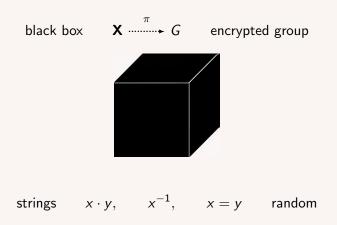
Black box methods to identify groups of Lie type

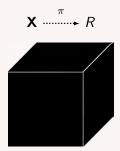
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Black box groups



Black box rings



$$x \cdot y, \quad x + y, \quad -x, \quad x = y$$

Similarly: black box everything

Axioms

- **BB1 X** produces strings of fixed length $l(\mathbf{X})$ encrypting random (almost) uniformly distributed elements from G.
- **BB2** X computes, in time polynomial in I(X), a string encrypting the product of two group elements given by strings or a string encrypting the inverse of an element given by a string.
- **BB3 X** decides, in time polynomial in $I(\mathbf{X})$, whether two strings encrypt the same element in *G*—therefore identification of strings is a canonical projection

BB4 We are given a computationally feasible *global exponent* E of **X**,

$$\pi(x)^{\mathcal{E}} = 1$$
 for all strings $x \in \mathbf{X}$.

Black box group algorithms

Let X be a black box (simple) group

- Probabilistic Recognition
 - Determine the isomorphism type of X X is $PSL_2(13)$, Alt_9 , etc.
- Constructive Recognition
 - Construct an explicit isomorphism between X and a known group G.

More on constructive recognition

Let **X** be a black box group encrypting a given group G. An effective isomorphism

$$\varphi: \boldsymbol{G} \to \boldsymbol{X}$$

- 1. Given $g \in G$, construct efficiently the string $\varphi(g)$ representing g in **X**.
- 2. Given a string x produced by **X**, construct efficiently the element $\varphi^{-1}(x) \in G$ represented by x.

Obstacles in constructive recognition algorithms

Let **X** be a group of Lie type over a field of size q.

- Involves construction of unipotent elements.
- Unipotents are astronomically rare!

If **X** is given as a matrix group, then one needs to solve discrete logarithm problem—in \mathbb{F}_q , not in the prime field.

More obstacles: The nature of non-reversibility

Let **K** be a black box field encrypting \mathbb{F}_p , p prime.

We always have a morphism

$$\mathbb{Z}/p\mathbb{Z} = \mathbb{F}_p \longrightarrow \mathbf{K}.$$

The existence of the reverse morphism

$$\mathbb{F}_{p} \longleftarrow \mathsf{K}$$

would follow from solution of the discrete logarithm problem in ${\bf K}.$

Our setup

We are given

- A black box group **X** with no additional oracles, and
- an exponent *E* of **X**, that is, $x^E = 1$ for all $x \in \mathbf{X}$.

The decomposition $E = 2^k m$, (m, 2) = 1, suffices to produce efficient algorithms.

Morphisms of black box groups

A morphism $\zeta : \mathbf{X} \to \mathbf{Y}$ is an efficiently computable map which make the following diagram commutative:



We say that a morphism ζ *encrypts* the homomorphism ϕ .

BB subgroups are morphisms

When we

- have a generating set y_1, \ldots, y_k for $\mathbf{Y} \leq \mathbf{X}$, and
- sample the "product replacement algorithm" (or something similar), for **Y**

we deal with a morphism

$$\mathbf{Y} \hookrightarrow \mathbf{X}.$$

Morphisms are BB subgroups

$$G \stackrel{\phi}{\longrightarrow} H$$

is a homomorphism if and only if its graph

$$\mathsf{F} = \{(\mathsf{g}, \phi(\mathsf{g})) : \mathsf{g} \in \mathsf{G}\}$$

is a subgroup of $G \times H$.

$$X \xrightarrow{\zeta} Y$$

is a BB subgroup $\mathbf{Z} \hookrightarrow \mathbf{X} \times \mathbf{Y}$ encrypting F:

$$\mathbf{Z} = \{(x,\zeta(x)) : x \in X\}$$

with the natural projection

$$\begin{aligned} \pi_{\mathbf{Z}} : \mathbf{Z} &\longrightarrow F \\ (x, \zeta(x)) &\mapsto (\pi_{\mathbf{X}}(x), \phi(\pi_{\mathbf{X}}(x)). \end{aligned}$$

Graphs of the morphisms

Let
$$x_1, x_2, \ldots, x_k \in \mathbf{X}$$
 with known images
 $y_1 = \zeta(x_1), y_2 = \zeta(x_2), \ldots y_k = \zeta(x_k) \in \mathbf{Y}.$

Then the subgroup

$$\mathbf{Z} = \langle (x_1, y_1), \dots, (x_k, y_k)
angle \leq \mathbf{X} imes \mathbf{Y}$$

is the graph of the morphism ζ .

Random sampling on **Z** produces strings in **X** with their images $\zeta(x)$ in **Y** attached.

Automorphisms of black box groups of Lie type

Theorem (Borovik-Y.)

Let **X** be a black box group encrypting a Lie type group G(F), where F is an unknown finite field. Given an exponent E for **X**, we can construct, in polynomial in log E, a cover

$\textbf{X} \longleftarrow \textbf{Y}$

where a black box group **Y** encrypts G(F) and morphisms

$\mathbf{Y} \longleftarrow \mathbf{Y}$

encrypting Frobenius and graph automorphisms of G(F).

An example

Borovik–Y: Given a black box group encrypting $SL_3(p^2)$ for a 60 decimal digits long prime number p:

p = 622288097498926496141095869268883999563096063592498055290461,

we can construct a black box subgroup

$$\mathrm{SU}_3(p) \hookrightarrow \mathrm{SL}_3(p^2).$$

This is implemented on GAP! Note that

 $|{
m SL}_3(p^2)| \approx 10^{960}.$

More constructions

Borovik–Y: Let **X** be a black box group encrypting the group $SL_8(F)$, where F is an unknown field. Given an exponent E for **X**, we can construct, in time polynomial in log E, a chain of black box groups and morphisms

$$\textbf{U} \hookrightarrow \textbf{V} \hookrightarrow \textbf{W} \hookrightarrow \textbf{X}$$

that encrypts the chain of canonical embeddings

$$G_2(F) \hookrightarrow \mathrm{SO}_7(F) \hookrightarrow \mathrm{SO}_8^+(F) \hookrightarrow \mathrm{SL}_8(F).$$

Fifty shades of black



M.C. Escher, Day and Night, 1938.

Decryption of a BB group \mathbf{X} : a step-by-step construction of a chain of morphisms

$$G \stackrel{\pi}{\longleftarrow} \mathbf{X} \stackrel{\zeta_1}{\longleftarrow} \mathbf{X}_1 \stackrel{\zeta_2}{\longleftarrow} \mathbf{X}_2 \stackrel{\mathbf{X}_2}{\longleftarrow} \cdots \stackrel{\mathbf{X}_n}{\longleftarrow} \mathbf{X}_n \stackrel{\zeta_{n+1}}{\longleftarrow} G$$

at each step

- changing the shade of black and
- increasing the amount of information provided by the black boxes X_i.

Centralizers of involutions in black box groups (Cartan; Altseimer & Borovik; Bray)

X a black box group, $i \in \mathbf{X}$ an involution, $x \in \mathbf{X}$ a random element.

If $|ii^{x}| = m$ even, then $(ii^{x})^{m/2}$ is an involution.

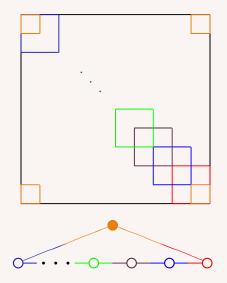
If $|ii^{x}| = m$ odd, then set $y := (ii^{x})^{m+1/2}$. We have $i^{y} = i^{x}$.

Centralizers of involutions in black box groups (Cartan; Altseimer & Borovik; Bray)

Define

$$\begin{array}{rcl} \zeta: \mathbf{X} & \to & C_{\mathbf{X}}(i) \\ x & \mapsto & \zeta_0(x) = (ii^x)^{m/2}, & m = o(ii^x) \text{ even} \\ \zeta_1(x) = (ii^x)^{(m+1)/2} . x^{-1}, & m = o(ii^x) \text{ odd} \end{array}$$

Shades of black for SL_n : Extended Curtis-Tits system



Reification of involutions

Let **S** be a generating set for **X**, i an involutive automorphism of **X**.

Suppose that we know the action of i on **S**:

$$x^i, x \in \mathbf{S}.$$

Since

$$ii^{x} = x^{i}x^{-1},$$

we construct $C_{\mathbf{X}}(i)$ and identify $i \in Z(C_{\mathbf{X}}(i))$.

Reifying an involution in $SO_3(q)$

Let $\mathbf{X} = SO_3(q)$, q odd,

 $i, j \in \mathbf{X}$ involutions and ij is not a unipotent element.

Question

Find the involution which commutes with both i and j, call it k.

- Set z = ij.
- If z has even order, then k is the unique involution in $\langle z \rangle$.
- Assume that *z* has odd order.
 - k centralises z, and
 - k inverts the torus \mathbf{T}_j containing j.
- $k: z \mapsto z, \quad t \mapsto t^{-1}$ for all $t \in \mathbf{T}_j$.

• $\langle z, \mathbf{T}_j \rangle = \mathbf{X}.$

From PSL_2 to PGL_2

A reification of a diagonal automorphism of $\mathbf{X} \simeq \mathrm{PSL}_2(q)$, $q \equiv 1 \mod 4$:

- Produce an involution $i \in \mathbf{X}$.
- Construct $\mathbf{T}_+ < C_{\mathbf{X}}(i)$, where $|\mathbf{T}_+| = (q-1)/2$.
- Find $g \in \mathbf{X}$ such that $ii^g \in \mathbf{T}_-$, where $|\mathbf{T}_-| = (q+1)/2$.
- We have $\langle \mathbf{T}_+, \mathbf{T}_- \rangle = \mathbf{X}$.
- The amalgam δ of the local automorphisms

$$\begin{array}{ll} \alpha_{+}:\mathbf{T}_{+}\to\mathbf{T}_{+}, & s\mapsto s\\ \alpha_{-}:\mathbf{T}_{-}\to\mathbf{T}_{-}, & s\mapsto s^{-1} \end{array}$$

encrypts a diagonal automorphism of $PSL_2(q)$.

Involutions in $\mathbf{X} := PSL_2(2^n)$

- Let x_1, x_2 be two non-commuting elements of odd order > 3.
- $\langle x_1, x_2 \rangle = \mathrm{PSL}_2(2^m)$ for some m.
- There is an involution $i \in \mathbf{X}$ inverting both x_1 and x_2 .
- Construct an element in $C_{\mathbf{X}}(i)$.

Structural recognition, $(P)SL_2(q)$

Theorem (Borovik and Y.)

Given a global exponent E for a black box group \mathbf{Y} encrypting PSL_2 over some finite field of unknown odd characteristic p, we construct, in probabilistic time polynomial in log E,

- a black box group X encrypting SO₃ over the same field as Y and an effective embedding Y → X;
- a black box field K, and
- the following isomorphisms

$$\operatorname{SO}_3(\mathbf{K}) \longrightarrow \mathbf{X} \longrightarrow \operatorname{SO}_3(\mathbf{K}).$$

If p is known and \mathbb{F} is the standard explicitly given finite field of characteristic p isomorphic to the field on which \mathbf{Y} is defined then we also construct, in log E-time, an isomorphism

 $\operatorname{SO}_3(\mathbb{F}) \longrightarrow \operatorname{SO}_3(\mathbf{K}).$

Unipotents

Theorem (Borovik and Y.)

Given a global exponent E for a black box group \mathbf{Y} encrypting PSL_2 over some finite field of unknown odd characteristic p, we construct a non-trivial unipotent element in \mathbf{Y} in time linear in p and polynomial in log E. In particular, we find the characteristic p of the underlying field.

If the characteristic p is known in advance then we construct a non-trivial unipotent element in \mathbf{Y} in time polynomial in log E.

$\operatorname{PGL}_2(q) \cong \operatorname{SO}_3(q)$

Lie algebra I of \mathfrak{sl}_2 : 2 × 2 matrices of trace 0 with Lie bracket [A, B] = AB - BA.

 $\operatorname{PGL}_2(\mathbb{F})$: Via action by conjugation, group of automorphisms of the Lie algebra $\mathfrak{l} = \mathfrak{sl}_2$ and it preserves the Killing form K on \mathfrak{l} ,

$$K(\alpha,\beta) = \operatorname{Tr} (\operatorname{ad}(\alpha) \cdot \operatorname{ad}(\beta));$$

 $SO_3(\mathfrak{l}, K)$: Group of orthogonal transformations of \mathfrak{l} preserving K. Denote by \mathfrak{l} the 3-dimensional \mathbb{F}_q vector space of the canonical representation of $SO_3(q)$.

$\mathrm{PGL}_2(q)\cong \mathrm{SO}_3(q)$

 $\mathfrak{l} := \mathfrak{sl}_2, \ G := \mathrm{SO}_3(q).$

An element $\sigma \in \mathfrak{l}$ is

- semisimple iff $K(\sigma, \sigma) \neq 0$
- unipotent iff $K(\sigma, \sigma) = 0$.

Every semisimple element σ in l gives rise to an involution in G, the half-turn s_{σ} around the one-dimensional space generated by σ :

$$s_{\sigma}: \alpha \mapsto \frac{2K(\alpha, \sigma)}{K(\sigma, \sigma)}\sigma - \alpha.$$

Every involution in G is a half turn.

The set \mathfrak{I} of involutions in *G* is in one-to-one correspondence with the set of regular points of the projective plane $\mathfrak{P} = \mathfrak{P}(\mathfrak{l})$.

Weisfeiler plane

Fact

The set \mathfrak{W} (Weisfeiler plane) of 1-dimensional algebraic subgroups A in G is in one-to-one correspondence

 $A \leftrightarrow \operatorname{Lie}(A)$

with the set of points of the projective plane \mathfrak{P} .

1-dimensional subgroups of $SO_3(q)$:

- split tori: cyclic groups of order q-1;
- non-split tori: cyclic groups of order q + 1;
- maximal unipotent subgroups of order q.

Dual plane \mathfrak{P}^* of \mathfrak{P}

 \mathfrak{W} becomes the lines of \mathfrak{P} . Points of \mathfrak{P} :

- involutive (or, semisimple, or regular)
- unipotent (or, parabolic, or tangent)

Incidence relation:

- the set of involutive points of \mathfrak{P} = the set of all involutions in G.
 - A: 1-dimensional subgroup in G.
 - *l*(A): all involutions inverting A; if w is one of these
 involutions, then *l*(A) coincides with the coset Aw.

Missing points

Projective lines over \mathbb{F}_q have q+1 points.

|A| = q - 1:

• maximal unipotent subgroups normalizing A.

|A| = q:

• A itself.

|A| = q + 1:

• None.

Quadric

Let $U \in \mathfrak{W}$ be a maximal unipotent subgroup of G. Then $\mathfrak{u} = \operatorname{Lie}(U)$ is a singular point in \mathfrak{P} and belongs to the quadric \mathfrak{Q} in \mathfrak{P} given by the equation $K(\nu, \nu) = 0$ in terms of the Killing form $K(\cdot, \cdot)$ on \mathfrak{l} .

We have

$$\mathfrak{Q} = \mathfrak{P} \smallsetminus \mathfrak{I}.$$

Black box projective plane

Let **X** be a black box group encrypting $SO_3(q)$, q, odd.

Using $\boldsymbol{X},$ we construct a black box encrypting the projective plane $\mathfrak{P}.$

Points:

• Regular points:

$$(s, \mathbf{T}_s, \varpi(s))$$

 $s \in \mathfrak{I}$, \mathbf{T}_s is its torus and $\varpi(s) = \mathbf{T}_s w$, the coset of involutions inverting \mathbf{T}_s .

• Parabolic point: same as the parabolic line.

Black box projective plane

Lines:

- Parabolic line, u: pointer to a black box subgroup U × (t). Incidence:
 - Involutions in **U**t, and
 - U itself.
- Regular line, I: pointer to a black box subgroup T ⋊ ⟨w⟩. Incidence:
 - If $|\mathbf{T}| = q + 1$, then the involutions in $\mathbf{T}w$.
 - If $|{\bf T}| = q 1$, then
 - the involutions in **T***w*, and
 - two maximal unipotent subgroups normalised by **T**.

Line through two regular points

Let $s, t \in \mathfrak{I}$ be two involutions.

- Set z = st. If z is unipotent, then $\langle z^{T_s} \rangle s$ is a parabolic line.
- Otherwise, we construct an involution j := j(s, t) commuting with both s and t.
- Construct C_X(j) and the involutions inverting T_j < C_X(j) form the desired line.

Intersection of two lines

Let $\boldsymbol{k}\wedge\boldsymbol{I}$ be any two non-parabolic lines. Then

$$\mathbf{k} \wedge \mathbf{I} = \left\{ \begin{array}{l} \text{the common point of } \mathbf{k} \text{ and } \mathbf{I}, \text{ if this point belongs to } \mathfrak{I}; \\ \text{otherwise, the tangent line through the common parabolic} \\ \text{point of } \mathbf{k} \text{ and } \mathbf{I}. \end{array} \right.$$

Coordinatisation of $\ensuremath{\mathfrak{I}}$

- Construct three involutions e₁, e₂, e₃ mutually commuting with each other (Spinor basis) and H := Sym₄ containing e₁, e₂, e₃. Set E := (e₁, e₂, e₃).
- E ≤ [H, H]. Therefore the involutions e_i have spinor norm 1 and the vectors ε_i can be chosen to satisfy

$$K(\epsilon_i,\epsilon_i)=1$$

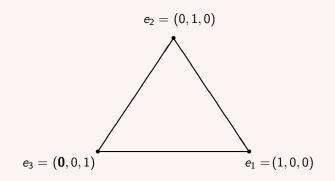
forming an orthonormal basis in $\ensuremath{\mathfrak{l}}$,

$$K(\epsilon_i,\epsilon_j)=\delta_{ij}.$$

Hence we have the quadric given by the equation

$$x_1^2 + x_2^2 + x_3^2 = 0.$$

Coordinatisation of $\ensuremath{\mathfrak{I}}$



Unity in ${\boldsymbol{\mathsf{K}}}$

Let $\Theta \in \boldsymbol{\mathsf{H}}$ be an element of order 3 with

$$\Theta: e_1 \rightarrow e_2 \rightarrow e_3 \rightarrow e_1$$

Let $d_1 \in N_{\mathsf{H}}(\langle \Theta \rangle)$ be an involution such that

$$e_1^{d_1} = e_1$$

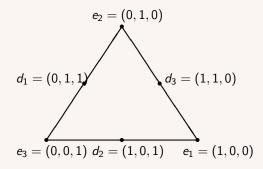
Then

$$e_2^{d_1} = e_3.$$

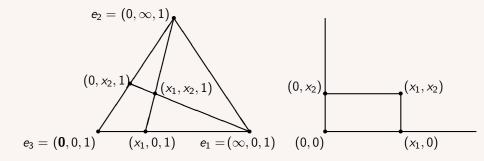
Unity in K

Assign to d_1 the coordinates (0, 1, 1).

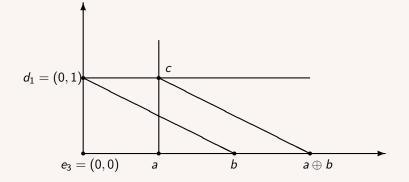
Set $d_2 = d_1^{\Theta} = (1, 0, 1)$ and $d_3 = d_1^{\Theta^2} = (1, 1, 0)$.



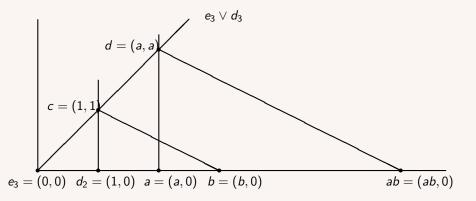
Coordinatisation of $\ensuremath{\mathfrak{I}}$



Addition in **K** on the axis $e_1 \vee e_3$



Multiplication on K



Morphisms $SO_3(\mathbf{K}) \rightarrow \mathbf{X} \rightarrow SO_3(\mathbf{K})$

The action of \boldsymbol{X} on $\boldsymbol{\Im}$ gives morphisms

 $X \leftrightarrow SO_3(K).$

Black box fields

Theorem (Lenstra Jr 1991; Maurer and Raub 2007) Let K and L be black box fields encrypting the same finite field and K_0 , L_0 their prime subfield. Then a morphism

 $\textbf{K}_0 \longrightarrow \textbf{L}_0$

can be extended, with the help of a polynomial time construction, to a morphism

 $\textbf{K} \longrightarrow \textbf{L}.$

Unipotents are not invisible anymore!

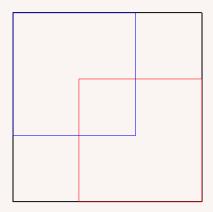
On $e_1 \lor e_3$, start adding the unity $\mathbf{1}$ to itself.

- If the addition fails at $(c-1)\mathbf{1}\oplus\mathbf{1}$, it means that
 - $p \equiv 1 \mod 4$, and
 - c² + 1 = p, that is, the coordinate of one of the unipotents on the axis e₁ ∨ e₃ is at c. The other one is at -c.
 - This failure produces a unipotent element.
- If the addition never fails and produces the involution e_3 at a coordinate, then
 - $p \equiv -1 \mod 4$, and
 - the characteristic of the field is this coordinate.
 - Solve $x_1^2 + c^2 + 1 = 0$ for a random involution c on $e_1 \vee e_3$.



Construction of unipotent elements has been tested on GAP up to 10 digit primes.

Brauer: Characterisation of $PGL_3(q)$, q odd



Spinor basis

$$e_1 = \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \ e_2 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{bmatrix}, \ e_3 = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$$

Desarguesian Plane: Points and lines of $\mathfrak P$

Let
$$M_1 = \{ \begin{bmatrix} 1 & 0 & * \\ 0 & 1 & * \\ 0 & 0 & 1 \end{bmatrix} | * \in \mathbb{F} \}$$
 and $\tilde{M_1} = \{ \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ * & * & 1 \end{bmatrix} | * \in \mathbb{F} \}$

• Points:
$$\{(e_1M_1)^g \mid g \in G\} = \{ \begin{bmatrix} -1 & 0 & * \\ 0 & -1 & * \\ 0 & 0 & 1 \end{bmatrix}^g \mid g \in G \}$$

• Lines: $\{(e_1\tilde{M}_1)^h \mid h \in G\} = \{ \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ * & * & 1 \end{bmatrix}^h \mid h \in G \}$

Incidence relation

The point *p* lies on a line ℓ if $p \cap \ell = \emptyset$.

The plane consisting of these points and lines is a projective plane $\mathfrak P$ associated with $\mathrm{PGL}_3.$

Black box projective plane

Let $\mathbf{X} = \operatorname{PGL}_3(q)$, q odd.

Involutions in **X** are pointers to both the points and the lines.

Two involutions i, j represents the same point if and only if ij is unipotent. Similarly, for the lines.

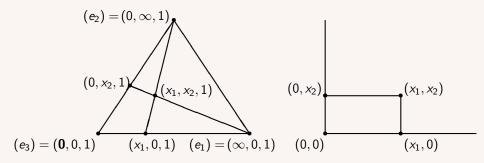
Lines through two points: Reification strikes back!

Fact

Let $x, y \in \mathbf{X}$ be two distinct commuting involutions, then the point (x) lies on the line [y].

Let $x, y \in \mathbf{X}$ be two involutions and $z \in \mathbf{X}$ be an involution commuting with both x, y. Then $(x), (y) \in [z]$.

Coordinatisation



Black box field K

Addition and multiplication involves

- $1. \ \mbox{constructing lines from two points, and}$
- 2. finding the intersection point of two lines.

Same as before!

Morphisms $\operatorname{PGL}_3(\mathsf{K}) \to \mathsf{X} \to \operatorname{PGL}_3(\mathsf{K})$

The action of ${\boldsymbol{\mathsf{X}}}$ on ${\boldsymbol{\mathfrak{P}}}$ gives morphisms

 $\mathbf{X} \leftrightarrow \mathrm{PGL}_3(\mathbf{K}).$

Recursion step: PGL_3 -oracle

Theorem (Borovik and Y.)

Given a global exponent E for a black box group X encrypting PGL_3 over some finite field of unknown odd characteristic p, we construct, in probabilistic time polynomial in log E,

- a black box field K, and
- the following isomorphisms

$$\operatorname{PGL}_3({\boldsymbol{\mathsf{K}}}) \longrightarrow {\boldsymbol{\mathsf{X}}} \longrightarrow \operatorname{PGL}_3({\boldsymbol{\mathsf{K}}}).$$

If p is known and \mathbb{F} is the standard explicitly given finite field of characteristic p isomorphic to the field on which X is defined then we also construct, in log E-time, an isomorphism

$$\operatorname{PGL}_3(\mathbb{F}) \longrightarrow \operatorname{PGL}_3(\mathbf{K}).$$

Structural recognition of Lie type groups

Borovik-Y, work in progress:

For BB groups **X** encrypting simple group of Lie type G = G(F), where F is an unknown field of odd order, we have a probabilistic algorithm which constructs

- a BB field **K** encrypting *F*, and
- an effective isomorphisms between $G(\mathbf{K})$ and \mathbf{X} .

The algorithm runs in time polynomial in $\log |G|$.