

# Automorphism groups of metric structures

## 1. Polish groups; the space of actions.

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# Polish groups: some examples

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A **Polish group** is a topological group whose topology is Polish.

- Topological group:  $(g, h) \mapsto gh$  and  $g \mapsto g^{-1}$  are continuous.
- Completeness implies that one can use the Baire category theorem - a countable intersection of dense open sets is dense.

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- and so on.

# Our first permutation group: $S_\infty$

The group of permutations of the integers is denoted by  $S_\infty$ . It admits a natural distance:

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- These are actually **subgroups**.
- One checks easily that group operations are continuous.
- Is the distance  $d$  complete?

# Complete and noncomplete distances

Recall that  $d(\sigma, \tau) = \inf\{2^{-n} : \forall i < n \sigma(i) = \tau(i)\}$ . Define

$$\sigma_i(n) = \begin{cases} n+1 & \text{if } n \leq i \\ 0 & \text{if } n = i \\ n & \text{otherwise} \end{cases}$$

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## Theorem

Let  $G$  be a Polish group, and  $d$  be a left-invariant metric on  $G$ . Then  $d'$  defined by  $d'(g, h) = d(g, h) + d(g^{-1}, h^{-1})$  is complete.



# The unitary group

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It is tempting to use the operator norm: it is complete, bi-invariant... and nonseparable: permutations of a Hilbert basis provide a continuum of group elements pairwise at distance  $\sqrt{2}$ .

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The first topology one may think of is given by the measure of the support:

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The Polish topology commonly used in ergodic theory is the corresponding pointwise convergence topology.

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Given a Polish group  $G$ , there always exists a **left-invariant** metric  $d$  on  $G$ . Then  $G$  is a closed subgroup of the isometry group  $\text{Iso}(\widehat{(G, d)})$  (endowed with the pointwise convergence topology).



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## Theorem (Gao–Kechris)

Any Polish group is (isomorphic to) the isometry group of a Polish metric space.

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## Theorem (Uspenskij)

Any Polish group is isomorphic to a closed subgroup of  $\text{Iso}(\mathbb{U})$ .

# Baire category methods

# Why Baire category?

Locally compact groups are a classical object of study, which was investigated in depth already during the first part of the 20th century. It is well-known and important that locally compact groups admit a (essentially unique) **Haar measure**, which is preserved by (say) left translation.



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Baire category notions provide a well-behaved notion of largeness that can be used to replace some measure-theoretic arguments in the context of non locally compact groups.

## Theorem (Alexandrov)

Let  $X$  be a Polish topological space, and  $Y$  be a metric space containing  $X$ . Then  $X$  is a  $G_\delta$  subset of  $Y$ : that is,  $X$  is a countable intersection of open subsets of  $Y$ .

Conversely, if  $X$  is Polish and  $A \subseteq X$  is  $G_\delta$ , then  $A$  is Polish.

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# Learning to love $G_\delta$ subsets

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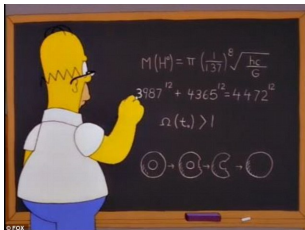
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Proof.



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A meagre set can be dense! A countable intersection of comeagre sets is again comeagre; they should be thought of as analogues of sets of full measure.

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Just like measurable sets, Baire-measurable sets form a  $\sigma$ -algebra which contains all Borel sets. There are more...

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## Theorem (Suslin)

A subset  $A$  of a Polish space is Borel iff both  $A$  and its complement are analytic.



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## Theorem (Lusin–Sierpinski)

Analytic subsets of Polish spaces are Baire-measurable.

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The following are equiveridical:

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This is the category analogue of Fubini's theorem.



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- For any nonempty open  $U \subseteq X$   $f(U)$  is somewhere dense.

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Clearly, any continuous open map (for instance, a projection map) between Polish spaces preserves category.

## Definition

When  $X, Y$  are Polish and  $f: X \rightarrow Y$  is continuous,  $f$  preserves category if it satisfies one of the following equivalent conditions;

- For any comeagre  $A \subseteq Y$   $f^{-1}(A)$  is comeagre.
- For any dense open  $O \subseteq Y$   $f^{-1}(O)$  is dense.
- For any nonempty open  $U \subseteq X$   $f(U)$  is not meagre.
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These maps come up in a variety of settings and under different guises.

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Let  $X, Y$  be Polish spaces,  $f: X \rightarrow Y$  be continuous and category-preserving and  $A$  be a Baire-measurable subset of  $X$ . Then the following are equiveridical:



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When  $f$  is a projection map, this is the Kuratowski–Ulam theorem; this is in some sense analogous to the measure disintegration theorem (here category is split along the fibers of  $f$ ).

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Let  $X$  be a Polish space, and  $A$  a subset of  $X$ . We let  $U(A)$  denote the union of all open subsets  $O$  of  $X$  such that  $O \setminus A$  is meagre.

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Thus we have a canonical witness of the fact that  $A$  is Baire measurable.

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Let  $G$  be a Polish group, and  $A, B \subseteq G$ . Then

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In particular, if  $\tau, \tau'$  are two Polish topologies on the same group  $G$ , and one is contained in the other (or even: all  $\tau$ -open sets are  $\tau'$ -Borel), then they are equal.

# Topologically transitive actions on Polish spaces.

## Definition

Let  $G$  be a group acting by homeomorphisms on a Polish space  $X$ . The action is **topologically transitive** if, for any nonempty open  $U, V$  there exists  $g \in G$  such that  $gU \cap V \neq \emptyset$ .

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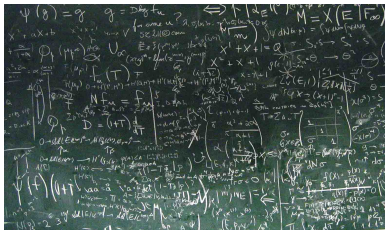
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## Proof.



# The 0–1 topological law

## Theorem (0–1 topological law)

Assume that  $G$  is a group acting by homeomorphisms on a Polish space  $X$ , that the action is topologically transitive, and that  $A$  is a Baire-measurable subset of  $X$  which is  $G$ -invariant. Then  $A$  is either meagre or comeagre.

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- This applies for instance for the groups  $S_\infty$ ,  $U(\ell_2)$ ,  $\text{Aut}(\mu)$ ,  $\text{Iso}(\mathbb{U})$ .