

# Definable topological dynamics and real Lie groups

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# Topological dynamics

(Ellis, ...)

A **point transitive  $G$ -flow** is an action of the group  $G$  on a compact Hausdorff space  $X$  by homeomorphisms such that  $X$  contains a dense  $G$ -orbit.

Let  $X$  be a point-transitive  $G$ -flow.

Every  $g \in G$  determines a homeomorphism  $\pi_g \in X^X$ .

Let  $E(X) = \text{cl}\{\pi_g : g \in G\}$ .

This is a compact subspace of  $X^X$  and itself a (point-transitive)  $G$ -flow  $((g \cdot f)(x) = f(g^{-1} \cdot x))$ .

$(E(X), *)$  is a semigroup (where  $*$  is the function composition). It is called the **Ellis semigroup** of the flow  $(G, X)$ .

# Topological dynamics

Important objects associated with  $E(X)$ :

- Algebraic: minimal (left) ideals,
- Topological: minimal subflows (minimal nonempty closed  $G$ -invariant subsets).

minimal ideals = minimal subflows

## Properties of minimal ideals

For every minimal subflow  $I$  of  $E(X)$ ,  $I = \text{cl}(Gp)$  for any  $p \in I$

A  $p \in E(X)$  such that  $\text{cl}(Gp)$  is a minimal subflow of  $E(X)$  is called **almost periodic**.

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A  $p \in E(X)$  with  $p * p = p$  is called an **idempotent**.

Let  $I$  be a minimal subflow of  $E(X)$  and let  $J(I)$  be the set of idempotents in  $I$ .

We have:

$$I = \coprod_{u \in J(I)} u * I,$$

where every  $(u * I, *)$  is a group.

# Ideal subgroups

The groups  $(u * I, *)$  are all isomorphic (even for different  $I$ 's) and called **ideal subgroups** of  $E(X)$ .

Their isomorphism class is called the **Ellis group** (of the flow  $(G, X)$ ).

# Definable setting

(Newelski)

Fix a first-order structure  $M$  and a sufficiently saturated  $\mathcal{C} \succ M$ .

**Assume that all types over  $M$  are definable.**

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Let  $G$  be a group definable in  $M$ .

There is a category of **definable  $G(M)$ -flows**: actions of  $G(M)$  on the quotient  $X(\mathcal{C})/E$  where  $X$  is  $M$ -definable on which  $G(M)$  acts definably and transitively, and  $E$  is a  $G$ -invariant btde relation.

This category has the universal object  $S_G(M)$ .

It is the definable equivalent of  $\beta G$ .



# Ellis semigroup

The Ellis semigroup of  $S_G(M)$  turns out to be isomorphic to  $S_G(M)$  itself (this requires definability of types).

The semigroup operation on  $S_G(M)$  can be described as follows:

$$p * q = \text{tp}(a \cdot b/M),$$

where

$a \models p$ ,

$b \models q$ , and

$\text{tp}(b/Ma) \supset q$  is the **heir extension**.

# *o*-minimality

Recall that a structure  $(M, <, \dots)$  is ***o*-minimal** if  $<$  is dense and linear without endpoints, and every definable subset of  $M$  is a finite union of intervals.

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Recall that a structure  $(M, <, \dots)$  is *o*-**minimal** if  $<$  is dense and linear without endpoints, and every definable subset of  $M$  is a finite union of intervals.

Fix  $\mathbb{R} = (\mathbb{R}, +, \cdot, <, \dots)$  and *o*-minimal expansion of reals.  
Some properties of  $\mathbb{R}$ :

- *NIP*,
- cells and cell decomposition theorem,
- topological dimension (coincides with *acl*-dimension),
- definability of types.

# $\mathbb{R}$ -definable groups

Let  $G$  definable in  $\mathbb{R}$ .

## Proposition (Pillay)

*There is a definable atlas of maps making  $G(\mathbb{R})$  a definable manifold over  $\mathbb{R}$ , making the group operations continuous (i.e.  $G(\mathbb{R})$  is a real Lie group).*

Goal: describe topological dynamics of  $G$ .

Two important cases: torsion-free and definably compact.

## Topological dynamics: torsion-free case

Let  $G$  be torsion-free. This case is straightforward:

Proposition (Conversano, Pillay)

*There is a  $G(\mathbb{R})$ -invariant type  $p$  in  $S_G(\mathbb{R})$ .*

That is,  $S_G(\mathbb{R})$  has a one-point minimal subflow.

# Topological dynamics: definably compact case

Let  $G$  be definably compact. Newelski gave a full description of  $(G(\mathbb{R}), S_G(\mathbb{R}))$ :

- $S_G(\mathbb{R})$  contains the unique minimal flow  $\text{Gen}_K(\mathbb{R})$  consisting of all generic types in  $G$ .
- The Ellis group of  $(G(\mathbb{R}), S_G(\mathbb{R}))$  is isomorphic to  $G(\mathbb{R})$ .
- An ideal subgroup of  $S_G(\mathbb{R})$  is a selector of  $S_G(\mathbb{R})/\ker(\text{st})$ .
- Detailed description of the semigroup operation.

# Compact-torsion-free decomposition

## Definition

Let  $G$  be definable. We say that  $G$  has a **definable compact-torsion-free decomposition** if there is a definable, definably compact  $K < G$  and a definable, torsion-free  $H < G$  such that  $K \cap H = \{e\}$  and  $G = KH$ .

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## Proposition (Conversano)

*There is a definable, central subgroup  $\mathcal{A}(G) < G$  such that  $G/\mathcal{A}(G)$  has a definable compact-torsion-free decomposition, and is the maximal quotient with this property.*

In particular, definable semisimple groups have this decomposition.



# Topological dynamics of $G(\mathbb{R})$

Let  $G = KH$  be a definable compact-torsion-free decomposition.  
Goal: describe the  $G(\mathbb{R})$ -flow  $S_G(\mathbb{R})$ .

We already have a description of  $(K(\mathbb{R}), S_K(\mathbb{R}))$  and  $(H(\mathbb{R}), S_H(\mathbb{R}))$ .

We need to understand the interaction between  $H$  and  $K$ .

# Natural subgroup actions

$H$  acts on the coset space  $G/H$ . This quotient can be identified with  $K$ .

So we have a group action of  $H(\mathbb{R})$  on  $K(\mathbb{R})$ .

This induces a group action of  $H(\mathbb{R})$  on  $S_K(\mathbb{R})$  and a semigroup action of  $S_H(\mathbb{R})$  on  $S_K(\mathbb{R})$ .

The action  $H(\mathbb{R}) \curvearrowright S_K(\mathbb{R})$  preserves  $\text{Gen}_K(\mathbb{R})$ .

# Minimal subflow

## Proposition (J.)

$M$  arbitrary with all types definable.

Let  $G$  be an  $M$ -definable group. Let  $K, H$  be  $M$ -definable subgroups of  $G$  such that the following conditions hold:

- (1)  $G = K \cdot H$  and  $K \cap H = \{e\}$ .
- (2)  $S_H(M)$  has an  $H(M)$ -invariant type  $p$ .
- (3) The flow  $(K(M), S_K(M))$  has a minimal subflow  $I$  which is invariant under the natural  $H(M)$ -action on  $S_K(M)$ .

Then  $I * p$  is a minimal subflow of  $(G(M), S_G(M))$ .

# Ellis group

Direct calculation (“coheir arithmetic” + description of  $*$  in  $S_K(M)$  + properties of nonforking extensions) shows that the semigroup operation on  $\text{Gen}_K(\mathbb{R}) * p$  depends only on a definable function  $\psi : K(\mathbb{R}) \rightarrow K(\mathbb{R})$  induced (in a certain way) by the type  $p$ . In particular, the Ellis group of  $S_G(\mathbb{R})$  is isomorphic to the image of  $\psi$ .

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In particular, the Ellis group of  $S_G(\mathbb{R})$  is isomorphic to the image of  $\psi$ .

Again by calculation:  $H(\mathbb{R})$ -invariance of  $p$  implies that if  $x \in \text{im}\psi$  then  $x$  normalizes  $H$  in  $G$ .

On the other hand, it is easy to check that  $N_G(H) \cap K(\mathbb{R}) \subset \text{im}\psi$ .

## Proposition (J.)

*The Ellis group of  $(G(\mathbb{R}), S_G(\mathbb{R}))$  is isomorphic to  $N_G(H) \cap K(\mathbb{R})$  ( $= N_{G(\mathbb{R})}(H(\mathbb{R}))/H(\mathbb{R})$ ).*

# Ellis group

## Example

$G(\mathbb{R}) = SL_n(\mathbb{R})$ . Then the Ellis group of  $S_G(\mathbb{R})$  is isomorphic to  $\mathbb{Z}_2^{n-1}$ .

The particular case of  $n = 2$  was first done by Gismatullin, Penazzi and Pillay. It is a counterexample to the question by Newelski whether (at least in a “sufficiently tame” setting), the Ellis group of  $S_G(M)$  is isomorphic to  $G/G^{00}$ .

# Generalizations

- Universal covers interpreted in a two-sorted structure.
- Generalizations to elementary extensions more difficult - types are no longer definable and we are forced to work with external types.
- (even for  $\mathbb{R}$ -definable groups interpreted in elementary extensions)

## References

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