

Cours du 14 avril 2020

Theorem 4.9 (General Omitting Types Theorem). If  $T$  is countable, I can omit a meagre set of  $n$ -types for all  $n$ .

Recall the topology on the set of  $n$ -types : basic clopen sets are  $[\varphi(\bar{x})] = \{p \in S_n(T) : \varphi \in p\}$ . Types are the points; they are closed. This topological space is compact (compactness theorem) and totally discontinuous.

Meagre = countable union of closed sets of empty interior

co-meagre = complement of meagre = countable intersection of dense open sets.

For a single type  $p$ , the set  $\{p\}$  is meagre iff  $p$  is not isolated, as  $\varphi(\bar{x})$  isolates  $p$  iff  $\{p\} = [\varphi(\bar{x})]$ .

So the OTT  $\Rightarrow$  non-principal types can be omitted (in a countable language).

But the general OTT allows us to omit several types (even infinitely many) at once.

Recall Baire category theorem : A (countable intersection of) co-meagre sets is dense. True in any locally compact topological space.

Proof : Start with a countable theory  $T$  and its Henkinisation  $T_H$  using countably many constants  $C$ . Consider a closed set  $p$  of empty interior in  $S_n$ . We want to show that  $T_H \setminus [p(\bar{c})]$  is open dense in  $T_H$ .

Then  $\bigcap_{\bar{c} \in C} (T_H \setminus [p(\bar{c})])$  is still dense, and in particular non-empty. So it contains a completion  $T'$  of  $T_H$ . If  $\mathfrak{N}_C \models T'$ , then  $C^{\mathfrak{N}_C} \preceq \mathfrak{N}_C$  omitting  $p$ .

To show that  $T_H \setminus [p(\bar{c})]$  is open dense in  $T_H$ , consider a basic open set  $[\varphi'(\bar{c}')]$ . We have to show that  $(T_H \setminus [p(\bar{c})]) \cap [\varphi'(\bar{c}')]$  is non-empty. In other words,

$$T_H \cup \{\varphi'(\bar{c}')\} \not\models p(\bar{c}).$$

We know that  $p(\bar{x})$  is meagre in  $S_n$ . We want that  $[p(\bar{c})]$  is meagre in  $T_H$ .

Let  $(c_i : i < \ell)$  contain  $\bar{c}, \bar{c}'$ . Among  $(x_i : i < \ell)$  let  $\bar{x}$  correspond to  $\bar{c}$ , and  $\bar{x}'$  to  $\bar{c}'$ , and put  $\bar{x}'' = (x_i : i < \ell) \setminus \bar{x}'$ . Put

$$\psi(\bar{x}, \bar{x}'') = \varphi(\bar{x}') \wedge \bigwedge_{i < \ell} ((\exists x \varphi_i(x)) \rightarrow \varphi_i(x_i)).$$

Then  $[\exists \bar{x}'' \psi(\bar{x}, \bar{x}'')]$  is a non-empty open, as  $[\varphi(\bar{c}')]$  is non-empty open in  $T_H$ , and hence  $[\psi(\bar{c}, \bar{c}'')]$  is non-empty in  $T_H$ , so  $\psi(\bar{x}, \bar{x}'')$  is consistent. Now  $p(\bar{x})$  has non-empty interior, and does not contain  $[\exists \bar{x}'' \psi(\bar{x}, \bar{x}'')]$ , and there is a model  $\mathfrak{M}$  and  $\bar{m}$  in  $M$  such that  $\mathfrak{M} \models [\exists \bar{x}'' \psi(\bar{m}, \bar{x}'')]$  and  $\mathfrak{M} \not\models p(\bar{m})$ . Find  $\bar{m}''$  in  $M$  such that  $\psi(\bar{m}, \bar{m}'')$ , and interpret  $c_i$  by  $m_i$  for  $i < \ell$ . Then I can interpret recursively  $c_i$  for  $i \geq \ell$  for get a model of  $T_H$  where  $p(\bar{c})$  is false and  $\varphi(\bar{c}')$  is true.

Thus  $[p(\bar{c})]$  has empty interior.

Section 2. (Countable) models.

Definition 4.10.

- A prime model need not be unique, nor minimal. If  $\mathfrak{M}$  and  $\mathfrak{M}'$  are prime over  $A$ , either embeds into the other over  $A$ . In particular, if the embedding is proper, neither  $\mathfrak{M}$  nor  $\mathfrak{M}'$  are minimal.

- If  $\mathfrak{M}$  is minimal and  $\mathfrak{M}'$  is prime over  $A$ , then  $\mathfrak{M} = \mathfrak{M}'$  is the unique minimal model :  $\mathfrak{M}'$  embeds into  $\mathfrak{M}$  as it is prime, and must be equal since  $\mathfrak{M}$  is minimal.

- Clearly strongly  $\lambda$ -homogeneous implies  $\lambda$ -homogeneous.

Atomic = poor (only realizes types which must be realized)

Saturated = rich (realizes all types possible)

4.11.1 : Enumerate  $B \setminus A = (a_i : i < \omega)$ . Then  $\text{tp}(a_i : i \leq n/A)$  is isolated  $\Rightarrow$   $\text{tp}(a_n/A, a_i : i < n)$  is isolated. So ANY enumeration will give a construction. This is false in higher cardinality : If SOME enumeration of  $B$  is a construction over  $A$ , some other might not.

4.11.2.  $\text{tp}(a_k/A, a_i : i < k) = \text{tp}(a_k/A \cup \{a_i : i < k\})$  and  $\text{tp}(a_i : i < k/A) = \text{tp}((a_i : i < k)/A)$ .

We have  $\text{tp}(a_k/A, a_i : i < k)$  isolated by  $\varphi(x, \bar{a}'')$  for some  $\bar{a}''$  among  $(a_i : i < k)$  (which may have more parameters from  $A$ ). By hypothesis, for any  $\bar{a}'$  among  $(a_i : i < k)$  the type  $\text{tp}(\bar{a}'\bar{a}''/A)$  is isolated. Now  $\varphi(x, \bar{a}'')$  also isolates  $\text{tp}(a_k/A, \bar{a}', \bar{a}'')$ . By transitivity,  $\text{tp}(a_k, \bar{a}', \bar{a}''/A)$  is isolated. Hence  $\text{tp}(a_k\bar{a}'/A)$  is isolated.

4.11.2. As isolated types are realized, find in any model of  $\text{Th}(\mathfrak{M}, A)$  a sequence  $(b_i : i < \alpha)$  such that if  $\text{tp}(a_i/A, a_j : j < i) = p(x, \bar{a})$ , then  $b_i \models \text{tp}(x, \bar{b})$ ; if  $\varphi(x, \bar{a})$  isolates  $p(x, \bar{a})$ , then  $\varphi(x, \bar{b})$  isolates  $p(x, \bar{b})$ .

When do we have saturated and atomic models.

Proposition 4.14 and 4.15 : We have constructed models iff the isolated types are dense.

4.14 : If  $[\varphi(x)]$  is non-empty,  $\varphi(\bar{x})$  is realized in our atomic model by some  $\bar{m}$ , and  $\text{tp}(\bar{m})$  is isolated in  $[\varphi(\bar{x})]$ .

Conversely, in a Henkinisation, if  $\exists x \varphi_i(x)$  is true, then by transitivity ( $\varphi_i$  contains finitely many constants  $(c_j : j < i)$ )  $[\varphi_i(x)]$  contains an isolated type over  $(c_j : j < i)$ .

We let  $c_i$  realize that type. Then  $\text{tp}(c_j : j \leq i)$  is isolated.

4.15 similar.

4.16. Any two countable atomic models are isomorphic. Any two saturated models of the same cardinal are isomorphic.

Just a back-and-forth argument. Take enumerations  $(m_i : i < \lambda)$  of  $\mathfrak{M}$  and  $(n_i : i < \lambda)$  of  $\mathfrak{N}$ . Given a partial isomorphism  $\sigma_k$  with domain  $\bar{m}$  of cardinality  $< \lambda$  containing  $(m_i : i < k)$ , consider  $\text{tp}(m_k/\bar{m}) = p(x, \bar{m})$ . Then  $p(x, \sigma(\bar{m}))$  is realized (either because it is isolated, or by saturation) by some  $n_j$ . So we can prolong  $\sigma$ . By symmetry, we also have the "back" direction.

We start with  $\sigma_0$  the empty partial isomorphism, and we take unions at limit stages.

For the "back" direction, if the image  $\bar{n} = \sigma_k(\bar{m})$  of  $\sigma_k$  contains  $(n_i : i < k)$ , consider  $\text{tp}(n_k/\bar{n}) = p(x, \bar{n}) = p(x, \sigma(\bar{m}))$  and its preimage  $p(x, \bar{m})$ . It is realized in  $M$  because it is atomic, or by saturation.

I get a partial elementary map whose domain is  $M$  and whose image is  $N$ , i.e. an isomorphism.

Example 4.18.2 :  $\langle \mathbb{Z}, 0, 1, + \rangle$  is atomic.

Proposition 4.22. Union of chains.

Theorem 4.25 (Ryll-Nardzewski)

If  $S_n$  is finite, say  $S_n = \{p_1, \dots, p_k\}$ . For every pair of types  $(p_i, p_j)$  with  $j \neq i$  choose a formula  $\varphi_{ij} \in p_i \setminus p_j$ . Then  $\bigwedge_{j \neq i} \varphi_{ij}$  isolates  $p_i$ . Thus all  $n$ -types are isolated, and any formula  $\psi(\bar{x}) = \bigvee_{p \in [\psi]} p$ . There are only  $2^k$  many possibilities.

Conversely, if there are only  $k$  inequivalent formulas in  $n$  variables, then  $|S_n| \leq 2^k$ .

Thus (2)  $\Leftrightarrow$  (3).

(2) implies that all types in  $S(T)$  are isolated, so all models are atomic. But two countable atomic models are isomorphic. Hence (1).

Conversely, if there is a non-isolated type, then there is a countable model realizing it, and another one omitting it, so  $T$  is not  $\aleph_0$ -categorical. Thus if  $T$  is  $\aleph_0$ -categorical, every type  $p \in S_n$  is isolated by a formula  $\varphi_p$ . But if  $S_n(T)$  is infinite,  $\{\neg\varphi_p : p \in S_n(T)\}$  is finitely consistent, a contradiction. Hence  $S_n(T)$  is finite.

Section 3 : Small theories.

Definition 4.27 :  $T$  is *small* if  $S(T)$  is countable.

Lemma 4.28 : Smallness is preserved under adding finitely many parameters.

Proposition 2.29 :  $T$  has a countable saturated model iff  $T$  is small.

Remark : Countable saturated model  $\neq$  countably saturated model

Countable atomic models : If  $T$  is small, isolated types are dense over finite sets (lemma 4.30), and there is a countable atomic model.

$I(T, \aleph_0)$  = the number of non-isomorphic countable models of  $T$ .

= 1 iff  $T$  is  $\aleph_0$ -categorical.

$\leq 2^{\aleph_0}$ .

if  $< 2^{\aleph_0}$ , then  $T$  is small (Lemma 4.34).

can take values  $3, 4, 5, \dots, \aleph_0, 2^{\aleph_0}$ .

$\neq 2$  (Vaught, Theorem 4.37).

Vaught's conjecture : Only interesting without CH. The interest is not the number of models, but to solve it, one has to analyse in detail the structure of countable models