

The Silence of the Powersets

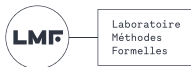
WQO-BQO: What is up?

Aliaume Lopez

Ph.D Student of Sylvain Schmitz and Jean Goubault-Larrecq

Joint work with Sergio Abriola, Simon Halfon,
Sylvain Schmitz, Philippe Schnoebelen, and Isa Vialard

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◆ Easter Eggs to find...

- Tight bounds for the ordinal invariants of $\mathcal{P}_{\text{fin}}(X)$.
- Techniques and ideas to actually compute them.

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It ends with a cliffhanger.

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♣ There will be ordinals

And I will not give definitions.

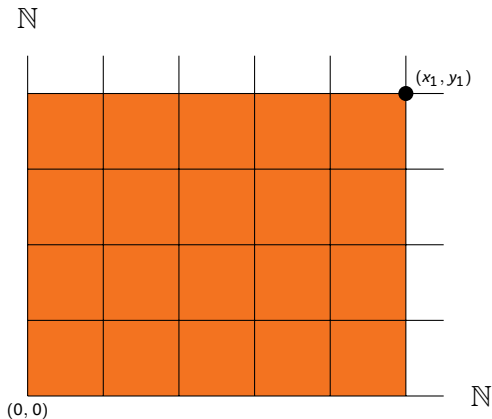
Slow paced walk in the garden of ordinal invariants

My favourite well-founded set

It's $\mathbb{N} \times \mathbb{N}$ with the componentwise ordering

Decreasing sequence $(x_i, y_i)_{i \in \mathbb{N}}$

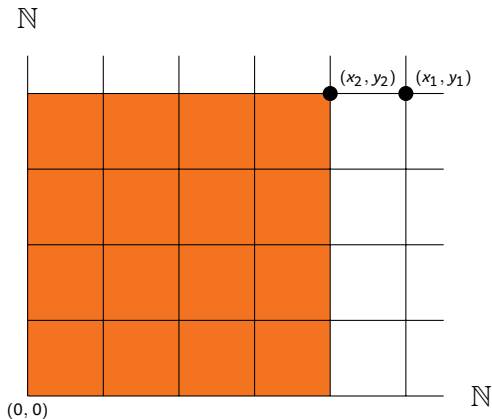
For all $i \in \mathbb{N}$, $x_{i+1} \leq x_i$, $y_{i+1} \leq y_i$, and $(x_{i+1}, y_{i+1}) \neq (x_i, y_i)$.



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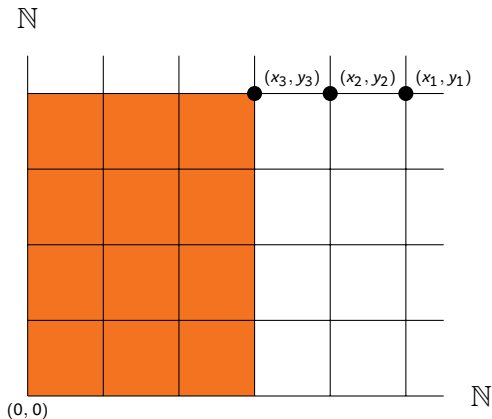
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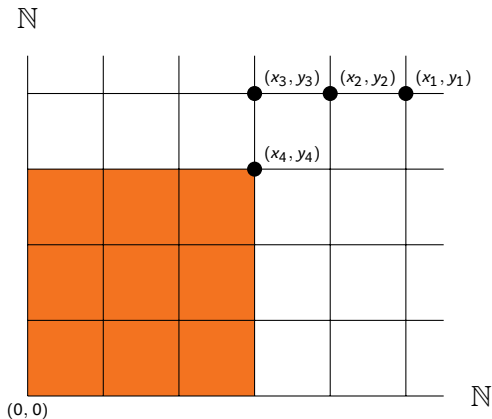
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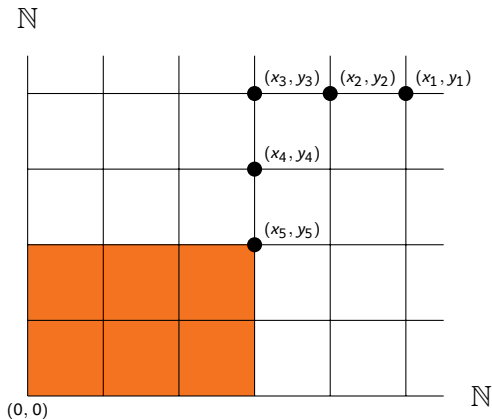
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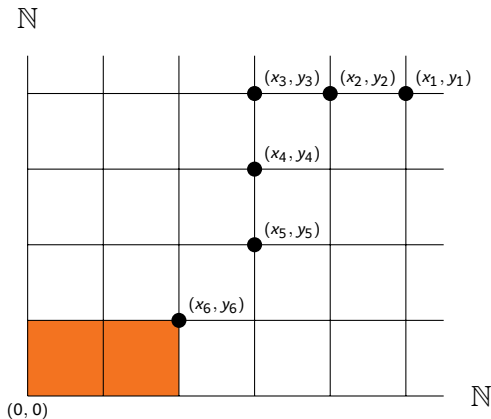
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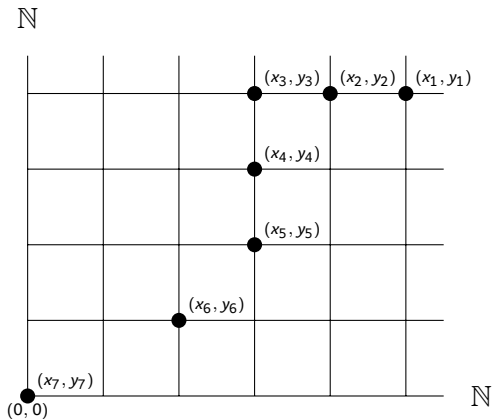
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How long can I play this game?

♣ Definition via descent equations

$$h(S) \triangleq \sup\{h(S \downarrow_{<x}) + 1 : x \in S\}$$

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Some examples

- $h(\mathbb{N}) = \omega$
- $h(\mathbb{N} \times \mathbb{N} \times \mathbb{N}) = \omega$
- $h(\mathbb{N} \sqcup \mathbb{N}) = \omega$
- $h(\mathbb{N} + \mathbb{N}) = \omega \cdot 2$

Slow paced walk in the garden of ordinal invariants

**Well-quasi-orders and their ordinal
invariants**

♣ Well quasi-orders

- No infinite bad sequence: $\omega(X)$ [de Jongh and Parikh, 1977]

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- No infinite antichain: $w(X)$ [Kříž and Thomas, 1990]

In the finite case

$$o(X) = h(X) \times w(X)$$

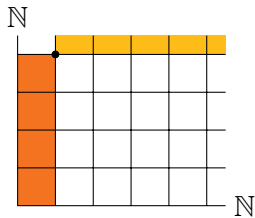
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- $h(\mathbb{N} \times \mathbb{N}) = \omega$;
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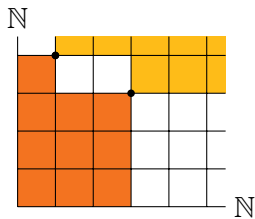
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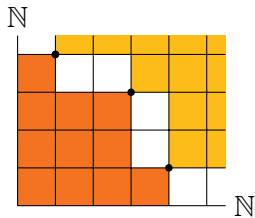
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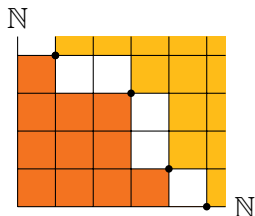
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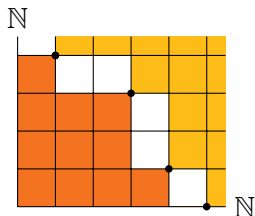
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Theorem: Kříž and Thomas [1990]

$w, h \leq o \leq w \otimes h$ (will never be defined)

◆ To control the runtime of algorithms

- Schmitz and Schnoebelen [2011]
- Figueira, Figueira, Schmitz, and Schnoebelen [2011]
- Haddad, Schmitz, and Schnoebelen [2012]
- Abriola and Figueira [2014]
- Abriola, Figueira, and Senno [2015]
- Schmitz [2019]
- Balasubramanian [2020]

For instance, “length function theorems”.

Slow paced walk in the garden of ordinal invariants

How to train your ordinal invariant skills

A plethora of nice compositional theorems

♣ de Jongh and Parikh [1977], Schmidt [1981], Abraham and Bonnet [1999], Van der Meeren, Rathjen, and Weiermann [2015], Džamonja, Schmitz, and Schnoebelen [2020]

Space	M.O.T.	Height	Width
α	α	α	1
$A \sqcup B$	\oplus	max	\oplus
$A + B$	$+$	$+$	max
$A \times B$	\otimes	$\hat{\oplus}$?
$A \cdot B$	\cdot	\cdot	\odot
$M^\diamond(A)$	$\omega^{\widehat{o(A)}}$	$h^*(A)$	$o(M^\diamond(A))$
$A^{<\omega}$	$\omega^{\omega^{(o(X)^\pm)}}$	$h^*(A)$	$o(A^{<\omega})$
$\mathcal{P}_{\text{fin}}(A)$?	?	?

Assuming $o(A) = \omega^\alpha > 1$ to simplify...

Computing invariants

Space	o	h	w
$(\omega \cdot 2) \times (\omega \cdot 2)$			
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Advertisement

For topological spaces (X, τ) , an analogue of \circ exists and satisfies the same equations!

Breathe

The powerset: a necessary evil

But you might think it should not be

Definition of the ordering

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- ... does not provide ordinal bounds!

Powerset and lexicographic sum

$$\mathcal{P}_{\text{fin}}(A + B) = \mathcal{P}_{\text{fin}}(A) + (\mathcal{P}_{\text{fin}}(B) \setminus \{\emptyset\})$$

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Powerset and disjoint union

$$\mathcal{P}_{\text{fin}}(A \sqcup B) = \mathcal{P}_{\text{fin}}(A) \times \mathcal{P}_{\text{fin}}(B)$$

An example of the non-functionality

Recall...

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$(\omega \cdot 2) \times (\omega \cdot 2)$	$\omega^2 \cdot 4$	$\omega^2 \cdot 3$	$\omega \cdot 3$
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◆ Whoopsie...

Space	o	h	w
$(\omega + \omega) \sqcup (\omega + \omega)$	$\omega \cdot 4$	$\omega \cdot 2$	2
$(\omega \sqcup \omega) + (\omega \sqcup \omega)$	$\omega \cdot 4$	$\omega \cdot 2$	2
$\mathcal{P}_{\text{fin}}((\omega + \omega) \sqcup (\omega + \omega))$	$\omega^2 \cdot 4$	$\omega^2 \cdot 3$	$\omega \cdot 3$
$\mathcal{P}_{\text{fin}}((\omega \sqcup \omega) + (\omega \sqcup \omega))$	$\omega^2 \cdot 2$	$\omega^2 \cdot 2$	ω

The powerset: a necessary evil

Powersets and maps

Invariant preserving maps

Let $f: (X, \leq_X) \rightarrow (Y, \leq_Y)$ be a map.

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♣ **Substructures (add points)**

Whenever: f is injective and $x \leq_X y \iff f(x) \leq_Y f(y)$.

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Whenever: f is bijective and monotone.

♣ Reflections (extend relations)

Whenever: $f(x) \leq_Y f(y) \implies x \leq_X y$.

♣ How to use these maps

	$o(X)$	$h(X)$	$w(X)$
$A \leq_{\text{st}} B$	$o(A) \leq o(B)$	$h(A) \leq h(B)$	$w(A) \leq w(B)$
$A \leq_{\text{aug}} B$	$o(A) \geq o(B)$		$w(A) \geq w(B)$
$A \hookrightarrow B$	$o(A) \leq o(B)$		$w(A) \leq w(B)$

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♦ The last line is for upper bounds!

Lifting functions $f: X \rightarrow Y$.

$\mathcal{P}_{\text{fin}}(f): \mathcal{P}_{\text{fin}}(X) \rightarrow \mathcal{P}_{\text{fin}}(Y)$ maps S to $\{f(s): s \in S\}$.

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1. f is a substructure map $\implies \mathcal{P}_{\text{fin}}(f)$ too!
2. f is an augmentation map $\implies \mathcal{P}_{\text{fin}}(f)$ too!
3. f is a reflection map ... Noooo.

Upper and lower bounds

Trivial bounds

◆ What are the trivial bounds here?

Lower Bound	Invariant	Upper Bound
$1 + o(X)$	$o(\mathcal{P}_{\text{fin}}(X))$	$2^{o(X)}$
$1 + h(X)$	$h(\mathcal{P}_{\text{fin}}(X))$	$2^{h(X)}$
$2^{w(X)}$	$w(\mathcal{P}_{\text{fin}}(X))$	none

◆ All are tight (and given for limit ordinals)

Easy1: $\mathbf{1} + \mathfrak{o}(X) \leq \mathfrak{o}(\mathcal{P}_{\text{fin}}(X))!$

$\mathbf{1} + \mathfrak{o}(X) \leq_{\text{st}} \mathcal{P}_{\text{fin}}(X)$ via $\mathbf{1} \mapsto \emptyset, \mathbf{x} \mapsto \{\mathbf{x}\}$.

Easy1: $1 + o(X) \leq o(\mathcal{P}_{\text{fin}}(X))!$

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Easy2: $1 + h(X) \leq h(\mathcal{P}_{\text{fin}}(X))!$

Same proof!

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♣ **Easy3:** $2^{w(X)} \leq h(\mathcal{P}_{\text{fin}}(X))?$

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♣ **Easy3:** $2^{w(X)} \leq h(\mathcal{P}_{\text{fin}}(X))?$

...

♦ **Easy3: unbounded**

Take $X_\alpha = \omega \sqcup \alpha$. Then, $\mathcal{P}_{\text{fin}}(X) \equiv \omega \times (1 + \alpha)$ has width α . However, $w(X_\alpha) = 2$.

Upper and lower bounds

Upper bound on the maximal order type

◆ In general

- By induction on the invariants of X
- Start with the descent equation
 - When the ordinal invariant of X is finite: “combinatorics”
 - When the ordinal invariant of X is a successor: “decomposition”
 - When the ordinal invariant of X is a limit: “continuity”

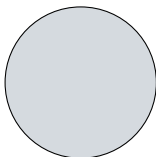
Splitting over the maximal order type

Let (X, \leq) be a wqo such that $o(X) = \alpha + \beta$ for some ordinals α, β .
Then there exists a partition $X = X_\alpha \uplus X_\beta$ such that: $o(X_\alpha) = \alpha$,
 $o(X_\beta) = \beta$, and $X_\alpha + X_\beta \geq_{\text{aug}} X \geq_{\text{aug}} X_\alpha \sqcup X_\beta$.

A splitting lemma

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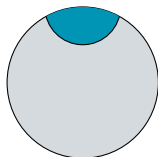
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X

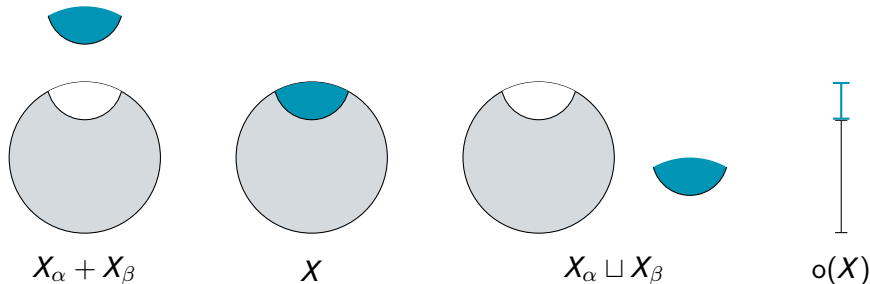


$o(X)$

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Theorem

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$$\alpha \in \mathbb{N}: |\mathcal{P}_{\text{fin}}(X)| \leq 2^\alpha$$



$$\alpha + n: o(\mathcal{P}_{\text{fin}}(X)) \leq o(\mathcal{P}_{\text{fin}}(X_\alpha)) \otimes o(\mathcal{P}_{\text{fin}}(X_n)) \leq 2^{\alpha+n}$$



α **limit:**

$$\begin{aligned}\mathcal{P}_{\text{fin}}(X)_{\not\sqsubseteq_{\mathcal{H}} S} &= \{T \in \mathcal{P}_{\text{fin}}(X) \mid S \not\sqsubseteq_{\mathcal{H}} T\} \\ &= \{T \in \mathcal{P}_{\text{fin}}(X) \mid \exists x \in S, T \in \mathcal{P}_{\text{fin}}(X_{\not\sqsubseteq x})\} \\ &= \bigcup_{x \in S} \mathcal{P}_{\text{fin}}(X_{\not\sqsubseteq x}) \\ &\geq_{\text{aug}} \bigsqcup_{x \in S} \mathcal{P}_{\text{fin}}(X_{\not\sqsubseteq x})\end{aligned}$$



Upper and lower bounds

Upper bound on the height

Splitting over the height

Let X be a wqo such that $h(X) = \alpha + n$ with α limit and $n \geq 1$. There exists a partition $X = X_1 \uplus X_2$ such that $h(X_1) = \alpha$, $h(X_2) = n$, and $\mathcal{P}_{\text{fin}}(X) \leq_{\text{st}} \mathcal{P}_{\text{fin}}(X_1) \cdot \mathcal{P}_{\text{fin}}(X_2)$.

Splitting over the height

Let X be a wqo such that $h(X) = \alpha + n$ with α limit and $n \geq 1$. There exists a partition $X = X_1 \uplus X_2$ such that $h(X_1) = \alpha$, $h(X_2) = n$, and $\mathcal{P}_{\text{fin}}(X) \leq_{\text{st}} \mathcal{P}_{\text{fin}}(X_1) \cdot \mathcal{P}_{\text{fin}}(X_2)$.

♣ Roughly the same proof as before

Theorem

For all wqo X , there exists $m \in \mathbb{N}$, $h(\mathcal{P}_{\text{fin}}(X)) \leq 2^{h(X)+m}$.

Furthermore, $m = 0$ when $h(X)$ is a limit ordinal.

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$$\alpha \in \mathbb{N}: |\mathcal{P}_{\text{fin}}(X)| \leq 2^\alpha$$



$$\alpha + n: h(\mathcal{P}_{\text{fin}}(X)) \leq h(\mathcal{P}_{\text{fin}}(X_\alpha)) \cdot h(\mathcal{P}_{\text{fin}}(X_n)) \leq 2^{\alpha+m}$$



α **limit:**

$$\begin{aligned}\mathcal{P}_{\text{fin}}(X)_{\sqsubset_{\mathcal{H}} S} &= \{T \in \mathcal{P}_{\text{fin}}(X) : T \sqsubset_{\mathcal{H}} S\} \\ &= \{T \in \mathcal{P}_{\text{fin}}(X) : T \subseteq \downarrow S\} \\ &\leq_{\text{st}} \mathcal{P}_{\text{fin}}(\downarrow S)\end{aligned}$$



Upper and lower bounds

Lower bound of the width

Splitting over the width

Let X be a wqo such that $w(X) = \alpha + n$ with $n \geq 0$. There exists Y of width α such that $Y \sqcup \Gamma_n \leq_{st} X$.

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♣ Remark for the continuity

If $\beta < w(X)$, then X has a substructure Y and $\beta \leq w(Y) < w(X)$.

♦ Needed: Džamonja et al. [2020], Vialard [2022]

$w(A \times B) \geq w(B) \cdot o(A)$ whenever $w(B)$ is additively indecomposable.

Theorem

For all wqo X , either $w(X) = k < \omega$ and $w(\mathcal{P}_{\text{fin}}(X)) \geq w(\mathcal{P}_{\text{fin}}(\Gamma_k))$, or $w(\mathcal{P}_{\text{fin}}(X)) \geq 2^{w(X)}$.

Theorem

For all wqo X , either $w(X) = k < \omega$ and $w(\mathcal{P}_{\text{fin}}(X)) \geq w(\mathcal{P}_{\text{fin}}(\Gamma_k))$, or $w(\mathcal{P}_{\text{fin}}(X)) \geq 2^{w(X)}$.

$$\alpha \in \mathbb{N}: \Gamma_k \leq_{\text{st}} X. \quad \checkmark$$

$$\alpha + n: 2^{\alpha+n} \leq w(\mathcal{P}_{\text{fin}}(Y) \times \mathcal{P}_{\text{fin}}(\Gamma_n)) \leq w(\mathcal{P}_{\text{fin}}(X)). \quad \checkmark$$

$$\alpha \text{ limit: } \forall \beta < \alpha, \exists Y_\beta \leq_{\text{st}} X, \beta \leq w(Y) < \alpha. \quad \checkmark$$

Blowing the powerset up

Tightness of the easy bounds

Lower bounds are tight!

Search X_α such that $f(X_\alpha) = \alpha$ and $f(\mathcal{P}_{\text{fin}}(X_\alpha))$ reaches the bound.

♣ **For the easy bounds**

$$\mathcal{P}_{\text{fin}}(\alpha) = \mathbf{1} + \alpha.$$

Reminder: we already did the width

$$X_\alpha = \omega \sqcup \alpha$$

Blowing the powerset up

Exploding width and maximal order type

Bad Family

- $\mathcal{H}_{\omega^0} = \Gamma_1$,
- $\mathcal{H}_{\omega^1} = \sum_{n \in \omega} \Gamma_n$,
- For $\alpha > 0$, $\mathcal{H}_{\omega^{\alpha}} = \sum_{\beta < \omega^{\alpha}} \mathcal{H}_{\omega^{\beta}}$
- And for $\omega^{\alpha_1} + \dots + \omega^{\alpha_n}$ in CNF, $\mathcal{H}_{\omega^{\omega^{\alpha_1} + \dots + \omega^{\alpha_n}}} = \mathcal{H}_{\omega^{\omega^{\alpha_1}}} \cdot \dots \cdot \mathcal{H}_{\omega^{\omega^{\alpha_n}}}$
where \cdot designates the direct (or lexicographic) product.

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where \cdot designates the direct (or lexicographic) product.

♣ Mini correction for simple sums

$$\mathcal{H}_{\omega^{\alpha_1} + \dots + \omega^{\alpha_n}} = \sqcup_{i=1}^n \mathcal{H}_{\omega^{\alpha_i}}.$$

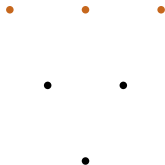
Draw a picture here



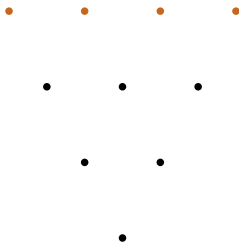
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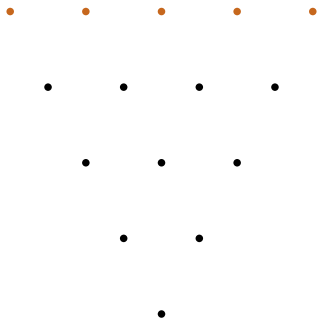
Draw a picture here



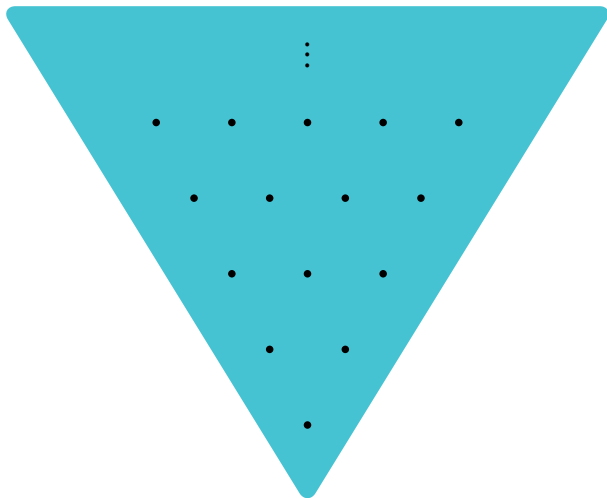
Draw a picture here



Draw a picture here



Draw a picture here



Highly regular wqos

$$h(\mathcal{H}_{\omega^\alpha}) = o(\mathcal{H}_{\omega^\alpha}) = w(\mathcal{H}_{\omega^\alpha}) = \omega^\alpha$$

◆ Consequence:

$$o(\mathcal{P}_{\text{fin}}(\mathcal{H}_\alpha)) = w(\mathcal{P}_{\text{fin}}(\mathcal{H}_\alpha)) = 2^\alpha.$$

Blowing the powerset up

Exploding height

Simon's family

Define \mathcal{P}_α as follows:

- $\mathcal{P}_\omega = \omega$.
- If $\beta < \omega^\alpha$ limit, $\mathcal{P}_{\omega^\alpha + \beta} = (\mathcal{P}_{\omega^\alpha} + \mathbf{1}) \times \mathcal{P}_\beta$.
- $\mathcal{P}_{\omega^{\alpha+1}} = (\mathcal{P}_{\omega^\alpha} + \mathbf{2})^{<\omega}$.
- If α limit, $\mathcal{P}_{\omega^\alpha} = \sum_{0 < \beta < \alpha} \mathcal{P}_{\omega^\beta}$.

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- If α limit, $\mathcal{P}_{\omega^\alpha} = \sum_{0 < \beta < \alpha} \mathcal{P}_{\omega^\beta}$.

♣ Mini correction for successors

$$\mathcal{P}_{\alpha+m} = \mathcal{P}_\alpha + \underbrace{\Gamma_2 + \cdots + \Gamma_2}_{m \text{ times}}.$$

◆ **Consequence: tight bounds**

$h(\mathcal{P}_\alpha) = \alpha$ and $h(\mathcal{P}_{\text{fin}}(\mathcal{P}_\alpha)) = 2^\alpha$ when α is a limit ordinal.

Blowing the powerset up

A funny construction

Can we make two parameters explode?

Inspired by constructions from Hasegawa [2002]

- $\mathcal{W}_0 = \omega^{<\omega}$
- $\mathcal{W}_{\alpha+1} = \mathcal{W}_\alpha^{<\omega}$
- $\mathcal{W}_\alpha = \lim_{\beta < \alpha} \mathcal{W}_\beta$ (trust me: has a formal meaning)

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♦ Invariants

$o(\mathcal{W}_\alpha) = w(\mathcal{W}_\alpha)$ goes to ϵ_0 , while $h(\mathcal{W}_\alpha) = \omega$.

♣ Consequence

$h(\mathcal{P}_{\text{fin}}(\mathcal{W}_\alpha)) = \omega$ and $o(\mathcal{P}_{\text{fin}}(\mathcal{W}_\alpha))$ reaches the upper bound

Final thoughts

The yummy sandwich

♣ **An easy case (used a lot in this talk!)**

If $w(X) = o(X)$, then it holds for $w(\mathcal{P}_{\text{fin}}(X)) = o(\mathcal{P}_{\text{fin}}(X)) = 2^{o(X)}$.

♣ An easy case (used a lot in this talk!)

If $w(X) = o(X)$, then it holds for $w(\mathcal{P}_{\text{fin}}(X)) = o(\mathcal{P}_{\text{fin}}(X)) = 2^{o(X)}$.

♦ Is this a generic case? ...stay tuned!

$$X := \alpha \mid X \cdot X \mid X + X \mid X \sqcup X \mid X \times X$$

$$Y := X^{<\omega} \mid Y^{<\omega} \mid \mathcal{P}_{\text{fin}}(Y) \mid M^\diamond(X) \mid Y \sqcup Y$$

Final thoughts

The ideal partner for the height

Nice computation

If $X = \text{Idl}(Y)$ then $h(\mathcal{P}_{\text{fin}}(X)) = h(\mathcal{P}_{\text{inf}}(Y)) = \mathbf{1} + o(Y)$.

♣ Commutation

- $\text{Idl}(\alpha) = \alpha + \mathbf{1}$;
- $\text{Idl}(X \sqcup Y) = \text{Idl}(X) \sqcup \text{Idl}(Y)$;
- $\text{Idl}(X \times Y) = \text{Idl}(X) \times \text{Idl}(Y)$;
- $\text{Idl}(M_n^\diamond(X)) = M_n^\diamond(\text{Idl}(X))$.

Condensation: $f: A \rightarrow B$ ($A \leq_{\text{cond}} B$)

- Surjective
- Monotone
- $b \leq_B f(y) \implies \exists x \leq_A y, b = f(x)$.

$$f(A) = B$$

$$f(\downarrow x) \subseteq \downarrow f(x)$$

$$f(\downarrow x) \supseteq \downarrow f(x)$$

A map that powerset likes

Condensation: $f: A \rightarrow B$ ($A \leq_{\text{cond}} B$)

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$$f(A) = B$$

$$f(\downarrow x) \subseteq \downarrow f(x)$$

$$f(\downarrow x) \supseteq \downarrow f(x)$$

Main lemma

If $B \leq_{\text{cond}} A$, then $h(B) \leq h(A)$.

♣ Condensing tuples

The function $\iota: X^n \rightarrow M_n^\diamond(X)$ mapping (x_1, \dots, x_n) to the multiset $\{ \{ x_1, \dots, x_n \} \}$ is a condensation.

◆ Take back home

- The reasonable bounds on \mathcal{P}_{fin} are tight
- Lexicographic sum was used to reach upper bounds

What's next?

$$(\text{Idl} \ \& \ \leq_{\text{cond}}) \setminus \{+\} = \heartsuit \ .$$

♣ Variations...

- The (usual) powerset has similar properties?
- Abstract proofs assuming $F(X)_{<S} \subseteq F(X_{<\text{support}(S)})$?

Thank you!

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$$\alpha \hat{\oplus} \beta \triangleq \sup\{\alpha' \oplus \beta' : \alpha' < \alpha, \beta' < \beta\}$$

$$\alpha^{\pm} \triangleq \begin{cases} \alpha - 1 & \text{if } \alpha \text{ is finite,} \\ \alpha + 1 & \text{if } \alpha = \epsilon + n \text{ with } \epsilon \text{ an } \epsilon\text{-number and } n \in \mathbb{N}, \\ \alpha & \text{otherwise.} \end{cases}$$

$$h^*(A) \triangleq \begin{cases} h(A) & \text{if } h(A) \text{ is additively indecomposable } \geq \omega \\ h(A) & \text{is additively indecomposable } \geq \omega \\ h(A) \cdot \omega & \text{otherwise.} \end{cases}$$

$$\alpha^{\circ} \triangleq \begin{cases} \alpha + 1 & \text{if } \alpha = \epsilon + n, \\ \alpha & \text{otherwise.} \end{cases}$$

$$\widehat{\alpha} \triangleq \omega^{\alpha_1} + \dots + \omega^{\alpha_n} \text{ when } \alpha = \omega^{\alpha_1} + \dots + \omega^{\alpha_n}$$

$$\alpha \odot \mathbf{0} = \mathbf{0} \quad \alpha \odot (\beta + \mathbf{1}) = (\alpha \odot \beta) \oplus \alpha \quad \alpha \odot \lambda = \sup_{\gamma < \lambda} (\alpha \odot \gamma + \mathbf{1})$$