

Generic transversality of travelling fronts, standing fronts, and standing pulses for parabolic gradient systems

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For nonlinear parabolic systems of the form

$$\partial_t w(x, t) = \partial_x^2 w(x, t) - \nabla V(w(x, t)),$$

the following conclusions are proved to hold generically with respect to the potential V : every travelling front invading a minimum point of V is bistable, there is no standing front, every standing pulse is stable at infinity, the profiles of these fronts and pulses approach their limits at $\pm\infty$ tangentially to the eigenspaces corresponding to the smallest eigenvalues of D^2V at these points, these fronts and pulses are robust with respect to small perturbations of the potential, and the set of their profiles is discrete. These conclusions are obtained as consequences of generic transversality results for heteroclinic and homoclinic solutions of the differential systems governing the profiles of such fronts and pulses. Among these results, it is proved that, for a generic Hamiltonian system of the form

$$\ddot{u} = \nabla V(u),$$

every asymmetric homoclinic orbit is transverse and every symmetric homoclinic orbit is elementary.

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1 Introduction

The purpose of this paper is to address the generic properties of travelling fronts and standing fronts/pulses of nonlinear parabolic systems of the form

$$(1.1) \quad \partial_t w(x, t) = \partial_x^2 w(x, t) - \nabla V(w(x, t)),$$

where the time variable t and the space variable x are real, the spatial domain is the whole real line, the function $(x, t) \mapsto w(x, t)$ takes its values in \mathbb{R}^d with d a positive integer, and the nonlinearity is the gradient of a *potential* function $V : \mathbb{R}^d \rightarrow \mathbb{R}$, which is assumed to be regular (of class at least \mathcal{C}^2). Travelling fronts and standing fronts/pulses are the solutions of system (1.1) of the form $w(x, t) = u(x - ct)$ that are stationary in a travelling ($c > 0$) or standing ($c = 0$) frame and that approach critical points of V at the two ends of space. An insight into the main results of this paper (Theorem 1.7, completed with Theorem 1.8) is provided by the following corollary, illustrated by Figure 1.1. Its terms are precisely defined in the following subsections.

Corollary 1.1. *For a generic potential V the following conclusions hold:*

1. *every travelling front invading a minimum point of V is bistable;*
2. *there is no standing front, and every standing pulse is stable at infinity;*
3. *the set of all bistable travelling fronts and all standing pulses is discrete;*
4. *every travelling front and every standing pulse (considered individually) is robust with respect to small perturbations of V ;*
5. *the profile of every bistable travelling front or standing pulse stable at infinity approaches its limit at $+\infty$ ($-\infty$) tangentially to the eigenspace corresponding to the smallest eigenvalue of D^2V at this point.*

1.1 Travelling fronts, standing fronts and standing pulses

Let c be a real quantity. A function

$$u : \mathbb{R} \rightarrow \mathbb{R}^d, \quad \xi \mapsto u(\xi)$$

is the profile of a wave travelling at the speed c (if c is nonzero), respectively a stationary solution (if c equals 0), for system (1.1) if the function $w : (x, t) \mapsto u(x - ct)$ is a solution of this system, that is if u is a solution of the second order differential system

$$(1.2) \quad \ddot{u} = -c\dot{u} + \nabla V(u),$$

where \dot{u} and \ddot{u} denote the first and second derivatives of u . Up to applying the transform $(\xi, c) \mapsto (-\xi, -c)$, which leaves system (1.2) unchanged, we may assume that the speed c is nonnegative (and will always do so). Let us recall that a critical point of the

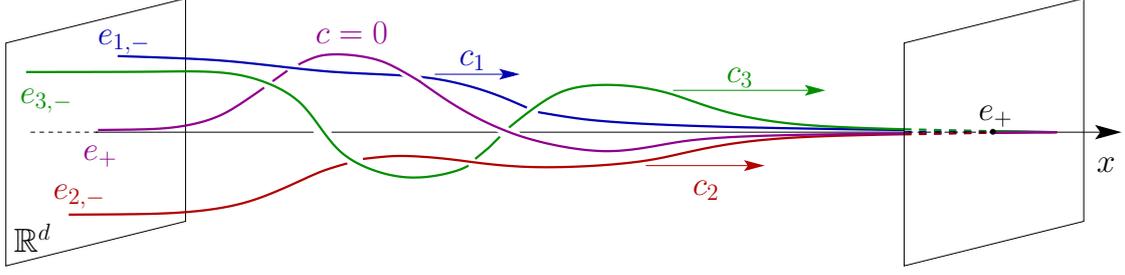


Figure 1.1: Illustration of Corollary 1.1. The coloured lines represent the profiles of travelling fronts or standing fronts/pulses $w_i(x, t) = u_i(x - c_i t)$ approaching a minimum point e_+ of a given potential at the right end of space. If this potential is generic, the critical point $e_{i,-}$ approached at the left end of space by every such profile must be a minimum point, and for the standing profile (speed $c = 0$) this minimum point must be e_+ . In addition, these profiles (up to translation of the argument) are isolated from each other, so that the set of such profiles (up to translation of the argument) is countable with respect to both speed and profile, and robust with respect to small perturbations of the potential. Additionally (conclusion 5), these profiles approach their limits e_+ ($e_{i,-}$) tangentially to the eigenspace corresponding to the smallest eigenvalue of $D^2V(e_+)$ ($D^2V(e_{i,-})$), but this last feature is not displayed on the figure.

potential V is a point e of \mathbb{R}^d such that $\nabla V(e) = 0$, and that a non-degenerate local minimum point of V is a critical point m of V such that $D^2V(m)$ is positive definite. If e_- and e_+ are two critical points of V , and if u is a *non-constant* global solution of system (1.2) such that the following limits hold

$$(1.3) \quad u(\xi) \xrightarrow{\xi \rightarrow -\infty} e_- \quad \text{and} \quad u(\xi) \xrightarrow{\xi \rightarrow -\infty} e_+,$$

then the solution $(x, t) \mapsto u(x - ct)$ of (1.1) is said to *connect* e_- to e_+ and is called:

- a *travelling front* if $c \neq 0$ and $e_- \neq e_+$,
- a *standing front* if $c = 0$ and $e_- \neq e_+$,
- a *standing pulse* if $c = 0$ and $e_- = e_+$.

In addition, a travelling or standing front connecting a critical point e_- to a critical point e_+ is said to be *bistable* if both these critical points are non-degenerate (local or global) minimum points of V . Accordingly, a standing pulse connecting a critical point to itself is said to be *stable at infinity* if this critical point is a non-degenerate (local or global) minimum point of V . Among standing pulses, it is relevant to distinguish *symmetric pulses*, which are even with respect to some time (the solution goes back and forth following the same path), from *asymmetric pulses* which are not.

Travelling fronts and standing fronts and pulses can be interpreted in terms of energy as follows. Let us denote by \tilde{V} the opposite potential $-V$. Then, in system (1.2) (where the argument ξ plays the role of a time), the speed plays the role of a damping coefficient, and

the nonlinear conservative force derives from the potential \tilde{V} . In other words, the system governs the motion of a ball rolling on the graph of \tilde{V} , submitted to the gravitational force and to a friction force $-c\dot{u}$. Its *Hamiltonian energy* is the function H_V defined as:

$$(1.4) \quad H_V : \mathbb{R}^{2d} \rightarrow \mathbb{R}, \quad (u, v) \mapsto \frac{1}{2}|v|^2 - V(u) = \frac{1}{2}|v|^2 + \tilde{V}(u),$$

and, for every solution $\xi \mapsto u(\xi)$ of this system and every time ξ where this solution is defined, the time derivative of H_V along this solution reads

$$(1.5) \quad \frac{d}{d\xi} H_V(u(\xi), \dot{u}(\xi)) = -c|\dot{u}(\xi)|^2.$$

As a consequence, if such a solution satisfies the limits (1.3),

- if c is positive then e_- and e_+ must differ and $V(e_-)$ must be less than $V(e_+)$; then,
 - from the point of view of the parabolic system (1.1), the travelling front will be said to *invade* the “higher” (with respect to V) critical point e_+ (which is “replaced” with the “lower” one e_-);
 - from the point of view of the Hamiltonian system (1.2) the damping “absorbs” the positive lag $\tilde{V}(e_-) - \tilde{V}(e_+)$ (the “higher” critical point with respect to \tilde{V} is e_- and the “lower” one is e_+);
- and if c is zero then e_- and e_+ must belong to the same level set of V .

In addition, as explained on Figures 1.2 to 1.4, the mechanical interpretation provides an intuitive explanation of Corollary 1.1.

1.2 Differential system governing the profiles of fronts and pulses

Keeping the previous notation, let us consider the vector field

$$(1.6) \quad F_{c,V} : \mathbb{R}^{2d} \rightarrow \mathbb{R}^{2d}, \quad \begin{pmatrix} u \\ v \end{pmatrix} \mapsto \begin{pmatrix} v \\ \nabla V(u) - cv \end{pmatrix}.$$

The second order differential system (1.2) is equivalent to the first order differential system

$$(1.7) \quad \begin{cases} \dot{u} = v \\ \dot{v} = \nabla V(u) - cv \end{cases} \quad \text{or equivalently} \quad \dot{U} = F_{c,V}(U) \quad \text{with} \quad U = (u, v) \in \mathbb{R}^{2d}.$$

A point E of \mathbb{R}^{2d} is an equilibrium point of system (1.7) if and only if there exists a critical point e of V such that E equals $(e, 0)$. Assume that e is *non-degenerate*, or in other words that 0 is not in the spectrum of the symmetric matrix $D^2V(e)$. Let $W_{c,V}^s(E)$ and $W_{c,V}^u(E)$ denote the *stable* and *unstable manifolds* of E for the differential system (1.7). Recall that each of these manifolds is defined as the union of the images of the solutions $\xi \mapsto U(\xi)$ that converge to E at an exponential rate as ξ goes to $+\infty/-\infty$,

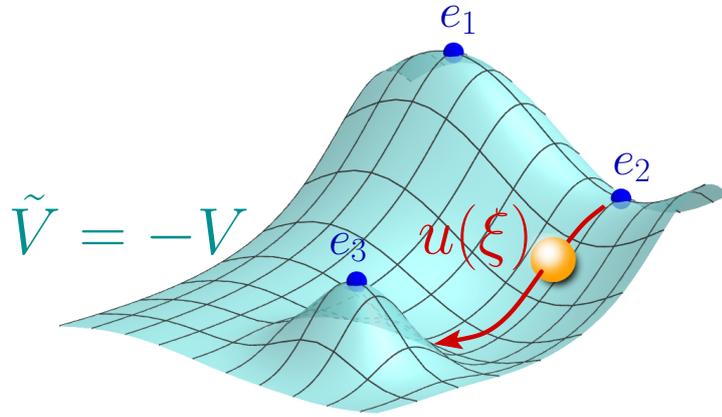


Figure 1.2: Heteroclinic connections between critical points belonging to different level sets of V for system (1.2) (dimension d equals 2). This system governs the motion of a ball rolling on the surface $u \mapsto \tilde{V}(u) = -V(u)$, submitted to the gravitational force and to a friction force $-c\dot{u}$. The minimum points e_1 and e_3 of V are maximum points for $-V$, whereas e_2 denotes a saddle point. A travelling front connecting e_1 or e_2 to e_3 corresponds to the ball leaving e_1 or e_2 with speed zero at time $-\infty$, and rolling towards e_3 with the suitable damping c such that it reaches e_3 at rest when time goes to $+\infty$. Roughly speaking, this asymptotic behaviour in the future requires two conditions: the right direction (towards e_3) and the right damping (to reach e_3 and stop there). As can be intuitively seen on the figure, starting from e_1 provides two degrees of freedom (direction and damping), whereas starting from e_2 provides only one (damping). For that reason, connections between e_1 and e_3 (bistable travelling fronts invading e_3) are expected to occur generically and to be a robust feature, by contrast with connections between e_2 and e_3 (non bistable travelling fronts invading e_3), which should occur only for rare potentials. Conclusions 1, 3 and 4 of Corollary 1.1 above and Theorem 1.7 below formally confirm these expectations. In addition, for a generic potential, such connections approach their limits at both ends of \mathbb{R} tangentially to the directions of smallest curvature of the potential at these (maximum) points (conclusion 5 of Corollary 1.1 above and Theorem 1.8 below).

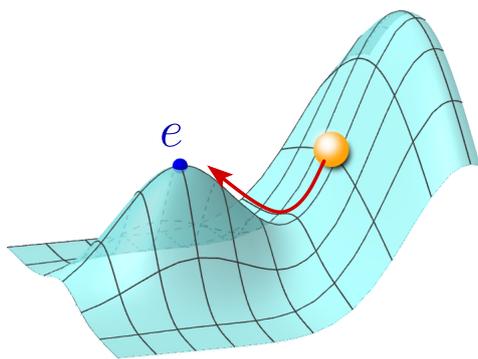


Figure 1.3: A symmetric standing pulse. A ball is dropped with speed zero at the same level of V as the critical point e , and there is no damping. Because the Hamiltonian (energy) is conserved, reaching e as time goes to $+\infty$ only requires to adjust the “direction” towards e . If e is a minimum point of V (a maximum point of $-V$) as on the figure, this condition can be fulfilled by choosing the adequate dropping point on the one-dimensional level set $V^{-1}(V(e))$. If by contrast e was a saddle point, the dropping point should *also* lie on the one-dimensional stable manifold of e , adding an additional condition. For that reason, symmetric standing pulses stable at infinity are expected to be a generic and robust feature, whereas those not stable at infinity should not occur but for rare potentials. Conclusions 2 to 4 of Corollary 1.1 above and Theorem 1.7 below confirm these expectations. In addition, for a generic potential, such a symmetric standing pulse approaches its limit e (at both ends of \mathbb{R}) tangentially to the direction of the smallest curvature of the potential at e (conclusion 5 of Corollary 1.1 above and Theorem 1.8 below).

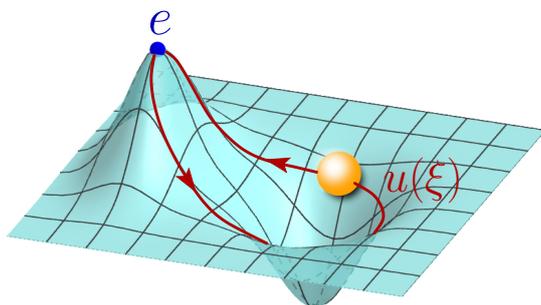


Figure 1.4: An asymmetric standing pulse. A ball “leaves” the critical point e with speed zero at time $-\infty$, and there is no damping. Because the Hamiltonian (energy) is conserved, going back to e as time goes to $+\infty$ only requires to adjust the “direction” towards e . If e is a minimum point of V (a maximum point of $-V$) as on the figure, this condition can be fulfilled by leaving e in the adequate direction. If by contrast e was a saddle point, there would be no choice for the direction of departure (nor for the direction of arrival). For that reason, asymmetric standing pulses stable at infinity are expected to be a generic and robust feature, whereas those not stable at infinity should not occur but for rare potentials. Conclusions 2 to 4 of Corollary 1.1 above and Theorem 1.7 below confirm these expectations. In addition again, for a generic potential, such a solution approaches its limit e (at both ends of \mathbb{R}) tangentially to the direction of the smallest curvature of the potential at e (conclusion 5 of Corollary 1.1 above and Theorem 1.8 below).

tangentially to the stable/unstable linear space of this equilibrium (see section 2). The following statement, proved in subsection 3.1, formalizes the correspondence between the profiles of travelling fronts and standing fronts/pulses and the intersections between such manifolds.

Proposition 1.2. *Let e_- and e_+ be two (possibly equal) non-degenerate critical points of V , let E_- and E_+ denote the corresponding equilibria for system (1.7), and let c denote a real (zero or nonzero) quantity. For every profile $\xi \mapsto u(\xi)$ of a front/pulse connecting e_- to e_+ and travelling at the speed c (or standing if c equals zero), the image of the corresponding solution $\xi \mapsto (u(\xi), \dot{u}(\xi))$ of system (1.7) belongs to $W_{c,V}^u(E_-) \cap W_{c,V}^s(E_+)$.*

The meaning of this proposition is twofold. First, it states that the convergence of $u(\xi)$ towards e_{\pm} at $\pm\infty$ yields the convergence of $(u(\xi), \dot{u}(\xi))$ towards $(e_{\pm}, 0)$. In other words, every profile of a travelling or standing front of the partial differential system (1.1) corresponds to a heteroclinic orbit of system (1.7), and every profile of a standing pulse corresponds to a homoclinic orbit of this system. Second, those convergences occur at an exponential rate, thus not along a centre manifold (which exists for a non-degenerate critical point which is not a minimum point when c vanishes, see subsection 2.1).

1.3 Transversality of fronts and pulses

Usually, the transversality of a heteroclinic orbit connecting two equilibria E_- and E_+ is defined as the transversality of the intersection between the unstable manifold of E_- and the stable manifold of E_+ . For travelling fronts, however, the freedom of moving the speed c must be taken into account, and leads to the following definition.

Definition 1.3 (transversality of a travelling front). Let e_- and e_+ be two non-degenerate critical points of V and let E_- and E_+ denote the corresponding equilibria for system (1.7). A front with profile $\xi \mapsto u(\xi)$ travelling at a positive speed c and connecting e_- to e_+ is said to be *transverse* if the intersection

$$\left(\bigcup_{c' > 0} \{c'\} \times W_{c',V}^u(E_-) \right) \cap \left(\bigcup_{c' > 0} \{c'\} \times W_{c',V}^s(E_+) \right)$$

is transverse, in \mathbb{R}^{2d+1} , along the set $\{c\} \times U(\mathbb{R})$.

For a standing pulse (connecting a critical point e of V to itself) the speed c equals 0, so that system (1.7) preserves the Hamiltonian H_V defined in (1.4). As a consequence, the stable and unstable manifolds of the equilibrium E corresponding to e belong to the same level set of H_V , so that the transversality between those manifolds cannot hold in \mathbb{R}^{2d} , but only in this level set (which is a $2d - 1$ -manifold of class \mathcal{C}^{k+1} outside of the set of equilibria). This leads to the following definition.

Definition 1.4 (transversality of a standing pulse). Let e denote a non-degenerate critical point of V and let $E = (e, 0)$. A standing pulse with profile $\xi \mapsto u(\xi)$ and connecting e to itself is said to be *transverse* if the intersection

$$W_{0,V}^u(E) \cap W_{0,V}^s(E)$$

is transverse, inside the level set $H_V^{-1}(-V(e))$ deprived of E , along the trajectory $U(\mathbb{R})$.

As mentioned above, standing pulses divide into two classes (symmetric and asymmetric, see Figures 1.3 and 1.4), which will require separate treatments in the proofs. Here is a more precise definition.

Definition 1.5 (symmetric standing pulse, turning time). Let e denote a non-degenerate critical point of V . A standing pulse with profile $\xi \mapsto u(\xi)$ connecting e to itself is said to be *symmetric* if there exists a time ξ_{turn} , called the *turning time* of the pulse, such that $\dot{u}(\xi_{\text{turn}})$ vanishes; or equivalently, such that $U(\xi_{\text{turn}})$ belongs to $\mathbb{R}^d \times \{0_{\mathbb{R}^d}\}$. This subspace $\mathbb{R}^d \times \{0_{\mathbb{R}^d}\}$, often called the *reversibility* or *symmetry* subspace, will be denoted by \mathcal{S}_{sym} .

If such a turning time exists then it is unique and the profile of the pulse is indeed symmetric with respect to this turning time, see Lemma 3.2. Note that in the scalar case $d = 1$ every standing pulse is symmetric (the derivative \dot{u} must vanish if the solution approaches the same limits at both ends of \mathbb{R}). For symmetric standing pulses (for any value of the dimension d), instead of the transversality defined in Definition 1.4, the following weaker transversality property ([17, 23, 51]) will be required.

Definition 1.6 (elementary symmetric standing pulse). Assume that the standing pulse $\xi \mapsto u(\xi)$ is symmetric with turning time ξ_{turn} . This pulse is said to be *elementary* if the intersection

$$W_{0,V}^u(E) \cap \mathcal{S}_{\text{sym}}$$

is transverse, in \mathbb{R}^{2d} , at the point $U(\xi_{\text{turn}})$. The feature of being elementary, for a standing pulse, will be called *elementarity*.

Note that every transverse symmetric standing pulse is elementary: due to the time reversibility when c is zero, a non-transverse intersection between $W_{0,V}^u(E)$ and \mathcal{S}_{sym} induces a non-transverse intersection between $W_{0,V}^u(E)$ and $W_{0,V}^s(E)$. But the converse is false: for a symmetric standing pulse, the intersection $W_{0,V}^u(E) \cap W_{0,V}^s(E)$ may be non-transverse in the sense of Definition 1.4 while this intersection still crosses transversally the reversibility subspace \mathcal{S}_{sym} . This may occur, for instance, if a symmetric standing pulse is the limit of a one-parameter family of asymmetric ones.

1.4 The space of potentials

For the remaining of the paper, let us take and fix a positive integer k . Let us denote by $\mathcal{C}_b^{k+1}(\mathbb{R}^d, \mathbb{R})$ the space of functions $\mathbb{R}^d \rightarrow \mathbb{R}$ of class \mathcal{C}^{k+1} which are bounded, as well as their derivatives of order less than or equal to $k + 1$, equipped with the norm

$$\|W\|_{\mathcal{C}_b^{k+1}} = \max_{\alpha \text{ multi-index, } |\alpha| \leq k+1} \|\partial_{u_\alpha}^{|\alpha|} W\|_{L^\infty(\mathbb{R}^d, \mathbb{R})}.$$

Let us embed the larger space $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ with the following topology: for V in this space, a basis of neighbourhoods of V is given by the sets $V + \mathcal{O}$, where \mathcal{O} is an open subset of

$\mathcal{C}_b^{k+1}(\mathbb{R}^d, \mathbb{R})$ embedded with the topology defined by $\|\cdot\|_{\mathcal{C}_b^{k+1}}$. This topology (which can be viewed as the one of an extended metric) is convenient, since local properties may be studied in Banach spaces of the form

$$V + \left(\mathcal{C}_b^{k+1}(\mathbb{R}^d, \mathbb{R}), \|\cdot\|_{\mathcal{C}_b^{k+1}} \right),$$

with $\|\cdot\|_{\mathcal{C}_b^{k+1}}$ viewed as a classical norm. In this paper, the space $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ will always be embedded with this topology (if a topology is needed) and $\left(\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R}), \|\cdot\|_{\mathcal{C}_b^{k+1}} \right)$ will be denoted simply by $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$.

Let us recall that a subset A of a topological set B is said to be a *generic subset of B* if it contains a countable intersection of dense open subsets of B ; accordingly, a property is said to *hold for a generic potential* if it holds for every potential in a generic subset of $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$. It is important to notice that $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ is a Baire space because it is locally equal to the Baire space $\mathcal{C}_b^{k+1}(\mathbb{R}^d, \mathbb{R})$. Thus, the notion of genericity provides relevant definitions of “large” subsets and “almost everywhere satisfied” properties. Other definitions exist and the results stated in this paper presumably still hold for those (the interested reader may consider [2, 3, 25, 34]).

Actually, the results stated in this paper also hold with other natural topologies, such as Whitney’s topology. However the space $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ is not locally a metric space for Whitney’s topology (which is not characterized by sequences) and this leads to technical difficulties. The framework chosen above is thus convenient to state the main arguments while avoiding unessential technicalities, but the choice of the topology is not a key issue.

To finish, let us recall that a function having only non-degenerate critical points is commonly called a *Morse function*. According to a classical result (see for instance [24]), the set of Morse functions is a generic subset of $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$. Since the intersection of two generic subsets is still a generic subset, and since our purpose is to state results which hold generically, assuming that the potential V under consideration is a Morse function does not present any inconvenience. As a consequence, only nondegenerate critical points will be considered in the following, and the potential V will often be assumed to be a Morse function.

1.5 Main results

The following generic transversality statement is the main result of this paper.

Theorem 1.7 (generic transversality of fronts and pulses).

There exists a generic subset of $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ such that, for every potential function V in this subset, V is a Morse function and the following conclusions hold for the fronts and pulses defined by V :

1. *every travelling front invading a minimum point of V is transverse;*
2. *every symmetric standing pulse is elementary;*
3. *every asymmetric standing pulse is transverse;*

4. *there is no standing front.*

The core of this paper (sections 5 to 8) is devoted to the proof of this result among potentials which are quadratic past some radius (see their definition in (4.2)). For such potentials, conclusion 1 is proved by Proposition 5.1, conclusion 2, by Proposition 6.1, conclusion 3 by Proposition 7.1, and conclusion 4 by Proposition 8.1. Sections 5 and 6 are devoted, respectively, to the proofs of these propositions. In section 9, the proof of Theorem 1.7 is completed by extending these conclusions to general potentials of $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ (not necessarily quadratic past some radius), and the qualitative conclusions 1 to 4 of Corollary 1.1 are derived from Theorem 1.7.

Using the same techniques, the following extension of Theorem 1.7 (and of conclusions 1 to 4 of Corollary 1.1) is proved in section 10. The second conclusion of this extension is nothing but the last conclusion 5 of Corollary 1.1.

Theorem 1.8 (generic asymptotics of the profiles of bistable travelling fronts and standing pulses stable at infinity). *There exists a generic subset of $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ such that, for every potential function V in this subset, in addition to the conclusions of Theorem 1.7 (and to the conclusions 1 to 4 of Corollary 1.1), the following two additional conclusions hold:*

1. *for every minimum point of V , the smallest eigenvalue of the Hessian D^2V at this minimum point is simple;*
2. *the profile of every bistable travelling front or standing pulse stable at infinity approaches its limit at $+\infty$ ($-\infty$) tangentially to the eigenspace corresponding to the smallest eigenvalue of D^2V at this point.*

As explained in subsection 1.1, conclusions 2 to 4 of Theorem 1.7 can be interpreted in terms of homoclinic and heteroclinic orbits of the second order Hamiltonian system

$$(1.8) \quad \begin{cases} \dot{u} = \partial_v \mathcal{H}(u, v) \\ \dot{v} = -\partial_u \mathcal{H}(u, v) \end{cases} \quad \text{where} \quad \mathcal{H}(u, v) = \frac{1}{2}|v|^2 + \tilde{V}(u) \quad \text{and} \quad \tilde{V} = -V.$$

The following statement explicitly provides this interpretation (for conclusions 2 and 3 only, since conclusion 4 is actually elementary and well known, see section 8). No proof is given since it is exactly a translation of these conclusions, with obvious meanings for (a)symmetry and transversality/elementarity of homoclinic orbits.

Theorem 1.9 (generic transversality/elementarity of homoclinic orbits of second order Hamiltonian systems). *There exists a generic subset of $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ such that, for every potential function \tilde{V} in this subset,*

1. *every asymmetric homoclinic orbit of the Hamiltonian system (1.8) is transverse;*
2. *every symmetric homoclinic orbit of the Hamiltonian system (1.8) is elementary.*

1.6 Short historical review

Theorem 1.7 and its proof rely on an instance of the Transversality Theorem, also known as the Sard–Smale Theorem or Thom’s Theorem, and are closely related to classical transversality results for differential systems, see for instance [1, 31, 36, 45, 48]. Significant differences with respect to previous works still deserve to be mentioned.

First, genericity in Theorem 1.7 holds with respect to the sole potential function V , *not* general vector fields in \mathbb{R}^{2d} . Thus, perturbations of a given potential only provide a partial control on the dynamics (in other words, differential systems of the form (1.7) do not generate all possible flows in \mathbb{R}^{2d}). This constraint is balanced by the peculiarities of the systems considered, which will have to be taken into account. To our best knowledge, the first genericity result about the dynamics of a special class of differential systems goes back to [45], and deals with polynomial flows.

Concerning Hamiltonian flows, homoclinic orbits play an important role, both from theoretical and physical points of view, see for instance the reviews [12, 16] and articles [4, 15, 23, 32, 50, 51]. The transversality/elementarity of such orbits has important dynamical consequences, as the presence of Smale horseshoes associated to complex dynamics. In [30, 50], the genericity of these properties is considered in a general abstract framework, and obtained only under sufficient conditions corresponding to the assumptions of the transversality Theorem 4.2. In [32], this genericity is proved, but in the case of non-autonomous systems. Other references dealing with the generic transversality of connecting orbits include [33, 37, 38, 46] and references therein. In [46], genericity holds with respect to all Hamiltonian flows, and not only second order conservative systems as (1.8). In [33, 37, 38], genericity holds with respect to the potential \tilde{V} only, in a more general setting where the “kinetic energy” $|v|^2/2$ of the Hamiltonian in (1.8) is replaced by a more general expression. But the transversality of homoclinic orbits is not considered in these papers. In [33], the transversality of heteroclinic orbits is derived from a perturbation result of [13]. The others results of [33, 37, 38] are concerned with closed orbits. Thus, to the best of our knowledge, even Theorem 1.9 (the results concerning standing pulses, in the language of Hamiltonian systems) is new.

Concerning the nonzero dissipation case (conclusion 1 of Theorem 1.7), the statement differs from usual genericity properties. If c is fixed (and nonzero), heteroclinic connections corresponding to travelling fronts invading a minimum point of V do generically not exist for the flow of system (1.7). But the freedom provided by the parameter c ensures the generic existence, transversality, and robustness of heteroclinic connections corresponding to bistable travelling fronts. This parameter c will thus have to be taken into account in the setting where the Transversality Theorem will be applied, a significant difference with classical genericity results about the flows of differential systems.

The initial motivation for this paper actually relates to parabolic systems of the form (1.1). For such systems, the global dynamics of *bistable solutions*, that is solutions close at both ends of space to local minimum points of the potential V , has been described under rather general (assumed to be generic) hypotheses on V by the second author in [39, 40, 43]. Every such solutions must approach, as time goes to $+\infty$, far to the left in space a stacked family of bistable fronts travelling to the left, far to the right

in space a stacked family of bistable fronts travelling to the right, and in between a pattern of standing pulses/fronts going slowly away from one another (this extends to gradient systems the program initiated in the late seventies by Fife and McLeod for scalar equations [19–21]). The present paper provides a rigorous proof of the genericity of the hypotheses made on the potential V in [39, 40, 43]. The same hypotheses yield similar conclusions for hyperbolic gradient systems [41] and for radially symmetric solutions of parabolic gradient systems in higher space dimension [42]. The results obtained in this last reference rely on an additional hypothesis, which is the higher space dimension analogue of conclusion 2 of Theorem 1.7 (elementarity of symmetric standing pulses). The genericity of this hypothesis is proved in the companion paper [44], using the same approach as in the present paper.

The extension Theorem 1.8 of Theorem 1.7 (comprising the last conclusion 5 of Corollary 1.1) is motivated by the study of the long-range interaction between fronts and pulses of the parabolic system (1.1). The long-range interaction between such “localized structures” is the object of a large body of literature, both in Mathematics and Physics, see for instance [6, 11, 14, 18, 28, 52] among many other possible references. The conclusions of Theorem 1.8 are especially relevant in conjunction with this topic, for the following reason. Consider a solution of the parabolic system (1.1) close to, say, two standing fronts or pulses or two fronts travelling at the same speed, far away from one another. Let us denote by $u_{\text{left}}(\cdot)$ and $u_{\text{right}}(\cdot)$ their profiles, so that the solution is close to a translate of u_{left} on \mathbb{R}_- and to a translate of u_{right} on \mathbb{R}_+ . Then, the (large) distance between these two translates is expected to vary slowly, according to a (long-range) interaction law that can be computed at first order, and which is related to the asymptotics of u_{left} at $+\infty$ and of u_{right} at $-\infty$. Basically, when (as in the present context) the tails of u_{left} and u_{right} are *not* oscillating, this first order long-range interaction can be attractive or repulsive or neutral, depending on the sign of a scalar product between the (oriented) directions through which u_{left} and u_{right} approach their (common) limit (at $+\infty$ and at $-\infty$ respectively), see for instance the conjecture at the bottom of [5, p. 59], or [18, expressions (2.12) and (2.13) in Theorem 2.3]. In the present context, according to the conclusions of Theorem 1.8 and for a generic potential, these two oriented directions are aligned with the one-dimensional eigenspace associated with the smallest eigenvalue of the Hessian D^2V of the potential at the minimum point which is the common limit mentioned above. Among the consequences, the first order long-range interaction is thus either attractive or repulsive, but not neutral.

2 Stable and unstable manifolds of equilibria

Throughout all this section V denotes a potential function in $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ and c denotes a non-negative quantity (speed). As stated in Proposition 1.2, the travelling fronts and standing fronts/pulses of the parabolic equation (1.1) correspond to heteroclinic and homoclinic connections for the flow in \mathbb{R}^{2d} generated by the first order differential system (1.7). This flow, which will be denoted as $S_{c,V}$, is defined as the map

$$(2.1) \quad S_{c,V} : \Omega \rightarrow \mathbb{R}^{2d}, \quad (\xi, U_0) \mapsto U(\xi),$$

where $\xi \mapsto U(\xi)$ is the solution of (1.7) with $U(0) = U_0$ and Ω denotes the maximal (open) subset of $\mathbb{R} \times \mathbb{R}^{2d}$ where these solutions are defined. By definition, for every (ξ, U_0) in Ω ,

$$\frac{\partial}{\partial \xi} S_{c,V}(\xi, U_0) = F_{c,V}(S_{c,V}(\xi, U_0)) \quad \text{where} \quad F_{c,V} : \begin{pmatrix} u \\ v \end{pmatrix} \mapsto \begin{pmatrix} v \\ \nabla V(u) - cv \end{pmatrix}.$$

Although the variable ξ denotes primarily the space variable in a frame travelling at the speed c for the initial partial differential system (1.1), this variable also plays the role of a time in the differential systems (1.2) and (1.7) prescribing the profiles of travelling and standing waves. In the following, this variable will thus often be referred to as a ‘‘time’’.

2.1 Linearization at an equilibrium point

Let e denote a non-degenerate critical point of V . Let (u_1, \dots, u_d) denote an orthonormal basis of \mathbb{R}^d made of eigenvectors of the Hessian $D^2V(e)$ and let μ_1, \dots, μ_d denote the corresponding (real) eigenvalues.

Definition 2.1. Let us call *Morse index* of e , denoted by $m(e)$, the number of negative eigenvalues of $D^2V(e)$, counted with their algebraic multiplicity.

Since the critical point e is assumed to be non-degenerate, it is: a minimum point if $m(e)$ equals 0, a saddle point if $m(e)$ is between 1 and $d - 1$, and a maximum point if $m(e)$ equals d . In addition, none of the quantities μ_1, \dots, μ_d vanishes, and we may assume that

$$\begin{aligned} \mu_1 \leq \dots \leq \mu_{m(e)} < 0 < \mu_{m(e)+1} \leq \dots \leq \mu_d & \quad \text{if } m(e) > 0, \\ \text{and } 0 < \mu_1 \leq \dots \leq \mu_d & \quad \text{if } m(e) = 0. \end{aligned}$$

Now, let us consider the equilibrium point $E = (e, 0_{\mathbb{R}^d})$ of $S_{c,V}$ corresponding to e . The linearized differential system (1.7) at E reads:

$$(2.2) \quad \dot{U} = DF_{c,V}(E)U, \quad \text{or equivalently} \quad \begin{cases} \dot{u} = v \\ \dot{v} = D^2V(e)u - cv \end{cases}.$$

Observe that a complex quantity λ is an eigenvalue for the linear system (2.2) if and only if the quantity $\lambda(\lambda + c)$ is an eigenvalue for the Hessian $D^2V(e)$, that is if $\lambda(\lambda + c)$ is equal to one of the quantities μ_1, \dots, μ_d . For j in $\{1, \dots, d\}$, let

$$(2.3) \quad \lambda_{j,+} = -\frac{c}{2} + \sqrt{\frac{c^2}{4} + \mu_j} \quad \text{and} \quad \lambda_{j,-} = -\frac{c}{2} - \sqrt{\frac{c^2}{4} + \mu_j}$$

denote the two (real or complex) eigenvalues of the linear system (2.2) corresponding to μ_j , and let

$$(2.4) \quad U_{j,+} = \begin{pmatrix} u_j \\ \lambda_{j,+}u_j \end{pmatrix} \quad \text{and} \quad U_{j,-} = \begin{pmatrix} u_j \\ \lambda_{j,-}u_j \end{pmatrix}$$

denote the corresponding eigenvectors. Let

$$(2.5) \quad \mathcal{E}_{c,V}^s(E) \quad \text{and} \quad \mathcal{E}_{c,V}^c(E) \quad \text{and} \quad \mathcal{E}_{c,V}^u(E)$$

denote the stable, centre, and unstable subspaces of \mathbb{R}^{2d} for the linear operator $DF_{c,V}$ defined in (2.2), that is the eigenspaces corresponding to eigenvalues with negative, zero and positive real parts respectively. The dimensions of those spaces and of the corresponding invariant manifolds (defined below) derive from expressions (2.3), and are as summarized in Table 2.1. The case of a negative speed c can be derived by the

	$c = 0$	$c > 0$
Dimension of $\mathcal{E}_{c,V}^u(E)$ and $W_{c,V}^u(E)$	$d - m(e)$	$d - m(e)$
Dimension of $\mathcal{E}_{c,V}^s(E)$ and $W_{c,V}^s(E)$	$d - m(e)$	$d + m(e)$
Dimension of $\mathcal{E}_{c,V}^c(E)$ and $W_{\text{loc},c,V}^c(E)$	$2m(e)$	0

Table 2.1: Dimensions of stable, unstable, and centre manifolds for an equilibrium point $E = (e, 0)$ of the differential system (1.7), corresponding to a critical point e of the potential with Morse index $m(e)$.

transformation $(c, \xi) \mapsto (-c, -\xi)$ which leaves the systems (1.2) and (1.7) unchanged (and exchanges the stable and unstable dimensions).

The dimension of $\mathcal{E}_{c,V}^u(E)$ is also commonly called the Morse index of E . To avoid any confusion, the denomination *Morse index* will only be used for critical points of the potential, not for the corresponding equilibria in \mathbb{R}^{2d} .

2.2 Local stable and unstable manifolds when the speed c is positive

The construction of the local stable (unstable) manifold of an equilibrium of a differential system is classical. A historical reference is Kelley's article [29], comprising the construction and the dependence on the parameters, however with a slightly non-optimal regularity. A complete construction can be found in many textbooks, for example [22, Theorem 3.2.1] or [49, Theorem 9.4]. The goal of this subsection and of subsection 2.3 below is to provide precise statements (Proposition 2.2 below and Proposition 2.4 in subsection 2.3 when the speed c equals 0) concerning these manifolds (for the differential system (1.7)), and the associated notation (without proofs); those statements and notation will be called upon in the sequel.

Take V_0 in $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$, let e_0 denote a non-degenerate critical point of V_0 , and let c_0 denote a positive quantity. According to Table 2.1, the point $(e_0, 0)$, which will be denoted by E_0 , is a hyperbolic equilibrium point and the subspaces $\mathcal{E}_{c_0,V_0}^u(E_0)$ and $\mathcal{E}_{c_0,V_0}^s(E_0)$ introduced in (2.5) generate the whole space \mathbb{R}^{2d} (or in other words the central part $\mathcal{E}_{c_0,V_0}^c(E_0)$ reduces to $\{0_{\mathbb{R}^{2d}}\}$). Let

$$(2.6) \quad \begin{aligned} \beta^u &= \min \{ \text{Re}(\lambda) : \lambda \text{ eigenvalue of } DF_{c_0,V_0}(E_0) \text{ with } \text{Re}(\lambda) > 0 \} \\ \text{and } \beta^s &= \max \{ \text{Re}(\lambda) : \lambda \text{ eigenvalue of } DF_{c_0,V_0}(E_0) \text{ with } \text{Re}(\lambda) < 0 \} . \end{aligned}$$

There exist norms $\|\cdot\|_u$ on the unstable subspace $\mathcal{E}_{c_0, V_0}^u(E_0)$ and $\|\cdot\|_s$ on the stable subspace $\mathcal{E}_{c_0, V_0}^s(E_0)$ such that, for every non negative quantity ξ ,

$$(2.7) \quad \begin{aligned} & \left\| \exp \left[-\xi DF_{c_0, V_0}(E_0)|_{\mathcal{E}_{c_0, V_0}^u(E_-)} \right] \right\|_u \leq \exp \left(-\frac{\beta^u}{2} \xi \right), \\ \text{and} \quad & \left\| \exp \left[\xi DF_{c_0, V_0}(E_0)|_{\mathcal{E}_{c_0, V_0}^s(E_+)} \right] \right\|_s \leq \exp \left(\frac{\beta^s}{2} \xi \right). \end{aligned}$$

For every positive quantity r , let

$$(2.8) \quad \begin{aligned} & \bar{B}_{E_0}^u(r) = \{U^u \in \mathcal{E}_{c_0, V_0}^u(E_0) : \|U^u\|_u \leq r\}, \\ \text{and} \quad & \bar{B}_{E_0}^s(r) = \{U^s \in \mathcal{E}_{c_0, V_0}^s(E_0) : \|U^s\|_s \leq r\}, \\ \text{and} \quad & \bar{B}_{E_0}(r) = \{U^u + U^s : U^u \in \bar{B}_{E_0}^u(r) \text{ and } U^s \in \bar{B}_{E_0}^s(r)\}. \end{aligned}$$

Proposition 2.2 (local stable and unstable manifolds). *There exist a neighbourhood ν of V_0 in $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$, a neighbourhood \mathcal{C} of c_0 in $(0, +\infty)$ and a positive quantity r such that, for every (c, V) in $\mathcal{C} \times \nu$, the following statements hold.*

1. *There exists a unique critical point $e(V)$ of V such that $E(V) = (e(V), 0)$ belongs to $E_0 + \bar{B}_{E_0}(r)$. In addition, $e(V)$ has the same Morse index as e_0 and the map $\nu \rightarrow \mathbb{R}^d$, $V \mapsto e(V)$ is of class \mathcal{C}^k .*
2. *There exist \mathcal{C}^k -functions*

$$w_{\text{loc}, c, V}^u : \bar{B}_{E_0}^u(r) \rightarrow \bar{B}_{E_0}^s(r) \quad \text{and} \quad w_{\text{loc}, c, V}^s : \bar{B}_{E_0}^s(r) \rightarrow \bar{B}_{E_0}^u(r)$$

such that, if we consider the sets

$$\begin{aligned} W_{\text{loc}, c, V}^u(E(V)) &= \{E(V) + U^u + w_{\text{loc}, c, V}^u(U^u) : U^u \in \bar{B}_{E_0}^u(r)\} \\ \text{and} \quad W_{\text{loc}, c, V}^s(E(V)) &= \{E(V) + U^s + w_{\text{loc}, c, V}^s(U^s) : U^s \in \bar{B}_{E_0}^s(r)\}, \end{aligned}$$

then, for every U in $\bar{B}_{E_0}(r)$ the following two assertions are equivalent:

- a) U is in $W_{\text{loc}, c, V}^u(E(V))$;
- b) $S_{c, V}(\xi, U) - E(V)$ remains in $\bar{B}_{E_0}(r)$ for all ξ in $(-\infty, 0]$ and $S_{c, V}(\xi, U) \rightarrow E(V)$ as $\xi \rightarrow -\infty$;

and for every U in $\bar{B}_{E_0}(r)$ the following two assertions are equivalent:

- c) $U \in W_{\text{loc}, c, V}^s(E(V))$;
- d) $S_{c, V}(\xi, U) - E(V)$ remains in $\bar{B}_{E_0}(r)$ for all ξ in $[0, +\infty)$ and $S_{c, V}(\xi, U) \rightarrow E(V)$ as $\xi \rightarrow +\infty$.

3. *Both differentials $Dw_{\text{loc}, c_0, V_0}^u(0)$ and $Dw_{\text{loc}, c_0, V_0}^s(0)$ vanish, and both maps*

$$\begin{aligned} & \mathcal{C} \times \nu \times \bar{B}_{E_0}^u(r) \rightarrow \bar{B}_{E_0}^s(r), \quad (c, V, U^u) \mapsto w_{\text{loc}, c, V}^u(U^u) \\ \text{and} \quad & \mathcal{C} \times \nu \times \bar{B}_{E_0}^s(r) \rightarrow \bar{B}_{E_0}^u(r), \quad (c, V, U^s) \mapsto w_{\text{loc}, c, V}^s(U^s) \end{aligned}$$

are of class \mathcal{C}^k .

With the notation provided by Proposition 2.2, for every (c, V) in $\mathcal{C} \times \nu$, let us introduce the maps

$$\begin{aligned} \hat{w}_{\text{loc}, c, V}^{\text{u}} : \bar{B}_{E_0}^{\text{u}}(r) &\rightarrow \mathbb{R}^{2d}, & U^{\text{u}} &\mapsto E(V) + U^{\text{u}} + w_{\text{loc}, c, V}^{\text{u}}(U^{\text{u}}), \\ \text{and } \hat{w}_{\text{loc}, c, V}^{\text{s}} : \bar{B}_{E_0}^{\text{s}}(r) &\rightarrow \mathbb{R}^{2d}, & U^{\text{s}} &\mapsto E(V) + U^{\text{s}} + w_{\text{loc}, c, V}^{\text{s}}(U^{\text{s}}). \end{aligned}$$

Local unstable and stable manifolds of $E(V)$ can be defined as

$$(2.9) \quad \begin{aligned} W_{\text{loc}, c, V}^{\text{u}}(E(V)) &= \hat{w}_{\text{loc}, c, V}^{\text{u}}(\bar{B}_{E_0}^{\text{u}}(r)), \\ \text{and } W_{\text{loc}, c, V}^{\text{s}}(E(V)) &= \hat{w}_{\text{loc}, c, V}^{\text{s}}(\bar{B}_{E_0}^{\text{s}}(r)). \end{aligned}$$

Those manifolds depend smoothly of c and V . The global unstable and stable manifolds

$$\begin{aligned} W_{c, V}^{\text{u}}(E(V)) &= \{U \in \mathbb{R}^{2d} : S_{c, V}(\xi, U) \rightarrow E(V) \text{ when } \xi \rightarrow -\infty\} \\ \text{and } W_{c, V}^{\text{s}}(E(V)) &= \{U \in \mathbb{R}^{2d} : S_{c, V}(\xi, U) \rightarrow E(V) \text{ when } \xi \rightarrow +\infty\} \end{aligned}$$

can then be derived from those local manifolds through the flow $S_{c, V}$ as follows:

$$\begin{aligned} W_{c, V}^{\text{u}}(E(V)) &= S_{c, V}(\mathbb{R} \times W_{\text{loc}, c, V}^{\text{u}}(E(V))), \\ \text{and } W_{c, V}^{\text{s}}(E(V)) &= S_{c, V}(\mathbb{R} \times W_{\text{loc}, c, V}^{\text{s}}(E(V))). \end{aligned}$$

Remark. Here are two observations that will turn out to play some role in the forthcoming proofs.

- According to the characterization provided by this proposition (equivalence between 2a and 2b and between 2c and 2d), for every solution $\xi \mapsto U(\xi)$ of system (1.7), if this solution belongs to the stable (unstable) manifold of $E(V)$ then it crosses exactly once the border $\partial W_{\text{loc}, c, V}^{\text{s}}(E(V))$ of the local stable manifold (the border $\partial W_{\text{loc}, c, V}^{\text{u}}(E(V))$ of the local unstable manifold) of $E(V)$. In addition, according to the conditions (2.7) satisfied by the norms $\|\cdot\|_{\text{u}}$ and $\|\cdot\|_{\text{s}}$, up to replacing the radius r by a smaller quantity, this intersection between the trajectory of $\xi \mapsto U(\xi)$ and the border of the local stable (unstable) manifold of $E(V)$ is *transverse* inside the full stable (unstable) manifold. Although the transversality of this intersection is not formally required in the following, assuming that it holds helps figuring out the broad scheme, see for instance Figure 5.1.
- The functions $w_{\text{loc}, c, V}^{\text{u}}$ and $w_{\text{loc}, c, V}^{\text{s}}$ are uniquely defined by the characterization provided by Proposition 2.2 once the radius r and the departure sets of these two functions are chosen. As a consequence, those two functions and the local stable and unstable manifolds $W_{\text{loc}, c, V}^{\text{u}}(E(V))$ and $W_{\text{loc}, c, V}^{\text{s}}(E(V))$ remain unchanged if the potential function V is modified outside a neighbourhood of the set

$$\pi_{\text{pos}} \left[W_{\text{loc}, c, V}^{\text{u}}(E(V)) \cup W_{\text{loc}, c, V}^{\text{s}}(E(V)) \right],$$

where π_{pos} is the projection onto the position coordinates:

$$(2.10) \quad \pi_{\text{pos}} : \mathbb{R}^{2d} \rightarrow \mathbb{R}^d, \quad (u, v) \mapsto u.$$

2.3 Local stable and unstable manifolds when the speed c equals 0

As Table 2.1 shows, an equilibrium E is hyperbolic except if c vanishes and $m(e)$ is positive. In this case, there exists, in addition to the stable and unstable manifolds of E , a centre manifold with dimension $2m(e)$ (corresponding to the central part of the spectrum of the linear system (2.2) at E). However, as shown by the following lemma, a solution $\xi \mapsto U(\xi)$ of system (1.7) cannot asymptotically approach E through such a centre manifold. The statement of Proposition 2.4 below and the proof of Proposition 1.2, provided in subsection 3.1, rely on this lemma.

Lemma 2.3 (approach of critical points through stable/unstable manifolds). *Assume that c equals 0. For every critical point e of V such that the Morse index $m(e)$ is positive, and for every (maximal) solution $\xi \mapsto U(\xi)$ of the differential system (1.7), if E denotes the point $(e, 0)$, the following conclusions hold:*

1. *if $U(\xi)$ goes to E as ξ goes to $+\infty$, then the trajectory of $\xi \mapsto U(\xi)$ converges to E tangentially to the stable space $\mathcal{E}_V^s(E)$.*
2. *if $U(\xi)$ goes to E as ξ goes to $-\infty$, then the trajectory of $\xi \mapsto U(\xi)$ converges to E tangentially to the unstable space $\mathcal{E}_V^u(E)$,*

Proof. Let $\xi \mapsto U(\xi) = (u, v)(\xi)$ denote a solution of the differential system (1.7) for a speed c equal to 0, and let us assume that $U(\xi)$ goes to E as ξ goes to $+\infty$. It follows from the invariance of the Hamiltonian function H_V (defined in (1.4)) along $U(\cdot)$ that $H_V(U(\xi)) = H_V(E)$, or in other words that

$$(2.11) \quad \frac{1}{2} |v(\xi)|^2 - V(u(\xi)) = -V(e).$$

Let us proceed by contradiction and assume that this solution does *not* belong to the stable manifold of E . With the notation of subsection 2.1, it follows that, as ξ goes to $+\infty$, the component of $U(\xi) - E$ along the centre subspace $\mathcal{E}_V^c(E)$ is dominant compared to its component along the hyperbolic subspace $\mathcal{E}_V^s(E) + \mathcal{E}_V^u(E)$; with symbols, if π_{cent} denotes the projection along $\mathcal{E}_V^s(E) + \mathcal{E}_V^u(E)$ onto $\mathcal{E}_V^c(E)$ in \mathbb{R}^{2d} ,

$$(2.12) \quad U(\xi) - E = \pi_{\text{cent}}(U(\xi) - E) + o_{\xi \rightarrow +\infty}(\pi_{\text{cent}}(U(\xi) - E)).$$

It follows from the expressions (2.3) and (2.4) of the eigenvalues and eigenvectors of $DF_{0,V}(E)$ that

$$\mathcal{E}_V^c(E) = \text{span}\{U_{1,+}, U_{1,-}, \dots, U_{m(e),+}, U_{m(e),-}\}.$$

As a consequence, applying the projection π_{pos} (projection onto the position coordinates, defined in (2.10)) to equality (2.12), it follows that, if we denote by $\pi_{m(e)}$ the orthogonal projection onto $\text{span}\{u_1, \dots, u_{m(e)}\}$ in \mathbb{R}^d ,

$$u(\xi) - e = \pi_{m(e)}(u(\xi) - e) + o_{\xi \rightarrow +\infty}(\pi_{m(e)}(u(\xi) - e)).$$

Since the restriction of $D^2V(e)$ to the image of $\pi_{m(e)}$ is negative definite, it follows that, for ξ large enough, $V(u(\xi))$ is less than $V(e)$, thus $-V(u(\xi))$ is greater than $-V(e)$, contradicting equality (2.11). Lemma 2.3 is proved. \square

As for Proposition 2.2 in the case $c > 0$, the aim of the next Proposition 2.4 is to provide (in the case $c = 0$) a precise statement and the associated notation concerning the local stable and unstable manifolds of an equilibrium for the differential system (1.2). In this case $c = 0$, the conclusions of Lemma 2.3 show that centre manifolds are not relevant for homoclinic and heteroclinic solutions; for that reason, those centre manifolds are ignored in Proposition 2.4. Concerning the construction and properties of the local stable and unstable manifolds, there is no difference with respect to the positive speed case considered in Proposition 2.2, see again [22, 29, 49]. Observe that, by contrast with the statements that can be found in textbooks, the characterization of these local stable and unstable manifolds does not require an exponential rate of convergence towards E , again due to the conclusions of Lemma 2.3 (see the equivalence between assertions 2a and 2b and between assertions 2c and 2d in Proposition 2.4 below).

Notation. For the remaining of this paper, when the speed c vanishes, it will be omitted in the notation. Thus, concerning the previously introduced notation,

$$\begin{array}{ccccccc} & F_V & S_V & \mathcal{E}_V^s & \mathcal{E}_V^c & \mathcal{E}_V^u & W_V^s & W_V^u \\ \text{stand for:} & F_{0,V} & S_{0,V} & \mathcal{E}_{0,V}^s & \mathcal{E}_{0,V}^c & \mathcal{E}_{0,V}^u & W_{0,V}^s & W_{0,V}^u. \end{array}$$

Take V_0 in $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ and let e_0 denote a non-degenerate critical point of V_0 and let $E_0 = (e_0, 0)$ (which is not necessarily hyperbolic). Let β^u and β^s be as in (2.6). As in the case $c > 0$, there exist norms $\|\cdot\|_u$ on the unstable subspace $\mathcal{E}_{V_0}^u(E_0)$ and $\|\cdot\|_s$ on the stable subspace $\mathcal{E}_{V_0}^s(E_0)$ such that inequalities (2.7) hold for every non negative quantity ξ . Let $\|\cdot\|_c$ denote any norm on the centre subspace $\mathcal{E}_{V_0}^c(E_0)$ (for instance the euclidean norm). For every positive quantity r , let

$$\begin{aligned} \bar{B}_{E_0}^u(r) &= \{U^u \in \mathcal{E}_{V_0}^u(E_0) : \|U^u\|_u \leq r\}, \\ \bar{B}_{E_0}^s(r) &= \{U^s \in \mathcal{E}_{V_0}^s(E_0) : \|U^s\|_s \leq r\}, \\ \bar{B}_{E_0}^c(r) &= \{U^c \in \mathcal{E}_{V_0}^c(E_0) : \|U^c\|_c \leq r\}, \\ \text{and } \bar{B}_{E_0}(r) &= \{U^u + U^s + U^c : U^u \in \bar{B}_{E_0}^u(r) \text{ and } U^s \in \bar{B}_{E_0}^s(r) \text{ and } U^c \in \bar{B}_{E_0}^c(r)\}. \end{aligned}$$

Proposition 2.4 (local stable and unstable manifolds). *There exist a neighbourhood ν of V_0 in $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ and a positive quantity r such that, for every V in ν , the following statements hold.*

1. *There exists a unique critical point $e(V)$ of V such that $E(V) = (e(V), 0)$ belongs to $E_0 + \bar{B}_{E_0}(r)$. In addition, $e(V)$ has the same Morse index as e_0 and the map $\nu \rightarrow \mathbb{R}^d$, $V \mapsto e(V)$ is of class \mathcal{C}^k .*

2. *There exist \mathcal{C}^k -functions*

$$w_{\text{loc}, V}^u : \bar{B}_{E_0}^u(r) \rightarrow \bar{B}_{E_0}^s(r) + \bar{B}_{E_0}^c(r) \quad \text{and} \quad w_{\text{loc}, V}^s : \bar{B}_{E_0}^s(r) \rightarrow \bar{B}_{E_0}^u(r) + \bar{B}_{E_0}^c(r)$$

such that, if we consider the sets

$$\begin{aligned} W_{\text{loc}, V}^u(E(V)) &= \left\{ E(V) + U^u + w_{\text{loc}, V}^u(U^u) : U^u \in \bar{B}_{E_0}^u(r) \right\} \\ \text{and } W_{\text{loc}, V}^s(E(V)) &= \left\{ E(V) + U^s + w_{\text{loc}, V}^s(U^s) : U^s \in \bar{B}_{E_0}^s(r) \right\}, \end{aligned}$$

then, for every U in $\bar{B}_{E_0}(r)$, the following two assertions are equivalent:

- a) U is in $W_{\text{loc}, V}^u(E(V))$;
- b) $S_V(\xi, U) - E(V)$ remains in $\bar{B}_{E_0}(r)$ for all ξ in $(-\infty, 0]$ and $S_V(\xi, U) \rightarrow E(V)$ as $\xi \rightarrow -\infty$,

and for every U in $\bar{B}_{E_0}(r)$, the following two assertions are equivalent:

- c) $U \in W_{\text{loc}, V}^s(E(V))$;
- d) $S_V(\xi, U) - E(V)$ remains in $\bar{B}_{E_0}(r)$ for all ξ in $[0, +\infty)$ and $S_V(\xi, U) \rightarrow E(V)$ as $\xi \rightarrow +\infty$.

3. Both differentials $Dw_{\text{loc}, V_0}^u(0)$ and $Dw_{\text{loc}, V_0}^s(0)$ vanish, and both maps

$$\begin{aligned} \nu \times \bar{B}_{E_0}^u(r) &\rightarrow \bar{B}_{E_0}^s(r), & (V, U^u) &\mapsto W_{\text{loc}, V}^u(U^u) \\ \text{and } \nu \times \bar{B}_{E_0}^s(r) &\rightarrow \bar{B}_{E_0}^u(r), & (V, U^s) &\mapsto W_{\text{loc}, V}^s(U^s) \end{aligned}$$

are of class \mathcal{C}^k .

With the notation provided by Proposition 2.4, for every V in ν , let us introduce the maps

$$\begin{aligned} \hat{w}_{\text{loc}, V}^u : \bar{B}_{E_0}^u(r) &\rightarrow \mathbb{R}^{2d}, & U^u &\mapsto E(V) + U^u + w_{\text{loc}, V}^u(U^u), \\ \text{and } \hat{w}_{\text{loc}, V}^s : \bar{B}_{E_0}^s(r) &\rightarrow \mathbb{R}^{2d}, & U^s &\mapsto E(V) + U^s + w_{\text{loc}, V}^s(U^s). \end{aligned}$$

Local unstable and stable manifolds of $E(V)$ can be defined as

$$(2.13) \quad \begin{aligned} W_{\text{loc}, V}^u(E(V)) &= \hat{w}_{\text{loc}, V}^u(\bar{B}_{E_0}^u(r)), \\ \text{and } W_{\text{loc}, V}^s(E(V)) &= \hat{w}_{\text{loc}, V}^s(\bar{B}_{E_0}^s(r)). \end{aligned}$$

Those manifolds depend smoothly of V . As in subsection 2.2, the global unstable/stable manifolds of $E(V)$, denoted by $W_V^u(E(V))$ and $W_V^s(E(V))$ can be expressed in terms of those local manifolds and of the flow S_V . Both observations made in the remark ending the previous subsection are still valid in the present case of zero speed and potential existence of a centre manifold.

3 Preliminary properties of travelling fronts and standing fronts and pulses

Let us take and fix, for this whole section, a potential function V in $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$.

3.1 Proof of Proposition 1.2

Let e_- and e_+ be two (possibly equal) non-degenerate critical points of V , let c denote a non negative quantity (speed), and let $\xi \mapsto u(\xi)$ denote the profile of a front or pulse connecting e_- to e_+ and travelling at the speed c (or standing if c equals zero) for the potential V .

Lemma 3.1. *The derivative $\dot{u}(\xi)$ goes to 0 as ξ goes to $\pm\infty$.*

Proof. If the speed c is positive, then $\xi \mapsto u(\xi)$ is the profile of a travelling front. It follows from integrating (1.5) that

$$(3.1) \quad \lim_{\xi \rightarrow +\infty} H_V(u(\xi)) - \lim_{\xi \rightarrow -\infty} H_V(u(\xi)) = -c \int_{\mathbb{R}} |\dot{u}(\xi)|^2 d\xi$$

and thus that $\dot{u}(\cdot)$ is in $L^2(\mathbb{R})$. Thus 0 is an adherent value of the kinetic part of the Hamiltonian function $\xi \mapsto H_V(U(\xi))$ as ξ goes to $\pm\infty$, meaning that $V(e_{\pm})$ are adherent values of $H_V(U(\xi))$. Since according to (1.5) this last function decreases with ξ , it follows that $H_V(U(\xi))$ goes to $V(e_{\pm})$ as ξ goes to $\pm\infty$, and the intended conclusion follows.

If the speed c equals 0, it follows from the differential system (1.2) and the convergence of $u(\cdot)$ to critical points that $\dot{u}(\xi)$ goes to 0 as ξ goes to $\pm\infty$. Thus $\dot{u}(\cdot)$ is uniformly continuous and the convergence of u yields the intended conclusion. \square

Proof of Proposition 1.2. Let us use the notation of Proposition 1.2. If c is non zero or if c equals 0 and both Morse indices $m(e_-)$ and $m(e_+)$ of e_- and e_+ vanish, then E_- and E_+ are hyperbolic equilibria of the differential system (1.7) and the conclusions of Proposition 1.2 follow from Lemma 3.1.

If c equals 0 and the Morse indices $m(e_-)$ and $m(e_+)$ are any, then the equilibria E_- and E_+ are not necessarily hyperbolic, but again in this case it follows from Lemma 3.1 that $U(\xi)$ goes to E_{\pm} as $\xi \rightarrow \pm\infty$; and it follows from Lemma 2.3 that the values of $\xi \mapsto U(\xi)$ belong to the unstable manifold of E_- and to the stable manifold of E_+ . \square

3.2 Equivalent definitions of a symmetric standing pulse

Let e denote a non-degenerate critical point of V , and let $\xi \mapsto u(\xi)$ denote the profile of a standing pulse connecting e to itself. In Definition 1.5, the symmetry of such a pulse was defined by the existence of a ‘‘turning time’’ where \dot{u} vanishes. The following standard result (see for instance [17]) completes this definition.

Lemma 3.2 (equivalent definitions of a symmetric standing pulse). *For every real quantity ξ_{turn} , the following properties are equivalent:*

1. ξ_{turn} is a turning time in the sense of Definition 1.5, that is $\dot{u}(\xi_{\text{turn}}) = 0$;
2. for every ξ in \mathbb{R} ,

$$(3.2) \quad u(\xi_{\text{turn}} - \xi) = u(\xi_{\text{turn}} + \xi);$$

3. there exists ξ in \mathbb{R} such that

$$(3.3) \quad u(\xi_{\text{turn}} - \xi) = u(\xi_{\text{turn}} + \xi) \quad \text{and} \quad \dot{u}(\xi_{\text{turn}} - \xi) = -\dot{u}(\xi_{\text{turn}} + \xi).$$

In addition, these statements hold for at most one real quantity ξ_{turn} .

Proof. Differentiating equality (3.2) with respect to ξ yields equalities (3.3) for all ξ , so that property 2 implies property 3, and property 3 for ξ equal to 0 is equivalent to property 1, so that property 2 implies property 1 and property 1 implies property 3.

It remains to prove that property 3 implies property 2. Assume that property 3 holds, and, for every real quantity ξ , let us write

$$u_1(\xi) = u(\xi_{\text{turn}} + \xi) \quad \text{and} \quad u_2(\xi) = u(\xi_{\text{turn}} - \xi).$$

Since $\xi \mapsto u(\xi)$ is a solution of the second order differential system (1.2) with c equal to zero, both $\xi \mapsto U_1(\xi)$ and $\xi \mapsto U_2(\xi)$ are solutions of the first order differential system (1.7) (again with c equal to zero). According to property 3, there exists ξ such that $U_1(\xi)$ is equal to $U_2(\xi)$. Thus $U_1(\xi)$ must be equal to $U_2(\xi)$ for every real time ξ , and property 2 follows. Thus the three properties of Lemma 3.2 are equivalent.

In addition, if property 2 holds for two different turning times ξ_{turn} and ξ'_{turn} , then $\xi \mapsto u(\xi)$ is periodic with a period equal to $2(\xi'_{\text{turn}} - \xi_{\text{turn}})$, a contradiction with the assumption that u is a standing pulse connecting e to itself. Lemma 3.2 is proved. \square

3.3 Values reached only once by profiles of travelling fronts / standing pulses

The proofs carried on in the sections 5 to 7 below rely on the construction of suitable perturbations of the potential V . Whereas the uniqueness of the solutions of differential system (1.7) ensures that the function $\xi \mapsto (u(\xi), \dot{u}(\xi))$ defined by such a solution is one-to-one, this is not necessarily true for the function $\xi \mapsto u(\xi)$ (as shown by Figure 3.1). As a consequence, a perturbation of the potential V may affect this solution at different times. The goal of the following proposition is to avoid this inconvenience, by providing in each case under consideration a time interval where $u(\xi)$ is reached only once.

Proposition 3.3.

1. For every profile $\xi \mapsto u(\xi)$ of a front travelling at a positive speed c and connecting two non-degenerate critical points, there exists a time ξ_{once} such that, for all times ξ^* in $(-\infty, \xi_{\text{once}}]$ and ξ in \mathbb{R} ,

$$(3.4) \quad u(\xi) = u(\xi^*) \implies \xi = \xi^*.$$

2. For every profile $\xi \mapsto u(\xi)$ of an asymmetric standing pulse and for every nonempty open interval I of \mathbb{R} , there exists a nonempty open interval I_{once} , included in I , such that, for all times ξ^* in I_{once} and ξ in \mathbb{R} , implication (3.4) holds.
3. For every profile $\xi \mapsto u(\xi)$ of a symmetric standing pulse, if ξ_{turn} denotes the turning time of this pulse (see Lemma 3.2), then, for every nonempty open interval I included in $(-\infty, \xi_{\text{turn}}]$, there exists a nonempty open interval I_{once} , included in I , such that, for all times ξ^* in I_{once} and ξ in $(-\infty, \xi_{\text{turn}}]$, implication (3.4) holds.

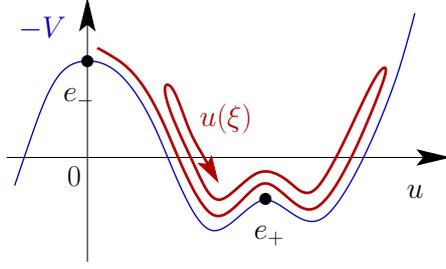


Figure 3.1: The one-dimensional example of this figure shows that property 1 of Proposition 3.3 may not hold outside a small neighbourhood of the critical point e_- .

Proof of statement 1 of Proposition 3.3. Let $\xi \mapsto u(\xi)$ denote the profile of a front travelling at a positive speed c for the potential V , and let e_- denote the critical point, assumed to be non-degenerate, approached by $u(\xi)$ as ξ goes to $-\infty$. Since all eigenvalues of $DF_{c,V}(E_-)|_{\mathcal{E}_{c,V}^u(E_-)}$ are real and positive (see subsection 2.1), the corresponding solution $U(\xi)$ of system (1.7) must approach E_- tangentially to some (real, unstable) eigenvector U_{eig} of $DF_{c,V}(E_-)$ as ξ goes to $-\infty$. If λ denotes the corresponding (positive) eigenvalue, then U_{eig} is of the form $(u_{\text{eig}}, \lambda u_{\text{eig}})$, where u_{eig} is an eigenvector of $D^2V(e_-)$, see expression (2.4). Thus there must exist a nonzero scalar function $\xi \mapsto \alpha(\xi)$ so that, as ξ goes to $-\infty$,

$$U(\xi) = E_- + \alpha(\xi)U_{\text{eig}} + o(\alpha(\xi)), \quad \text{that is} \quad \begin{cases} u(\xi) = e_- + \alpha(\xi)u_{\text{eig}} + o(\alpha(\xi)), \\ \dot{u}(\xi) = \alpha(\xi)\lambda u_{\text{eig}} + o(\alpha(\xi)). \end{cases}$$

It follows that there exists a large negative time ξ_0 such that, for every ξ in $(-\infty, \xi_0]$,

$$\frac{d}{d\xi}|u(\xi) - e_-|^2 = 2(u(\xi) - e_-) \cdot \dot{u}(\xi) > 0.$$

In particular, the function

$$(3.5) \quad (-\infty, \xi_0] \rightarrow \mathbb{R}^d, \quad \xi \mapsto u(\xi)$$

is a \mathcal{C}^1 -diffeomorphism onto its image. According to the decrease (1.5) of the Hamiltonian, the quantity $H_V(U(\xi_0))$ is less than $-V(e_-)$. As a consequence, there exists a time ξ_{once} in $(-\infty, \xi_0)$ such that, for every ξ^* in $(-\infty, \xi_{\text{once}}]$,

$$(3.6) \quad H_V(U(\xi_0)) < -V(u(\xi^*)).$$

Take a time ξ^* in $(-\infty, \xi_{\text{once}}]$ and a time ξ in \mathbb{R} and let us assume that $u(\xi)$ equals $u(\xi^*)$. If ξ was greater than ξ_0 then it would follow from the expression (1.4) of the Hamiltonian, its decrease (1.5) and inequality (3.6) that

$$-V(u(\xi)) \leq H_V(U(\xi)) \leq H_V(U(\xi_0)) < -V(u(\xi^*)),$$

a contradiction with the equality of $u(\xi)$ and $u(\xi^*)$. Thus ξ is less than or equal to ξ_0 , and it follows from the one-to-one property of the function (3.5) that ξ must be equal to ξ^* . Statement 1 of Proposition 3.3 is proved. \square

Proof of statement 2 of Proposition 3.3. Let $\xi \mapsto u(\xi)$ be the profile of an asymmetric standing pulse for the potential V , let e denote the critical point approached by $u(\xi)$ as ξ goes to $\pm\infty$, and let I be a nonempty open interval of \mathbb{R} . In view of the intended conclusion (statement 2), we may assume that I is bounded. According to the invariance (1.5) of the Hamiltonian H_V , for every ξ in \mathbb{R} , the difference $V(u(\xi)) - V(e)$ is equal to $|\dot{u}(\xi)|^2/2$ and is therefore nonzero, so that the critical point e is never reached by the function $\xi \mapsto u(\xi)$ on \mathbb{R} . As a consequence there exists a (small) positive quantity r such that $|u(\xi) - e|$ is larger than r for all ξ in I ; and since $u(\xi)$ approaches e as ξ goes to $\pm\infty$, there exists a (large) positive quantity M such that $|u(\xi) - e|$ is smaller than r outside of $[-M, M]$.

Assume that there exist two different times ξ and ξ' in \mathbb{R} such that $u(\xi)$ equals $u(\xi')$. Then, again according to the invariance (1.5) of the Hamiltonian H_V , the time derivatives $\dot{u}(\xi)$ and $\dot{u}(\xi')$ must have the same norm. Besides, these two vectors cannot be equal (or else the profile u would be periodic) nor opposite (or else according to Lemma 3.2 the pulse would be symmetric), thus they are not proportional. Thus the couples (ξ, ξ') such that $u(\xi)$ is equal to $u(\xi')$ are isolated in \mathbb{R}^2 . In addition, if (ξ, ξ') is such a couple and ξ is in I then ξ' must belong to $[-M, M]$. This shows by compactness that there exists only a finite number of couples (ξ, ξ') in $I \times \mathbb{R}$ such that $u(\xi)$ equals $u(\xi')$. Statement 2 of Proposition 3.3 follows. \square

Proof of statement 3 of Proposition 3.3. The arguments are the same as in the proof of statement 2 above. Let $\xi \mapsto u(\xi)$ be the profile of a symmetric pulse with turning time ξ_{turn} for the potential V , let I be a nonempty open interval of $(-\infty, \xi_{\text{turn}}]$, assumed to be bounded. If there exist two different times ξ and ξ' in $(-\infty, \xi_{\text{turn}}]$ such that $u(\xi)$ equals $u(\xi')$, again the time derivatives $\dot{u}(\xi)$ and $\dot{u}(\xi')$ have the same norm. These two vectors cannot be equal (or else the profile u would be periodic) nor opposite (or else, according to statement 3 of Lemma 3.2, $(\xi + \xi')/2$ would be a second turning time — less than ξ_{turn} — for u , a contradiction with the conclusion of Lemma 3.2). Thus again, $\dot{u}(\xi)$ and $\dot{u}(\xi')$ cannot be proportional, and the same arguments as in the proof of statement 2 above show that there exists only a finite number of couples (ξ, ξ') in $I \times (-\infty, \xi_{\text{turn}}]$ such that $u(\xi) = u(\xi')$. \square

4 Tools for genericity

4.1 An instance of the Sard–Smale Transversality Theorem

To prove that a given property generically holds, a standard method is to express this property as a transversality problem and to use one instance among the family of theorems known as the Sard–Smale Transversality Theorem (or Thom’s Transversality Theorem, or simply the Transversality Theorem), see [1, 7, 24, 36]. In this paper the following instance will be used (Theorem 4.2 below). Let us consider a function

$$\Phi : \mathcal{M} \times \Lambda \rightarrow \mathcal{N},$$

where \mathcal{M} and \mathcal{N} are two finite-dimensional manifolds and Λ (“parameter space”) is a Banach manifold, together with a submanifold \mathcal{W} of \mathcal{N} (see Figure 4.1). Let us assume that these four manifolds and the function Φ are of class C^k (as everywhere in the paper k denotes a positive integer). Finally, let $\text{codim}(\mathcal{W})$ denote the codimension of \mathcal{W} in \mathcal{N} .

Definition 4.1. With the notation above, the image of Φ is said to be *transverse to \mathcal{W}* , if, for every (m, λ) in $\mathcal{M} \times \Lambda$ such that $\Phi(m, \lambda)$ is in \mathcal{W} , the following equality holds:

$$D\Phi(T_m\mathcal{M} \times T_\lambda\Lambda) + T_{\Phi(m,\lambda)}\mathcal{W} = T_{\Phi(m,\lambda)}\mathcal{N}$$

(here $D\Phi$ denotes the differential of Φ at (m, λ)). Accordingly, for every λ in Λ , if Φ_λ denotes the function:

$$\mathcal{M} \rightarrow \mathcal{N}, \quad m \mapsto \Phi(m, \lambda),$$

then the image of Φ_λ is said to be *transverse to \mathcal{W}* if, for every m in \mathcal{M} such that $\Phi(m, \lambda)$ is in \mathcal{W} , denoting $D\Phi_\lambda$ the differential of Φ_λ at m ,

$$D\Phi_\lambda(T_m\mathcal{M}) + T_{\Phi(m,\lambda)}\mathcal{W} = T_{\Phi(m,\lambda)}\mathcal{N}.$$

Theorem 4.2 (Sard–Smale Transversality Theorem). *With the notation above, if*

1. $k > \dim(\mathcal{M}) - \text{codim}(\mathcal{W})$,
2. *and the image of Φ is transverse to \mathcal{W} ,*

then there exists a generic subset Λ_{gen} of Λ such that, for every λ in Λ_{gen} , the image of Φ_λ is transverse to \mathcal{W} .

The proof of this result can be found in [1] or in [24]. The key hypothesis, which is often difficult to check, is the transversality hypothesis 2. Notice that the conclusion is stronger than this hypothesis since it states that the transversality holds for a fixed generic parameter λ , whereas hypothesis 2 uses the freedom of moving λ .

4.2 Extending local genericity to global genericity

Theorem 4.2 (under the form above or another) is the standard tool to prove that a property generically holds. However, it turns out that it is often difficult, in practice, to express a property using a single function Φ as above; thus one is often led to patch together several conclusions provided by this theorem. The following lemma provides a way to carry out this patching process. This lemma is identical to [35, Lemma 3.3 of Chapter 3], where a proof can be found.

Lemma 4.3 (local genericity implies global genericity in a separable Baire space). *Let \mathcal{V} be a separable Baire space and $\mathcal{V}_{\text{dense}}$ be a dense subset of \mathcal{V} . For every subset \mathcal{V}_{gen} of \mathcal{V} , the following two assertions are equivalent:*

1. *the subset \mathcal{V}_{gen} is generic in \mathcal{V} ;*
2. *for every V_0 in $\mathcal{V}_{\text{dense}}$, there exists a neighbourhood ν of V_0 in \mathcal{V} such that $\mathcal{V}_{\text{gen}} \cap \nu$ is generic in ν .*

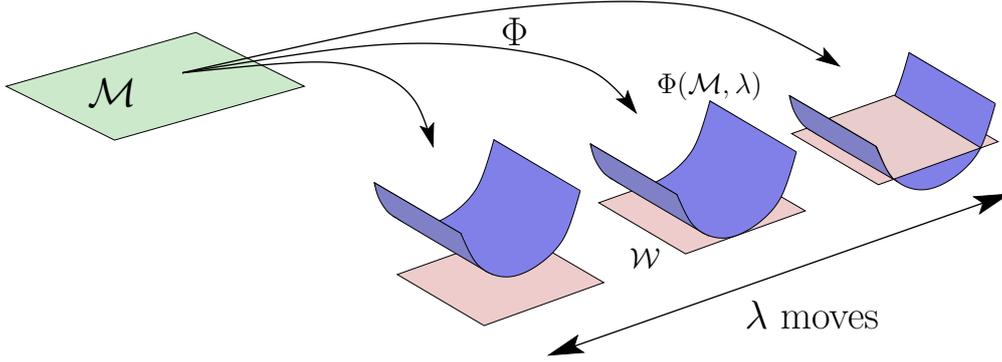


Figure 4.1: Geometric interpretation of Theorem 4.2. Assume that for a given parameter λ_0 , $D\Phi_{\lambda_0}(T\mathcal{M}) + T\mathcal{W}$ is not the whole tangent space $T\mathcal{N}$, but that the dependence of Φ on λ provides the missing directions. Then for almost every λ close to λ_0 , the image $\Phi(\mathcal{M}, \lambda)$ intersects \mathcal{W} transversally.

4.3 Potentials that are quadratic past a given radius

The whole space $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ of potentials is somewhat difficult to handle, for various reasons: it is not separable, even locally, and the flow of system (1.7) is not globally well-defined for some of the potentials V in this space. To get around these difficulties, the proofs of the next sections 5 and 6 will be carried out on a more restricted class $\mathcal{V}_{\text{quad-}R}$ of potentials, after what the results will be extended to the full set $\mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ in the final section 9. Let

$$(4.1) \quad \mathcal{V}_{\text{full}} = \mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R}),$$

and, for a positive quantity R , let

$$(4.2) \quad \mathcal{V}_{\text{quad-}R} = \left\{ V \in \mathcal{V}_{\text{full}} : \text{for all } u \text{ in } \mathbb{R}^d, |u| \geq R \implies V(u) = \frac{|u|^2}{2} \right\}.$$

By contrast with $\mathcal{V}_{\text{full}}$, the affine subspace $\mathcal{V}_{\text{quad-}R}$ of $\mathcal{V}_{\text{full}}$ is separable, and therefore provides a framework where Lemma 4.3 may be applied. The next lemma states some (nice) properties of the flow of system (1.7) for a potential V in $\mathcal{V}_{\text{quad-}R}$. It is followed by another one (Corollary 4.6 below) providing the adequate tools to proceed with the extension mentioned above and carried out in section 9.

Notation. For every non negative quantity r , let $B_{\mathbb{R}^d}(0, r)$ and $\bar{B}_{\mathbb{R}^d}(0, r)$ denote the open ball and the closed ball centred at the origin and of radius r in \mathbb{R}^d .

Lemma 4.4. *For every positive quantity R and for every potential V in $\mathcal{V}_{\text{quad-}R}$, the following conclusions hold.*

1. *For every speed c , the flow defined by the differential system (1.7) is global.*

2. Every profile $\xi \mapsto u(\xi)$ of a travelling front or a standing front or a standing pulse, for this potential, satisfies the following bound:

$$(4.3) \quad \sup_{\xi \in \mathbb{R}} |u(\xi)| < R.$$

Proof. Let V be in $\mathcal{V}_{\text{quad-}R}$ and let c be a real quantity. According to the definition (4.2) of $\mathcal{V}_{\text{quad-}R}$, there exists a positive quantity K such that, for every u in \mathbb{R}^d ,

$$|\nabla V(u)| \leq K + |u|.$$

As a consequence, it follows from the expression (1.6) of $F_{c,V}$ that, for every solution $U = (u, v)$ of (1.7) in \mathbb{R}^{2d} ,

$$\left| \dot{U}(\xi) \right| = |F_{c,V}(u, v)| = |(v, \nabla V(u) - cv)| = \mathcal{O}_{|U| \rightarrow \infty}(|U(\xi)|).$$

This bound prevents solutions from blowing up in finite time, proving conclusion 1.

Now let $\xi \mapsto u(\xi)$ denote a solution of (1.2) approaching critical points of V at both ends of \mathbb{R} . Let us write $q = |u|^2/2$, so that

$$(4.4) \quad \dot{q} = u \cdot \dot{u} \quad \text{and} \quad \ddot{q} = -c\dot{q} + \dot{u}^2 + u \cdot \nabla V(u),$$

and so that, since V is in $\mathcal{V}_{\text{quad-}R}$, for every real quantity ξ ,

$$|u(\xi)| \geq R \implies \frac{d}{d\xi} (e^{c\xi} \dot{q}(\xi)) = e^{c\xi} (\dot{u}^2(\xi) + u^2(\xi)) > 0.$$

Since V is quadratic outside the ball $B_{\mathbb{R}^d}(0, R)$, its critical points must belong to the interior of $B_{\mathbb{R}^d}(0, R)$, and the same must be true for $u(\xi)$ when $|\xi|$ is large. Now, if $|u(\cdot)|$ were to reach the value R at some (finite) time ξ_0 , then (if ξ_0 is the first time when this happens) $\dot{q}(\xi_0)$ would be nonnegative; the implications above show that, from this time on, the quantity $e^{c\xi} \dot{q}(\xi)$ (and thus also the quantity $\dot{q}(\xi)$) would remain positive; so that $q(\xi)$ and $|u(\xi)|$ would keep increasing with ξ , a contradiction with the fact that $u(\xi)$ must be back inside $B_{\mathbb{R}^d}(0, R)$ for ξ large. Conclusion 2 is proved. \square

4.4 Topological properties of restriction maps

Let R denote a positive quantity and let us consider the set

$$(4.5) \quad \mathcal{V}_{\text{res-}R} = \mathcal{C}^{k+1}(\bar{B}_{\mathbb{R}^d}(0, R), \mathbb{R}).$$

The next Lemma 4.5 will be used to carry out, in section 9, the extension mentioned at the beginning of this subsection. To ease its formulation, let us adopt $\mathcal{V}_{\text{quad-}\infty}$ as an alternative notation for the space $\mathcal{V}_{\text{full}}$. Let R' denote either a quantity larger than R or ∞ , and let us consider the restriction operator:

$$(4.6) \quad \text{res}_{R,R'} : \mathcal{V}_{\text{quad-}R'} \rightarrow \mathcal{V}_{\text{res-}R}, \quad V \mapsto V|_{\bar{B}_{\mathbb{R}^d}(0,R)}.$$

Lemma 4.5. *The restriction map $\text{res}_{R,R'}$ is continuous, surjective and open.*

Proof. If two potentials of $\mathcal{V}_{\text{quad-}R'}$ are \mathcal{C}^k -close, then their restrictions to the closed ball $\overline{B}_{\mathbb{R}^d}(0, R)$ are still \mathcal{C}^k -close on this ball, so that the map $\text{res}_{R,R'}$ is continuous.

To prove that the map $\text{res}_{R,R'}$ is surjective and open, it is sufficient to construct a continuous right inverse for this map. For this purpose we may consider Seeley's extension

$$\text{ext}_{\infty,R} : \mathcal{V}_{\text{res-}R} \rightarrow \mathcal{V}_{\text{full}},$$

which is a right inverse for $\text{res}_{R,\infty}$ (that is $\text{res}_{R,\infty} \circ \text{ext}_{\infty,R}$ is the identity map of $\mathcal{V}_{\text{res-}R}$). The map defined in Seeley's original paper [47] extends to the whole space \mathbb{R}^d a function initially defined on a half space, but using spherical coordinates the same definition leads to this extension $\text{ext}_{\infty,R}$. This map $\text{ext}_{\infty,R}$ is linear and continuous for the usual topology for the departure set $\mathcal{V}_{\text{res-}R}$ and the topology of uniform convergence of derivatives up to order k on compact subsets of \mathbb{R}^d for the arrival set $\mathcal{V}_{\text{full}}$. Now, if $\chi : [0, +\infty) \rightarrow \mathbb{R}$ denotes a smooth truncation function satisfying

$$\chi \equiv 1 \text{ on } [0, R] \quad \text{and} \quad \chi \equiv 0 \text{ on } [\min(R+1, R'), +\infty),$$

then the map $\text{ext}_{R',R} : \mathcal{V}_{\text{res-}R} \rightarrow \mathcal{V}_{\text{quad-}R}$ defined, for every V in $\mathcal{V}_{\text{res-}R}$, by

$$\text{ext}_{R',R}(V)(u) = \chi(|u|) \text{ext}_{\infty,R}(V)(u) + (1 - \chi(|u|)) \frac{|u|^2}{2},$$

is a right inverse of $\text{res}_{R,R'}$ and is continuous (for the topologies of uniform convergence of derivatives up to order k for the departure and arrival sets). Lemma 4.5 is proved. \square

Corollary 4.6. *For every couple (A, B) of subsets of $\mathcal{V}_{\text{res-}R}$, let $A' = \text{res}_{R,R'}^{-1}(A)$ and $B' = \text{res}_{R,R'}^{-1}(B)$ denote the sets of the potentials of $\mathcal{V}_{\text{quad-}R'}$ whose restrictions to $\overline{B}_{\mathbb{R}^d}(0, R)$ belong to A and B respectively. Then the following equivalences hold:*

$$(4.7) \quad A \text{ is open in } \mathcal{V}_{\text{res-}R} \iff A' \text{ is open in } \mathcal{V}_{\text{quad-}R'},$$

$$(4.8) \quad A \text{ is dense in } B \iff A' \text{ is dense in } B',$$

$$(4.9) \quad A \text{ is dense in } \mathcal{V}_{\text{res-}R} \iff A' \text{ is dense in } \mathcal{V}_{\text{quad-}R'}.$$

Proof. Equivalence (4.7) follows from the continuity and the openness of $\text{res}_{R,R'}$.

According to the surjectivity of $\text{res}_{R,R'}$, the set $\text{res}_{R,R'}(A')$ is equal to A and the set $\text{res}_{R,R'}(B')$ is equal to B . Since the image of a dense set by a continuous map is dense in its image, if A' is dense in B' then A is dense in B . Implication " \Leftarrow " of (4.8) is proved.

On the other hand, if A is dense in B , then, for every open subset Ω' of B' , its image $\Omega := \text{res}_{R,R'}(\Omega')$ is, according to Lemma 4.5, open in B so that the intersection $A \cap \Omega$ is nonempty. According to the surjectivity of $\text{res}_{R,R'}$, the set $\text{res}_{R,R'}^{-1}(A \cap \Omega)$ is also nonempty and it is by construction included in $A' \cap \Omega'$, which is a fortiori nonempty. This proves that A' is dense in B' and completes the proof of equivalence (4.8).

Finally, equivalence (4.9) follows from (4.8) by setting B' equal to $\mathcal{V}_{\text{quad-}R'}$ and B equal to $\mathcal{V}_{\text{res-}R}$. Corollary 4.6 is proved. \square

5 Generic transversality of travelling fronts

5.1 Notation and statement

Notation. Let us recall the notation $\mathcal{V}_{\text{full}}$ and $\mathcal{V}_{\text{quad-}R}$ introduced in (4.1) and (4.2). For every potential function V in $\mathcal{V}_{\text{full}}$, let $\Sigma_{\text{crit}}(V)$ and $\Sigma_{\text{min}}(V)$ denote the set of non-degenerate critical points and of non-degenerate minimum points of V , respectively, and let us consider the set

$$(5.1) \quad \mathcal{F}_V = \{(c, u) \in (0, +\infty) \times \mathcal{C}^{k+1}(\mathbb{R}, \mathbb{R}^d) : \xi \mapsto u(\xi) \text{ is a global solution of the system } \ddot{u} = -c\dot{u} + \nabla V(u) \text{ and there exists } (e_-, e_+) \text{ in } \Sigma_{\text{crit}}(V) \times \Sigma_{\text{min}}(V) \text{ such that } \lim_{\xi \rightarrow -\infty} u(\xi) = e_- \text{ and } \lim_{\xi \rightarrow +\infty} u(\xi) = e_+\}.$$

In other words, (c, u) is in \mathcal{F}_V if and only if c is a positive quantity and $\xi \mapsto u(\xi)$ is the profile of a front travelling at the speed c and connecting a non-degenerate critical point (at the left end) to a non-degenerate minimum point (at the right end), for the potential V .

Let us take and fix a positive quantity R . The goal of this section is to prove Proposition 5.1 below, which is a weaker version of statement 1 of Theorem 1.7 since the potentials under consideration belong to the subspace $\mathcal{V}_{\text{quad-}R}$ and not to the full space $\mathcal{V}_{\text{full}}$. The reasons for first proving the intended genericity result in this restricted setting are explained at the beginning of subsection 4.3, and the extension from $\mathcal{V}_{\text{quad-}R}$ to $\mathcal{V}_{\text{full}}$ will be carried out in the last section 9. As a reminder, the transversality of a travelling front was defined in Definition 1.3.

Proposition 5.1. *For every positive quantity R , there exists a generic subset of $\mathcal{V}_{\text{quad-}R}$ such that, for every potential V in this subset, every travelling front (c, u) in \mathcal{F}_V is transverse.*

5.2 Reduction to a local statement

Let V_0 denote a potential function in $\mathcal{V}_{\text{quad-}R}$, and let $e_{-,0}$ and $e_{+,0}$ denote a non-degenerate critical point and a non-degenerate minimum point of V_0 , respectively. According to Proposition 2.2 (or simply to the Implicit Function Theorem), there exists a small neighbourhood $\nu_{\text{robust}}(V_0, e_{-,0}, e_{+,0})$ of V_0 in $\mathcal{V}_{\text{quad-}R}$ and two \mathcal{C}^{k+1} -functions $e_-(\cdot)$ and $e_+(\cdot)$, defined on $\nu_{\text{robust}}(V_0, e_{-,0}, e_{+,0})$ and with values in \mathbb{R}^d , such that $e_-(V_0)$ equals $e_{-,0}$ and $e_+(V_0)$ equals $e_{+,0}$ and, for every V in $\nu_{\text{robust}}(V_0, e_{-,0}, e_{+,0})$, $e_-(V)$ and $e_+(V)$ are still a non-degenerate critical point and a non-degenerate minimum point of V , respectively. The following local generic transversality statement, which calls upon this notation, yields Proposition 5.1 (as shown below).

Proposition 5.2. *For every positive speed c_0 , there exist a neighbourhood $\nu_{V_0, e_{-,0}, e_{+,0}, c_0}$ of V_0 in $\mathcal{V}_{\text{quad-}R}$, included in $\nu_{\text{robust}}(V_0, e_{-,0}, e_{+,0})$, a neighbourhood $\mathcal{C}_{V_0, e_{-,0}, e_{+,0}, c_0}$ of c_0 in $(0, +\infty)$, and a generic subset $\nu_{V_0, e_{-,0}, e_{+,0}, c_0, \text{gen}}$ of $\nu_{V_0, e_{-,0}, e_{+,0}, c_0}$ such that, for every V in $\nu_{V_0, e_{-,0}, e_{+,0}, c_0, \text{gen}}$, every front travelling at a speed c in $\mathcal{C}_{V_0, e_{-,0}, e_{+,0}, c_0}$ and connecting $e_-(V)$ to $e_+(V)$, for the potential V , is transverse.*

Proof that Proposition 5.2 yields Proposition 5.1. Let us denote by $\mathcal{V}_{\text{quad-}R\text{-Morse}}$ the dense open subset of $\mathcal{V}_{\text{quad-}R}$ defined by the Morse property (see [24]):

$$(5.2) \quad \mathcal{V}_{\text{quad-}R\text{-Morse}} = \{V \in \mathcal{V}_{\text{quad-}R} : \text{all critical points of } V \text{ are non-degenerate}\} .$$

Let V_0 denote a potential function in $\mathcal{V}_{\text{quad-}R\text{-Morse}}$. Its critical points are non-degenerate and thus isolated and, since V_0 is in $\mathcal{V}_{\text{quad-}R}$, they belong to the open ball $B_{\mathbb{R}^d}(0, R)$, so that those critical points are in finite number. Assume that Proposition 5.2 holds. With the notation of this proposition, let us consider the following three intersections, at each time over all couples $(e_{-,0}, e_{+,0})$ with $e_{-,0}$ a non-degenerate critical point and $e_{+,0}$ a non-degenerate minimum point of V_0 :

$$(5.3) \quad \begin{aligned} \nu_{V_0, c_0} &= \bigcap \nu_{V_0, e_{-,0}, e_{+,0}, c_0} , \\ \mathcal{C}_{V_0, c_0} &= \bigcap \mathcal{C}_{V_0, e_{-,0}, e_{+,0}, c_0} \\ \text{and } \nu_{V_0, c_0, \text{gen}} &= \bigcap \nu_{V_0, e_{-,0}, e_{+,0}, c_0, \text{gen}} . \end{aligned}$$

Those are finite intersections, so that ν_{V_0, c_0} is still a neighbourhood of V_0 in $\mathcal{V}_{\text{quad-}R}$, \mathcal{C}_{V_0, c_0} is still a neighbourhood of c_0 in $(0, +\infty)$ and the set $\nu_{V_0, c_0, \text{gen}}$ is still a generic subset of ν_{V_0, c_0} . In addition, up to replacing ν_{V_0, c_0} by a smaller neighbourhood of V_0 in $\mathcal{V}_{\text{quad-}R}$ (and $\nu_{V_0, c_0, \text{gen}}$ by its intersection with this smaller neighbourhood), it may be assumed that every V in ν_{V_0, c_0} has the same number of critical point as V_0 ; according to the conditions on $\nu_{\text{robust}}(\cdot)$, those critical points are nondegenerate, so that ν_{V_0, c_0} is actually a neighbourhood of V_0 in $\mathcal{V}_{\text{quad-}R\text{-Morse}}$. Let I denote a compact sub-interval of $(0, +\infty)$; the three sets defined above in (5.3) can be constructed likewise for every c_0 in I . Since I is compact, it can be covered by a finite union of sets $\mathcal{C}_{V_0, c_{0,i}}$, corresponding to a finite set $\{c_{0,1}, \dots, c_{0,p}\}$ of speeds. Again the intersections

$$\nu_{V_0, I} = \bigcap_{1 \leq i \leq p} \nu_{V_0, c_{0,i}} \quad \text{and} \quad \nu_{V_0, I, \text{gen}} = \bigcap_{1 \leq i \leq p} \nu_{V_0, c_{0,i}, \text{gen}} .$$

are finite and thus $\nu_{V_0, I, \text{gen}}$ is still a generic subset of $\nu_{V_0, I}$, which is a neighbourhood of V_0 in $\mathcal{V}_{\text{quad-}R\text{-Morse}}$. By construction, for every potential function V in $\nu_{V_0, I, \text{gen}}$, all fronts travelling at a speed belonging to I and connecting a critical point of V to a minimum point of V are transverse. In other words, the set

$$\mathcal{V}_{\text{quad-}R\text{-Morse-}\not\perp\text{-}\mathcal{F}\text{-}I} = \{V \in \mathcal{V}_{\text{quad-}R\text{-Morse}} : \text{for every travelling front } (c, u) \text{ in } \mathcal{F}_V, \\ \text{if } c \text{ is in } I \text{ then } (c, u) \text{ is transverse}\} ,$$

is locally generic in the sense that $\mathcal{V}_{\text{quad-}R\text{-Morse-}\not\perp\text{-}\mathcal{F}\text{-}I} \cap \nu_{V_0, I}$ is generic in $\nu_{V_0, I}$. Since $\mathcal{V}_{\text{quad-}R}$ is separable, applying Lemma 4.3 with $\mathcal{V} = \mathcal{V}_{\text{quad-}R}$, $\mathcal{V}_{\text{dense}} = \mathcal{V}_{\text{quad-}R\text{-Morse}}$, $\mathcal{V}_{\text{gen}} = \mathcal{V}_{\text{quad-}R\text{-Morse-}\not\perp\text{-}\mathcal{F}\text{-}I}$ and $\nu = \nu_{V_0, I}$ shows that the set $\mathcal{V}_{\text{quad-}R\text{-Morse-}\not\perp\text{-}\mathcal{F}\text{-}I}$ is generic in the whole set $\mathcal{V}_{\text{quad-}R}$. As a consequence, the set

$$\bigcap_{q \in \mathbb{N}^*} \mathcal{V}_{\text{quad-}R\text{-Morse-}\not\perp\text{-}\mathcal{F}\text{-}[-1/q, q]}$$

is still generic in $\mathcal{V}_{\text{quad-}R}$. For every potential V in this set, all travelling fronts belonging to \mathcal{F}_V are transverse, so that this set fulfils the conclusions of Proposition 5.1. \square

The remaining part of section 5 will thus be devoted to the proof of Proposition 5.2.

5.3 Proof of the local statement (Proposition 5.2)

5.3.1 Setting

For the remaining part of this section, let us fix a potential function V_0 in $\mathcal{V}_{\text{quad-}R}$, a non-degenerate critical point $e_{-,0}$ of V_0 and a non-degenerate minimum point $e_{+,0}$ of V_0 , differing from $e_{-,0}$. According to Proposition 2.2, there exist a neighbourhood ν of V_0 in $\mathcal{V}_{\text{quad-}R}$, included in $\nu_{\text{robust}}(V_0, e_{-,0}, e_{+,0})$, a neighbourhood \mathcal{C} of c_0 in $(0, +\infty)$, and a positive quantity r such that, for every (c, V) in $\mathcal{C} \times \nu$, there exist C^{k+1} -functions

$$\hat{w}_{\text{loc}, c, V}^{\text{u}} : \bar{B}_{E_{-,0}}^{\text{u}}(r) \rightarrow \mathbb{R}^{2d} \quad \text{and} \quad \hat{w}_{\text{loc}, c, V}^{\text{s}} : \bar{B}_{E_{+,0}}^{\text{s}}(r) \rightarrow \mathbb{R}^{2d}$$

such that the sets

$$W_{\text{loc}, c, V}^{\text{u}}(E_-(V)) = \hat{w}_{\text{loc}, c, V}^{\text{u}}(\bar{B}_{E_{-,0}}^{\text{u}}(r)) \quad \text{and} \quad W_{\text{loc}, c, V}^{\text{s}}(E_+(V)) = \hat{w}_{\text{loc}, c, V}^{\text{s}}(\bar{B}_{E_{+,0}}^{\text{s}}(r))$$

define a local unstable manifold of $E_-(V)$ and a local stable manifold of $E_+(V)$, respectively (see the conclusions of Proposition 2.2 and equalities (2.9)).

Here is the setting where the Sard–Smale Theorem (Theorem 4.2) will be applied (see Figure 5.1). Let

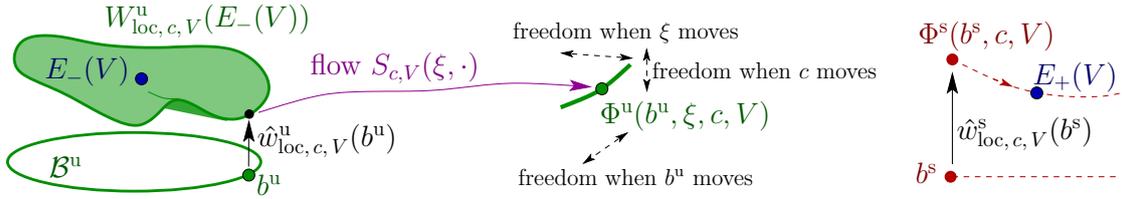


Figure 5.1: The function $\hat{w}_{\text{loc}, c, V}^{\text{u}}(\cdot)$ maps \mathcal{B}^{u} onto the boundary of the local unstable manifold $W_{\text{loc}, c, V}^{\text{u}}(E_-(V))$. A point $\hat{w}_{\text{loc}, c, V}^{\text{u}}(b^{\text{u}})$ of this boundary is pushed forward during a time ξ by the flow $S_{c, V}(\xi, \cdot)$ to give the image $\Phi^{\text{u}}(b^{\text{u}})$, which still belongs to the global unstable manifold of $E_-(V)$. On the other hand, Φ^{s} maps \mathcal{B}^{s} onto the boundary of the local stable manifold $W_{\text{loc}, c, V}^{\text{s}}(E_+)$. The dependence of Φ^{u} on the time ξ and the point b^{u} provides a number of degrees of freedom equal to the dimension of the unstable manifold, while an additional degree of freedom is provided by the speed c . This additional dependence makes the difference between the transversality of a travelling front as defined in Definition 1.3 and the classical transversality of stable and unstable manifolds.

$$\begin{aligned} \mathcal{B}^{\text{u}} &= \partial \bar{B}_{E_{-,0}}^{\text{u}}(r), & \mathcal{B}^{\text{s}} &= \partial \bar{B}_{E_{+,0}}^{\text{s}}(r), & \mathcal{M} &= \mathcal{B}^{\text{u}} \times \mathcal{B}^{\text{s}} \times \mathbb{R} \times \mathcal{C}, \\ \Lambda &= \nu, & \mathcal{N} &= (\mathbb{R}^{2d})^2, & \text{and } \mathcal{W} &= \{(A, B) \in \mathcal{N} : A = B\}, \end{aligned}$$

so that \mathcal{W} is nothing but the diagonal of \mathcal{N} . Let us consider the functions

$$\begin{aligned} \Phi^{\text{u}} &: \mathcal{B}^{\text{u}} \times \mathbb{R} \times \mathcal{C} \times \Lambda \rightarrow \mathbb{R}^{2d}, & (b^{\text{u}}, \xi, c, V) &\mapsto S_{c, V}(\xi, \hat{w}_{\text{loc}, c, V}^{\text{u}}(b^{\text{u}})) \\ \text{and } \Phi^{\text{s}} &: \mathcal{B}^{\text{s}} \times \mathcal{C} \times \Lambda \rightarrow \mathbb{R}^{2d}, & (b^{\text{s}}, c, V) &\mapsto \hat{w}_{\text{loc}, c, V}^{\text{s}}(b^{\text{s}}). \end{aligned}$$

For every V in Λ and c in \mathcal{C} , the image of $\Phi^u(\cdot, \cdot, c, V)$ is the global unstable manifold $W_{c,V}^u(E_-(V))$ (except the point $E_-(V)$ itself), whereas the image of $\Phi^s(\cdot, c, V)$ is the boundary of the local stable manifold $W_{\text{loc},c,V}^s(E_+(V))$. Finally, let

$$(5.4) \quad \Phi : \mathcal{M} \times \Lambda \rightarrow \mathcal{N}, \quad (m, V) = ((b^u, b^s, \xi, c), V) \mapsto (\Phi^u(b^u, \xi, c, V), \Phi^s(b^s, c, V)).$$

5.3.2 Additional conditions on ν and r

The main step in the proof of Proposition 5.2 is the construction of a suitable perturbation W of V (carried out in subsection 5.3.5 below). This construction requires more accurate conditions on the setting above.

First, since $e_{-,0}$ and $e_{+,0}$ differ, we may assume that ν and \mathcal{C} and r are small enough so that, for every V in ν ,

$$(5.5) \quad \pi_{\text{pos}}\left(W_{\text{loc},c,V}^u(E_-(V))\right) \cap \pi_{\text{pos}}\left(W_{\text{loc},c,V}^s(E_+(V))\right) = \emptyset,$$

where π_{pos} is the projection on the first component defined in (2.10).

Next, the following lemma is a more uniform version of assertion 1 of Proposition 3.3, the key difference being that r can be chosen small enough such that I_{once} contains positive times.

Lemma 5.3. *Up to replacing ν by a smaller neighbourhood of V_0 in $\mathcal{V}_{\text{quad-R}}$, and \mathcal{C} by a smaller neighbourhood of c_0 in $(0, +\infty)$, and r by a smaller radius, we may assume that the following conclusions hold. For every V in ν , every c in \mathcal{C} , and every solution $\xi \mapsto U(\xi) = (u(\xi), \dot{u}(\xi))$ of system (1.7) such that $U(0)$ belongs to the boundary of $W_{\text{loc},c,V}^u(E_-(V))$ (in other words there exists b^u in \mathcal{B}^u such that $U(0)$ equals $\hat{w}_{\text{loc},c,V}^u(b^u)$), there exists a compact interval with nonempty interior I_{once} , included in $(0, +\infty)$, such that:*

1. *the function $\xi \mapsto |u(\xi) - e_-(V)|$ is increasing on I_{once} (so that $u|_{I_{\text{once}}}$ is a diffeomorphism onto its image),*
2. *and for all ξ^* in I_{once} and ξ in \mathbb{R} , $u(\xi) = u(\xi^*)$ implies $\xi = \xi^*$,*
3. *and condition (5.5) holds, and in addition,*

$$u(I_{\text{once}}) \cap \pi_{\text{pos}}\left[W_{\text{loc},c,V}^u(E_-(V)) \cup W_{\text{loc},c,V}^s(E_+(V))\right] = \emptyset.$$

Proof. Consider for now that ν and \mathcal{C} and r are as in the previous subsection, and, for some b^u in \mathcal{B}^u , let us consider the solution $\xi \mapsto U(\xi) = (u(\xi), \dot{u}(\xi))$ of system (1.7) defined as:

$$U(\xi) = S_{c_0, V_0}(\xi, \hat{w}_{\text{loc}, c_0, V_0}^u(b^u)) \quad (\text{so that } U(0) = \hat{w}_{\text{loc}, c_0, V_0}^u(b^u)).$$

The same arguments as in the proof of statement 1 of Proposition 3.3 yield the following conclusions. First, there exists a (large, negative) time $\xi_0(b^u)$ such that the function $\xi \mapsto$

$|u(\xi) - e_{-,0}|$ is increasing on $(-\infty, \xi_0(b^u)]$. Then, there exists $\xi_{\text{once}}(b^u)$ in $(-\infty, \xi_0(b^u))$ such that, for every ξ^* in $(-\infty, \xi_{\text{once}}(b^u)]$,

$$H_V(U(\xi_0(b^u))) < -V(u(\xi^*))$$

(which is nothing but inequality (3.6)). Then, it follows from statement 1 of Proposition 3.3 that, for the interval I_{once} equal to $[\xi_{\text{once}}(b^u) - 2, \xi_{\text{once}}(b^u) - 1]$, conclusions 1 and 2 of Lemma 5.3 hold for the solution U (and they still hold if $\xi_{\text{once}}(b^u)$ is replaced by a lesser quantity).

Now, observe that, due to the smooth dependence of the map $(-\infty, 0] \rightarrow \mathbb{R}^{2d}$, $\xi \mapsto S_{c,V}(\xi, \hat{w}_{\text{loc},c,V}^u(b^u))$ on V and c and b^u , this construction can be made uniform with respect to b^u in a (small) open subset Ω of \mathcal{B}^u and V in a (small) neighbourhood ν_Ω (included in ν) of V_0 , and to c in a (small) neighbourhood \mathcal{C}_Ω (included in \mathcal{C}) of c_0 ; in other words, there exists a (large enough negative) quantity $\xi_{\text{once}}(\Omega)$ such that the conclusions above hold for all such V and c and b^u . Since \mathcal{B}^u is compact, it can be covered by a finite number $\Omega_1 \dots \Omega_n$ of such open subsets. Thus, replacing

$$\nu \text{ by } \bigcap_{i=1}^n \nu_{\Omega_i} \quad \text{and} \quad \mathcal{C} \text{ by } \bigcap_{i=1}^n \mathcal{C}_{\Omega_i},$$

and choosing

$$\xi_{\text{once}} = \min_{i \in \{1, \dots, n\}} \xi_{\text{once}}(\Omega_i) \quad \text{and} \quad I_{\text{once}} = [\xi_{\text{once}} - 2, \xi_{\text{once}} - 1],$$

conclusions 1 and 2 of Lemma 5.3 hold. Up to replacing r by a smaller positive quantity, we may assume in addition that I_{once} belongs to $(0, +\infty)$. Finally, again up to replacing r by a smaller positive quantity, we may assume that conclusion 3 also holds. \square

5.3.3 Equivalent characterizations of transversality

Let us consider the set

$$\mathcal{F}_{\Lambda, \mathcal{C}} = \{(V, c, u) : V \in \Lambda \text{ and } c \in \mathcal{C} \text{ and } u \text{ is the profile of a front travelling at the speed } c \text{ and connecting } e_-(V) \text{ to } e_+(V), \text{ for the potential } V\},$$

and let us denote by $\tilde{\mathcal{F}}_{\Lambda, \mathcal{C}}$ the set of equivalence classes of $\mathcal{F}_{\Lambda, \mathcal{C}}$ for the equivalence relation: $(V, c, u) \sim (V^\dagger, c^\dagger, u^\dagger)$ if and only if $V = V^\dagger$ and $c = c^\dagger$ and $u = u^\dagger$ up to a translation of the time. The aim of this subsection is to prove Proposition 5.5 below, relating the transversality of the intersection $\Phi(\mathcal{M} \times \Lambda) \cap \mathcal{W}$ to the transversality of travelling fronts belonging to $\mathcal{F}_{\Lambda, \mathcal{C}}$. To begin with, the next Proposition 5.4 formalizes the correspondence between the intersection of the image of Φ with the diagonal \mathcal{W} and the profiles of such travelling fronts.

Proposition 5.4. *The map*

$$(5.6) \quad \Phi^{-1}(\mathcal{W}) \rightarrow \mathcal{F}_{\Lambda, \mathcal{C}}, \quad (b^u, b^s, \xi, c, V) \mapsto \left(V, c, \xi' \mapsto \pi_{\text{pos}} \left(S_{c,V}(\xi', \hat{w}_{\text{loc},c,V}^u(b^u)) \right) \right)$$

defines a one-to-one correspondence between $\Phi^{-1}(\mathcal{W})$ and the quotient set $\tilde{\mathcal{F}}_{\Lambda, \mathcal{C}}$.

Proof. The image by Φ of a point (b^u, b^s, ξ, c, V) of $\mathcal{M} \times \Lambda$ belongs to the diagonal \mathcal{W} of \mathcal{N} if and only if $\Phi^u(b^u, \xi, c, V) = \Phi^s(b^s, c, V)$. If this last equality holds, the function $u : \xi' \mapsto \Phi^u(b^u, \xi', c, V)$ is a solution belonging to the unstable manifold $W_{c,V}^u(E_-(V))$ such that $u(\xi) = \Phi^s(b^s, c, V)$ belongs to the local stable manifold of $E_+(V)$. Thus u defines the profile of a front travelling at the speed c and connecting $e_-(V)$ to $e_+(V)$. The map (5.6) is thus well defined.

Now, if $\xi \mapsto u(\xi)$ is the profile of a front travelling at a speed c in \mathcal{C} for the potential V and connecting $e_-(V)$ to $e_+(V)$, then, according to Proposition 1.2, the image of $\xi \mapsto (u(\xi), \dot{u}(\xi))$ belongs to the intersection $W_{c,V}^u(E_-(V)) \cap W_{c,V}^s(E_+(V))$. As a consequence, this image must cross the boundary of $W_{\text{loc},c,V}^u(E_-(V))$ at a time ξ_- and the boundary of $W_{\text{loc},c,V}^s(E_+(V))$ at a time ξ_+ : there exists b^u in \mathcal{B}^u and b^s in \mathcal{B}^s such that $(u(\xi_-), \dot{u}(\xi_-)) = \hat{w}_{\text{loc},c,V}^u(b^u)$ and $(u(\xi_+), \dot{u}(\xi_+)) = \hat{w}_{\text{loc},c,V}^s(b^s)$. By construction, $\Phi^u(b^u, \xi_+ - \xi_-, c, V) = \Phi^s(b^s, c, V)$ and thus $\Phi(b^u, b^s, \xi_+ - \xi_-, c, V)$ is in \mathcal{W} . In addition, according to the remark at the end of subsection 2.2, the times ξ_- and ξ_+ at which these intersections occur are unique (for a given profile $\xi \mapsto u(\xi)$), thus so are the points b^u in \mathcal{B}^u and b^s in \mathcal{B}^s and the time lag $\xi_+ - \xi_-$. This completes the proof of this one-to-one correspondence. \square

Both corresponding notions of transversality are related as follows.

Proposition 5.5. *For every potential function V in Λ , the following two statements are equivalent.*

1. *The image of the function $\mathcal{M} \rightarrow \mathcal{N}$, $m \mapsto \Phi(m, V)$ is transverse to \mathcal{W} .*
2. *Every profile $\xi \mapsto u(\xi)$ of a front travelling at a speed c in \mathcal{C} and connecting $e_-(V)$ to $e_+(V)$, for the potential V , is transverse.*

Proof. Let us take (m_1, V_1) in $\mathcal{M} \times \Lambda$ such that $\Phi(m_1, V_1)$ is in \mathcal{W} , let $(b_1^u, b_1^s, \xi_1, c_1)$ denote the point m_1 and let $\xi \mapsto u_1(\xi)$ denote the profile of the corresponding travelling front. In other words,

$$\text{for all } \xi \text{ in } \mathbb{R}, \quad U_1(\xi) = \Phi^u(b_1^u, \xi, c_1, V_1), \quad \text{where} \quad U_1(\xi) = (u_1(\xi), \dot{u}_1(\xi)).$$

Let us consider the maps

$$\begin{aligned} \Gamma\Phi : (\mathcal{B}^u \times \mathbb{R} \times \mathcal{C}) \times (\mathcal{B}^s \times \mathcal{C}) &\rightarrow \mathbb{R} \times \mathbb{R}^{2d} \\ ((b^u, \xi, c^u), (b^s, c^s)) &\mapsto (c^u, \Phi^u(b^u, \xi, c^u, V_1)) + (c^s, \Phi^s(b^s, c^s, V_1)), \end{aligned}$$

and

$$\Delta\Phi : \mathcal{M} \rightarrow \mathbb{R}^{2d}, \quad (b^u, b^s, \xi, c) \mapsto \Phi^u(b^u, \xi, c, V_1) - \Phi^s(b^s, c, V_1).$$

and let us write, only for this proof, $D\Phi$ for $D_{T_{m_1}\mathcal{M}}\Phi$, and similarly $D\Phi^u$ and $D\Phi^s$ and $D(\Gamma\Phi)$ and $D(\Delta\Phi)$ for the differentials of Φ^u and Φ^s and $\Gamma\Phi$ and $\Delta\Phi$ at (m_1, V_1) and with respect to all variables but V .

Lemma 5.6. *The following three statements are equivalent.*

(A) The image of $D\Phi$ contains a supplementary subspace of the diagonal \mathcal{W} of $(\mathbb{R}^{2d})^2$.

(B) The map $D(\Gamma\Phi)$ is surjective.

(C) The map $D(\Delta\Phi)$ is surjective.

Proof of Lemma 5.6. If statement (A) holds, then, for every (α, β) in $(\mathbb{R}^{2d})^2$, there exist γ in \mathbb{R}^{2d} and δm in $T_{m_1}\mathcal{M}$ such that

$$(5.7) \quad (\gamma, \gamma) + D\Phi \cdot \delta m = (\alpha, \beta),$$

so that

$$(5.8) \quad D(\Delta\Phi) \cdot \delta m = \alpha - \beta,$$

and statement (C) holds. Conversely, if statement (C) holds, then, for every (α, β) in $(\mathbb{R}^{2d})^2$, there exists δm in $T_{m_1}\mathcal{M}$ such that (5.8) holds, and as a consequence, if $(\delta b^u, \delta b^s, \delta\xi, \delta c)$ denotes the components of δm , the vector $\alpha - D\Phi^u(\delta b^u, \delta\xi, \delta c)$ is equal to $\beta - D\Phi^s(\delta b^s, \delta c)$, and if this vector is denoted by γ , then equality (5.7) holds, and this shows that statement (A) holds. Thus statements (A) and (C) are equivalent.

Now, if statement (B) holds, then, for every $(\delta c, \delta U)$ in $\mathbb{R} \times \mathbb{R}^{2d}$, there exist $(\delta b^u, \delta\xi, \delta c^u)$ in $T_{b_1^u}\mathcal{B}^u \times \mathbb{R}^2$ and $(\delta b^s, \delta c^s)$ in $T_{b_1^s}\mathcal{B}^s \times \mathbb{R}$ such that

$$(5.9) \quad (\delta c, \delta U) = (\delta c^u, D\Phi^u \cdot (\delta b^u, \delta\xi, \delta c^u)) + (\delta c^s, D\Phi^s \cdot (\delta b^s, \delta c^s)),$$

so that δc is equal to $\delta c^u + \delta c^s$ and so that

$$\begin{aligned} \delta U &= D\Phi^u \cdot (\delta b^u, \delta\xi, \delta c^u) + D\Phi^s \cdot (\delta b^s, \delta c - \delta c^u) \\ &= D\Phi^u \cdot (\delta b^u, \delta\xi, \delta c^u) + D\Phi^s \cdot (0, \delta c) - D\Phi^s \cdot (-\delta b^s, \delta c^u), \end{aligned}$$

so that finally, if $(\delta b^u, -\delta b^s, \delta\xi, \delta c^u)$ is denoted by δm , then

$$(5.10) \quad \delta U = D(\Delta\Phi) \cdot \delta m + D\Phi^s \cdot (0, \delta c).$$

By choosing δc equal to 0, this shows that every δU in \mathbb{R}^{2d} is in the image of $D(\Delta\Phi)$, which is statement (C). Conversely, if statement (C) holds, then for every $(\delta c, \delta U)$ in $\mathbb{R} \times \mathbb{R}^{2d}$, there exists δm in $T_{m_1}\mathcal{M}$ such that (5.10) holds, and if δc^u denotes the last component of δm and δc^s is the difference $\delta c - \delta c^u$, then equality (5.9) holds, and this shows that statement (B) holds. Thus statements (B) and (C) are equivalent. \square

Continuation of the proof of Proposition 5.5. To conclude, let us see how both transversality statements 1 and 2 can be expressed in terms of the ingredients of Lemma 5.6. On the one hand, according to Definition 1.3, the travelling front with profile $u_1(\cdot)$ and speed c_1 is transverse if and only if the intersection

$$(5.11) \quad \left(\bigcup_{c^u > 0} \{c^u\} \times W_{c^u, V}^u(E_-(V)) \right) \cap \left(\bigcup_{c^s > 0} \{c^s\} \times W_{c^s, V}^s(E_+(V)) \right)$$

is transverse, in \mathbb{R}^{2d+1} , along the set $\{c_1\} \times U_1(\mathbb{R})$. This transversality can be considered at a single point, no matter which, of the trajectory $U_0(\mathbb{R})$, thus in particular at the point $\Phi^u(b_1^u, \xi_1, c_1, V_1)$, which is equal to $\Phi^s(b_1^s, c_1, V_1)$. By definition, the sum of the tangent spaces associated to the manifolds intersected in (5.11) is the image of $D(\Gamma\Phi)$ and the transversality stated in statement 2 is therefore equivalent to the surjectivity of the map $D(\Gamma\Phi)$ (statement (B) in Lemma 5.6).

On the other hand, the image of the function $\mathcal{M} \rightarrow (\mathbb{R}^{2d})^2$, $m \mapsto \Phi(m, V_1)$ is transverse at $\Phi(m_1, V_1)$ to the diagonal \mathcal{W} of $(\mathbb{R}^{2d})^2$ as stated in 1 if and only if the image of $D\Phi$ contains a supplementary subspace of the diagonal (statement (A) in Lemma 5.6). Thus Proposition 5.5 follows from Lemma 5.6. \square

According to Proposition 5.5, Proposition 5.2 follows from the conclusion of Theorem 4.2 applied to the function Φ (see subsection 5.3.6). The next two subsections are devoted to checking that this function Φ fulfils hypotheses 1 and 2 of this theorem.

5.3.4 Checking hypothesis 1 of Theorem 4.2

Since the vector field (1.6) defining the flow (2.1) is of class C^k , so is the function Φ . It follows from subsection 2.1 that

$$\dim(\mathcal{B}^u) = d - m(e_{-,0}) - 1 \text{ and } \dim(\mathcal{B}^s) = d - 1, \text{ thus } \dim(\mathcal{M}) = 2d - m(e_{-,0}),$$

and since the codimension of \mathcal{W} in \mathcal{N} is equal to $2d$,

$$\dim(\mathcal{M}) - \text{codim}(\mathcal{W}) = -m(e_{-,0}) \leq 0, \quad \text{thus } k > \dim(\mathcal{M}) - \text{codim}(\mathcal{W});$$

in other words, hypothesis 1 of Theorem 4.2 is fulfilled.

5.3.5 Checking hypothesis 2 of Theorem 4.2

Take (m_1, V_1) in the set $\Phi^{-1}(\mathcal{W})$. Let $(b_1^u, b_1^s, \xi_1, c_1)$ denote the components of m_1 , and, for every real quantity ξ , let us write

$$U_1(\xi) = (u_1(\xi), v_1(\xi)) = S_{c_1, V_1}(\xi, \hat{w}_{\text{loc}, c_1, V_1}^u(b_1^u)).$$

The function $\xi \mapsto u_1(\xi)$ is the profile of a front travelling at the speed c_1 and connecting $e_-(V_1)$ to $e_+(V_1)$ for the potential V_1 ; and, according to the empty inclusion (5.5), the quantity ξ_1 is *positive*. Let us write

$$D\Phi, \quad D\Phi^u \quad \text{and} \quad D\Phi^s$$

for the *full* differentials (with respect to arguments m in \mathcal{M} and V in Λ) of the three functions Φ and Φ^u and Φ^s respectively at the points $(b_1^u, b_1^s, \xi_1, c_1, V_1)$, (b_1^u, ξ_1, c_1, V_1) and (b_1^s, c_1, V_1) . Checking hypothesis 2 of Theorem 4.2 amounts to prove that

$$(5.12) \quad \text{im}(D\Phi) + T\mathcal{W} = T\mathcal{N}.$$

To this end, since the subspace $\mathbb{R}^{2d} \times \{0_{\mathbb{R}^{2d}}\}$ of \mathcal{N} is transverse to the diagonal \mathcal{W} , it is sufficient to prove that, for every γ in \mathbb{R}^{2d} , the vector $(\gamma, 0_{\mathbb{R}^{2d}})$ can be reached by $D\Phi$. Thus, it is sufficient to prove that, for every γ in \mathbb{R}^{2d} , there exist a real quantity ζ and a function W in $\mathcal{C}_b^{k+1}(\mathbb{R}^d, \mathbb{R})$ with a compact support $\text{supp}(W)$ satisfying

$$(5.13) \quad \text{supp}(W) \subset B_{\mathbb{R}^d}(0, R),$$

such that

$$(5.14) \quad D\Phi^u \cdot (0, \zeta, 0, W) = \gamma,$$

$$(5.15) \quad \text{and } D\Phi^s \cdot (0, 0, W) = 0_{\mathbb{R}^{2d}}.$$

To fulfil equality (5.15), it is sufficient to assume that W satisfies the following additional condition:

$$(5.16) \quad \text{supp}(W) \cap \pi_{\text{pos}} \left[W_{\text{loc}, c, V}^s(E_+(V_1)) \right] = \emptyset,$$

where $\pi_{\text{pos}} : \mathbb{R}^{2d} \rightarrow \mathbb{R}^d$ is the projection on the first component defined in (2.10) (this condition ensures that the local stable manifold of $E_+(V_1)$ is not changed by a perturbation of V_1 in the direction of W , see the second remark at the end of subsection 2.2). For convenience, we will also ensure that the same is true for the local unstable manifold of $E_-(V_1)$, that is:

$$(5.17) \quad \text{supp}(W) \cap \pi_{\text{pos}} \left[W_{\text{loc}, c, V}^u(E_-(V_1)) \right] = \emptyset.$$

Fulfilling equality (5.14) amounts to prove that the orthogonal complement of the subspace of the directions of \mathbb{R}^{2d} that can be reached by $D\Phi^u \cdot (0, \zeta, 0, W)$ is trivial, i.e. reduced to $\{0_{\mathbb{R}^{2d}}\}$. Observe that

$$D\Phi^u \cdot (0, \zeta, 0, 0) = \zeta \dot{U}_1(\xi_1).$$

Thus the transversality statement (5.12) is a consequence of the following lemma.

Lemma 5.7 (perturbation of the potential reaching a given direction). *For every nonzero vector (ϕ_1, ψ_1) in \mathbb{R}^{2d} which is orthogonal to $\dot{U}_1(\xi_1)$, there exists W in $\mathcal{C}_b^{k+1}(\mathbb{R}^d, \mathbb{R})$ satisfying conditions (5.13), (5.16) and (5.17) and the inequality*

$$(5.18) \quad \langle D\Phi^u \cdot (0, 0, 0, W) | (\phi_1, \psi_1) \rangle \neq 0.$$

Proof of Lemma 5.7. Let (ϕ_1, ψ_1) denote a nonzero vector orthogonal to $U_1(\xi_1)$ in \mathbb{R}^{2d} , and let W be a function in $\mathcal{C}_b^{k+1}(\mathbb{R}^d, \mathbb{R})$ satisfying the conditions (5.13), (5.16) and (5.17). Let us consider the linearization of the differential system (1.7), for the potential V_1 and the speed c_1 , around the solution $\xi \mapsto U_1(\xi)$:

$$(5.19) \quad \frac{d}{d\xi} \begin{pmatrix} \delta u(\xi) \\ \delta v(\xi) \end{pmatrix} = \begin{pmatrix} 0 & \text{id} \\ D^2V_1(u_1(\xi)) & -c_1 \end{pmatrix} \begin{pmatrix} \delta u(\xi) \\ \delta v(\xi) \end{pmatrix},$$

and let $T(\xi, \xi')$ denote the family of evolution operators obtained by integrating this linearized differential system between times ξ and ξ' . It follows from condition (5.17) that

W only affects the part of Φ^u corresponding to the flow (not on the function $\hat{w}_{\text{loc}, c_1, V_1}^u$) and the variation of constants formula yields that

$$(5.20) \quad D\Phi^u \cdot (0, 0, 0, W) = \int_0^{\xi_1} T(\xi, \xi_1) \left(0, \nabla W(u_1(\xi))\right) d\xi.$$

For every time ξ , let $T^*(\xi, \xi_1)$ denote the adjoint operator of $T(\xi, \xi_1)$, and let

$$(5.21) \quad (\phi(\xi), \psi(\xi)) = T^*(\xi, \xi_1) \cdot (\phi_1, \psi_1).$$

According to expression (5.20), inequality (5.18) reads

$$\int_0^{\xi_1} \left\langle \left(0, \nabla W(u_1(\xi))\right) \mid T^*(\xi, \xi_1) \cdot (\phi_1, \psi_1) \right\rangle d\xi \neq 0,$$

or equivalently

$$(5.22) \quad \int_0^{\xi_1} \nabla W(u_1(\xi)) \cdot \psi(\xi) d\xi \neq 0.$$

Notice that, due to the expression of the linearized differential system (5.19), (ϕ, ψ) is a solution of the adjoint linearized system

$$(5.23) \quad \begin{pmatrix} \dot{\phi}(\xi) \\ \dot{\psi}(\xi) \end{pmatrix} = - \begin{pmatrix} 0 & D^2V_1(u_1(\xi)) \\ \text{id} & -c_1 \end{pmatrix} \begin{pmatrix} \phi(\xi) \\ \psi(\xi) \end{pmatrix}.$$

Our task is thus to construct a function W in $C_b^{k+1}(\mathbb{R}^d, \mathbb{R})$ satisfying (5.13), (5.16), (5.17) and (5.22). There are two difficulties to overcome.

1. First, as shown by Figure 3.1, the function $\xi \mapsto u_1(\xi)$ may reach the same value for different values of the argument ξ , making it difficult to handle the interactions of the contributions to the integral (5.22) of the perturbation $W(u_1(\xi))$ at these different values of ξ .
2. Second, the integral (5.22) depends on the gradient ∇W of the perturbation W and not on W itself, and this gradient cannot be *any* function.

These difficulties have already been tackled in several contexts, see [37, 38, 45] (ordinary differential equations) and [8–10, 26, 27] (partial differential equations). Each time, some specific arguments have to be found, using the peculiarities and constraints of the considered system.

In the present case, the following trick will do the job. According to Lemma 5.3, there exists a closed interval with nonempty interior I_{once} , included in $(0, +\infty)$, such that

$$(5.24) \quad u_1(I_{\text{once}}) \cap \pi_{\text{pos}} \left[W_{\text{loc}, c, V}^u(E_-(V_1)) \cup W_{\text{loc}, c, V}^s(E_+(V_1)) \right] = \emptyset,$$

such that \dot{u} does not vanish on I_{once} , and such that

$$(5.25) \quad \text{for all } \xi^* \text{ in } I_{\text{once}} \text{ and } \xi \text{ in } \mathbb{R}, \quad u_1(\xi) = u_1(\xi^*) \implies \xi = \xi^*.$$

According to the empty intersection (5.24) and since $u_1(\xi)$ is in $W_{\text{loc},c,V}^s(E_+(V_1))$ for ξ greater than ξ_1 , the interval I_{once} is actually included in $(0, \xi_1)$. In view of (5.25), the image $u_1(I_{\text{once}})$ of this interval provides a suitable place where the trajectory can be perturbed without the inconvenience 1 emphasized above. Two cases have to be considered (plus a third one that will turn out to be empty).

Case 1. There exists a time ξ^* in I_{once} such that $\psi(\xi^*)$ is *not* collinear to $\dot{u}_1(\xi^*)$.

In this case, up to an affine conformal change of coordinate system in \mathbb{R}^d , we may assume that

$$(5.26) \quad u_1(\xi^*) = 0 \quad \text{and} \quad \dot{u}_1(\xi^*) = \epsilon_1 \quad \text{and} \quad \epsilon_2 \cdot \psi(\xi^*) \neq 0,$$

where $\epsilon_1 = (1, 0, \dots, 0)$ and $\epsilon_2 = (0, 1, 0, \dots, 0)$ are the two first vectors of the canonical basis of \mathbb{R}^d . Let ρ denote an even function in $\mathcal{C}^{k+1}(\mathbb{R}, [0, 1])$ satisfying

$$\rho(0) = 1 \quad \text{and} \quad \rho \text{ vanishes on } \mathbb{R} \setminus (-1, 1).$$

Let ε denote a small positive quantity to be chosen later and let us consider the bump function

$$\rho_\varepsilon : \mathbb{R}^d \rightarrow [0, 1], \quad u \mapsto \rho\left(\frac{|u|}{\varepsilon}\right).$$

It follows from this definition that

$$(5.27) \quad \rho_\varepsilon(0_{\mathbb{R}^d}) = 1 \quad \text{and} \quad \text{supp}(\rho_\varepsilon) \subset \bar{B}_{\mathbb{R}^d}(0, \varepsilon) \quad \text{and} \quad \|\nabla \rho_\varepsilon\|_{L^\infty(\mathbb{R}^d, \mathbb{R})} \in \mathcal{O}_{\varepsilon \rightarrow 0}(\varepsilon^{-1}).$$

Let us define the perturbation W as follows: for every u in \mathbb{R}^d ,

$$W(u) = \rho_\varepsilon(u)(\epsilon_2 \cdot u),$$

see Figure 5.2, so that

$$(5.28) \quad \nabla W(u) = \rho_\varepsilon(u)\epsilon_2 + (\epsilon_2 \cdot u)\nabla \rho_\varepsilon(u).$$

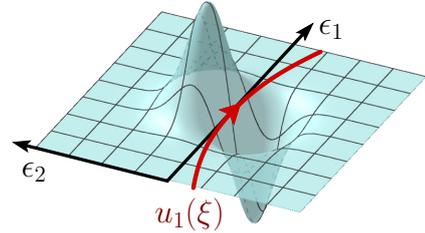


Figure 5.2: Graph of W .

It follows from this definition that, if ε is small enough, then, on the one hand conditions (5.13) (according to inequality (4.3) of Lemma 4.4), and (5.16) and (5.17) (according to the empty intersection (5.24)) are fulfilled; and, on the other hand, according to (5.25) and since $\dot{u}_1(\xi^*)$ is nonzero, there exists an open interval I_ε^* of \mathbb{R} satisfying

$$(5.29) \quad \xi^* \in I_\varepsilon^* \quad \text{and, for every } \xi \text{ in } \mathbb{R}, \quad u_1(\xi) \in B_{\mathbb{R}^d}(0, \varepsilon) \iff \xi \in I_\varepsilon^*.$$

Let us assume that ε is chosen as such. It follows from (5.29) that the integral in (5.22) reduces to:

$$(5.30) \quad \int_{I_\varepsilon^*} \nabla W(u_1(\xi)) \cdot \psi(\xi) d\xi.$$

As a consequence, if $u_1(\xi)$ follows a straight line in the direction of ϵ_1 inside the ball $B_{\mathbb{R}^d}(0, \varepsilon)$, then, for every ξ in I_ε^* ,

$$\nabla W(u_1(\xi)) = \rho_\varepsilon(u_1(\xi))\epsilon_2,$$

so that the integral (5.30) reduces to

$$\int_{I_\varepsilon^*} \rho_\varepsilon(u_1(\xi))\epsilon_2 \cdot \psi(\xi) d\xi,$$

and according to the last property of (5.26), if ε is small enough then this integral does not vanish, fulfilling inequality (5.22) — and thus also (5.18).

In the general situation where $u_1(\xi)$ does not necessarily follow a straight line in the direction of ϵ_1 inside the ball $B_{\mathbb{R}^d}(0, \varepsilon)$, the quantity $\epsilon_2 \cdot u_1(\xi)$ is in $\mathcal{O}_{\varepsilon \rightarrow 0}(\varepsilon^2)$ when ξ is in I_ε^* , thus it follows from (5.28) and from the last property of (5.27) that, still for ξ in I_ε^* ,

$$\nabla W(u_1(\xi)) = \rho_\varepsilon(u_1(\xi))\epsilon_2 + \mathcal{O}_{\varepsilon \rightarrow 0}(\varepsilon),$$

and since $\rho_\varepsilon(0_{\mathbb{R}^d})$ equals 1, it follows from the last property of (5.26) that, if ε is small enough, then inequality (5.22) is fulfilled again — thus so is inequality (5.18).

If case 1 does *not* occur, then $\psi(\xi)$ is collinear to $\dot{u}_1(\xi)$ for every ξ in I_{once} , and since $\dot{u}_1(\cdot)$ does not vanish on I_{once} , there exists a \mathcal{C}^1 -function $\alpha : I_{\text{once}} \rightarrow \mathbb{R}$ such that, for every ξ in I_{once} ,

$$(5.31) \quad \psi(\xi) = \alpha(\xi)\dot{u}_1(\xi).$$

The next cases 2 and 3 differ according to whether the function $\alpha(\cdot)$ is constant or not.

Case 2. For every ξ in I_{once} , equality (5.31) holds for some *nonconstant* function $\alpha(\cdot)$.

For every perturbation W of the potential, if the support of W is localized enough around some point of $u(I_{\text{once}})$ (so that expression (5.31) holds as soon as $\nabla W(u(\xi))$ is nonzero), then an integration by parts shows that the integral in inequality (5.22) becomes

$$(5.32) \quad \int \nabla W(u_1(\xi)) \cdot \psi(\xi) d\xi = \int \alpha(\xi)\nabla W(u_1(\xi)) \cdot \dot{u}_1(\xi) d\xi = - \int \dot{\alpha}(\xi)W(u_1(\xi)) d\xi$$

(with integration domain $[0, \xi_1]$ for each of these integrals).

The expression of this last integral shows why the assumption (made in the present case 2) that $\alpha(\cdot)$ is nonconstant matters. According to this assumption, there exists ξ^* in I_{once} such that $\dot{\alpha}(\xi^*)$ is nonzero. Let us assume (up to an affine change of variable in \mathbb{R}^{2d}) that $u_1(\xi^*)$ is equal to $0_{\mathbb{R}^d}$. Let us define $B_{\mathbb{R}^d}(0, \varepsilon)$ and ρ_ε and I_ε^* as in case 1 above, and let us simply define the perturbation W as

$$W = \rho_\varepsilon.$$

As in case 1, for ε small enough, conditions (5.13), (5.16) and (5.17) are fulfilled, and the integral in inequality (5.22) reduces to the expression (5.30). In view of (5.32), (5.22) thus becomes

$$(5.33) \quad \int_{I_\varepsilon^*} \dot{\alpha}(\xi) W(u_1(\xi)) d\xi \neq 0,$$

which is fulfilled if ε is small enough. It follows that inequality (5.22) is fulfilled, and thus so is inequality (5.18).

Case 3. For every ξ in I_{once} , $\psi(\xi) = \alpha \dot{u}(\xi)$, for some real (constant) quantity α .

In this case, expression (5.32) shows that inequality (5.22) cannot hold if the support of W is localized around some point of $u(I_{\text{once}})$. Fortunately, this third case will lead to a contradiction (and does therefore actually not happen). Recall that (ϕ, ψ) is a solution of the adjoint linearized system (5.23). Thus, for every ξ in I_{once} , it follows from the assumption made in this case 3 that

$$(5.34) \quad \phi(\xi) = c\psi(\xi) - \dot{\psi}(\xi) = c\alpha \dot{u}_1(\xi) - \alpha \ddot{u}_1(\xi).$$

Besides, recall that (ϕ_1, ψ_1) is orthogonal to $\dot{U}_1(\xi_1)$, which equals $T(\xi, \xi_1) \dot{U}_1(\xi)$. Thus, $(\phi(\xi), \psi(\xi)) = T^*(\xi, \xi_1) (\phi_1, \psi_1)$ is orthogonal to $\dot{U}_1(\xi)$. According to the expression of ψ and expression (5.34), this last property reads

$$(5.35) \quad c\alpha \dot{u}_1(\xi)^2 - \alpha \ddot{u}_1(\xi) \cdot \dot{u}_1(\xi) + \alpha \dot{u}_1(\xi) \cdot \ddot{u}_1(\xi) = 0, \quad \text{which yields} \quad c\alpha \dot{u}_1(\xi)^2 = 0.$$

Since \dot{u}_1 does not vanish on $(-\infty, \xi_{\text{once}})$, the quantity α must be zero. This yields $\phi \equiv \psi \equiv 0$, and contradicts the assumptions of Lemma 5.7.

In short, case 3 cannot happen and, in both cases 1 and 2, a suitable construction provides a function W in $\mathcal{C}_b^{k+1}(\mathbb{R}^d, \mathbb{R})$ fulfilling the conditions (5.13) and (5.16) to (5.18). Lemma 5.7 is proved. \square

5.3.6 Conclusion

Proof of Proposition 5.2. As seen in subsection 5.3.4, hypothesis 1 of Theorem 4.2 is fulfilled for the function Φ defined in (5.4). Since the conclusion of Lemma 5.7 yields equality (5.12), hypothesis 2 of this theorem is also fulfilled. The conclusion of this theorem ensures that there exists a generic subset Λ_{gen} of Λ such that, for every V in Λ_{gen} , the function $\Phi(\cdot, V)$ is transverse to the diagonal \mathcal{W} of \mathcal{N} . According to Proposition 5.5, it follows that, for every V in Λ_{gen} , every profile $\xi \mapsto u(\xi)$ of a front travelling at a speed c in \mathcal{C} and connecting $e_-(V)$ to $e_+(V)$, for the potential V , is transverse. In other words the conclusions of Proposition 5.2 hold with $\mathcal{C}_{V_0, e_-, 0, e_+, 0, c_0} = \mathcal{C}$, $\nu_{V_0, e_-, 0, e_+, 0, c_0} = \nu = \Lambda$ and $\nu_{V_0, e_-, 0, e_+, 0, c_0, \text{gen}} = \Lambda_{\text{gen}}$. \square

As shown in subsection 5.2, Proposition 5.1 follows from Proposition 5.2.

6 Generic elementarity of symmetric standing pulses

This section presents strong similarities with the previous section 5. For that reason, the presentation aims at emphasizing the main differences, while some details or comments are omitted when they are identical to some already provided in section 5.

6.1 Notation and statements

Notation. For every potential function V in $\mathcal{V}_{\text{full}}$, let us recall (subsection 5.1) that $\Sigma_{\text{crit}}(V)$ denotes the set of non-degenerate critical points of V , and let us consider the set

$$(6.1) \quad \mathcal{P}_V = \{u \in \mathcal{C}^{k+1}(\mathbb{R}, \mathbb{R}^d) : \xi \mapsto u(\xi) \text{ is a global solution of the system } \ddot{u} = \nabla V(u), \\ \text{and there exists } e \text{ in } \Sigma_{\text{crit}}(V) \text{ such that } u(\xi) \rightarrow e \text{ as } \xi \rightarrow \pm\infty\}.$$

In other words, u is in \mathcal{P}_V if and only if $\xi \mapsto u(\xi)$ is the profile of a standing pulse connecting a non-degenerate critical point e to itself, for the potential V .

Let us take and fix a positive quantity R . Let us recall that the elementarity of a symmetric standing pulse was defined in Definition 1.6. The goal of this section is to prove the following proposition.

Proposition 6.1. *There exists a generic subset of $\mathcal{V}_{\text{quad-}R}$ such that, for every potential V in this subset, every symmetric standing pulse in \mathcal{P}_V is elementary.*

Let V_0 denote a potential function in $\mathcal{V}_{\text{quad-}R}$, and let e_0 denote a non-degenerate critical point of V_0 . According to Proposition 2.4 (or simply to the Implicit Function Theorem), there exists a small neighbourhood $\nu_{\text{robust}}(V_0, e_0)$ of V_0 in $\mathcal{V}_{\text{quad-}R}$ and a \mathcal{C}^{k+1} -function $e(\cdot)$ defined on $\nu_{\text{robust}}(V_0, e_0)$ and with values in \mathbb{R}^d , such that $e(V_0)$ equals e_0 and, for every V in $\nu_{\text{robust}}(V_0, e_0)$, $e(V)$ is a critical point of V_0 close to e_0 .

Exactly the same arguments as in subsection 5.2 show that Proposition 6.1 is a consequence of the following local statement.

Proposition 6.2. *There exists a neighbourhood ν_{V_0, e_0} of V_0 in $\mathcal{V}_{\text{quad-}R}$, included in $\nu_{\text{robust}}(V_0, e_0)$, and a generic subset $\nu_{V_0, e_0, \text{gen}}$ of ν_{V_0, e_0} such that, for every V in $\nu_{V_0, e_0, \text{gen}}$, every symmetric standing front connecting $e(V)$ to itself is elementary.*

The remaining part of section 6 will thus be devoted to the proof of Proposition 6.2. Let us keep the notation V_0 and e_0 and $\nu_{\text{robust}}(V_0, e_0)$ introduced above. According to Proposition 2.4, there exist a neighbourhood ν of V_0 in $\mathcal{V}_{\text{quad-}R}$, included in $\nu_{\text{robust}}(V_0, e_0)$, and a positive quantity r such that, for every V in ν , there exist C^k -functions

$$\hat{w}_{\text{loc}, V}^u : \bar{B}_{E_0}^u(r) \rightarrow \mathbb{R}^{2d} \quad \text{and} \quad \hat{w}_{\text{loc}, V}^s : \bar{B}_{E_0}^s(r) \rightarrow \mathbb{R}^{2d}$$

such that the sets

$$W_{\text{loc}, V}^u(E(V)) = \hat{w}_{\text{loc}, V}^u(\bar{B}_{E_0}^u(r)) \quad \text{and} \quad W_{\text{loc}, V}^s(E(V)) = \hat{w}_{\text{loc}, V}^s(\bar{B}_{E_0}^s(r))$$

define a local unstable manifold and a local stable manifold of $E(V)$, respectively (see the conclusions of Proposition 2.4 and equalities (2.13)). Observe that the departure sets $\bar{B}_{E_0}^u(r)$ of $\hat{w}_{\text{loc},V}^u$ and $\bar{B}_{E_0}^s(r)$ of $\hat{w}_{\text{loc},V}^s$ do not depend on V . Let

$$\mathcal{B}^u = \partial \bar{B}_{E_0}^u(r) \quad \text{and} \quad \mathcal{B}^s = \partial \bar{B}_{E_0}^s(r).$$

According to the expression (2.4) of the eigenvectors of the linear system (2.2),

$$\mathcal{E}_{V_0}^u(E_0) \cap \mathcal{S}_{\text{sym}} = \{0_{\mathbb{R}^{2d}}\} \quad \text{and} \quad \mathcal{E}_{V_0}^s(E_0) \cap \mathcal{S}_{\text{sym}} = \{0_{\mathbb{R}^{2d}}\}.$$

It follows that, up to replacing ν by a smaller neighbourhood of V_0 in $\mathcal{V}_{\text{quad-}R}$ and r by a smaller positive quantity, for every V in ν ,

$$(6.2) \quad W_{\text{loc},V}^u(E(V)) \cap \mathcal{S}_{\text{sym}} = \{E(V)\} \quad \text{and} \quad W_{\text{loc},V}^s(E(V)) \cap \mathcal{S}_{\text{sym}} = \{E(V)\}.$$

6.2 Proof of Proposition 6.2

6.2.1 Application of Theorem 4.2

The setting to which Theorem 4.2 will be applied is as follows. Let

$$\mathcal{M} = \mathcal{B}^u \times \mathbb{R}, \quad \Lambda = \nu, \quad \mathcal{N} = \mathbb{R}^{2d} \quad \text{and} \quad \mathcal{W} = \mathcal{S}_{\text{sym}},$$

and let us consider the function

$$(6.3) \quad \Phi : \mathcal{M} \times \Lambda \rightarrow \mathcal{N}, \quad (b^u, \xi, V) \mapsto S_V(\xi, \hat{w}_{\text{loc},V}^u(b^u)).$$

If the conclusion of Theorem 4.2 holds within this setting, then there exists a generic subset Λ_{gen} of Λ such that, for every V in Λ_{gen} , the image of the function $\mathcal{M} \rightarrow \mathcal{N}$, $m \mapsto \Phi(m, V)$ is transverse to \mathcal{W} .

For a given potential V , the image of $m \mapsto \Phi(m, V)$ is nothing but the unstable manifold of $E(V)$ (deprived of E), see the proof of Proposition 5.4. According to the characterizations of the symmetric standing pulses stated in Lemma 3.2, the intersection of $\Phi(\mathcal{M}, V)$ with $\mathcal{W} = \mathcal{S}_{\text{sym}}$ actually corresponds to the set of symmetric standing pulses. Moreover, by definition (see Definition 1.6), the elementarity of the symmetric standing pulses for V is equivalent to the transversality of the intersection of $\Phi(\mathcal{M}, V)$ with $\mathcal{W} = \mathcal{S}_{\text{sym}}$. Thus, the conclusion of Theorem 4.2 directly implies Proposition 6.2 with $\nu_{V_0, e_0} = \nu = \Lambda$ and $\nu_{V_0, e_0, \text{gen}} = \Lambda_{\text{gen}}$.

It remains to show that, in the setting above, the hypotheses of Theorem 4.2 are fulfilled.

6.2.2 Checking hypothesis 1 of Theorem 4.2

It follows from subsection 2.1 that $\dim(\mathcal{B}^u) = d - m(e_0) - 1$. Hence,

$$\dim(\mathcal{M}) - \text{codim}(\mathcal{W}) = (d - m(e_0)) - d = -m(e_0),$$

which is less than the positive integer k (the regularity of Φ). Hypothesis 1 of Theorem 4.2 is thus fulfilled.

6.2.3 Checking hypothesis 2 of Theorem 4.2

Take (m_1, V_1) in the set $\Phi^{-1}(\mathcal{W})$. Let $(b_1^u, \xi_{\text{turn}})$ denote the components of m_1 , and, for every real quantity ξ , let us write

$$U_1(\xi) = (u_1(\xi), v_1(\xi)) = S_{V_1}(\xi, \hat{w}_{\text{loc}, V_1}^u(b_1^u)).$$

The function $\xi \mapsto u_1(\xi)$ is the profile of a symmetric standing pulse, connecting $e(V_1)$ to itself for the potential V_1 , and the quantity ξ_{turn} is the turning time of this standing pulse (see Definition 1.5). Observe that, according to the first equality of (6.2), this turning time ξ_{turn} must be *positive*. Let $D\Phi$ denote the *full* differential (with respect to m and V) of Φ at the point $((b_1^u, \xi_{\text{turn}}), V_1)$. Hypothesis 2 of Theorem 4.2 follows from the next Lemma 6.3.

Lemma 6.3 (perturbation of the potential reaching a given direction). *For every nonzero vector ψ_1 in \mathbb{R}^d , there exists W in $\mathcal{C}_b^{k+1}(\mathbb{R}^d, \mathbb{R})$ such that*

$$(6.4) \quad \langle D\Phi \cdot (0, W) \mid (0, \psi_1) \rangle \neq 0,$$

and

$$(6.5) \quad \text{supp}(W) \subset B_{\mathbb{R}^d}(0, R).$$

Proof. The proof is similar to that of Lemma 5.7. Let ψ_1 be a nonzero vector in \mathbb{R}^d , and let W denote a function in $\mathcal{C}_b^{k+1}(\mathbb{R}^d, \mathbb{R})$ with a support satisfying the condition

$$(6.6) \quad \text{supp}(W) \cap \pi_{\text{pos}}(W_{\text{loc}, V}^u(E(V_1))) = \emptyset.$$

Let us again use the notation $T(\xi, \xi')$ to denote the family of evolution operators obtained by integrating the linearized differential system (5.19) (for c_1 equal to 0) between the times ξ and ξ' . It follows from the empty intersection (6.6) that

$$(6.7) \quad D\Phi \cdot (0, W) = \int_0^{\xi_{\text{turn}}} T(\xi, \xi_{\text{turn}}) \left(0, \nabla W(u_1(\xi))\right) d\xi.$$

For every time ξ , let $T^*(\xi, \xi_{\text{turn}})$ denote the adjoint operator of $T(\xi, \xi_{\text{turn}})$, and let

$$(\phi(\xi), \psi(\xi)) = T^*(\xi, \xi_{\text{turn}}) \cdot (0, \psi_1).$$

According to (6.7), condition (6.4) reads

$$\int_0^{\xi_{\text{turn}}} \left\langle \left(0, \nabla W(u_1(\xi))\right) \mid T^*(\xi, \xi_{\text{turn}}) \cdot (0, \psi_1) \right\rangle d\xi \neq 0,$$

or equivalently

$$(6.8) \quad \int_0^{\xi_{\text{turn}}} \nabla W(u_1(\xi)) \cdot \psi(\xi) d\xi \neq 0.$$

According to the first equality of (6.2) and due to the Hamiltonian invariance (1.5), for every (u, v) in $W_{\text{loc}, V_1}^u(E(V_1))$ and differing from $E(V_1)$, the quantity $V_1(u)$ is greater than $V_1(e(V_1))$. On the other hand, since $\dot{u}_1(\xi_{\text{turn}})$ vanishes the quantity $V_1(u_1(\xi_{\text{turn}}))$ is equal to $V_1(e(V_1))$, so that $u_1(\xi_{\text{turn}})$ does not belong to the (closed) set $\pi_{\text{pos}}(W_{\text{loc}, V_1}^u(E(V_1)))$. As a consequence, there exists a time ξ_- , less than (and close enough to) ξ_{turn} , such that

$$(6.9) \quad u_1([\xi_-, \xi_{\text{turn}}]) \cap \pi_{\text{pos}}(W_{\text{loc}, V_1}^u(E(V_1))) = \emptyset.$$

Observe that, according to Lemma 3.2, the function $\xi \mapsto \dot{u}_1(\xi)$ does not vanish on $(-\infty, \xi_{\text{turn}})$. As in subsection 5.3.5, three cases have to be considered for the construction of the perturbation W .

Case 1. There exists a time ξ^\dagger in $(\xi_-, \xi_{\text{turn}})$ such that $\psi(\xi^\dagger)$ is not collinear to $\dot{u}_1(\xi^\dagger)$.

In this case, conclusion 3 of Proposition 3.3 provides an open interval I_{once} included in $(\xi_-, \xi_{\text{turn}})$ and small enough so that, for every ξ^* in I_{once} ,

- the vector $\psi(\xi^*)$ is not collinear to $\dot{u}_1(\xi^*)$,
- and for every ξ in $(-\infty, \xi_{\text{turn}})$, if $u_1(\xi)$ equals $u_1(\xi^*)$ then ξ equals ξ^* .

The same construction as in case 1 of the proof of Lemma 5.7 can then be carried out. It leads to a perturbation W such that $\text{supp}(W)$ is localized around a point of $u(I_{\text{once}})$ (so that, according to inequality (4.3), inclusion (6.5) holds and according to the empty intersection (6.9) the empty intersection (6.6) holds) and such that inequality (6.8) holds — thus so does inequality (6.4).

Case 2. For every ξ in $(\xi_-, \xi_{\text{turn}})$, $\psi(\xi) = \alpha(\xi)\dot{u}_1(\xi)$ with $\alpha(\cdot)$ not constant.

Again, conclusion 3 of Proposition 3.3 provides an open interval I_{once} included in $(\xi_-, \xi_{\text{turn}})$, small enough so that, for every ξ^* in I_{once} ,

- $\psi(\xi^*) = \alpha(\xi^*)\dot{u}_1(\xi^*)$,
- and $\dot{\alpha}(\xi^*) \neq 0$,
- and for every ξ in $(-\infty, \xi_{\text{turn}})$, if $u_1(\xi)$ equals $u_1(\xi^*)$ then ξ equals ξ^* .

The same construction as in case 2 of the proof of Lemma 5.7 can then be carried out.

Case 3. For every ξ in $(\xi_-, \xi_{\text{turn}})$, $\psi(\xi) = \alpha\dot{u}_1(\xi)$, for some real (constant) quantity α .

In case 3 of the proof of Lemma 5.7, the non-nullity of c was mandatory to take advantage of (5.35). Thus, a new ad hoc argument is now required to preclude the possibility of the present case 3. Here it is: since $\dot{u}_1(\xi_{\text{turn}}) = 0$, it follows from the assumption made in this case that $\psi(\xi)$ goes to 0 as ξ goes to ξ_{turn} , so that ψ_1 vanishes, contradicting the assumptions of Lemma 5.7.

In short, case 3 cannot occur and in both other cases, a suitable perturbation W of the potential can be constructed by following the constructions introduced in the proof of Lemma 5.7. Lemma 6.3 is proved. \square

7 Generic transversality of asymmetric standing pulses

As in the previous section, the proofs of this section present strong similarities with the ones which have been already detailed and the presentation will only emphasize the main differences.

7.1 Notation and statements

The same notation as in the previous section 6 will be used all along the present section 7. Let us take and fix a positive quantity R . The goal of this section is to prove the following proposition (the transversality of a standing pulse was defined in Definition 1.4).

Proposition 7.1. *There exists a generic subset of $\mathcal{V}_{\text{quad-}R}$ such that, for every potential V in this subset, every asymmetric standing pulse in \mathcal{P}_V is transverse.*

Let V_0 denote a potential function in $\mathcal{V}_{\text{quad-}R}$, and let e_0 denote a non-degenerate critical point of V_0 . As already stated in subsection 6.1, there exists a small neighbourhood $\nu_{\text{robust}}(V_0, e_0)$ of V_0 in $\mathcal{V}_{\text{quad-}R}$ and a \mathcal{C}^{k+1} -function $e(\cdot)$ defined on $\nu_{\text{robust}}(V_0, e_0)$ and with values in \mathbb{R}^d , such that $e(V_0)$ equals e_0 and, for every V in $\nu_{\text{robust}}(V_0, e_0)$, $e(V)$ is a critical point of V_0 close to e_0 .

Exactly the same arguments as in subsection 5.2 show that Proposition 7.1 is a consequence of the following local statement.

Proposition 7.2. *There exists a neighbourhood ν_{V_0, e_0} of V_0 in $\mathcal{V}_{\text{quad-}R}$, included in $\nu_{\text{robust}}(V_0, e_0)$, and a generic subset $\nu_{V_0, e_0, \text{gen}}$ of ν_{V_0, e_0} such that, for every V in $\nu_{V_0, e_0, \text{gen}}$, every asymmetric standing front connecting $e(V)$ to itself is transverse.*

The remaining part of section 7 will thus be devoted to the proof of Proposition 7.2. Let us consider the same setting as in subsection 6.1 for local stable and unstable manifolds of $E(V)$, for V in a small enough neighbourhood ν of V_0 . In particular, let us assume that local stable and unstable manifolds are small enough so that equalities (6.2) hold. In addition, according to the expression (2.4) of the eigenvectors of the linear system (2.2),

$$\mathcal{E}_{V_0}^u(E_0) \cap (\{0_{\mathbb{R}^d} \times \mathbb{R}^d\}) = \{0_{\mathbb{R}^{2d}}\} \quad \text{and} \quad \mathcal{E}_{V_0}^s(E_0) \cap (\{0_{\mathbb{R}^d} \times \mathbb{R}^d\}) = \{0_{\mathbb{R}^{2d}}\}.$$

It follows that there exists a positive quantity r_{exit} such that, for every U in $W_{V_0}^u(E_0)$ differing from E_0 ,

$$\sup_{\xi \in \mathbb{R}} |\pi_{\text{pos}}(S_{V_0}(\xi, U)) - e_0| > r_{\text{exit}};$$

in other words, if a solution $\xi \mapsto U(\xi) = (u(\xi), \dot{u}(\xi))$ (for the potential V_0) is homoclinic to E_0 then $u(\xi)$ must leave the ball $\bar{B}_{\mathbb{R}^d}(e_0, r_{\text{exit}})$ before eventually returning into it. Up to replacing ν by a smaller neighbourhood of V_0 in $\mathcal{V}_{\text{quad-}R}$ and r_{exit} by a smaller positive quantity, we may assume that, for every V in ν and for every U in $W_V^u(E(V))$ differing from $E(V)$,

$$(7.1) \quad \sup_{\xi \in \mathbb{R}} |\pi_{\text{pos}}(S_V(\xi, U)) - e(V)| > r_{\text{exit}}.$$

Finally, up to replacing ν by a smaller neighbourhood of V_0 in $\mathcal{V}_{\text{quad-}R}$ and r by a smaller positive quantity, we may assume that, for every V in ν ,

$$(7.2) \quad \pi_{\text{pos}}\left(W_{\text{loc}, V}^u(E(V)) \cup W_{\text{loc}, V}^s(E(V))\right) \subset B_{\mathbb{R}^d}(e(V), r_{\text{exit}}/4).$$

7.2 Asymmetric standing pulses of bounded length and away from \mathcal{S}_{sym}

By comparison with symmetric standing pulses considered in section 6, dealing with asymmetric standing pulses is less straightforward for the following reasons.

1. Symmetric and asymmetric standing pulses connecting a given critical point to itself may coexist for some potentials, and while symmetric standing pulses will be proved to be generically *elementary* (Definition 1.6), only asymmetric standing pulses will be proved to be generically transverse, see subsection 7.5). As a consequence, applying Theorem 4.2 to prove the generic transversality of asymmetric standing pulses requires to exclude, by a way or another, symmetric ones.
2. The transversality of a standing pulse stated in Definition 1.4 is a transversality inside the submanifold corresponding to the level set of the Hamiltonian for the energy $-V(e)$. This submanifold depends on V and a direct application of Theorem 4.2 is not possible because its transversality is stated inside a fixed manifold \mathcal{N} . A simple solution to skip this dependence is to fix V close to e_0 , but with the consequence that the considered set of potentials V will not be open, so that applying Theorem 4.2 in this framework will provide local density but not local genericity of the potentials for which asymmetric pulses are transverse. Local genericity will actually be obtained through a countable intersection of open and dense sets, with separate proofs for their openness and their density.

For every V in ν and for every non negative quantity $\bar{\xi}$, let us consider the set

$$(7.3) \quad \begin{aligned} W_V^u(E(V), \bar{\xi}) &= S_V(\bar{\xi}, W_{\text{loc}, V}^u(E(V))) = \bigcup_{U \in W_{\text{loc}, V}^u(E(V))} S_V(\bar{\xi}, U) \\ &= \{E(V)\} \cup \bigcup_{b^u \in \mathcal{B}^u, \xi \in (-\infty, \bar{\xi}]} S_V(\xi, \hat{w}_{\text{loc}, V}^u(b^u)). \end{aligned}$$

According to this notation, the set $W_V^u(E(V), 0)$ reduces to $W_{\text{loc}, V}^u(E(V))$ and the set $W_V^u(E(V), \bar{\xi})$ increases (for inclusion) with $\bar{\xi}$ and represents (in some sense) the unstable manifold of the equilibrium $E(V)$ “until time $\bar{\xi}$ ”. For all positive quantities $\bar{\xi}$ and ε , let us consider the set

$$(7.4) \quad \begin{aligned} \nu_{\uparrow \text{asym stand pulses}}(\bar{\xi}, \varepsilon) &= \left\{ V \in \nu : \text{if } U_0 \in W_V^u(E(V), \bar{\xi}) \cap \partial W_{\text{loc}, V}^s(E(V)) \text{ and if} \right. \\ &\text{dist}\left(S_V(\mathbb{R}, U_0) \setminus \left[W_{\text{loc}, V}^u(E(V)) \cup W_{\text{loc}, V}^s(E(V))\right], \mathcal{S}_{\text{sym}}\right) \geq \varepsilon, \text{ then the} \\ &\left. \text{corresponding standing pulse: } \mathbb{R} \rightarrow \mathbb{R}^d, \xi \mapsto \pi_{\text{pos}}(S_V(\xi, U_0)) \text{ is transverse} \right\}. \end{aligned}$$

In other words, a potential function V belonging to ν is in $\nu_{\text{th asym stand pulses}}(\bar{\xi}, \varepsilon)$ if every standing pulse connecting $W_{\text{loc}, V}^u(E(V))$ to $W_{\text{loc}, V}^s(E(V))$ in a time less than or equal to $\bar{\xi}$ while remaining at a distance greater than or equal to ε from \mathcal{S}_{sym} , is transverse. Observe that, according to equalities (6.2), such a standing pulse is necessarily *asymmetric*. Proposition 7.2 follows from the next proposition.

Proposition 7.3. *For all positive quantities $\bar{\xi}$ and ε , the set $\nu_{\text{th asym stand pulses}}(\bar{\xi}, \varepsilon)$ is open and dense in ν .*

Proof that Proposition 7.3 yields Proposition 7.2. It follows from Proposition 7.3 that the set

$$(7.5) \quad \bigcap_{N \in \mathbb{N}} \nu_{\text{th asym stand pulses}}(N, 1/N)$$

is a generic subset of ν . And, according to the definition of $\nu_{\text{th asym stand pulses}}(\cdot, \cdot)$, for every potential V in this set, every asymmetric standing pulse connecting $e(V)$ to itself is transverse. \square

The remaining of this section is devoted to the proof of Proposition 7.3.

7.3 Openness of $\nu_{\text{th asym stand pulses}}(\bar{\xi}, \varepsilon)$

For every potential V in ν and for all positive quantities $\bar{\xi}$ and ε , the manifolds $W_V^u(E(V), \bar{\xi})$ and $W_{\text{loc}, V}^s(E(V))$ are compact, and those manifolds depend smoothly on V . Let $(V_n)_{n \in \mathbb{N}}$ denotes a sequence of potentials belonging to $\nu \setminus \nu_{\text{th asym stand pulses}}(\bar{\xi}, \varepsilon)$ and converging to some potential V_∞ of ν , and let us prove that, in this case, V_∞ is still outside of $\nu_{\text{th asym stand pulses}}(\bar{\xi}, \varepsilon)$ (this will prove that $\nu_{\text{th asym stand pulses}}(\bar{\xi}, \varepsilon)$ is open in ν). For every nonnegative integer n , there exists a non-transverse standing pulse connecting $W_{\text{loc}, V_n}^u(E(V_n))$ to $W_{\text{loc}, V_n}^s(E(V_n))$ in a time less than or equal to $\bar{\xi}$ while remaining at a distance greater than or equal to ε from \mathcal{S}_{sym} . As emphasized in (7.3), this pulse is characterized by a (unique) b_n^u in \mathcal{B}^u such that its trajectory in \mathbb{R}^{2d} crosses the boundary of $W_{\text{loc}, V_n}^u(E(V_n))$ at the point $\hat{w}_{\text{loc}, V_n}^u(b_n^u)$, and a (unique) time ξ_n in the interval $[0, \bar{\xi}]$ such that this trajectory crosses the boundary of $W_{\text{loc}, V_n}^s(E(V_n))$ at the point $S_{V_n}(\xi_n, \hat{w}_{\text{loc}, V_n}^u(b_n^u))$. Then,

- (i) by compactness (up to considering a subsequence of $(V_n)_{n \in \mathbb{N}}$), we may assume that (b_n^u, ξ_n) converges to some couple (b_∞^u, ξ_∞) of $\mathcal{B}^u \times [0, \bar{\xi}]$, which in turn characterizes a standing pulse for V_∞ . Notice here the importance of considering homoclinic orbits of bounded “length”, otherwise the limit trajectory would not necessarily be homoclinic to $E(V_\infty)$.
- (ii) Moreover, both conditions in (7.4) are closed conditions, so that the limit standing pulse also satisfies them.
- (iii) Thanks to the “margin” ε with respect to the symmetry subspace \mathcal{S}_{sym} , the limit standing pulse is necessarily asymmetric.

(iv) Last, the limit standing pulse is non-transverse since this property is closed.

The limit potential V_∞ is thus not in $\nu_{\uparrow \text{asym stand pulses}}(\bar{\xi}, \varepsilon)$, and this completes the proof that $\nu_{\uparrow \text{asym stand pulses}}(\bar{\xi}, \varepsilon)$ is open in ν .

7.4 Density of $\nu_{\uparrow \text{asym stand pulses}}(\bar{\xi}, \varepsilon)$

7.4.1 Application of Theorem 4.2

The proof of the density assertion of Proposition 7.3 will again follow from applying Theorem 4.2 to the following appropriate setting.

Take positive quantities ξ and ε , and a potential V_1 in ν . Our goal is to prove that there exist potentials in $\nu_{\uparrow \text{asym stand pulses}}(\bar{\xi}, \varepsilon)$ which are arbitrarily close to V_1 . Let

$$(7.6) \quad \mathcal{M} = \left\{ (b^u, \xi) \in \mathcal{B}^u \times (0, \bar{\xi} + 1) : \text{dist}\left(S_{V_1}([0, \xi], \hat{w}_{\text{loc}, V_1}^u(b^u)), \mathcal{S}_{\text{sym}}\right) > \varepsilon/2 \right. \\ \left. \text{and } \pi_{\text{pos}}\left(S_{V_1}(\xi, \hat{w}_{\text{loc}, V_1}^u(b^u))\right) \in B_{\mathbb{R}^d}(e(V_1), r_{\text{exit}}/2) \right\}.$$

and let Λ_1 denote a neighbourhood of V_1 in the set

$$(7.7) \quad \left\{ V \in \nu : V \equiv V_1 \text{ on the closed ball } \bar{B}_{\mathbb{R}^d}(e(V_1), r_{\text{exit}}) \right\}.$$

We may assume that this neighbourhood Λ_1 is small enough so that, for every V in Λ_1 and (b^u, ξ) in $\mathcal{B}^u \times (0, \bar{\xi} + 1)$, the following two conclusions hold:

1. if (b^u, ξ) is not in \mathcal{M} , then

$$(7.8) \quad \begin{aligned} & \text{either } \text{dist}\left(S_V([0, \xi], \hat{w}_{\text{loc}, V}^u(b^u)), \mathcal{S}_{\text{sym}}\right) < \varepsilon \\ & \text{or } \pi_{\text{pos}}\left(S_V(\xi, \hat{w}_{\text{loc}, V}^u(b^u))\right) \notin B_{\mathbb{R}^d}(e(V_1), r_{\text{exit}}/4); \end{aligned}$$

2. if (b^u, ξ) is in \mathcal{M} , then

$$(7.9) \quad \begin{aligned} & \text{dist}\left(S_V([0, \xi], \hat{w}_{\text{loc}, V}^u(b^u)), \mathcal{S}_{\text{sym}}\right) > 0, \\ & \text{and } \pi_{\text{pos}}\left(S_V(\xi, \hat{w}_{\text{loc}, V}^u(b^u))\right) \in B_{\mathbb{R}^d}(e(V_1), r_{\text{exit}}). \end{aligned}$$

For V in Λ_1 , let

$$(7.10) \quad \begin{aligned} \mathcal{N} &= H_V^{-1}\left(H_V(E(V))\right) \cap (B_{\mathbb{R}^d}(e(V), r_{\text{exit}}) \times \mathbb{R}^d) \setminus \{E(V)\} \\ \text{and } \mathcal{W} &= \partial W_{\text{loc}, V}^s(E(V)) = \hat{w}_{\text{loc}, V}^s(\mathcal{B}^s). \end{aligned}$$

Observe that \mathcal{M} , \mathcal{N} , and \mathcal{W} are submanifolds of \mathbb{R}^{2d} and since Λ_1 is included in ν , it follows from inclusion (7.2) that \mathcal{W} is included in \mathcal{N} . In addition, according to the condition (7.7) on V and to the inclusion (7.2), \mathcal{M} , \mathcal{N} and \mathcal{W} do actually *not* depend on the potential V in Λ_1 . As already explained in the second remark of the beginning

of subsection 7.2, this is mandatory to provide a setting where Theorem 4.2 applies. It follows that, according to (7.9), we may consider the function

$$(7.11) \quad \Phi : \mathcal{M} \times \Lambda_1 \rightarrow \mathcal{N}, \quad (b^u, \xi, V) \mapsto S_V(\xi, \hat{w}_{\text{loc}, V}^u(b^u)),$$

which is well defined. Notice that, even if \mathcal{M} contains only couples (b^u, ξ) for which, for V in Λ_1 , the position $u(\xi) = \pi_{\text{pos}}(S_V(\xi, \hat{w}_{\text{loc}, V}^u(b^u)))$ of the corresponding solution is inside $B_{\mathbb{R}^d}(e(V_1), r_{\text{exit}})$ (second condition of (7.9)), it follows from the property (7.1) defining r_{exit} that this position $u(\cdot)$ exits $B_{\mathbb{R}^d}(e(V_1), r_{\text{exit}}/2)$ at other times, and this will provide a suitable place to perturb the potential. In other words, it will be possible to modify $\Phi(b^u, \xi, V)$ by perturbing V outside of $B_{\mathbb{R}^d}(e(V_1), r_{\text{exit}})$, even if the arrival set of Φ and its image are restricted to this ball.

Proposition 7.4. *For every potential function V in Λ_1 , if the image of the function $\mathcal{M} \rightarrow \mathcal{N}$, $V \mapsto \Phi(m, V)$ is transverse to \mathcal{W} , then V belongs to the set $\nu_{\uparrow \text{asym stand pulses}}(\bar{\xi}, \varepsilon)$.*

Proof. Let us consider V in Λ_1 and U_0 in $W_V^u(E(V), \bar{\xi}) \cap \partial W_{\text{loc}, V}^s(E(V))$ satisfying inequality (7.4). According to the definition (7.3) of $W_V^u(E(V), \bar{\xi})$, the point U_0 is of the form $(u, \dot{u})(\xi)$ with u a standing pulse such that $(u, \dot{u})(0) = \hat{w}_{\text{loc}, V}^u(b^u)$ and ξ in $[0, \bar{\xi}]$. According to the inclusion (7.2) satisfied by the local manifolds and the definition of $\nu_{\uparrow \text{asym stand pulses}}(\bar{\xi}, \varepsilon)$, the implication (7.8) shows that (b^u, ξ) belongs to \mathcal{M} . Thus, the image $\Phi((b^u, \xi), V)$ is well defined, and it remains to notice that the transversality of Φ with \mathcal{W} exactly corresponds to the definition Definition 1.4 of the transversality of a standing pulse. It thus follows from the definition of the set $\nu_{\uparrow \text{asym stand pulses}}(\bar{\xi}, \varepsilon)$ that V belongs to this set. \square

The remaining part of the proof follows exactly the same arguments as in sections 5 and 6, except for the exclusion of “case 3”, which will require a slightly different ad hoc argument.

7.4.2 Checking hypothesis 1 of Theorem 4.2

By contrast with the previous sections, the ambient space \mathcal{N} is now a level set of dimension $2d - 1$ (instead of \mathbb{R}^{2d}); however the computation is similar. Indeed, it follows from subsection 2.1 that, on the one hand, $\dim(\mathcal{M}) = \dim(\partial \bar{B}_{E_0}^u(r)) + 1 = d - m(e_0)$ and, on the other hand, $\dim(\mathcal{W}) = d - m(e_0) - 1$ so that $\text{codim}(\mathcal{W}) = d + m(e_0)$. Thus hypothesis 1 of Theorem 4.2 is fulfilled.

7.4.3 Checking hypothesis 2 of Theorem 4.2

Take (m_2, V_2) in the set $\Phi^{-1}(\mathcal{W})$. Let (b_2^u, ξ_2) denote the components of m_2 , and, for every real quantity ξ , let us write

$$U_2(\xi) = (u_2(\xi), v_2(\xi)) = S_{V_2}(\xi, \hat{w}_{\text{loc}, V_2}^u(b_2^u)).$$

The function $\xi \mapsto u_2(\xi)$ is the profile of a standing pulse, connecting $e(V_2)$ to itself, for the potential V_2 , and, according to (6.2) and (7.9), this standing pulse is *asymmetric*. In

addition, according to (7.1) and (7.2), the quantity ξ_2 is *positive*. Let $D\Phi$ denote the *full* differential (with respect to m and V) of Φ at the point (m_2, V_2) . Hypothesis 2 of Theorem 4.2 follows from the next Lemma 7.5.

Lemma 7.5 (perturbation of the potential reaching a given direction). *For every nonzero vector $(\phi_0, \psi_0) \in \mathbb{R}^d \times \mathbb{R}^d$ belonging to $T_{U_2(\xi_2)}\mathcal{N}$, there exists W in $\mathcal{C}_b^{k+1}(\mathbb{R}^d, \mathbb{R})$ such that*

$$(7.12) \quad \langle D\Phi \cdot (0, W) \mid (\phi_0, \psi_0) \rangle \neq 0,$$

and such that W satisfies the condition

$$(7.13) \quad \text{supp}(W) \cap \overline{B}_{\mathbb{R}^d}(e(V_2), r_{\text{exit}}) = \emptyset.$$

Proof. The proof is similar to those of Lemmas 5.7 and 6.3. Let (ϕ_2, ψ_2) be a nonzero vector in $\mathbb{R}^d \times \mathbb{R}^d$ belonging to $T_{U_2(\xi_2)}\mathcal{N}$. Let W be a function in $\mathcal{C}_b^{k+1}(\mathbb{R}^d, \mathbb{R})$, and let us assume that condition (7.13) holds. Let us again use the notation $T(\xi, \xi')$ to denote the family of evolution operators obtained by integrating the linearized differential system (5.19) (for the potential function V_2 , and for a speed equal to 0) between the times ξ and ξ' . For every time ξ , let $T^*(\xi, \xi_2)$ denote the adjoint operator of $T(\xi, \xi_2)$, and let

$$(\phi(\xi), \psi(\xi)) = T^*(\xi, \xi_2) \cdot (\phi_2, \psi_2).$$

Using the same computations as in Lemmas 5.7 and 6.3, it follows from the inclusion (7.2) and the empty intersection (7.13) that inequality (7.12) reads

$$(7.14) \quad \int_0^{\xi_2} \nabla W(u_2(\xi)) \cdot \psi(\xi) d\xi \neq 0.$$

Observe that, according to inequality (7.1), there exists a (nonempty) open interval I included in $(0, \xi_2)$ and such that, for every ξ in I , $u_2(\xi) \notin \overline{B}_{\mathbb{R}^d}(e(V_2), r_{\text{exit}})$. According to Lemma 3.2, the function $\xi \mapsto \dot{u}_2(\xi)$ does not vanish on \mathbb{R} , thus a fortiori neither on I . As in subsections 5.3.5 and 6.2.3, three cases must be considered for the construction of the perturbation W .

Case 1. There exists a time ξ^\dagger in I such that $\psi(\xi^\dagger)$ is not collinear to $\dot{u}_2(\xi^\dagger)$.

The same construction as in the first case of the proof of Lemma 5.7 (or as in the first case of the proof of Lemma 6.3) can then be carried out.

Case 2. For every ξ in I , $\psi(\xi) = \alpha(\xi)\dot{u}_2(\xi)$ with $\alpha(\cdot)$ not constant.

Again, the same construction as in the second case of the proof of Lemma 5.7 (or as in the first case of the proof of Lemma 6.3) can then be carried out.

Case 3. For every ξ in $(\xi_-, \xi_{\text{turn}})$, $\psi(\xi) = \alpha\dot{u}_2(\xi)$ for some real (constant) quantity α .

As in subsections 5.3.5 and 6.2.3, this third case has to be precluded by a specific argument. It follows from the adjoint linearized system (5.23) satisfied by ϕ and ψ (with c_0 equal to zero) that, for every ξ in I ,

$$(7.15) \quad \phi(\xi) = -\dot{\psi}(\xi) = -\alpha\ddot{u}_2(\xi) = -\alpha\nabla V_2(u_2(\xi)).$$

Besides, since (ϕ_2, ψ_2) was assumed to belong to $T_{U_2(\xi_2)}\mathcal{N}$, it follows that $(\phi(\xi), \psi(\xi))$ belongs to $T_{U_2(\xi)}H_{V_2}^{-1}(H_{V_2}(E(V_2)))$ for all ξ in \mathbb{R} (the level set of the energy is invariant by the flow). The orthogonal space of the tangent space to the level set \mathcal{N} is a line spanned by the gradient of the Hamiltonian $\nabla H_{V_2}(U_2) = (-\nabla V_2(u_2(\xi)), \dot{u}_2(\xi))$. Thus, the condition $(\phi_2, \psi_2) \in T_{U_2(\xi_2)}\mathcal{N}$ reads

$$(\phi_2, \psi_2) \perp (-\nabla V_2(u_2(\xi)), \dot{u}_2(\xi)), \quad \text{that is} \quad \alpha(\nabla V_2(u_2)^2 + \dot{u}_2^2) = 0.$$

This implies $\alpha = 0$ and thus $(\phi, \psi) \equiv (0, 0)$, a contradiction with the assumptions of Lemma 7.5.

In summary, the third case cannot occur and, in both other cases, the same constructions as in the proofs of Lemmas 5.7 and 6.3 can be carried out, leading to a perturbation W satisfying the empty intersection (7.13) and inequality (7.14) (and therefore also inequality (7.12)). \square

7.4.4 Conclusion

Proof of Proposition 7.3. To complete the proof of Proposition 7.3 amounts to prove that the set $\nu_{\text{th asy m stand pulses}}(\bar{\xi}, \varepsilon)$ is dense in ν . It follows from Lemma 7.5 that both hypotheses 1 and 2 of Theorem 4.2 are fulfilled for the function Φ defined in (7.11). The conclusion of this theorem ensures that there exists a generic subset Λ_{gen} of Λ_1 such that, for every V in Λ_{gen} , the function $\Phi(\cdot, V)$ is transverse to \mathcal{W} . According to Proposition 7.4, the set $\nu_{\text{th asy m stand pulses}}(\bar{\xi}, \varepsilon)$ is a superset of Λ_{gen} ; in particular, there exists potentials in $\nu_{\text{th asy m stand pulses}}(\bar{\xi}, \varepsilon)$ that are arbitrarily close to V_1 . Since V_1 was any potential in ν , this proves the intended density. Proposition 7.3 is proved. \square

As shown at the end of subsection 7.2, Proposition 7.3 implies Proposition 7.2, which in turn implies Proposition 7.1.

7.5 Transversality of symmetric standing pulses?

As it stands, the proof of the generic transversality of asymmetric standing pulses provided above does not directly apply to symmetric ones. Indeed, for a symmetric standing pulse $\xi \mapsto u(\xi)$, with (say) turning time 0, the condition corresponding to (5.22) or (7.14) reads

$$\int_{-\bar{\xi}}^{\bar{\xi}} \nabla W(u(\xi)) \cdot \psi(\xi) d\xi \neq 0 \quad \text{or equivalently} \quad \int_{-\bar{\xi}}^0 \nabla W(u(\xi)) \cdot (\psi(\xi) + \psi(-\xi)) d\xi \neq 0,$$

where $\bar{\xi}$ is a large enough positive quantity. This condition cannot be fulfilled if the function $\xi \mapsto \psi(\xi)$ is odd and, due to the symmetry of the adjoint linear equation

$$\ddot{\psi}(\xi) = D^2V(u(\xi)) \cdot \psi(\xi),$$

this happens as soon as $\psi(0)$ vanishes. This case, corresponding to the degeneracy of the first order derivative with respect to perturbations of the potential, can therefore not be

excluded. Possibly, the second order derivative could be investigated but the computation goes beyond the scope of this paper. For that reason, the generic transversality of symmetric standing pulses is not established here and remains, to our best knowledge, an open question.

8 Generic non-existence of standing fronts

Let us take and fix a positive quantity R . Due to the Hamiltonian invariance, precluding the existence of standing fronts is a simple task.

Proposition 8.1. *There exists a dense open subset of $\mathcal{V}_{\text{quad-}R}$ such that, for every potential V in this subset, there is no standing front for this potential.*

Proof. Let us consider the dense open subset $\mathcal{V}_{\text{quad-}R\text{-Morse}}$ of $\mathcal{V}_{\text{quad-}R}$ containing the functions of $\mathcal{V}_{\text{quad-}R}$ satisfying the Morse property (this notation was introduced in (5.2)), and let V denote a potential in $\mathcal{V}_{\text{quad-}R\text{-Morse}}$. The number of critical points of such a potential is finite, and, up to applying to V an arbitrarily small localized perturbation around each of these critical points, it may be assumed that each of these critical points belongs to a level set of V containing no other critical point. This property is open and dense in $\mathcal{V}_{\text{quad-}R\text{-Morse}}$, thus in $\mathcal{V}_{\text{quad-}R}$, and, since the Hamiltonian H_V defined in (1.4) is constant along the profile of a standing front, it prevents the existence of a standing front. Proposition 8.1 is proved. \square

9 Proof of the main results

Propositions 5.1, 6.1, 7.1 and 8.1 show the genericity of the properties considered in Theorem 1.7, but only inside the space $\mathcal{V}_{\text{quad-}R}$ of the potentials that are quadratic past some radius R . Working in this last space is easier because it is a second countable Banach space and the flows associated to its potentials are global. In this section, the arguments will be adapted to obtain the genericity of the same properties in the space $\mathcal{V}_{\text{full}} = \mathcal{C}^{k+1}(\mathbb{R}^d, \mathbb{R})$ of all potentials, endowed with the extended topology (see subsection 1.4).

9.1 Proof of conclusion 1 of Theorem 1.7

Let us recall the notation \mathcal{F}_V introduced in (5.1), and, for every positive quantity R , let us consider the set

$$(9.1) \quad \mathcal{F}_{V,R} = \left\{ (c, u) \in \mathcal{F}_V : \sup_{\xi \in \mathbb{R}} |u(\xi)| \leq R \right\}$$

of the travelling fronts of \mathcal{F}_V (invading a minimum point of V) with a profile contained in $\bar{B}_{\mathbb{R}^d}(0, R)$. As shown thereafter, the following proposition yields conclusion 1 of Theorem 1.7.

Proposition 9.1. *For every positive quantity R , there exists a generic subset $\mathcal{V}_{\text{full-}\mathfrak{h}\text{-}\mathcal{F}\text{-}R}$ of $\mathcal{V}_{\text{full}}$ such that, for every potential function V in this subset, V is a Morse function and every travelling front (c, u) in $\mathcal{F}_{V,R}$ is transverse.*

Proof that Proposition 9.1 yields conclusion 1 of Theorem 1.7. The set

$$\bigcap_{R \in \mathbb{N}^*} \mathcal{V}_{\text{full-}\mathfrak{h}\text{-}\mathcal{F}\text{-}R},$$

is a countable intersection of generic subsets of $\mathcal{V}_{\text{full}}$ and is therefore again a generic subset of $\mathcal{V}_{\text{full}}$. For every potential function V in this set, V is a Morse function and every travelling front in \mathcal{F}_V belongs to $\mathcal{F}_{V,R}$ as soon as R is large enough, and is therefore, according to the property of the set $\mathcal{V}_{\text{full-}\mathfrak{h}\text{-}\mathcal{F}\text{-}R}$ stated in Proposition 9.1, transverse. Statement 1 of Theorem 1.7 is proved. \square

The aim of subsection 9.1 is thus to prove Proposition 9.1. Before doing so, here are a few preliminary comments. Let R be a positive quantity. Proposition 5.1 states that there exists a generic subset $\mathcal{V}_{\text{quad-}R\text{-}\mathfrak{h}\text{-}\mathcal{F}}$ of $\mathcal{V}_{\text{quad-}R}$ such that, for every potential V_{quad} in this subset, all travelling fronts in $\mathcal{F}_{V_{\text{quad}}}$ are transverse. However, due to the constraint at $|u| = R$, the extension to \mathbb{R}^d of all the truncations of these potentials in $\bar{B}_{\mathbb{R}^d}(0, R)$ is meagre. The idea is to take some margin: consider the generic subset $\mathcal{V}_{\text{quad-}(R+1)\text{-}\mathfrak{h}\text{-}\mathcal{F}}$ of $\mathcal{V}_{\text{quad-}(R+1)}$ and, using the notation introduced in definition (4.6), consider the set

$$(9.2) \quad \text{res}_{R,\infty}^{-1} \circ \text{res}_{R,(R+1)}(\mathcal{V}_{\text{quad-}(R+1)\text{-}\mathfrak{h}\text{-}\mathcal{F}}).$$

For every potential V_{full} in this set, all travelling fronts in $\mathcal{F}_{V_{\text{full}},R}$ are transverse; indeed, this property depends only on the values of V_{full} inside the ball $\bar{B}_{\mathbb{R}^d}(0, R)$, where V_{full} must be identically equal to some potential V_{quad} of $\mathcal{V}_{\text{quad-}(R+1)\text{-}\mathfrak{h}\text{-}\mathcal{F}}$. It is tempting to look for an extension of Corollary 4.6 to generic subsets, which would yield the genericity of the set (9.2). Unfortunately, this corollary definitely applies to open dense subsets, and not to generic ones. Pursuing further in this direction, observe that, since $\mathcal{V}_{\text{quad-}(R+1)\text{-}\mathfrak{h}\text{-}\mathcal{F}}$ is a generic subset of $\mathcal{V}_{\text{quad-}(R+1)}$, there exists a countable family $(\mathcal{O}_N)_{N \in \mathbb{N}}$ of dense open subsets of $\mathcal{V}_{\text{quad-}(R+1)}$ such that

$$(9.3) \quad \bigcap_{N \in \mathbb{N}} \mathcal{O}_N \subset \mathcal{V}_{\text{quad-}(R+1)\text{-}\mathfrak{h}\text{-}\mathcal{F}},$$

leading to

$$\text{res}_{R,\infty}^{-1} \circ \text{res}_{R,(R+1)} \left(\bigcap_{N \in \mathbb{N}} \mathcal{O}_N \right) \subset \text{res}_{R,\infty}^{-1} \circ \text{res}_{R,(R+1)}(\mathcal{V}_{\text{quad-}R+1\text{-}\mathfrak{h}\text{-}\mathcal{F}}).$$

According to general properties of functions, the following inclusion holds:

$$(9.4) \quad \text{res}_{R,(R+1)} \left(\bigcap_{N \in \mathbb{N}} \mathcal{O}_N \right) \subset \bigcap_{N \in \mathbb{N}} \text{res}_{R,(R+1)}(\mathcal{O}_N).$$

If this inclusion was an equality, then, still according to general properties of functions, the following equality would hold:

$$\text{res}_{R,\infty}^{-1} \circ \text{res}_{R,(R+1)} \left(\bigcap_{N \in \mathbb{N}} \mathcal{O}_N \right) = \bigcap_{N \in \mathbb{N}} \text{res}_{R,\infty}^{-1} \circ \text{res}_{R,(R+1)}(\mathcal{O}_N),$$

and, since according to Corollary 4.6 the right-hand side of this equality is a countable intersection of dense open subsets of $\mathcal{V}_{\text{full}}$, the intended conclusion that the set (9.2) is generic in $\mathcal{V}_{\text{full}}$ would follow. Unfortunately, Proposition 5.1 provides no clue about the sets \mathcal{O}_N and a strict inclusion in (9.4) cannot be precluded. However, let us make the following key observation, which enlightens the remaining of the proof: if the property “a given potential V belongs to \mathcal{O}_N ” only depends on the values of V inside the ball $\bar{B}_{\mathbb{R}^d}(0, R)$, then inclusion (9.4) is actually an equality.

The main step in the proof is thus to construct dense subsets \mathcal{O}_N of $\mathcal{V}_{\text{quad}-(R+1)}$ such that:

1. for every potential V_{quad} in $\bigcap_n \mathcal{O}_N$, every travelling front in $\mathcal{F}_{V,R}$ is transverse,
2. and the property “a given potential V belongs to \mathcal{O}_N ” only depends on the values of V inside the ball $\bar{B}_{\mathbb{R}^d}(0, R)$.

Proof of Proposition 9.1. As above, let R denote a positive quantity. Let V_0 denote a potential function in $\mathcal{V}_{\text{quad}-(R+1)}$, let $e_{-,0}$ and $e_{+,0}$ denote a non-degenerate critical point and a non-degenerate minimum point of V_0 and let c_0 denote a positive speed. Let us consider the neighbourhoods $\nu_{V_0, e_{-,0}, e_{+,0}, c_0}$ of V_0 in $\mathcal{V}_{\text{quad}-(R+1)}$ and $\mathcal{C}_{V_0, e_{-,0}, e_{+,0}, c_0}$ of c_0 in $(0, +\infty)$ provided by Proposition 5.2 for these objects. Recall that those neighbourhoods are the ones from which, for every V in $\nu_{V_0, e_{-,0}, e_{+,0}, c_0}$ and every c in $\mathcal{C}_{V_0, e_{-,0}, e_{+,0}, c_0}$, the functions $\hat{w}_{\text{loc}, c, V}^u$, the sets \mathcal{M} and \mathcal{W} and the functions Φ^u and Φ^s and Φ were defined in subsection 5.3. Up to replacing the neighbourhood $\nu_{V_0, e_{-,0}, e_{+,0}, c_0}$ by its interior, we may assume that this neighbourhood is *open* in $\mathcal{V}_{\text{quad}-(R+1)}$. Similarly, we may assume that $\mathcal{C}_{V_0, e_{-,0}, e_{+,0}, c_0}$ is *compact* in \mathbb{R} . Let N denote a nonnegative integer and let us consider the set

$$(9.5) \quad \mathcal{M}_N = \mathcal{B}^u \times \mathcal{B}^s \times (-\infty, N] \times \mathcal{C}_{V_0, e_{-,0}, e_{+,0}, c_0} = \{(b^u, b^s, \xi, c) \in \mathcal{M} : \xi \leq N\}.$$

As in subsection 5.3, let us define \mathcal{N} as $(\mathbb{R}^{2d})^2$, and let us consider the set

$$(9.6) \quad \mathcal{O}_{V_0, e_{-,0}, e_{+,0}, c_0, N} = \left\{ V \in \nu_{V_0, e_{-,0}, e_{+,0}, c_0} : \Phi(\mathcal{M}_N, V) \text{ is transverse to } \mathcal{W} \text{ in } \mathcal{N} \right\}.$$

As shown in Proposition 5.5, this set $\mathcal{O}_{V_0, e_{-,0}, e_{+,0}, c_0, N}$ is made of the potential functions V in $\nu_{V_0, e_{-,0}, e_{+,0}, c_0}$ such that every profile $\xi \mapsto u(\xi)$ of a front travelling at a speed c in $\mathcal{C}_{V_0, e_{-,0}, e_{+,0}, c_0}$ and connecting $e_-(V)$ to $e_+(V)$ for this potential, and connecting $\partial W_{\text{loc}, c, V}^u(E_-(V))$ to $\partial W_{\text{loc}, c, V}^s(E_+(V))$ in a time less than or equal to N , is transverse.

Lemma 9.2. *The set $\mathcal{O}_{V_0, e_{-,0}, e_{+,0}, c_0, N}$ is a dense open subset of $\nu_{V_0, e_{-,0}, e_{+,0}, c_0}$.*

Proof of Lemma 9.2. The density is a direct consequence of Proposition 5.2 which states that, generically with respect to V in $\nu_{V_0, e_{-,0}, e_{+,0}, c_0}$, the whole image of \mathcal{M} by the map $m \mapsto \Phi(m, V)$ is transverse to \mathcal{W} . To prove the openness, let us argue as in subsection 7.3. Let us consider a sequence $(V_n)_{n \in \mathbb{N}}$ of potentials in $\nu_{V_0, e_{-,0}, e_{+,0}, c_0}$ converging to a potential V_∞ in $\nu_{V_0, e_{-,0}, e_{+,0}, c_0}$, and such that, for every n in \mathbb{N} , there exists $m_n = (b_n^u, b_n^s, \xi_n, c_n)$ in \mathcal{M}_N such that the set $\Phi(\mathcal{M}_N, V_n)$ is not transverse to \mathcal{W} at $\Phi(m_n, V_n)$. Observe that, according to the empty intersection (5.5), ξ_n must be positive. As a consequence, by compactness of $\mathcal{B}^u \times \mathcal{B}^s \times [0, N] \times \mathcal{C}_{V_0, e_{-,0}, e_{+,0}, c_0}$, we may assume that m_n converges, as n goes to $+\infty$, to a point m_∞ of \mathcal{M}_N . Then, by continuity, the image $\Phi(\mathcal{M}_N, V_\infty)$ is not transverse to \mathcal{W} at $\Phi(m_\infty, V_\infty)$. This proves that $\nu_{V_0, e_{-,0}, e_{+,0}, c_0} \setminus \mathcal{O}_{V_0, e_{-,0}, e_{+,0}, c_0, N}$ is closed in $\nu_{V_0, e_{-,0}, e_{+,0}, c_0}$, and yields the intended conclusion. \square

Continuation of the proof of Proposition 9.1. Let us make the additional assumption that the potential V_0 is a Morse function. Then, the set of critical points of V_0 is finite and depends smoothly on V in a neighbourhood $\nu_{\text{robust}}(V_0)$ of V_0 . Intersecting the sets $\nu_{V_0, e_{-,0}, e_{+,0}, c_0}$ and $\mathcal{C}_{V_0, e_{-,0}, e_{+,0}, c_0}$ and $\mathcal{O}_{V_0, e_{-,0}, e_{+,0}, c_0, N}$ above over all the possible couples $(e_{-,0}, e_{+,0})$ in $\Sigma_{\text{crit}}(V_0) \times \Sigma_{\text{min}}(V_0)$ provides an open neighbourhood ν_{V_0, c_0} of V_0 , a compact neighbourhood \mathcal{C}_{V_0, c_0} of c_0 and an open dense subset $\mathcal{O}_{V_0, c_0, N}$ of ν_{V_0, c_0} such that, for all $V \in \mathcal{O}_{V_0, c_0, N}$, every front travelling at the speed $c \in \mathcal{C}_{V_0, c_0}$ and connecting the local (un)stable manifolds of two points (e_-, e_+) in $\Sigma_{\text{crit}}(V) \times \Sigma_{\text{min}}(V)$ within the “time” N , is transverse.

Denoting by $\text{int}(A)$ the interior of a set A and using the notation of definition (4.6), let us introduce the sets

$$(9.7) \quad \tilde{\nu}_{V_0, c_0} = \text{res}_{R, \infty}^{-1} \circ \text{res}_{R, (R+1)}(\nu_{V_0, c_0}),$$

$$(9.8) \quad \text{and} \quad \tilde{\mathcal{O}}_{V_0, c_0, N} = \text{res}_{R, \infty}^{-1} \circ \text{res}_{R, (R+1)}(\mathcal{O}_{V_0, c_0, N}),$$

$$(9.9) \quad \text{and} \quad \tilde{\mathcal{O}}_{V_0, c_0, N}^{\text{ext}} = \tilde{\mathcal{O}}_{V_0, c_0, N} \sqcup \text{int}(\mathcal{V}_{\text{full}} \setminus \tilde{\nu}_{V_0, c_0}).$$

In other words, a potential \tilde{V} of $\mathcal{V}_{\text{full}}$ is in $\tilde{\nu}_{V_0, c_0}$ (in $\tilde{\mathcal{O}}_{V_0, c_0, N}$) if it coincides, inside the ball $\bar{B}_{\mathbb{R}^d}(0, R)$, with a potential V_{quad} quadratic past $R+1$ and belonging to ν_{V_0, c_0} (to $\mathcal{O}_{V_0, c_0, N}$). The last set $\tilde{\mathcal{O}}_{V_0, c_0, N}^{\text{ext}}$ is an extension of the open dense subset $\tilde{\mathcal{O}}_{V_0, c_0, N}$ of $\tilde{\nu}_{V_0, c_0}$, obtained by adding all potentials outside (the closure of) $\tilde{\nu}_{V_0, c_0}$.

Lemma 9.3. *The set $\tilde{\mathcal{O}}_{V_0, c_0, N}^{\text{ext}}$ is a dense open subset of $\mathcal{V}_{\text{full}}$.*

Proof of Lemma 9.3. According to Corollary 4.6, the set $\tilde{\nu}_{V_0, c_0}$ is an open subset of $\mathcal{V}_{\text{full}}$, and the set $\tilde{\mathcal{O}}_{V_0, c_0, N}$ is a dense open subset of $\tilde{\nu}_{V_0, c_0}$. Thus, according to its definition (9.9), the set $\tilde{\mathcal{O}}_{V_0, c_0, N}^{\text{ext}}$ is a dense open subset of $\mathcal{V}_{\text{full}}$. \square

Continuation of the proof of Proposition 9.1. Since $\mathcal{V}_{\text{quad-(R+1)}}$ is a separable space, it is second-countable. Thus $\mathcal{V}_{\text{quad-(R+1)-Morse}} \times (0, +\infty)$ is also second-countable and can be covered by a countable number of products $\nu_{V_0, c_0} \times \mathcal{C}_{V_0, c_0}$. With symbols, there exists a countable family $(V_{0,i}, c_{0,i})_{i \in \mathbb{N}}$ of elements of $\mathcal{V}_{\text{quad-(R+1)-Morse}} \times (0, +\infty)$ so that

$$(9.10) \quad \mathcal{V}_{\text{quad-(R+1)-Morse}} \times (0, +\infty) = \bigcup_{i \in \mathbb{N}} \nu_{V_{0,i}, c_{0,i}} \times \mathcal{C}_{V_{0,i}, c_{0,i}}.$$

Notice here the importance of first working with $\mathcal{V}_{\text{quad-}(R+1)}$, which is second-countable, instead of the full space $\mathcal{V}_{\text{full}}$, which is not. Let us consider the set

$$(9.11) \quad \mathcal{V}_{\text{full-}\mathcal{F}\text{-}R} = \mathcal{V}_{\text{full-Morse}} \cap \left(\bigcap_{(i,N) \in \mathbb{N}^2} \tilde{\mathcal{O}}_{V_{0,i}, c_{0,i}, N}^{\text{ext}} \right),$$

where $\mathcal{V}_{\text{full-Morse}}$ is the set of potentials in $\mathcal{V}_{\text{full}}$ which are Morse functions.

Lemma 9.4. *For every potential \tilde{V} in the set $\mathcal{V}_{\text{full-}\mathcal{F}\text{-}R}$, every travelling front (u, c) in $\mathcal{F}_{\tilde{V}, R}$ is transverse.*

Proof of Lemma 9.4. Let \tilde{V} be a potential function in the set $\mathcal{V}_{\text{full-}\mathcal{F}\text{-}R}$ and (c, u) be a travelling front in $\mathcal{F}_{\tilde{V}, R}$. According to Lemma 4.5, the map $\text{res}_{R, (R+1)}$ is surjective, thus there exists a potential function V in $\mathcal{V}_{\text{quad-}(R+1)}$ such that V belongs to $\text{res}_{R, (R+1)}^{-1} \circ \text{res}_{R, \infty}(\tilde{V})$ (in other words V coincides with \tilde{V} on $\bar{B}_{\mathbb{R}^d}(0, R)$). Since \tilde{V} is a Morse function, the critical points of V in $\bar{B}_{\mathbb{R}^d}(0, R)$ are degenerate, and up to applying to V a small perturbation in $\bar{B}_{\mathbb{R}^d}(0, R+1) \setminus \bar{B}_{\mathbb{R}^d}(0, R)$, we may assume that its critical points in this set are also nondegenerate, so that V is actually also a Morse function. Since \tilde{V} coincides with V inside $\bar{B}_{\mathbb{R}^d}(0, R)$ and since the travelling front u is contained in this ball, it is also a travelling front of V and it is sufficient to show that (u, c) is a transverse travelling front for V .

According to equality (9.10), there exists a nonnegative integer i such that V belongs to $\nu_{V_{0,i}, c_{0,i}}$ and c belongs to $\mathcal{C}_{V_{0,i}, c_{0,i}}$. Then, since V and \tilde{V} coincide on $\bar{B}_{\mathbb{R}^d}(0, R)$, \tilde{V} belongs to $\tilde{\nu}_{V_{0,i}, c_{0,i}}$ (definition (9.7)). Besides, it follows from definition (9.11) that, for every nonnegative integer N , \tilde{V} belongs to $\tilde{\mathcal{O}}_{V_{0,i}, c_{0,i}, N}^{\text{ext}}$; and since V is also in $\tilde{\nu}_{V_{0,i}, c_{0,i}}$, it follows from definition (9.9) that \tilde{V} actually belongs to $\tilde{\mathcal{O}}_{V_{0,i}, c_{0,i}, N}$.

Let us denote by e_- and e_+ the critical points of V (and \tilde{V}) approached by $u(\xi)$ as ξ goes to $-\infty$ and $+\infty$ respectively. According to the definition of the neighbourhood $\nu_{V_{0,i}, c_{0,i}}$ of $V_{0,i}$, there exists a (unique) critical point $e_{-,0,i}$ and a (unique) minimum point $e_{+,0,i}$ of $V_{0,i}$ such that, if $W \mapsto e_{-,i}(W)$ and $W \mapsto e_{+,i}(W)$ denote the functions which “follow” these critical points for W in $\nu_{\text{robust}}(V_{0,i})$, then e_- equals $e_{-,i}(V)$ and e_+ equals $e_{+,i}(V)$. Let us keep the notation \mathcal{M} and Φ to denote the objects defined as in subsection 5.3 for the neighbourhoods $\nu_{V_{0,i}, e_{-,0,i}, e_{+,0,i}, c_{0,i}}$ of $V_{0,i}$ and $\mathcal{C}_{V_{0,i}, e_{-,0,i}, e_{+,0,i}, c_{0,i}}$ of $c_{0,i}$. The travelling front (c, u) therefore corresponds to an intersection between $\Phi(\mathcal{M}, V)$ and \mathcal{W} , which occurs at a certain point m of \mathcal{M} and thus for a certain (positive) time ξ which is the time that the profile of this travelling front takes to go from the border of the local unstable manifold of e_- to the border of the local stable manifold of e_+ .

Let N denote an integer greater than or equal to ξ . Since \tilde{V} belongs to $\tilde{\mathcal{O}}_{V_{0,i}, c_{0,i}, N}$, there must exist (according to definition (9.8)) a potential V_N in $\nu_{V_{0,i}, c_{0,i}}$ identically equal to \tilde{V} (and V) on the ball $\bar{B}_{\mathbb{R}^d}(0, R)$ and belonging to $\mathcal{O}_{V_{0,i}, c_{0,i}, N}$. Again, (c, u) is a travelling front for V_N and the previous correspondence between this front and an intersection between $\Phi(\mathcal{M}, V_N)$ and \mathcal{W} still holds. Since V_N belongs to $\mathcal{O}_{V_{0,i}, c_{0,i}, N}$, the aforementioned intersection must be transverse, leading to the transversality of the front (u, c) for V_N .

Again, the three potentials \tilde{V} and V and V_N considered here have the same values along the profile of the travelling front (u, c) . Thus, this front is also transverse for \tilde{V} . \square

End of the proof of Proposition 9.1. The set $\mathcal{V}_{\text{full-}\hat{\eta}\text{-}\mathcal{F}\text{-}R}$ defined in (9.11) is a countable intersection of dense open subsets of $\mathcal{V}_{\text{full}}$, and is therefore a generic subset of $\mathcal{V}_{\text{full}}$. In view of Lemma 9.4, Proposition 9.1 is proved. \square

9.2 Proof of conclusions 2 and 3 of Theorem 1.7

The proof of conclusions 2 and 3 of Theorem 1.7 is similar to the proof of conclusion 1 provided in the previous subsection. As a consequence, only the core arguments will be reproduced here. Let us recall the notation \mathcal{P}_V introduced in (6.1), and, for every positive quantity R , let us consider the set

$$\mathcal{P}_{V,R} = \left\{ u \in \mathcal{P}_V : \sup_{\xi \in \mathbb{R}} |u(\xi)| \leq R \right\}.$$

As shown in the previous subsection for Proposition 9.1 and conclusion 1 of Theorem 1.7, the following proposition yields conclusions 2 and 3 of of Theorem 1.7.

Proposition 9.5. *For every positive quantity R , there exists a generic subset $\mathcal{V}_{\text{full-}\hat{\eta}\text{-}\mathcal{P}\text{-}R}$ of $\mathcal{V}_{\text{full}}$, included in $\mathcal{V}_{\text{full-Morse}}$, such that, for every potential function V in $\mathcal{V}_{\text{full-}\hat{\eta}\text{-}\mathcal{P}\text{-}R}$, every standing pulse u in $\mathcal{P}_{V,R}$ is: elementary if this standing pulse is symmetric, and transverse if this standing pulse is asymmetric.*

Proof. Let R denote a positive quantity and let V_0 denote a Morse potential function in $\mathcal{V}_{\text{quad-}(R+1)}$. Let e_0 denote a non-degenerate critical point of V_0 and let us consider an open neighbourhood ν_{V_0, e_0} of V_0 in $\mathcal{V}_{\text{quad-}(R+1)}$ included in both neighbourhoods provided by Propositions 6.2 and 7.2. For every N in \mathbb{N}^* and for every V in ν_{V_0, e_0} , let us consider the subset $\mathcal{O}_{V_0, e_0, N}$ of ν_{V_0, e_0} defined as the set of potentials V in ν_{V_0, e_0} satisfying the following two conditions:

1. every symmetric standing pulse of V , connecting $\partial W_{\text{loc}, V}^u(E(V))$ to the symmetric subspace \mathcal{S}_{sym} in a time less than or equal to N , is elementary;
2. and every asymmetric standing pulse of V , connecting $\partial W_{\text{loc}, V}^u(E(V))$ to $\partial W_{\text{loc}, V}^s(E(V))$ in a time less than or equal to N while remaining at a distance greater than or equal to $1/N$ of \mathcal{S}_{sym} , is transverse.

The same arguments as in the proof of Lemma 9.2 show that the set $\mathcal{O}_{V_0, e_0, N}$ is a dense open subset of ν_{V_0, e_0} : the density follows from Propositions 6.2 and 7.2 and, regarding the openness, the key new ingredient is the condition that every asymmetric standing pulse remains at a distance at least $1/N$ of \mathcal{S}_{sym} . Indeed, a sequence of asymmetric standing pulses (as considered in the proof) may (generally speaking) approach a symmetric standing pulse which may be non-transverse even if it is elementary. Staying away from \mathcal{S}_{sym} precludes this possibility.

As on page 54, let us consider the intersections of the previous sets over all the critical points of V_0 :

$$\nu_{V_0} = \bigcap_{e_0 \in \Sigma_{\text{crit}}(V_0)} \nu_{V_0, e_0} \quad \text{and} \quad \mathcal{O}_{V_0, N} = \bigcap_{e_0 \in \Sigma_{\text{crit}}(V_0)} \mathcal{O}_{V_0, e_0, N}.$$

The set ν_{V_0} is still open in $\mathcal{V}_{\text{quad-}(R+1)}$ and the set $\mathcal{O}_{V_0, N}$ is still a dense open subset of ν_{V_0} . As in definitions (9.7) to (9.9), these sets can be extended as follows:

$$\begin{aligned} \tilde{\nu}_{V_0} &= \text{res}_{R, \infty}^{-1} \circ \text{res}_{R, (R+1)}(\nu_{V_0}), \\ \tilde{\mathcal{O}}_{V_0, N} &= \text{res}_{R, \infty}^{-1} \circ \text{res}_{R, (R+1)}(\mathcal{O}_{V_0, N}), \\ \text{and } \tilde{\mathcal{O}}_{V_0, N}^{\text{ext}} &= \tilde{\mathcal{O}}_{V_0, N} \sqcup \text{int}(\mathcal{V}_{\text{quad-}(R+1)} \setminus \tilde{\nu}_{V_0}). \end{aligned}$$

The end of the proof follows the same arguments as the ones of subsection 9.1. The set $\mathcal{V}_{\text{quad-}(R+1)\text{-Morse}}$ can be covered by a countable number of subsets $\tilde{\nu}_{V_0, i}$ and the set

$$\mathcal{V}_{\text{full-}\hat{\mu}\text{-}\mathcal{P}\text{-}R} = \mathcal{V}_{\text{full-Morse}} \cap \left(\bigcap_{(i, N) \in \mathbb{N}^2} \tilde{\mathcal{O}}_{V_0, i, N}^{\text{ext}} \right)$$

is the generic subset the existence of which was stated in Proposition 9.5. \square

9.3 Proof of conclusion 4 of Theorem 1.7

Let us consider the set \mathcal{O}_R of potentials V of $\mathcal{V}_{\text{full}}$ such that all the critical points of V in $\bar{B}_{\mathbb{R}^d}(0, R)$ are non-degenerate and have different values. The same arguments as in Proposition 8.1 show that this set \mathcal{O}_R is an open dense subset of $\mathcal{V}_{\text{full}}$, so that the intersection $\bigcap_{R \in \mathbb{N}^*} \mathcal{O}_R$ is generic in $\mathcal{V}_{\text{full}}$. Since the critical points connected by a standing front must belong to the same level set of the potential, no standing front can exist for a potential in this intersection.

9.4 Proof of conclusions 1 to 4 of Corollary 1.1

Let V be a potential function belonging to the generic subset provided by Theorem 1.7, let (c, u) be a travelling front in \mathcal{F}_V , and let e_- and e_+ denote the critical point and the minimum point of V connected by this travelling front. According to Table 2.1,

$$\begin{aligned} \dim \left(\bigcup_{c' > 0} \{c'\} \times W_{c', V}^u(E_-) \right) &= d - m(e_-) + 1, \\ \text{and } \dim \left(\bigcup_{c' > 0} \{c'\} \times W_{c', V}^s(E_+) \right) &= d + 1. \end{aligned}$$

The intersection between these two manifolds contains at least the curve $\{c\} \times U(\mathbb{R})$ corresponding to the travelling front. Thus, the dimension of the sum of the tangent spaces to these two manifolds is less than or equal to the quantity

$$(d - m(e_-) + 1) + (d + 1) - 1 = 2d + 1 - m(e_-).$$

Since according to Definition 1.3 and Theorem 1.7 the intersection between these two manifolds is transverse in \mathbb{R}^{2d+1} , along the set $\{c\} \times U(\mathbb{R})$, this quantity is greater than or equal to $2d + 1$, so that the Morse index $m(e_-)$ must be zero. This proves conclusion 1 of Corollary 1.1.

Now let us assume that u is the profile of a standing pulse and let e denote the critical point of V such that this pulse connects e to itself. According to Table 2.1,

$$\dim(W_V^u(E)) = d - m(e) \quad \text{and} \quad \dim(W_V^s(E)) = d - m(e).$$

According to Definition 1.6 and Theorem 1.7, if u is symmetric then the intersection between $W_V^u(E)$ and the d -dimensional manifold \mathcal{S}_{sym} is transverse in \mathbb{R}^{2d} , at the point $U(\xi_{\text{turn}})$ and this can happen only if $m(e) = 0$. If u is asymmetric then the intersection between $W_V^u(E)$ and $W_V^s(E)$ is transverse, in $H_V^{-1}(V(E))$, along the trajectory $U(\mathbb{R})$. The intersection of $W_V^u(E)$ and $W_V^s(E)$ is at least one-dimensional and the dimension of $H_V^{-1}(V(E))$ is equal to $2d - 1$. Again, the transversality can happen only if $m(e) = 0$. This proves conclusion 2 of Corollary 1.1.

In all the cases considered above, the counting of the dimensions and the transversality imply that the intersections of the stable and unstable manifolds reduce to the smallest possible set, that is: the one-dimensional curve drawn by the trajectory U for travelling fronts or asymmetric pulses, and the singleton $\{U(\xi_{\text{turn}})\}$ defined by the turning point for symmetric pulses. By local compactness of the unstable manifolds, this implies that the trajectories of a given class are isolated from each other (even if a family of asymmetric standing pulses may accumulate on a non-degenerate — and in this case non-transverse — symmetric pulse). In particular, there is only a countable number of such trajectories. Conclusion 3 of Corollary 1.1 is proved.

Finally, conclusion 4 about the robustness of travelling fronts and standing pulses (the fact that they persist under small perturbations of the potential) follows from their transversality (that, is, the transversality of the intersections considered above).

10 Generic asymptotic behaviour for the profiles of bistable travelling fronts and of standing pulses stable at infinity

The goal of this section is to prove Theorem 1.8 (and thus also conclusion 5 of Corollary 1.1).

10.1 Asymptotic behaviour of profiles

Let V_0 denote a potential in $\mathcal{V}_{\text{full}}$, let e_0 denote a nondegenerate *minimum* point of V , and let c denote a nonnegative quantity (speed). As in subsection 2.1, let (u_1, \dots, u_d) denote an orthonormal basis of \mathbb{R}^d made of eigenvectors of $D^2V(e_0)$, and let μ_1, \dots, μ_d denote the corresponding (positive) eigenvalues, with $\mu_1 \leq \dots \leq \mu_d$. The statement “the least eigenvalue of $D^2V(e_0)$ is simple”, in conclusion 1 of Theorem 1.8, just means that μ_1 is less than μ_2 (and thus also than all the other eigenvalues of $D^2V(e_0)$). Let us

make this assumption. With the notation of subsection 2.1, it follows that, for every j in $\{2, \dots, d\}$,

$$\lambda_{j,-} < \lambda_{1,-} < 0 < \lambda_{1,+} < \lambda_{j,+};$$

in other words, $\lambda_{1,-}$ and $\lambda_{1,+}$ are, among all the eigenvalues of $DF_{c,V}(E_0)$ (which are real), the closest ones to 0 (here $E_0 = (e_0, 0_{\mathbb{R}^d})$ is the equilibrium point of the flow $S_{c,V}$ corresponding to e_0). If a solution $\xi \mapsto u(\xi)$ of the differential system (1.7) goes to e_0 as ξ goes to $-\infty$ ($+\infty$), then one among the following two possible cases occurs (see Proposition 10.1 below for a more precise statement):

1. there exists a real quantity K such that

$$u(\xi) - e_0 = Ke^{\lambda_{1,+}\xi}u_1 + o_{\xi \rightarrow -\infty}(e^{\lambda_{1,+}\xi})$$

(and $u(\xi) - e_0 = Ke^{\lambda_{1,-}\xi}u_1 + o_{\xi \rightarrow +\infty}(e^{\lambda_{1,-}\xi})$, respectively);

2. $u(\xi) - e_0 = o_{\xi \rightarrow -\infty}(e^{\lambda_{1,+}\xi})$ (and $u(\xi) - e_0 = o_{\xi \rightarrow +\infty}(e^{\lambda_{1,-}\xi})$, respectively).

The words “ $u(\xi)$ approaches its limit (at $\pm\infty$) tangentially to the eigenspace corresponding to the smallest eigenvalue of D^2V at this point”, used in conclusion 5 of Corollary 1.1 and in conclusion 2 of Theorem 1.8, mean that case 1 above occurs. As illustrated on Figure 10.1 (see also Figure 10.2), approach of equilibria “at the slowest possible rate” (case 1 above) is a generic feature among solutions of differential systems. The main goal of this section is thus to provide a formal proof that this feature is indeed generic (with respect to the potential V) for bistable travelling fronts and standing pulses stable at infinity of the parabolic system (1.1).

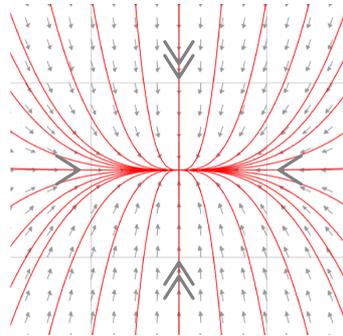


Figure 10.1: Attractive node of a two-dimensional vector field. In the language of subsection 10.2, the vertical axis is the “strongly stable subspace” of the equilibrium.

10.2 Local strongly stable and unstable manifolds when the speed c is positive

Let us keep the notation and assumptions of the previous subsection and let us assume that c is positive. The aim of this subsection is to provide a variant of Proposition 2.2

devoted to the “strongly” local stable and unstable manifolds, which are characterized by a “fast” convergence (case 2 above). Concerning the references, the same comments as in subsection 2.2 apply.

Calling upon the notation of subsection 2.1, let

$$\begin{aligned} \mathcal{E}^{\text{su}}(E_0) &= \text{span}(\{U_{2,+}, \dots, U_{d,+}\}) \quad \text{and} \quad \mathcal{E}^{\text{ss}}(E_0) = \text{span}(\{U_{2,-}, \dots, U_{d,-}\}), \\ \text{and} \quad \mathcal{E}^{\text{m}}(E_0) &= \text{span}(\{U_{1,-}, U_{1,+}\}) \end{aligned}$$

(the superscripts “su”, “ss”, and “m” stand for “strongly unstable”, “strongly stable”, and “mild”, respectively), and

$$\beta^{\text{su}} = \lambda_{2,+} \quad \text{and} \quad \beta^{\text{ss}} = \lambda_{2,-}.$$

As in subsection 2.2, there exist norms $\|\cdot\|_{\text{su}}$ on $\mathcal{E}^{\text{su}}(E_0)$ and $\|\cdot\|_{\text{ss}}$ on $\mathcal{E}^{\text{ss}}(E_0)$ such that inequalities (2.7) (with “su” instead of “u” and “ss” instead of “s” everywhere) hold. For every positive quantity r , let us define the balls $\bar{B}_{E_0}^{\text{su}}(r)$ and $\bar{B}_{E_0}^{\text{ss}}(r)$ as in (2.8) (with the same substitutions “u” \leftarrow “su” and “s” \leftarrow “ss”), let $\bar{B}_{E_0}^{\text{m}}(r)$ denote the closed ball centred at E_0 and with radius r , in the subspace $\mathcal{E}^{\text{m}}(E_0)$, for the usual euclidean norm on these subspace, and let

$$\bar{B}_{E_0}(r) = \{U^{\text{su}} + U^{\text{ss}} + U^{\text{m}} : U^{\text{su}} \in \bar{B}_{E_0}^{\text{su}}(r) \text{ and } U^{\text{ss}} \in \bar{B}_{E_0}^{\text{ss}}(r) \text{ and } U^{\text{m}} \in \bar{B}_{E_0}^{\text{m}}(r)\}.$$

Let $\lambda_{3/2,-}$ and $\lambda_{3/2,+}$ denote real quantities satisfying

$$\lambda_{2,-} < \lambda_{3/2,-} < \lambda_{1,-} \quad \text{and} \quad \lambda_{1,+} < \lambda_{3/2,+} < \lambda_{2,+}.$$

Proposition 10.1 (local strong stable and unstable manifolds). *There exist a neighbourhood ν of V_0 in $\mathcal{V}_{\text{full}}$, a neighbourhood \mathcal{C} of c_0 in $(0, +\infty)$ and a positive quantity r such that, for every (c, V) in $\mathcal{C} \times \nu$, in addition to the conclusions of Proposition 2.2, the following statements hold.*

There exist \mathcal{C}^k -functions

$$w_{\text{loc}, c, V}^{\text{su}} : \bar{B}_{E_0}^{\text{su}}(r) \rightarrow \bar{B}_{E_0}^{\text{m}}(r) + \bar{B}_{E_0}^{\text{ss}}(r) \quad \text{and} \quad w_{\text{loc}, c, V}^{\text{ss}} : \bar{B}_{E_0}^{\text{ss}}(r) \rightarrow \bar{B}_{E_0}^{\text{m}}(r) + \bar{B}_{E_0}^{\text{su}}(r)$$

such that, if we consider the sets

$$\begin{aligned} W_{\text{loc}, c, V}^{\text{su}}(E(V)) &= \left\{ E(V) + U^{\text{su}} + w_{\text{loc}, c, V}^{\text{su}}(U^{\text{su}}) : U^{\text{su}} \in \bar{B}_{E_0}^{\text{su}}(r) \right\} \\ \text{and} \quad W_{\text{loc}, c, V}^{\text{ss}}(E(V)) &= \left\{ E(V) + U^{\text{ss}} + w_{\text{loc}, c, V}^{\text{ss}}(U^{\text{ss}}) : U^{\text{ss}} \in \bar{B}_{E_0}^{\text{ss}}(r) \right\}, \end{aligned}$$

then, for every U in $\bar{B}_{E_0}(r)$ the following two assertions are equivalent:

1. U is in $W_{\text{loc}, c, V}^{\text{su}}(E(V))$;
2. $S_{c, V}(\xi, U) - E(V)$ remains in $\bar{B}_{E_0}(r)$ for all ξ in $(-\infty, 0]$ and

$$|S_{c, V}(\xi, U) - E(V)| = o_{\xi \rightarrow -\infty}(e^{\lambda_{3/2,+}\xi});$$

and for every U in $\bar{B}_{E_0}(r)$ the following two assertions are equivalent:

1. $U \in W_{\text{loc}, c, V}^{\text{ss}}(E(V))$;
2. $S_{c, V}(\xi, U) - E(V)$ remains in $\bar{B}_{E_0}(r)$ for all ξ in $[0, +\infty)$ and

$$|S_{c, V}(\xi, U) - E(V)| = o_{\xi \rightarrow +\infty}(e^{\lambda_3/2, -\xi}).$$

Both differentials $Dw_{\text{loc}, c_0, V_0}^{\text{su}}(0)$ and $Dw_{\text{loc}, c_0, V_0}^{\text{ss}}(0)$ vanish, and both maps

$$\begin{aligned} \mathcal{C} \times \nu \times \bar{B}_{E_0}^{\text{su}}(r) &\rightarrow \bar{B}_{E_0}^{\text{m}}(r) + \bar{B}_{E_0}^{\text{ss}}(r), & (c, V, U^{\text{su}}) &\mapsto w_{\text{loc}, c, V}^{\text{su}}(U^{\text{su}}) \\ \text{and } \mathcal{C} \times \nu \times \bar{B}_{E_0}^{\text{ss}}(r) &\rightarrow \bar{B}_{E_0}^{\text{m}}(r) + \bar{B}_{E_0}^{\text{su}}(r), & (c, V, U^{\text{ss}}) &\mapsto w_{\text{loc}, c, V}^{\text{ss}}(U^{\text{ss}}) \end{aligned}$$

are of class \mathcal{C}^k .

10.3 Idea of the proof of conclusion 2 of Theorem 1.8

The goal of this subsection is to provide a rough idea of the proof of Theorem 1.8, more precisely of the main conclusion of this theorem which is conclusion 2 (the proof of conclusion 1, carried out in the next subsection, is straightforward).

The proof of conclusion 2 is actually almost identical to the proof of Theorem 1.7. Observe that, by contrast with the proof of Theorem 1.7, only *bistable* travelling fronts and standing pulses that are *stable at infinity* need to be considered. In each case (bistable travelling fronts, symmetric and asymmetric standing pulses stable at infinity), the proof relies on applying Sard–Smale Theorem 4.2 to the same settings as in the proof of Theorem 1.7, both for potentials that are quadratic past a certain radius and for the extension to general potentials, except for the following change:

1. either the unstable manifold of the left end equilibrium $E_-(V)$ is replaced by its strongly unstable manifold,
2. or the stable manifold of the right end equilibrium $E_+(V)$ is replaced by its strongly stable manifold.

More precisely, both replacements have to be (separately) considered both for travelling fronts and asymmetric standing pulses, while only the first replacement is relevant for symmetric standing pulses.

Let us see why such change (replacement) in the setting does not affect the validity of the two assumptions of Theorem 4.2, and how its conclusions can be interpreted. Concerning assumption 1 of Theorem 4.2, this replacement leads to the following consequences:

1. either the dimension of the manifold denoted by \mathcal{M} is decreased by 1 (this is what happens for travelling fronts, be it with replacement 1 or 2, for symmetric standing pulses with replacement 1, and for asymmetric standing pulses with replacement 1),
2. or the dimension of the manifold denoted by \mathcal{W} is decreased by 1 (this is what happens for asymmetric standing pulses with replacement 2).

In each of these cases, the dimension of the arrival manifold \mathcal{N} is unchanged, and as a consequence, the difference $\dim(\mathcal{M}) - \text{codim}(\mathcal{W})$ is exactly decreased by 1. More precisely, since only bistable travelling fronts and standing pulses stable at infinity are considered, this difference is actually exactly equal to -1 . Assumption 1 of Theorem 4.2 is therefore still satisfied.

Concerning assumption 2 of Theorem 4.2, it is also fulfilled in each of these cases, due to the key following observation: in the proof of each of the three lemmas proving that this assumption holds (Lemmas 5.7, 6.3 and 7.5), the freedom provided by the variables b^u and b^s is not used — only the freedom provided by the time variable ξ and by the potential V are. As a consequence, the fact that the unstable manifold of $E_-(V)$ is replaced by its strongly unstable manifold does not affect the validity of the conclusion of the lemma, and neither does the fact that the stable manifold of $E_+(V)$ is replaced by its strongly stable manifold. In other words, the key assumption 2 of Theorem 4.2 still holds.

In each case and for each of the two replacements 1 and 2, the conclusions of Theorem 4.2 thus still hold, and ensure that, locally generically with respect to V , the profiles of travelling fronts or of (a)symmetric standing pulses locally correspond to transverse intersections between the image of $m \mapsto \Phi(m, V)$ and \mathcal{W} in \mathcal{N} . But the fact that $\dim(\mathcal{M}) - \text{codim}(\mathcal{W})$ is now equal to -1 actually precludes the very existence of such transverse intersections. In other words, locally generically with respect to V , profiles of bistable travelling fronts or of (a)symmetric pulses stable at infinity approaching their limit at $-\infty$ through its strongly stable manifold or their limit at $+\infty$ through its strongly stable manifold do simply (locally) not exist, which is the intended conclusion. The emptiness of such a transverse intersection due to the value -1 of the difference $\dim(\mathcal{M}) - \text{codim}(\mathcal{W})$ is illustrated by Figure 10.2.

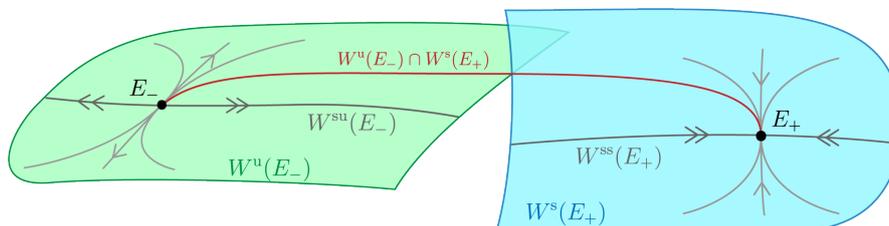


Figure 10.2: Whereas the sum of the dimensions of $W^u(E_-)$ and $W^s(E_+)$ has the minimal value for a nonempty transverse intersection between these two manifolds to exist, for $W^{\text{su}}(E_-)$ and $W^s(E_+)$ (or for $W^u(E_-)$ and $W^{\text{ss}}(E_+)$) this sum is smaller, so that a transverse intersection between such manifolds must be empty. This figure actually depicts the intersection defining a transverse asymmetric bistable standing front, but the same principle applies for bistable travelling fronts, and elementary symmetric (or transverse asymmetric) standing pulses that are stable at infinity.

The remaining arguments, ensuring the first extension to global statements for potentials quadratic past a certain radius (subsections 5.2, 6.1 and 7.1), and then the second extension to general potentials (subsections 9.1 and 9.2), are unchanged.

To complete these arguments, a few milestones of the proof for travelling fronts are detailed in subsection 10.5 below.

10.4 Proof of conclusion 1 of Theorem 1.8

Let R denote a positive quantity, let us recall the notation $\mathcal{V}_{\text{quad-}R}$ introduced in (4.2) and $\mathcal{V}_{\text{quad-}R\text{-Morse}}$ introduced in (5.2), and let us consider the set

$$\mathcal{V}_{\text{quad-}R\text{-Morse-ss-eig}} = \{V \in \mathcal{V}_{\text{quad-}R\text{-Morse}} : \text{at every minimum point of } V, \\ \text{the smallest eigenvalue of } D^2V \text{ is simple}\}$$

(the subscript “ss-eig” stands for “simple smallest eigenvalue”).

Proposition 10.2. *The set $\mathcal{V}_{\text{quad-}R\text{-Morse-ss-eig}}$ is a dense open subset of $\mathcal{V}_{\text{quad-}R\text{-Morse}}$ (and thus of $\mathcal{V}_{\text{quad-}R}$).*

Proof. Openness follows from the continuity of the roots (eigenvalues of D^2V at a minimum point) of a polynomial with respect to its coefficients. To prove the density, let V be in $\mathcal{V}_{\text{quad-}R\text{-Morse}}$, and let us assume that there exists a minimum point e of V such that the smallest eigenvalue μ_1 of $D^2V(e)$ is *not* simple. Let δ denote a positive quantity, small enough so that the closed ball $\bar{B}_{\mathbb{R}^d}(e, \delta)$ is included in $\bar{B}_{\mathbb{R}^d}(0_{\mathbb{R}^d}, R)$ and contains no critical point of V but e . Let ρ denote a smooth function $[0, +\infty) \rightarrow \mathbb{R}$ satisfying

$$\rho(r) = 1 \quad \text{for } r \text{ in } [0, 1/2] \quad \text{and} \quad \rho(r) = 0 \quad \text{for } r \text{ in } [1, +\infty),$$

and let ε denote a small positive quantity to be chosen below. Let u_1 denote an unit eigenvector of $D^2V(e)$ associated to μ_1 , and let us consider the perturbed potential V_{pert} defined as:

$$V_{\text{pert}}(u) = V(u) - \frac{\varepsilon}{2}((u - e) \cdot u_1)^2 \rho(|u - e|/\delta).$$

Then, e is still a critical point of V_{pert} and, for every v in \mathbb{R}^d ,

$$D^2V_{\text{pert}}(e)(v, v) = D^2V(e)(v, v) - \varepsilon(v \cdot u_1)^2.$$

As a consequence, u_1 is still an eigenvector of $D^2V_{\text{pert}}(e)$, the corresponding eigenvalue $\mu_1 - \varepsilon$ is simple, and the other eigenvalues of $D^2V_{\text{pert}}(e)$ are the same as those of $D^2V(e)$ (the difference $D^2V_{\text{pert}}(e) - D^2V(e)$ vanishes on the orthogonal subspace to u_1 in \mathbb{R}^d), these other eigenvalues are therefore greater than $\mu_1 - \varepsilon$. In addition, if ε is small enough, then $\mu_1 - \varepsilon$ is positive (so that e is still a minimum point of V_{pert}) and the closed ball $\bar{B}_{\mathbb{R}^d}(e, \delta)$ contains no critical point of V_{pert} but e . The same procedure, repeated for each minimum point of V such that the smallest eigenvalue of D^2V at this minimum point is not simple, provides an arbitrarily small perturbation of V belonging to the set $\mathcal{V}_{\text{quad-}R\text{-Morse-ss-eig}}$, and therefore proves the intended density. \square

Let $\mathcal{V}_{\text{Morse-ss-eig}}$ denote the subset of $\mathcal{V}_{\text{full}}$ containing Morse potentials V such that, at every minimum point of V , the smallest eigenvalue of the Hessian D^2V at this minimum point is simple. Proceeding as in subsection 9.3, the same arguments as in the proof of Proposition 10.2 above show that this set $\mathcal{V}_{\text{Morse-ss-eig}}$ is a generic subset of $\mathcal{V}_{\text{full}}$, which proves conclusion 1 of Theorem 1.8.

10.5 Proof of conclusion 2 of Theorem 1.8 for bistable travelling fronts

The aim of this subsection is to complete the idea of the proof of conclusion 2 of Theorem 1.8 provided in subsection 10.3 with a few milestones of this proof, in the case of travelling fronts (only).

As for conclusion 1 of Theorem 1.7, the first goal is to prove the intended conclusion among potentials that are quadratic past a certain (positive) radius R . This is stated by the following proposition, which is an extension of Proposition 5.1. It calls upon the notation \mathcal{F}_V introduced in (5.1).

Proposition 10.3. *There exists a generic subset of $\mathcal{V}_{\text{quad-}R}$, included in $\mathcal{V}_{\text{quad-}R\text{-Morse-ss-eig}}$ such that, for every potential V in this subset, every travelling front (c, u) in \mathcal{F}_V is transverse, bistable, and its profile u approaches its limit at $+\infty$ ($-\infty$) tangentially to the eigenspace corresponding to the smallest eigenvalue of D^2V at this point.*

10.5.1 Reduction to a local statement

Let V_0 denote a potential function in $\mathcal{V}_{\text{quad-}R\text{-Morse-ss-eig}}$, and let $e_{-,0}$ and $e_{+,0}$ denote non-degenerate minimum points of V_0 . Let us consider the neighbourhood $\nu_{\text{robust}}(V_0, e_{-,0}, e_{+,0})$ of V_0 introduced in subsection 5.2, and let us denote by $\tilde{\nu}_{\text{robust}}(V_0, e_{-,0}, e_{+,0})$ the intersection $\nu_{\text{robust}}(V_0, e_{-,0}, e_{+,0}) \cap \mathcal{V}_{\text{quad-}R\text{-Morse-ss-eig}}$. The following proposition is a variant (extension in the case of bistable travelling fronts) of Proposition 5.2. The notation is similar, except for the “tilde” added to the symbols of the various sets, in order to differentiate them for the corresponding sets introduced in Proposition 5.2.

Proposition 10.4. *For every positive speed c_0 , there exist a neighbourhood $\tilde{\nu}_{V_0, e_{-,0}, e_{+,0}, c_0}$ of V_0 in $\mathcal{V}_{\text{quad-}R}$, included in $\tilde{\nu}_{\text{robust}}(V_0, e_{-,0}, e_{+,0})$, a neighbourhood $\tilde{\mathcal{C}}_{V_0, e_{-,0}, e_{+,0}, c_0}$ of c_0 in $(0, +\infty)$, and a generic subset $\tilde{\nu}_{V_0, e_{-,0}, e_{+,0}, c_0, \text{gen}}$ of $\tilde{\nu}_{V_0, e_{-,0}, e_{+,0}, c_0}$ such that, for every V in $\tilde{\nu}_{V_0, e_{-,0}, e_{+,0}, c_0, \text{gen}}$, every front travelling at a speed c in $\tilde{\mathcal{C}}_{V_0, e_{-,0}, e_{+,0}, c_0}$ and connecting $e_-(V)$ to $e_+(V)$, for the potential V , is transverse and its profile u approaches its limit at $+\infty$ ($-\infty$) tangentially to the eigenspace corresponding to the smallest eigenvalue of D^2V at this point.*

Proof that Proposition 10.4 yields Proposition 10.3. Proposition 5.1 already ensures the existence of a generic subset $\mathcal{V}_{\text{quad-}R\text{-}\mathcal{F}}$ of $\mathcal{V}_{\text{quad-}R}$ such that, for every potential function V in this subset, every travelling front (c, u) in \mathcal{F}_V is transverse. According to the arguments of subsection 9.4, such a front is necessarily bistable. Thus, only the conclusion of Proposition 10.4 relative to the asymptotic behaviour of the profile remains to be proved.

To this end, the arguments are the same as in subsection 5.2. We may introduce the sets $\tilde{\nu}_{V_0, c_0}$ and $\tilde{\mathcal{C}}_{V_0, c_0}$ and $\nu_{V_0, c_0, \text{gen}}$, defined exactly as the corresponding sets (without tilde) in (5.3) (with $\nu_{\text{robust}}(V_0, e_{-,0}, e_{+,0})$ replaced with $\tilde{\nu}_{\text{robust}}(V_0, e_{-,0}, e_{+,0})$), and the same remaining arguments (replacing $\mathcal{V}_{\text{quad-}R\text{-Morse}}$ with $\mathcal{V}_{\text{quad-}R\text{-Morse-ss-eig}}$) show the existence of a generic subset of $\mathcal{V}_{\text{quad-}R}$, included in $\mathcal{V}_{\text{quad-}R\text{-Morse-ss-eig}}$, such that, for every potential V in this subset, every *bistable* travelling front (c, u) in \mathcal{F}_V is transverse and its profile u approaches its limit at both ends of \mathbb{R} according to the intended conclusion. Intersecting

this generic subset with the one provided by Proposition 5.1 provides a generic subset of $\mathcal{V}_{\text{quad-}R}$ for which all conclusions of Proposition 10.3 hold. \square

10.5.2 Proof of the local statement

The proof of Proposition 10.4 may be derived from the proof of Proposition 5.2, up to a few changes and thanks to some key arguments, all of which are exposed in subsection 10.3 above.

10.5.3 Extension to all potentials

The extension to all potentials is obtained by applying the same strategy as in subsection 9.1. Let us recall the notation $\mathcal{F}_{V,R}$ introduced in (9.1). The same arguments as in subsection 9.1 show that the intended extension is a consequence of the following extension of Proposition 9.1.

Proposition 10.5. *For every positive quantity R , there exists a generic subset $\mathcal{V}_{\text{full-}\hat{\eta}\text{-}\mathcal{F}\text{-min-rate-}R}$ of $\mathcal{V}_{\text{full}}$, included in $\mathcal{V}_{\text{Morse-ss-eig}}$, such that, for every potential V in this subset, every travelling front (c, u) in $\mathcal{F}_{V,R}$ is transverse, bistable, and approaches its limit at $+\infty$ ($-\infty$) tangentially to the eigenspace corresponding to the smallest eigenvalue of D^2V at this point.*

Proof. Proposition 9.1 already provides a generic subset $\mathcal{V}_{\text{full-}\hat{\eta}\text{-}\mathcal{F}\text{-}R}$ of $\mathcal{V}_{\text{full}}$ such that, for every potential V in this subset, every travelling front (c, u) in $\mathcal{F}_{V,R}$ is transverse, and therefore bistable (subsection 9.4). Therefore, only the conclusion relative to the asymptotic behaviour of the profiles remains to be proved.

The proof of this conclusion is a variation of the proof of Proposition 9.1 and follows the ideas exposed in subsection 10.3: for some potential V_0 in $\mathcal{V}_{\text{quad-}(R+1)}$ and for some non-degenerate minimum points $e_{-,0}$ and $e_{+,0}$ of V , and for every nonnegative integer N , two variants of the set \mathcal{M}_N defined in (9.5) (and of the open subset $\mathcal{O}_{V_0, e_{-,0}, e_{+,0}, c_0, N}$ defined in (9.6)) can be introduced: one where \mathcal{B}^u is replaced by \mathcal{B}^{su} , and one where \mathcal{B}^s is replaced by \mathcal{B}^{ss} . In each of these two cases, the condition “ $\Phi(\mathcal{M}_N, V)$ is transverse to \mathcal{W} in \mathcal{N} ” can be read as “the intersection between $\Phi(\mathcal{M}_N, V)$ and \mathcal{W} is empty”, due to the missing dimension induced by the change in each of these variants. Then, replacing the open subset $\mathcal{O}_{V_0, e_{-,0}, e_{+,0}, c_0, N}$ by the intersection of its two variants, the remaining arguments are exactly the same. This proves Proposition 10.5 (and therefore also completes the proof of conclusion 2 of Theorem 1.8 for bistable travelling fronts). \square

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