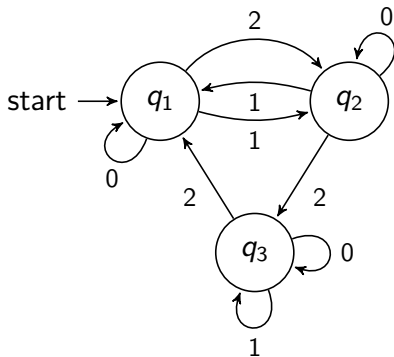
$$M_0 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



$$M_0 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}; M_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

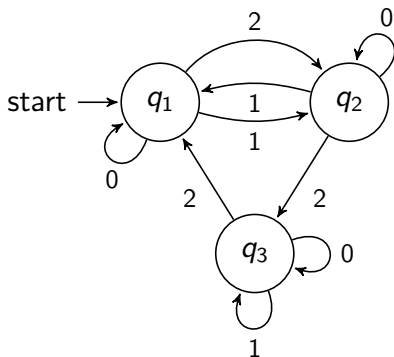


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$$11 = (102)_3 : \quad M_2 \circ M_0 \circ M_1 \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$



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$$T(n) := M_{\varepsilon_0(n)} M_{\varepsilon_1(n)} \cdots M_{\varepsilon_{\ell-1}(n)}$$

$$u(n) = f(T(n)\mathbf{e}_1) \quad \mathbf{e}_1 = (1 \ 0 \ 0)^T$$

Definition

An automaton is called invertible if all transition matrices M_0, \dots, M_{k-1} are invertible and if $M = M_0 + \dots + M_{k-1}$ is primitive.

Remark:

If the matrix $M = M_0 + \dots + M_{k-1}$ is primitive then the densities

$$\text{dens}(\mathbf{u}, a) = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{1 \leq n \leq N} \mathbf{1}_{[u_n=a]}$$

exist and coincide with the logarithmic densities.

Theorem [Drmota, Ferenczi +
Kulaga-Przymus+Lemanczyk+Mauduit]

Suppose that an automatic sequence $\mathbf{u} = (u_n)_{n \geq 0}$ is generated by an invertible automaton. Then \mathbf{u} is orthogonal to $\mu(n)$.

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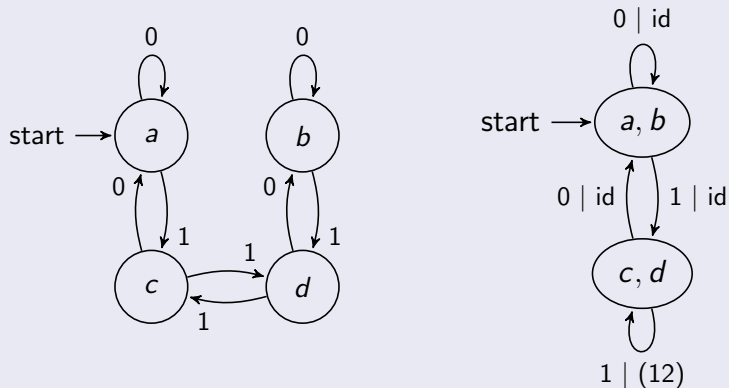
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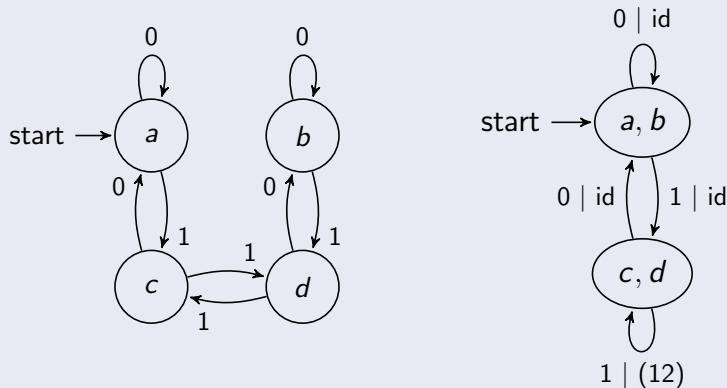
Example (Rudin-Shapiro)



Theorem [Mauduit + Rivat, Tao]

The Rudin-Shapiro Sequence is orthogonal to the Möbius function.

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Let $A = (Q', \Sigma, \delta', q'_0)$ be a strongly connected automata. We call $\mathcal{T}_A = (Q, \Sigma, \delta, q_0, \Delta, \lambda)$ a **naturally induced transducer** iff

- 1 $\exists n_0 \in \mathbb{N} : Q \subseteq (Q')^{n_0}$
- 2 some technical conditions
- 3 $\delta'(q, a) = \lambda(q, a) \cdot \delta(q, a)$
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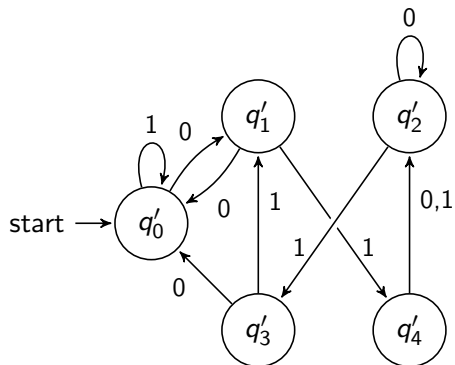
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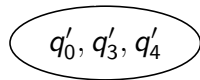
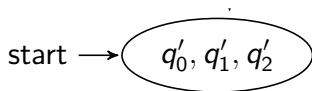
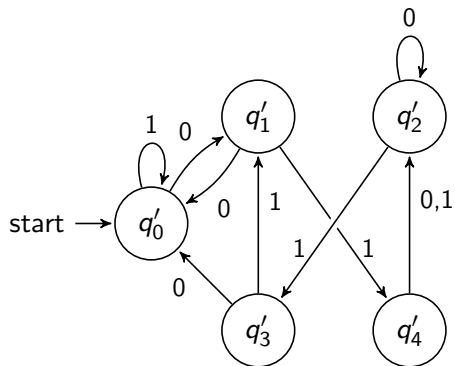
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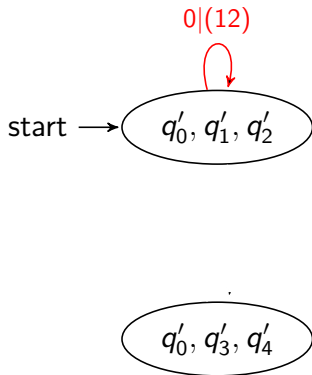
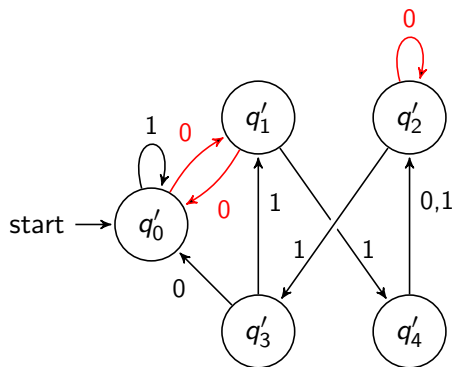
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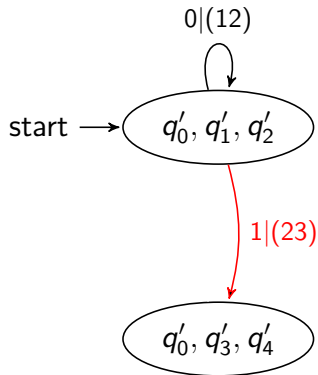
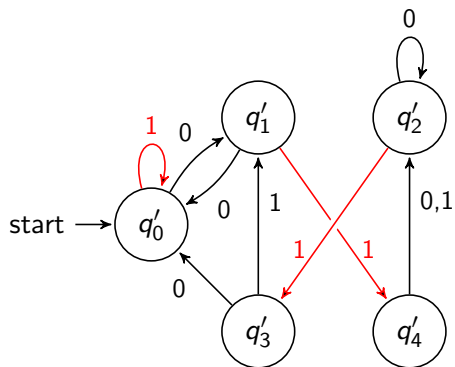
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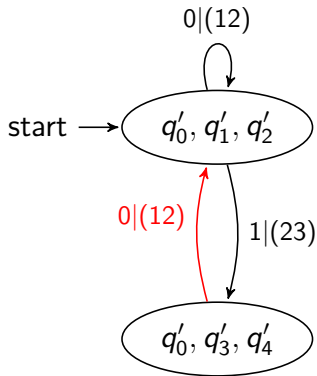
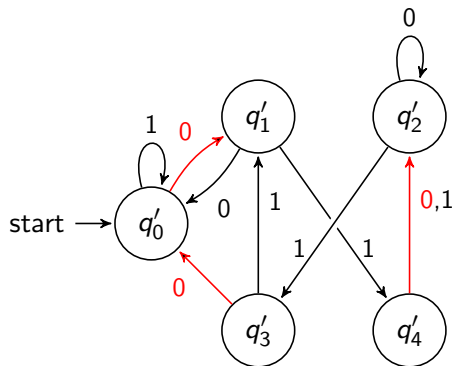
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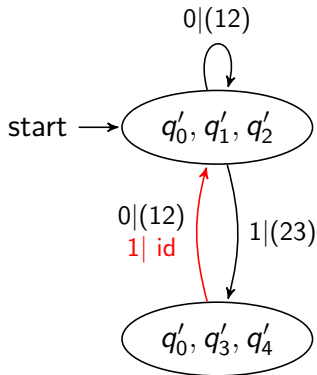
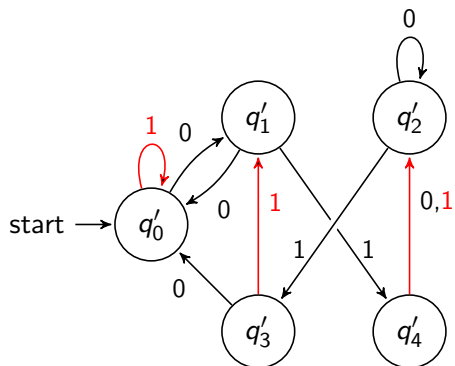
Example



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Theorem

For every strongly connected automaton A , there exists a naturally induced transducer \mathcal{T}_A . All other naturally induced transducers can be obtained by changing the order on the elements of Q .

Proof (first part of the Theorem):

Define

$$n_0 := \min\{\#\delta'(Q', \mathbf{w}) : \mathbf{w} \in \Sigma^*\}$$

$$S(A) := \{M \subseteq Q' : \#M = n_0, \exists \mathbf{w}_M \in \Sigma^*, \delta'(Q', \mathbf{w}_M) = M\}$$

Define n_0 -tuple q_M corresponding to $M \in S(A)$.

- $\delta'(M, a) \in S(A) \Rightarrow \delta(q_M, a) := q_{\delta'(M, a)}$
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Denote by

$$T(q, w_1 \dots w_r) := \lambda(q, w_1) \circ \lambda(\delta(q, w_1), w_2) \circ \dots \\ \circ \lambda(\delta(q, w_1 \dots w_{r-1}), w_r).$$

Lemma

Let A be a strongly connected automaton and \mathcal{T}_A a naturally induced transducer. Then,

$$\delta'(q'_0, \mathbf{w}) = \pi_1(T(q_0, \mathbf{w}) \cdot \delta(q_0, \mathbf{w}))$$

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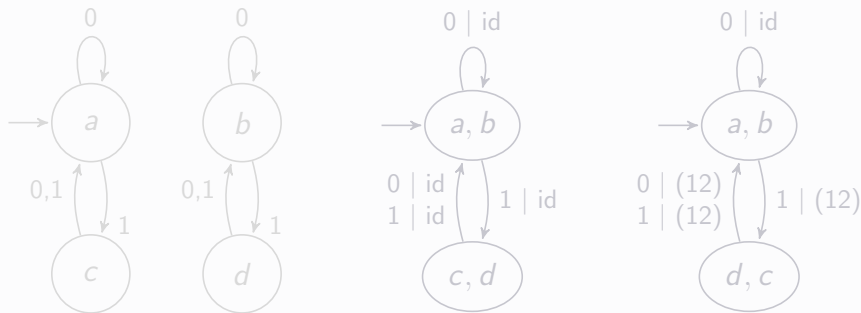
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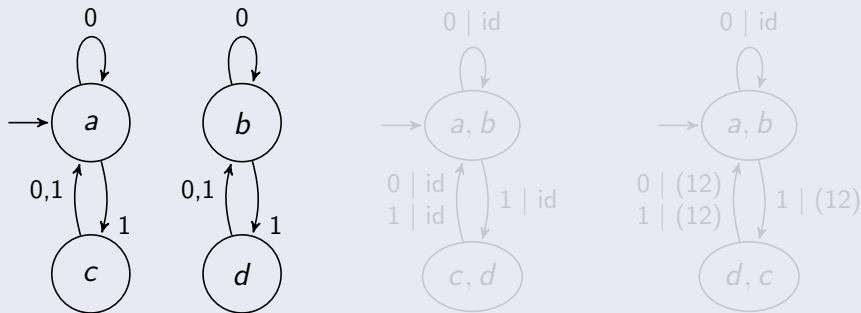
Are some naturally induced transducers better than others?

(Oversimplified) Example



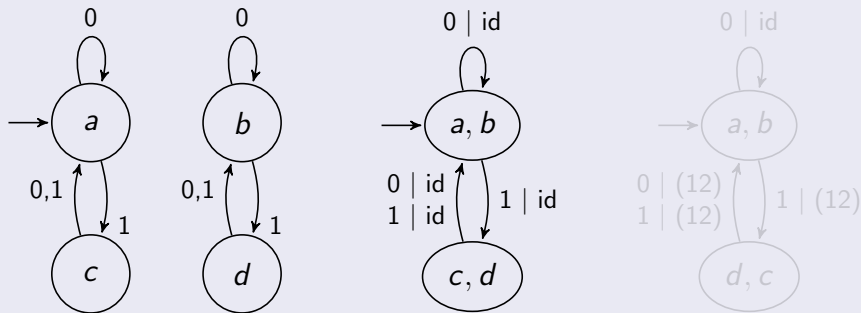
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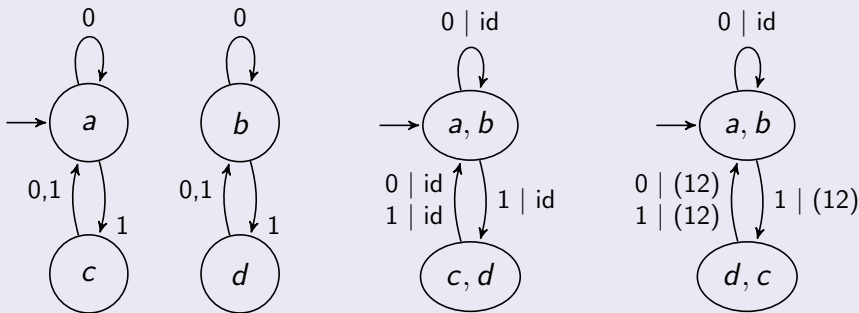
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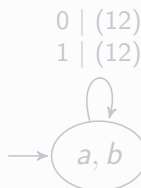
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All elements of Δ appear as values of $T(q_0, \cdot)$ for „good“ naturally induced transducer.

Do all elements of Δ appear as values of $T(q_0, \mathbf{w})$ for $\mathbf{w} \in \Sigma^n$, where n is large?

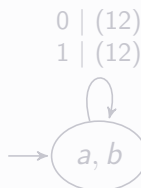
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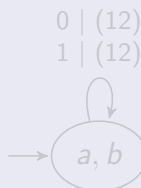
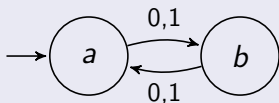
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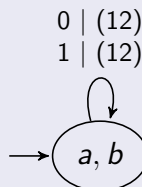
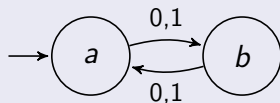


0 | (12)
1 | (12)

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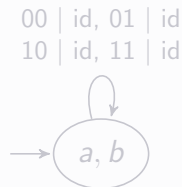
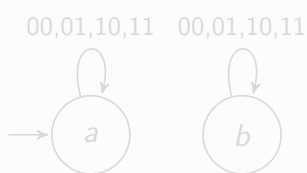
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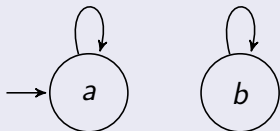


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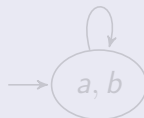
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00,01,10,11 00,01,10,11



00 | id, 01 | id
10 | id, 11 | id

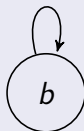
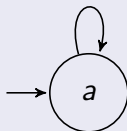


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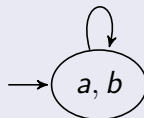
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Every automatic sequence $(a_n)_{n \geq 0}$ fulfills the full Sarnak conjecture.

Theorem 2

Let $A = (Q', \Sigma, \delta', q'_0, \tau)$ be a strongly connected DFAO such that $\Sigma = \{0, \dots, k-1\}$ and $\delta'(q'_0, 0) = q'_0$. Then the frequencies of the letters for the subsequence $(a_p)_{p \in \mathcal{P}}$ exist.

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We assume that the automaton is strongly connected and $\delta'(q'_0, 0) = q'_0$ and proof only

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Fix $\varepsilon > 0$. We need to show

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Continuous functions from a compact group to \mathbb{C}

Definition (Representation)

Let G be a compact group and $k \in \mathbb{N}$. A **Representation** of rank k is a continuous homomorphism $D : G \rightarrow \mathbb{C}^{k \times k}$.

Lemma

Let f be a continuous function from G to \mathbb{C} and $\varepsilon > 0$. There exists $r \in \mathbb{N}$ and unitary, irreducible representations $D^{(\ell)} = (d_{i,j}^{(\ell)})_{i,j < k_\ell}$ along with $c_\ell \in \mathbb{C}$ such that

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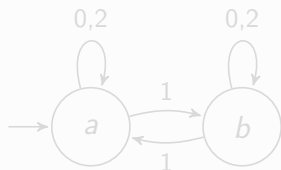
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& \leq \sum_{\ell < r} |c_\ell| \left\| \sum_{\substack{n < N \\ n \equiv m \pmod{k^\lambda}} \mu(n) D^{(\ell)}(T(q_0, n)) \right\|_F
\end{aligned}$$

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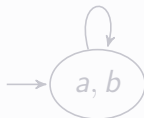
Special Representations

There exist representations that correspond to arithmetic properties of the automatic sequence.

Example



0 | id, 1 | (12), 2 | id



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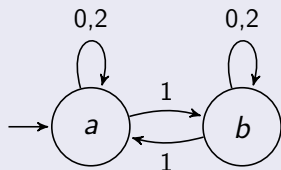
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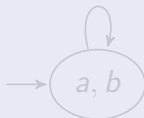
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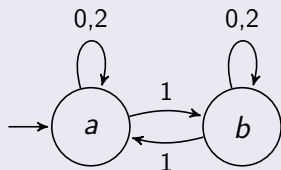
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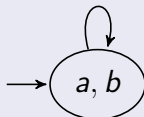
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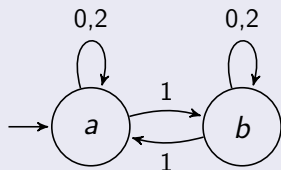
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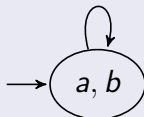
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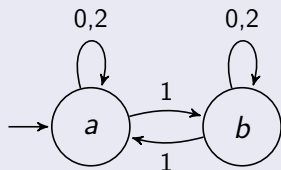
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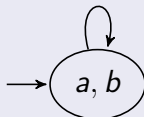
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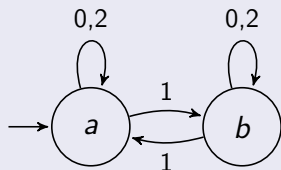
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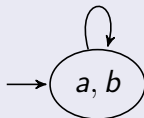
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Suppose that f has the carry and the Fourier property (for some $c \geq 10$). Then we have for any real θ

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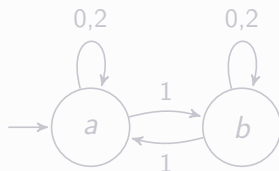
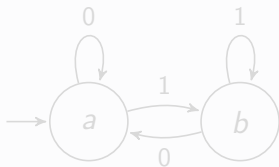
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Primes vs all natural Numbers



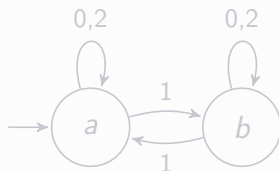
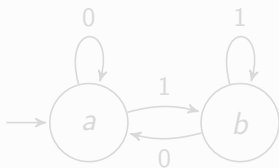
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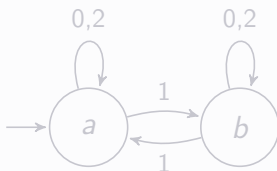
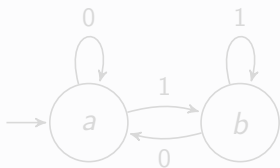
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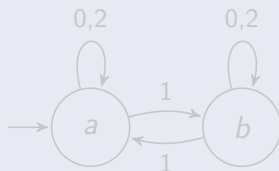
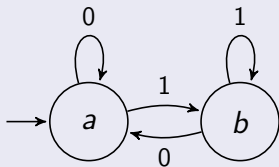
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