

The H -principle for Isometric Embeddings

Vincent Borrelli

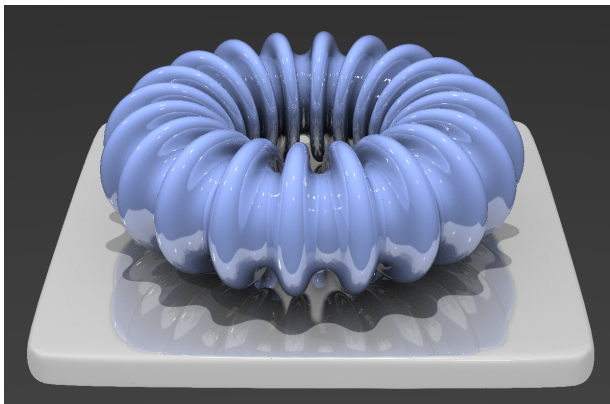
Université Lyon 1

Isometric
Maps

How to deal
with the non-
ampleness ?

The one
dimensional
case

How to deal
with a closed
relation ?



Isometric Maps

Definition.— A map $f : (M^n, g) \xrightarrow{C^1} \mathbb{E}^q$ is an *isometry* if $f^*\langle ., . \rangle = g$.

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In a local coordinate system $x = (x_1, \dots, x_n)$:

$$1 \leq i \leq j \leq n, \quad \left\langle \frac{\partial f}{\partial x_i}(x), \frac{\partial f}{\partial x_j}(x) \right\rangle = g_{ij}(x)$$

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The Janet dimension :

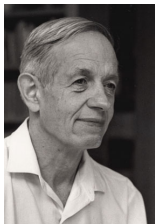
$$s_n = \frac{n(n+1)}{2}.$$

Nash-Kuiper Theorem

Definition.— A map $f : (M^n, g) \xrightarrow{C^1} \mathbb{E}^q$ is called *strictly short* if $f^*\langle \cdot, \cdot \rangle < g$.

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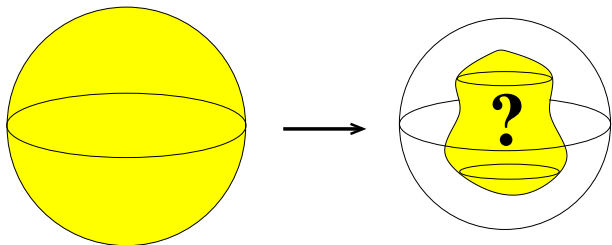
John Forbes Nash



Nicolaas Kuiper

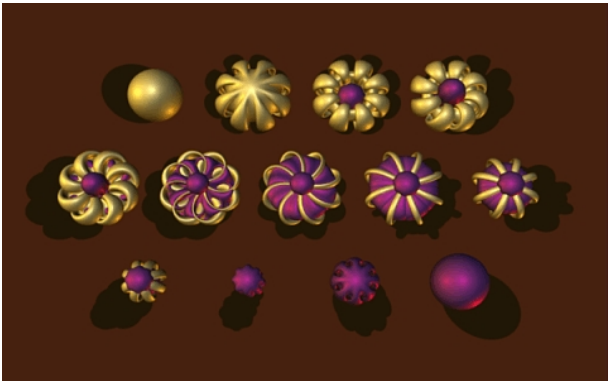
Theorem (1954-55-86).— Let M^n be a compact Riemannian manifold and $f_0 : (M^n, g) \xrightarrow{C^1} \mathbb{E}^q$ be a strictly short embedding. Then, for every $\epsilon > 0$, there exists a C^1 isometric embedding $f : (M^n, g) \rightarrow \mathbb{E}^q$ such that $\|f - f_0\|_{C^0} \leq \epsilon$.

Nash-Kuiper Spheres



Nash-Kuiper Spheres.— *Let $0 < r < 1$. There exists a C^1 -isometric embedding of the unit sphere of \mathbb{E}^3 in a ball of radius r .*

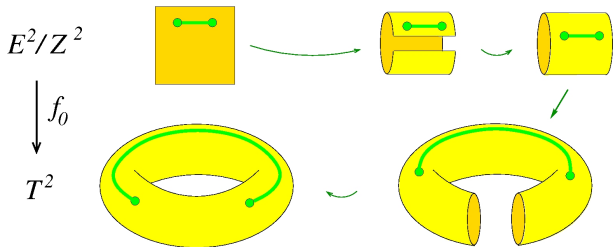
Sphere Eversion



Sphere Eversion.— *The sphere $\mathbb{S}^2 \subset \mathbb{E}^3$ can be turned inside out by a regular homotopy of isometric C^1 immersions.*

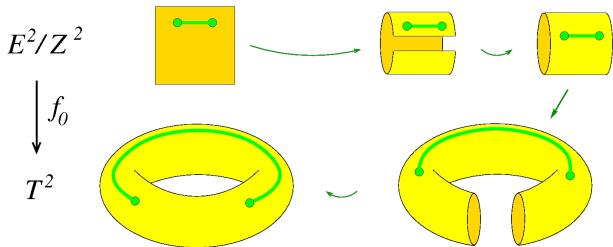
Flat Tori

Definition.— Any quotient \mathbb{E}^2/Λ of the Euclidean 2-space by a lattice $\Lambda \subset \mathbb{E}^2$ is called a *flat torus*



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Definition.— Any quotient \mathbb{E}^2/Λ of the Euclidean 2-space by a lattice $\Lambda \subset \mathbb{E}^2$ is called a *flat torus*



Flat Tori.— Any flat torus \mathbb{E}^2/Λ admits a C^1 isometric embedding in \mathbb{E}^3 .

Our Goal

Goal of this talk.— To recover the Nash-Kuiper result on C^1 isometric embeddings from the machinery of the Gromov Integration Theory.

Our main ingredient.— The Gromov Theorem :

Let $\mathcal{R} \subset J^1(M, N)$ be an open and ample differential relation. Then \mathcal{R} satisfies the parametric h -principle i. e.

$$J : \text{Sol}(\mathcal{R}) \longrightarrow \Gamma(\mathcal{R})$$

is a weak homotopy equivalence.

Our main obstacles.—

- The isometric relation is not ample
- The isometric relation is closed

Decomposition

- For simplicity $M^n = [0, 1]^n$.
- The image of the metric distortion

$$\Delta := g - f_0^* \langle \cdot, \cdot \rangle_{\mathbb{E}^q}$$

lies inside the positive cone \mathcal{M} of inner products of \mathbb{E}^n .

- There exist $S \geq \frac{n(n+1)}{2}$ linear forms ℓ_1, \dots, ℓ_S of \mathbb{E}^n such that

$$g - f_0^* \langle \cdot, \cdot \rangle_{\mathbb{E}^q} = \sum_{j=1}^S \rho_j \ell_j \otimes \ell_j$$

where $\rho_j > 0$.

Adapting the Gromov machinery

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The strategy is to do the successive convex integrations along the S directions corresponding to the S linear forms ℓ_1, \dots, ℓ_S .

rather than

along the n directions of the coordinates in $[0, 1]^n$.

Adapting the Gromov machinery

- This will produce S intermediary maps

$$f_1, \dots, f_S$$

such that

$$\begin{array}{rcl} g - f_1^* \langle \cdot, \cdot \rangle_{\mathbb{E}^q} & \approx & \rho_2 \ell_2^2 + \rho_3 \ell_3^2 + \dots + \rho_S \ell_S^2 \\ g - f_2^* \langle \cdot, \cdot \rangle_{\mathbb{E}^q} & \approx & \rho_3 \ell_3^2 + \dots + \rho_S \ell_S^2 \\ & \vdots & \\ g - f_{S-1}^* \langle \cdot, \cdot \rangle_{\mathbb{E}^q} & \approx & \rho_S \ell_S^2 \\ g - f_S^* \langle \cdot, \cdot \rangle_{\mathbb{E}^q} & \approx & 0. \end{array}$$

- The map $f := f_S$ is then a solution of $\tilde{\mathcal{R}} = Op(\mathcal{R})$.

Adapting the Gromov machinery

We have

$$\begin{aligned} f_j^* \langle ., . \rangle_{\mathbb{E}^q} - f_{j-1}^* \langle ., . \rangle_{\mathbb{E}^q} &= (g - f_{j-1}^* \langle ., . \rangle_{\mathbb{E}^q}) - (g - f_j^* \langle ., . \rangle_{\mathbb{E}^q}) \\ &\approx \rho_j \ell_j \otimes \ell_j. \end{aligned}$$

Hence, the fundamental problem is the following :

Fundamental Problem.— *Given a positive function ρ , a linear form $\ell \neq 0$ and an embedding f_0 how to build an other embedding f such that*

$$f^* \langle ., . \rangle_{\mathbb{E}^q} \approx \mu$$

where $\mu := f_0^* \langle ., . \rangle_{\mathbb{E}^q} + \rho \ell \otimes \ell$?

The one dimensional case

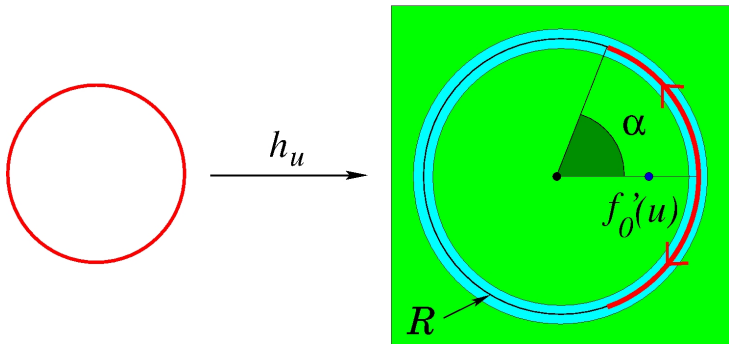
One dimensional fundamental problem.— Let $f_0 : [0, 1] \longrightarrow \mathbb{E}^q$ be an embedding, ρ a positive function, $\ell \neq 0$ a linear form on \mathbb{R} , how to build an other embedding $f : [0, 1] \longrightarrow \mathbb{E}^q$ such that

$$\forall u \in [0, 1], \quad \|f'(u)\|^2 \approx \|f'_0(u)\|^2 + \rho(u)\ell^2(\partial_u) \quad ?$$

- For short, we set

$$r(u) := \sqrt{\|f'_0(u)\|^2 + \rho(u)\ell^2(\partial_u)}.$$

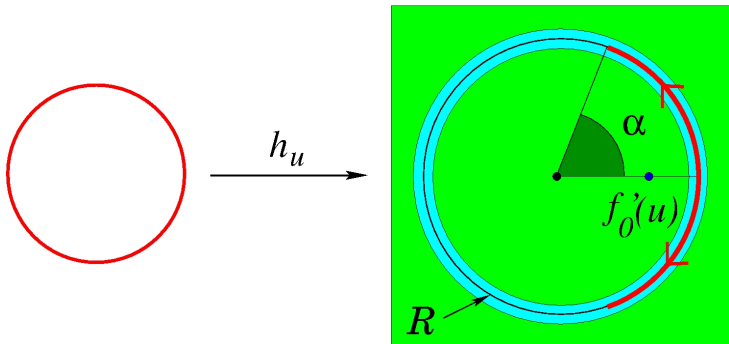
Choosing the Loops



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$$\forall s \in \mathbb{R}/\mathbb{Z}, \quad h_u(s) := r(u) \mathbf{e}^{i\alpha(u) \cos(2\pi s)}$$

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and $\alpha(u) > 0$ is such that

$$\int_0^1 r(u) \mathbf{e}^{i\alpha(u) \cos(2\pi s)} ds = f'_0(u).$$

Our Convex Integration Process

- The *convex integration formula* :

$$f(t) := f_0(0) + \int_0^t r(u) \mathbf{e}^{i\alpha(u) \cos 2\pi \mathbf{N}_u} du.$$

where $\mathbf{e}^{i\theta} := \cos \theta \mathbf{t} + \sin \theta \mathbf{n}$ with $\mathbf{t} := \frac{f'_0}{\|f'_0\|}$ and \mathbf{n} is a unit normal to the curve f_0 .

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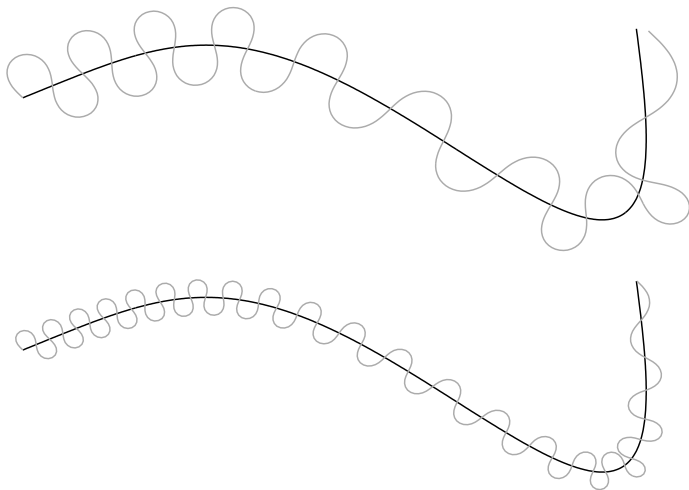
where $\mathbf{e}^{i\theta} := \cos \theta \mathbf{t} + \sin \theta \mathbf{n}$ with $\mathbf{t} := \frac{f'_0}{\|f'_0\|}$ and \mathbf{n} is a unit normal to the curve f_0 .

Lemma.— *The map f solves the one dimensional fundamental problem. Its speed $\|f'\|$ is equal to the given function $r = (\|f'_0\|^2 + \rho \ell^2(\partial_c))^{1/2}$. Moreover*

$$\|f - f_0\|_{C^0} = O\left(\frac{1}{N}\right)$$

and if N is large enough f is an embedding.

Our Convex Integration Process



A short curve f_0 (black) and the curve f obtained with the one dimensional convex integration formula (grey, $N = 9$ and $N = 20$).

A technical difficulty

- We assume for simplicity that $\ker \ell = \text{Span}(e_2, \dots, e_n)$ and $\ell(e_1) = 1$.
- Let $s \in [0, 1]$ and $c = (c_2, \dots, c_n) \in [0, 1]^{n-1}$, we set

$$f(s, c) := f_0(0, c) + \int_0^s r(u, c) \mathbf{e}^{i\alpha(u, c) \cos 2\pi Nu} du$$

with $r = \sqrt{\mu(e_1, e_1)} = \sqrt{\|df_0(e_1)\|^2 + \rho}$.

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- The map f is **not** a solution of our Fundamental Problem. We do not have

$$\|f^*\langle \cdot, \cdot \rangle_{\mathbb{E}^q} - \mu\|_{C^0} = O\left(\frac{1}{N}\right)$$

with $\mu := f_0^*\langle \cdot, \cdot \rangle_{\mathbb{E}^q} + \rho \ell \otimes \ell$.

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- By $C^{1,\hat{1}}$ -density we have

$$df(e_j) = df_0(e_j) + O\left(\frac{1}{N}\right)$$

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- Thus, for all $1 < i, 1 < j$, we have

$$\begin{aligned}(f^*\langle ., . \rangle_{\mathbb{E}^q})(e_i, e_j) &= \langle df(e_i), df(e_j) \rangle_{\mathbb{E}^q} \\ &= \langle df_0(e_i), df_0(e_j) \rangle_{\mathbb{E}^q} + O\left(\frac{1}{N}\right) \\ &= \mu(e_i, e_j) + O\left(\frac{1}{N}\right)\end{aligned}$$

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- Therefore

$$\|(f^*\langle \cdot, \cdot \rangle_{\mathbb{E}^q} - \mu)(e_i, e_j)\|_{C^0} = O\left(\frac{1}{N}\right)$$

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$$df_{(s,c)}(e_1) = r(s, c)e^{i\alpha(s,c) \cos 2\pi Ns}.$$

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- Therefore

$$\|(f^*\langle \cdot, \cdot \rangle_{\mathbb{E}^q} - \mu)(e_1, e_1)\|_{C^0} = 0.$$

A technical difficulty

- The problem arises with the mixed term $\langle df(e_1), df(e_j) \rangle_{\mathbb{E}^q}$, $j > 1$.

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- The problem arises with the mixed term $\langle df(e_1), df(e_j) \rangle_{\mathbb{E}^q}$, $j > 1$.
- Indeed, in the one hand

$$\begin{aligned}
 (f^* \langle \cdot, \cdot \rangle_{\mathbb{E}^q})(e_1, e_j) &= \langle df(e_1), df(e_j) \rangle_{\mathbb{E}^q} \\
 &= \langle df(e_1), df_0(e_j) \rangle_{\mathbb{E}^q} + O\left(\frac{1}{N}\right) \\
 &= \langle r e^{i\star}, df_0(e_j) \rangle_{\mathbb{E}^q} + O\left(\frac{1}{N}\right) \\
 &= \langle r \cos(\star) \mathbf{t}, df_0(e_j) \rangle_{\mathbb{E}^q} \\
 &\quad + \langle r \sin(\star) \mathbf{n}, df_0(e_j) \rangle_{\mathbb{E}^q} + O\left(\frac{1}{N}\right) \\
 &= \frac{r \cos(\star)}{\|df_0(e_1)\|} \langle df_0(e_1), df_0(e_j) \rangle_{\mathbb{E}^q} \\
 &\quad + O\left(\frac{1}{N}\right)
 \end{aligned}$$

A technical difficulty

- In the other hand, since $\ell(e_j) = 0$, we have

$$\mu(e_1, e_j) = \langle df_0(e_1), df_0(e_j) \rangle_{\mathbb{E}^q}.$$

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- Therefore

$$\|(f^* \langle \cdot, \cdot \rangle_{\mathbb{E}^q} - \mu)(e_1, e_j)\|_{C^0} \neq O\left(\frac{1}{N}\right)$$

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unless $\langle df_0(e_i), df_0(e_j) \rangle_{\mathbb{E}^q} \equiv 0$.

Claim.— This difficulty vanishes if the convex integration is done along the integral lines of a vector field W such that

$$\forall j \in \{2, \dots, m\}, \quad \mu(W, e_j) = 0$$

i. e. W is μ -orthogonal to $\ker \ell$.

Adjusting the convex integration formula

Proposition.— *The resulting map f solves the fundamental problem. Precisely*

$$\|f^*\langle \cdot, \cdot \rangle_{\mathbb{E}^q} - \mu\| = O\left(\frac{1}{N}\right)$$

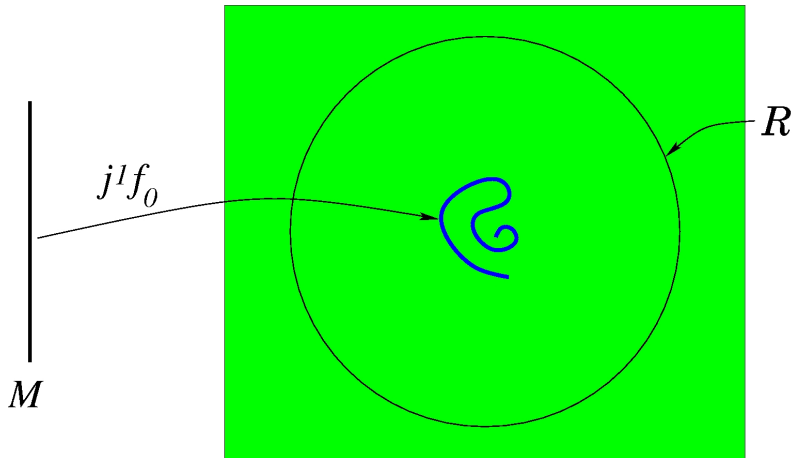
where $\mu = f_0^*\langle \cdot, \cdot \rangle_{\mathbb{E}^q} + \rho \ell \otimes \ell$. Moreover

$$1) \|f - f_0\|_{C^0} = O\left(\frac{1}{N}\right),$$

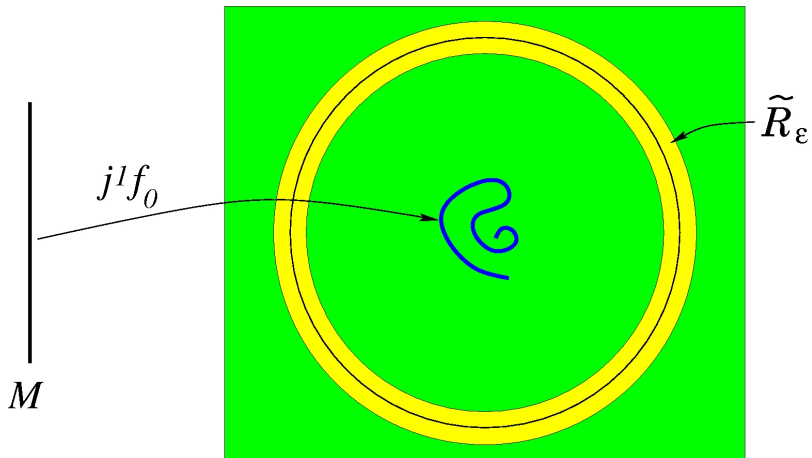
$$2) \|df - df_0\|_{C^0} \leq \frac{Cte}{N} + \sqrt{7}\rho^{\frac{1}{2}}|\ell(W)|,$$

and if N is large enough, f is an embedding.

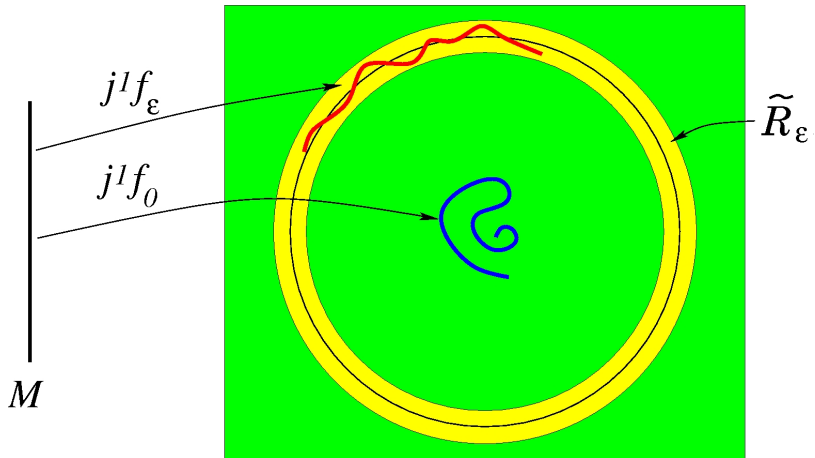
Thickening the Differential Relation



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Question.— Is the limit

$$\lim_{\epsilon \rightarrow 0} f_\epsilon$$

an isometric map (if it exists) ?

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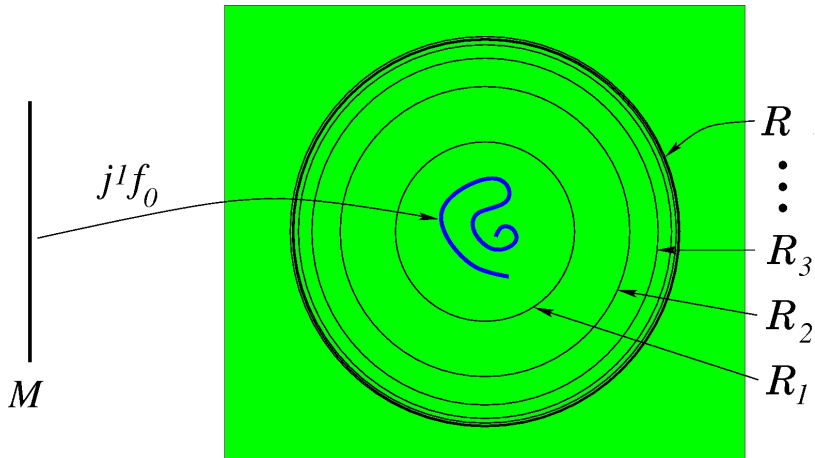
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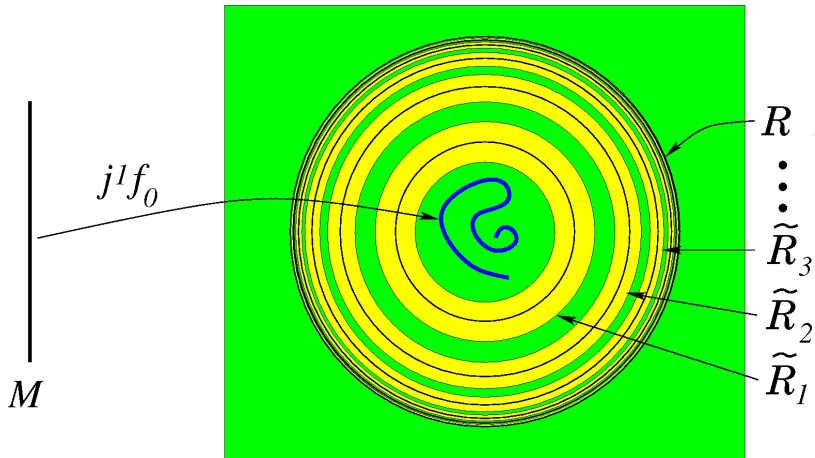
Answer.— No !

$$\lim_{\epsilon \rightarrow 0} f_\epsilon = f_0.$$

Approximating the Differential Relation



Approximating the Differential Relation



Iterated Convex Integrations

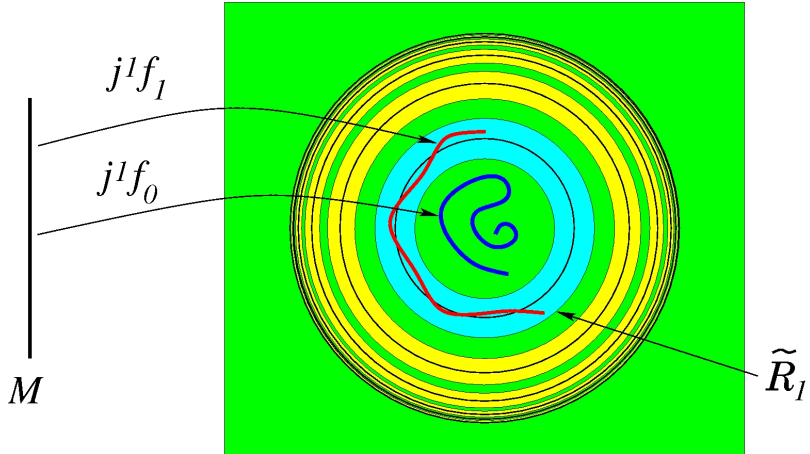
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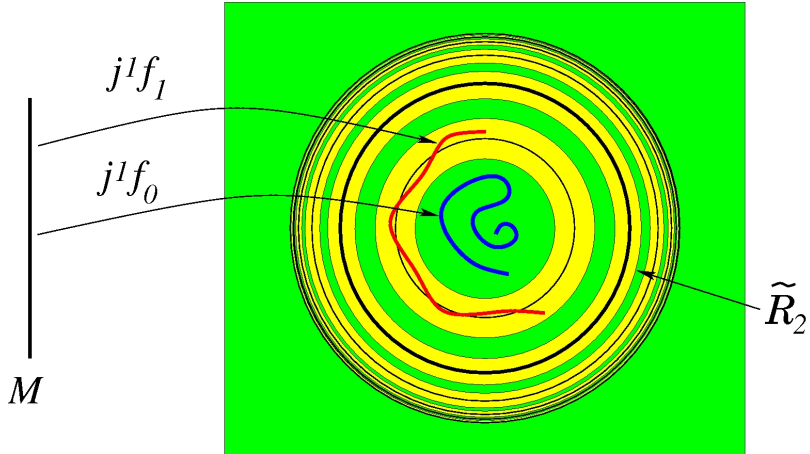
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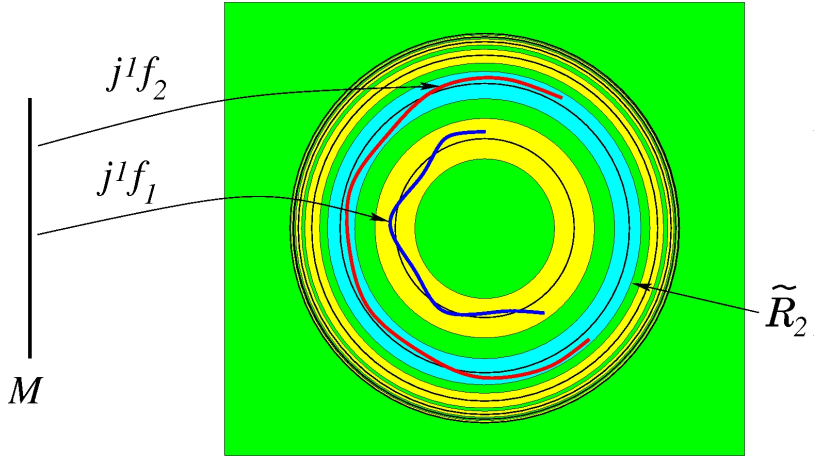
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Answer.— Yes !

Let us see why

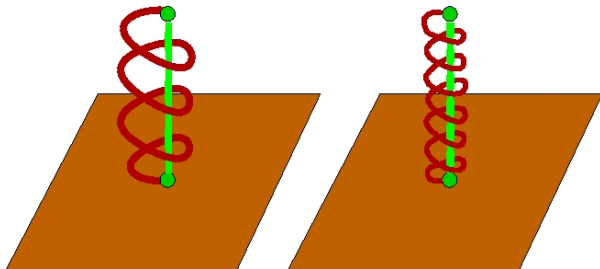
- i) it is C^0 converging,
- ii) it is C^1 converging.

Consequently

$$f_\infty := \lim_{k \rightarrow +\infty} f_k \text{ is a } C^1 \text{ isometric map.}$$

C^0 Convergence

It is enough to control the difference $\|f_k - f_{k-1}\|_{C^0}$.

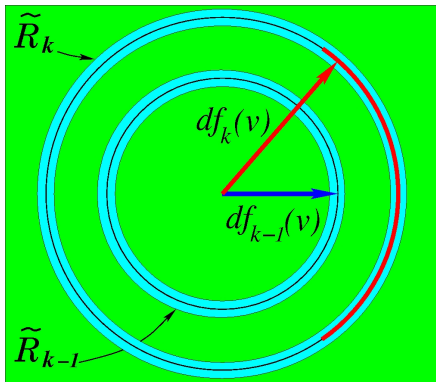


We set

$$f_\infty = \lim_{k \rightarrow +\infty} f_k.$$

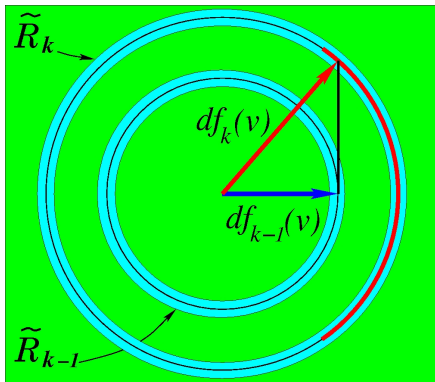
C^1 convergence

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C^1 convergence

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$$\|df_k - df_{k-1}\|_{C^0} \leq C^{te} \sqrt{\text{dist}(\tilde{R}_{k-1}, \tilde{R}_k)}$$

John Nash

