

# Gröbner-Shirshov bases for associative algebras, Lie algebras and metabelian Lie algebras

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# What is a Gröbner-Shirshov basis

Let  $A = \langle X | R \rangle$  (say, associative, Lie...) and " $<$ " a monomial ordering (linear ordering compatible with multiplications) on the set of monomials on  $X$  with DCC.

For  $\forall f \in \langle X \rangle$ , denote  $\bar{f}$  to be the leading monomial w.r.t. " $<$ ".  
In particular,  $\forall r \in R$ ,  $r = \bar{r} - \sum_{r_i < \bar{r}} \alpha_i r_i$  in  $\langle X \rangle$ , which means  $\bar{r} = \sum_{r_i < \bar{r}} \alpha_i r_i$  in  $A = \langle X | R \rangle$ .

For  $\forall f \in \langle X \rangle$ ,  $f \rightarrow^*$  a linear combination of so called **irreducible** words.

$Irr(R)$  = the set of all irreducible words w.r.t.  $R$ .

Then we have

$$A = \text{span } Irr(R).$$

# What is a Gröbner-Shirshov basis

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★ What is Gröbner-Shirshov basis?

Answer: Gröbner-Shirshov basis is NOT a basis but a GOOD set of defining relations, say  $R$ , such that

$Irr(R)$  is a linear basis of  $A$ ;

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Main Goal:

★ Criterion = a list of necessary and sufficient conditions for a set of defining relations to be a Gröbner-Shirshov basis = the Composition-Diamond Lemma.

★ Algorithm: Shirshov's, Buchberger's, rewriting system (Composition=critical monomials= S-polynomials).

# Affine Lie algebras over commutative algebras

Let  $\mathbf{k}$  be a field,  $K$  a commutative associative  $\mathbf{k}$ -algebra with 1,  $\mathcal{L}$  a Lie  $K$ -algebra, and  $Lie_K(X)$  the free Lie  $K$ -algebra generated by  $X$ . Then, of course,  $\mathcal{L}$  can be presented as  $K$ -algebra by generators  $X$  and some defining relations  $S$ ,

$$\mathcal{L} = Lie_K(X|S).$$

On the other hand,  $K$  has a presentation

$$K = \mathbf{k}[Y|R]$$

as a quotient algebra of a polynomial algebra  $\mathbf{k}[Y]$  over  $\mathbf{k}$ .

Then the Lie  $K$ -algebra  $\mathcal{L}$  as a  $\mathbf{k}[Y]$ -algebra has a presentation as follow

$$\mathcal{L} = Lie_{\mathbf{k}[Y]}(X|S, rx_i, r \in R, x_i \in X).$$



# Affine Lie algebras over commutative algebras

$$\mathcal{L} = Lie_K(X|S) = Lie_{\mathbf{k}[Y|R]}(X|S) = Lie_{\mathbf{k}[Y]}(X|S, rx_i, r \in R, x_i \in X)$$

A Gröbner-Shirshov basis  $T$  of the last presentation will be called a Gröbner-Shirshov basis of  $\mathcal{L} = Lie_K(X|S)$  relative to  $K = \mathbf{k}[Y|R]$ .

It means that to defined Gröbner-Shirshov bases of Lie algebras over commutative algebras, it is enough to define Gröbner-Shirshov bases (sets) in "double free" Lie algebras  $Lie_{\mathbf{k}[Y]}(X)$ , i.e., free Lie algebras over polynomial algebras.

A double free Lie algebra  $Lie_{\mathbf{k}[Y]}(X)$  is a  $\mathbf{k}$ -tensor product

$$\mathbf{k}[Y] \otimes Lie_{\mathbf{k}}(X).$$

# Affine Lie algebras over commutative algebras

Let  $X = \{x_i | i \in I\}$  be a linearly ordered set, consider  $Lie(X) \subset \mathbf{k}\langle X \rangle$  the free Lie algebra under the Lie bracket  $[xy] = xy - yx$ . Let

$Y = \{y_j | j \in J\}$  be a linearly ordered set. Then  $[Y]$ , the free commutative monoid generated by  $Y$ , is a linear basis of  $\mathbf{k}[Y]$ .

Regard  $Lie_{\mathbf{k}[Y]}(X) \cong \mathbf{k}[Y] \otimes Lie_{\mathbf{k}}(X)$  as the Lie subalgebra of

$\mathbf{k}[Y]\langle X \rangle \cong \mathbf{k}[Y] \otimes \mathbf{k}\langle X \rangle$  the free associative algebra over polynomial algebra  $\mathbf{k}[Y]$ , which is generated by  $X$  under the Lie bracket  $[u, v] = uv - vu$ . Then  $NLSW(X)$  forms a  $\mathbf{k}[Y]$ -basis of  $Lie_{\mathbf{k}[Y]}(X)$ .

For any  $f \in Lie_{\mathbf{k}[Y]}(X)$ ,

$$f = \sum \alpha_i \beta_i [u_i],$$

where  $\alpha_i \in \mathbf{k}$ ,  $\beta_i \in [Y]$  and  $[u_i] \in NLSW(X)$ .

# Affine Lie algebras over commutative algebras

Order  $[Y]$  ( $X^*$ ) by deg-lex ordering " $\succ_Y$ " (" $\succ_X$ ") respectively. Now define an order " $\succ$ " on  $[Y]X^*$ : For  $u, v \in [Y]X^*$ ,

$$u \succ v \text{ if } (u^X \succ_X v^X) \text{ or } (u^X = v^X \text{ and } u^Y \succ_Y v^Y).$$

Then consider  $f = \sum \alpha_i \beta_i [u_i]$  as a polynomial in  $\mathbf{k}$ -algebra  $\mathbf{k}[Y]\langle X \rangle$ ,

the leading word  $\bar{f}$  of  $f$  in  $\mathbf{k}[Y]\langle X \rangle$  is of the form  $\beta u$ , where  $\beta \in [Y]$ ,  $u \in \text{ALSW}(X)$ . Denote  $\bar{f}^Y = \beta$  and  $\bar{f}^X = u$ .

# Affine Lie algebras over commutative algebras

Note that for any ALSW  $w$ , there is a unique bracketing way such that  $[w]$  is a NLSW.

## Lemma

(Shirshov 1958) Suppose that  $w = aub$ ,  $w, u \in \text{ALSW}$ . Then

$$[w] = [a[uc]d],$$

where  $[uc] \in \text{NLSW}(X)$  and  $b = cd$ .

Represent  $c$  in the form  $c = c_1c_2 \dots c_k$ , where  $c_1, \dots, c_k \in \text{ALSW}(X)$  and  $c_1 \leq c_2 \leq \dots \leq c_k$ . Then

$$[w] = [a[u[c_1][c_2] \dots [c_k]]d].$$

Moreover, the leading term of  $[w]_u = [a[\dots [[u[c_1]][c_2]] \dots [c_k]]d]$  is exactly  $w$ , i.e.,

$$\overline{[w]}_u = w.$$

# Affine Lie algebras over commutative algebras

## Definition

Let  $S \subset \text{Lie}_{k[\gamma]}(X)$  be a monic subset. Define the **normal  $s$ -word**  $[u]_s$ , where  $u = asb$  inductively.

- (i)  $s$  is normal of  $s$ -length 1;
- (ii) If  $[u]_s$  is normal with  $s$ -length  $k$  and  $[v] \in \text{NLSW}(X)$  such that  $|v| = l$ , then  $[v][u]_s$  when  $v > \overline{[u]_s}^X$  and  $[u]_s[v]$  when  $v < \overline{[u]_s}^X$  are normal of  $s$ -length  $k + l$ .

## Remarks:

1.  $[u]_s$  is normal if and only if  $\overline{[u]_s}^X = a\bar{s}^X b \in \text{ALSW}(X)$  for some  $a, b \in X^*$ ;
2. If  $a\bar{s}^X b \in \text{ALSW}(X)$ , then by Shirshov's lemma, we have the special bracketing  $[a\bar{s}^X b]_{\bar{s}^X}$  of  $a\bar{s}^X b$  relative to  $\bar{s}^X$ . It follows that  $[a\bar{s}^X b]_{\bar{s}^X} |_{[\bar{s}^X] \rightarrow s}$  is a **special normal  $s$ -word**, which is denoted by  $[asb]_{\bar{s}}$ .

# Affine Lie algebras over commutative algebras

Let  $f, g$  be monic polynomials of  $\text{Lie}_{k[Y]}(X)$  and  $L = \text{lcm}(\bar{f}^Y, \bar{g}^Y)$ .

## Definition

If  $\bar{f}^X = a\bar{g}^X b$  for some  $a, b \in X^*$ , then

$$C_1\langle f, g \rangle_w = \frac{L}{\bar{f}^Y} f - \frac{L}{\bar{g}^Y} [agb]_{\bar{g}}$$

is called the **inclusion composition** of  $f$  and  $g$  with respect to  $w$ , where  $w = L\bar{f}^X = La\bar{g}^X b$ .

## Definition

If  $\bar{f}^X = a a_0$ ,  $\bar{g}^X = a_0 b$ ,  $a, b, a_0 \neq 1$ , then

$$C_2\langle f, g \rangle_w = \frac{L}{\bar{f}^Y} [fb]_{\bar{f}} - \frac{L}{\bar{g}^Y} [ag]_{\bar{g}}$$

is called the **intersection composition** of  $f$  and  $g$  with respect to  $w$ , where  $w = L\bar{f}^X b = La\bar{g}^X$ .

# Affine Lie algebras over commutative algebras

## Definition

If  $\gcd(\bar{f}^Y, \bar{g}^Y) \neq 1$ , then for any  $a, b, c \in X^*$  such that  $w = La\bar{f}^X b\bar{g}^X c \in T_A$ , the polynomial

$$C_3\langle f, g \rangle_w = \frac{L}{\bar{f}^Y} [afb\bar{g}^X c]_{\bar{f}} - \frac{L}{\bar{g}^Y} [a\bar{f}^X bgc]_{\bar{g}}$$

is called the **external composition** of  $f$  and  $g$  with respect to  $w$ .

## Definition

If  $\bar{f}^Y \neq 1$ , then for any normal  $f$ -word  $[afb]_{\bar{f}}$ ,  $a, b \in X^*$ , the polynomial

$$C_4\langle f \rangle_w = [a\bar{f}^X b][afb]_{\bar{f}}$$

is called the **multiplication composition** of  $f$  with respect to  $w$ , where  $w = a\bar{f}^X baf\bar{b}$ .

# Affine Lie algebras over commutative algebras

Immediately, we have that  $\overline{C_i(\cdot)_w} \prec w$ ,  $i \in \{1, 2, 3, 4\}$ .

## Definition

Given a monic subset  $S \subset \text{Lie}_{\mathbf{k}[Y]}(X)$  and  $w \in [Y]X^*$ , an element  $h \in \text{Lie}_{\mathbf{k}[Y]}(X)$  is called **trivial modulo**  $(S, w)$ , denoted by  $h \equiv 0 \text{ mod}(S, w)$ , if  $h$  can be presented as a  $\mathbf{k}[Y]$ -linear combination of normal  $S$ -words with leading words less than  $w$ , i.e.,

$h = \sum_i \alpha_i \beta_i [a_i s_i b_i]_{\bar{s}_i}$ , where  $\alpha_i \in \mathbf{k}$ ,  $\beta_i \in [Y]$ ,  $a_i, b_i \in X^*$ ,  $s_i \in S$ , and  $\beta_i a_i \bar{s}_i b_i \prec w$ .

In general, for  $p, q \in \text{Lie}_{\mathbf{k}[Y]}(X)$ , we write  $p \equiv q \text{ mod}(S, w)$  if  $p - q \equiv 0 \text{ mod}(S, w)$ .

$S$  is a **Gröbner-Shirshov basis** in  $\text{Lie}_{\mathbf{k}[Y]}(X)$  if all the possible compositions of elements in  $S$  are trivial modulo  $S$  and corresponding  $w$ .



# Affine Lie algebras over commutative algebras

## Lemma

*Let  $S$  be a monic subset of  $\text{Lie}_{\mathbf{k}[Y]}(X)$  in which each multiplication composition is trivial. Then for any normal  $s$ -word  $[u]_s = (asb)$  and  $w = a\bar{s}b = \overline{[u]_s}$ , where  $a, b \in X^*$ , we have*

$$[u]_s \equiv [asb]_{\bar{s}} \pmod{(S, w)}.$$

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From above lemma, we have for such  $S \subset \text{Lie}_{\mathbf{k}[Y]}(X)$ , the elements of the  $\mathbf{k}[Y]$ -ideal generated by  $S$  can be written as a  $\mathbf{k}[Y]$ -linear combination of special normal  $S$ -words.

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## Lemma

Let  $S$  be a Gröbner-Shirshov basis in  $\text{Lie}_{\mathbf{k}[Y]}(X)$ . For any  $s_1, s_2 \in S$ ,  $\beta_1, \beta_2 \in [Y]$ ,  $a_1, a_2, b_1, b_2 \in X^*$  such that  $w = \beta_1 a_1 \bar{s}_1 b_1 = \beta_2 a_2 \bar{s}_2 b_2 \in T_A$ , we have

$$\beta_1 [a_1 s_1 b_1]_{\bar{s}_1} \equiv \beta_2 [a_2 s_2 b_2]_{\bar{s}_2} \pmod{(S, w)}.$$

## Main Theorem (BCC 2011)

### Theorem

Let  $S \subset \text{Lie}_{\mathbf{k}[Y]}(X)$  be nonempty set of monic polynomials and  $\text{Id}(S)$  be the ideal of  $\text{Lie}_{\mathbf{k}[Y]}(X)$  generated by  $S$ . Then the following statements are equivalent.

- (i)  $S$  is a Gröbner-Shirshov basis in  $\text{Lie}_{\mathbf{k}[Y]}(X)$ .
- (ii)  $f \in \text{Id}(S) \Rightarrow \bar{f} = a\bar{s}b \in T_A$  for some  $s \in S$  and  $a, b \in [Y]X^*$ .
- (iii)  $\text{Irr}(S) = \{[u] \mid [u] \in T_N, u \neq a\bar{s}b, \text{ for any } s \in S, a, b \in [Y]X^*\}$  is a  $\mathbf{k}$ -basis for  $(\text{Lie}_{\mathbf{k}[Y]}(X))/\text{Id}(S)$ .

# Affine Lie algebras over commutative algebras

We give applications of Gröbner-Shirshov bases theory for Lie algebras over a commutative algebra  $K$  (over a field  $\mathbf{k}$ ) to the Poincaré-Birkhoff-Witt theorem.

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★ P.M. Cohn proved that any Lie algebra over  ${}_k K$ , where  $\text{char}(\mathbf{k}) = 0$ , is special. Also he gave an example of non-special Lie algebra over a truncated polynomial algebra over a field of characteristic  $p > 0$ .

# Affine Lie algebras over commutative algebras

Let  $K = \mathbf{k}[y_1, y_2, y_3 | y_i^p = 0, i = 1, 2, 3]$  be an algebra of truncated polynomials over a field  $k$  of characteristic  $p > 0$ . Let

$$\mathcal{L}_p = \text{Lie}_K(x_1, x_2, x_3 | y_3x_3 = y_2x_2 + y_1x_1).$$

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Note that in  $U(\mathcal{L}_p)$  we have

$$0 = (y_3x_3)^p = (y_2x_2)^p + \Lambda_p(y_2x_2, y_1x_1) + (y_1x_1)^p = \Lambda_p(y_2x_2, y_1x_1),$$

where  $\Lambda_p$  is a Jacobson-Zassenhaus Lie polynomial.

Cohn conjectured that  $\Lambda_p(y_2x_2, y_1x_1) \neq 0$  in  $\mathcal{L}_p$ .

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## Theorem

*(BCC 2011)  $\Lambda_p(y_2x_2, y_1x_1) \neq 0$  in  $\mathcal{L}_p$  and  $\mathcal{L}_p$  is non-special when  $p = 2, 3, 5$ . Moreover,  $\Lambda_p$  for  $\mathcal{L}_p$  can be computed by an algorithm for any  $p$ .*

# Affine Lie algebras over commutative algebras

Consider  $p = 3$ .

$$\begin{aligned} S_{X^3} &= \{s \in S^C \mid \deg(\bar{s}^X) \leq 3\} \\ &= \{y_3x_3 = y_2x_2 + y_1x_1, y_i^3x_j = 0, y_3^2y_2x_2 = y_3^2y_1x_1, y_3^2y_2^2y_1x_1 = 0, \\ & y_2[x_3x_2] = -y_1[x_3x_1], y_3^2y_1[x_2x_1] = 0, y_2^2y_1[x_3x_1] = 0, \\ & y_3y_2^2[x_2x_2x_1] = y_3y_2y_1[x_2x_1x_1], y_3y_2^2y_1[x_2x_1x_1] = 0, \\ & y_3y_2y_1[x_2x_2x_1] = y_3y_1^2[x_2x_1x_1].\} \end{aligned}$$

Thus,  $y_2^2y_1[x_2x_2x_1], y_2y_1^2[x_2x_1x_1] \in \text{Irr}(S^C)$ , which implies  $\Lambda_3 = y_2^2y_1[x_2x_2x_1] + y_2y_1^2[x_2x_1x_1] \neq 0$  in  $\mathcal{L}_3$ .

# Affine Lie algebras over commutative algebras

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It gives an extension of the list of known “special” Lie algebras (ones with valid PBW Theorems) (see P.-P. Grivel (2004)):

1.  $\mathcal{L}$  is a free  $K$ -module (G. Birkhoff (1937), E. Witt(1937)),
2.  $K$  is a principal ideal domain (M. Lazard (1952)),
3.  $K$  is a Dedekind domain (P. Cartier (1958)),
4.  $K$  is over a field  $\mathbf{k}$  of characteristic 0 (P.M. Cohn (1963)),
5.  $\mathcal{L}$  is  $K$ -module without torsion (P.M. Cohn (1963)),
6. 2 is invertible in  $K$  and for any  $x, y, z \in \mathcal{L}$ ,  $[x[yz]] = 0$  (Y. Nouaze, P. Revoy (1971)).



# Affine Lie algebras over commutative algebras

## Theorem

*For an arbitrary commutative  $\mathbf{k}$ -algebra  $K = \mathbf{k}[Y|R]$ , if  $S$  is a Gröbner-Shirshov basis in  $\text{Lie}_{\mathbf{k}[Y]}(X)$  such that for any  $s \in S$ ,  $s$  is  $\mathbf{k}[Y]$ -monic, then  $\mathcal{L} = \text{Lie}_K(X|S)$  is special.*

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## Corollary

*Any Lie  $K$ -algebra  $L_K = \text{Lie}_K(X|f)$  with one monic defining relation  $f = 0$  is special.*

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*If  $L_K$  is a free  $K$ -module, then  $L_K$  is special.*

# Non-commutative Gröbner-Shirshov bases for commutative algebras

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Question: What is the relation between these two Gröbner-Shirshov bases.

# Non-commutative Gröbner-Shirshov bases for commutative algebras

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## Theorem

*(Eisenbud, Peeva, Sturmfels, 1998) Let  $k$  be an infinite field and  $I \subset k[X]$  be an ideal. Then after a general linear change of variables, the ideal  $\gamma^{-1}(I)$  in  $k\langle X \rangle$  has a finite Gröbner-Shirshov basis.*



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**Idea of the proof:** Let  $R$  be a minimal GSB for  $I$  in  $k[X]$ . Then  $R$  together with all the commutators on  $X$  generates  $\gamma^{-1}(I)$  in  $k\langle X \rangle$ , but it is not a GSB in general.

Construct a set  $EPS(R)$  such that  $EPS(R)$  together with all the commutators is a GSB for  $\gamma^{-1}(I)$  in  $k\langle X \rangle$ . But the set is not finite in general.

**Lemma:** If  $k$  is infinite, then there exists a generic change of variables such that  $|EPS(R)| < \infty$ .

# Non-commutative Gröbner-Shirshov bases for commutative algebras

Let  $\gamma \otimes \mathbf{1} : k\langle X \rangle \otimes k\langle Y \rangle \rightarrow k[X] \otimes k\langle Y \rangle$ ,  $u^X u^Y \mapsto \gamma(u^X) u^Y$ .

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We construct a Gröbner-Shirshov basis for  $k\langle X \rangle \otimes k\langle Y \rangle$  by lifting a given Gröbner-Shirshov basis in  $k[X] \otimes k\langle Y \rangle$ .

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## Theorem

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# Gröbner-Shirshov bases for metabelian Lie algebras

From now on, all algebras will be considered over a field  $\mathbf{k}$  of arbitrary characteristic. Suppose that  $\mathcal{L}$  is a Lie algebra. Then  $\mathcal{L}$  is called a metabelian Lie algebra if  $\mathcal{L}^{(2)} = 0$ , where  $\mathcal{L}^{(0)} = \mathcal{L}$ ,  $\mathcal{L}^{(n+1)} = [\mathcal{L}^{(n)}, \mathcal{L}^{(n)}]$ . More precisely, the variety of metabelian Lie algebras is given by the identity

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We begin with the construction of a free metabelian Lie algebra. Let  $Lie(X)$  the free Lie algebra generated by  $X$ . Then  $\mathcal{L}_{(2)}(X) = Lie(X)/Lie(X)^{(2)}$  is the free metabelian Lie algebra generated by  $X$ . Then any metabelian Lie algebra  $\mathcal{ML}$  can be presented by

$$\mathcal{ML} = \mathcal{L}_{(2)}(X \mid S).$$

# Gröbner-Shirshov bases for metabelian Lie algebras

★ **Linear basis of free metabelian Lie algebras:**

# Gröbner-Shirshov bases for metabelian Lie algebras

## ★ Linear basis of free metabelian Lie algebras:

A non-associative monomial on  $X$  is *left-normed* if it is of the form  $(\cdots ((ab)c) \cdots )d$ .

Let  $X$  be well-ordered. For an arbitrary set of indices  $j_1, j_2, \dots, j_m$ , define an associative word  $\langle a_{j_1} \cdots a_{j_m} \rangle = a_{i_1} \cdots a_{i_m}$ , where  $a_{i_1} \leq \cdots \leq a_{i_m}$  and  $i_1, i_2, \dots, i_m$  is a permutation of the indices  $j_1, j_2, \dots, j_m$ .

Let

$$R = \{u = a_0 a_1 a_2 \cdots a_n \mid u \text{ is left-normed, } a_i \in X, a_0 > a_1 \leq \cdots \leq a_n, n \geq 1\}$$

and  $N = X \cup R$ . Then  $N$  forms a linear basis of the free metabelian Lie algebra  $\mathcal{L}_{(2)}(X)$  (Bokut, 1963).



# Gröbner-Shirshov bases for metabelian Lie algebras

For any  $f \in \mathcal{L}_{(2)}(X)$ ,  $f$  has a unique presentation  $f = f^{(1)} + f^{(0)}$ , where  $f^{(1)} \in \mathbf{k}R$  and  $f^{(0)} \in \mathbf{k}X$ .

Moreover, the multiplication table of  $N$  is the following,  $u \cdot v = 0$  if both  $u, v \in R$ , and

$$a_0 a_1 a_2 \cdots a_n \cdot b = \begin{cases} a_0 \langle a_1 a_2 \cdots a_n b \rangle & \text{if } a_1 \leq b, \\ a_0 b a_1 a_2 \cdots a_n - a_1 b \langle a_0 a_2 \cdots a_n \rangle & \text{if } a_1 > b. \end{cases}$$

Now we order the set  $N$  degree-lexicographically.

# Gröbner-Shirshov bases for metabelian Lie algebras

★ Elements of an ideal:

# Gröbner-Shirshov bases for metabelian Lie algebras

## ★ Elements of an ideal:

Let  $S \subset \mathcal{L}_{(2)}(X)$ . Then the following two kinds of polynomials are called **normal  $S$ -words**:

- (i)  $sa_1a_2 \cdots a_n$ , where  $a_i \in X$  ( $1 \leq i \leq n$ ),  $a_1 \leq a_2 \leq \cdots \leq a_n$ ,  $s \in S$ ,  $\bar{s} \neq a_1$  and  $n \geq 0$ ;
- (ii)  $us$ , where  $u \in R$ ,  $s \in S$  and  $\bar{s} \neq u$ .

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Simple observation:

$$\overline{sa_1a_2 \cdots a_n} = \begin{cases} c_0 \langle c_1 \cdots c_k a_1 a_2 \cdots a_n \rangle & \text{if } \bar{s} = c_0 c_1 \cdots c_k, \\ c_0 a_1 a_2 \cdots a_n & \text{if } \bar{s} = c_0 > a_1, \\ a_1 c_0 a_2 \cdots a_n & \text{if } \bar{s} = c_0 < a_1, \end{cases}$$

and  $\overline{us} = a_0 \langle a_1 \cdots a_k \overline{s^{(0)}} \rangle$ , where  $u = a_0 \langle a_1 \cdots a_k \rangle$ .

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and  $\overline{us} = a_0 \langle a_1 \cdots a_k \overline{s^{(0)}} \rangle$ , where  $u = a_0 \langle a_1 \cdots a_k \rangle$ .

That is to say, if  $u_s$  is normal, then  $\overline{u_s}$  is a  **$S$ -irreducible** (which contains neither  $\bar{s}$  as a subword nor  $\overline{s^{(0)}}$  as a strict subword).

# Gröbner-Shirshov bases for metabelian Lie algebras

★ **Compositions:**

# Gröbner-Shirshov bases for metabelian Lie algebras

## ★ Compositions:

Let  $f$  and  $g$  be momic polynomials of  $\mathcal{L}_{(2)}(X)$  and  $\alpha$  and  $\beta$  are the coefficients of  $\overline{f^{(0)}}$  and  $\overline{g^{(0)}}$  respectively. We define seven different types of compositions as follow:

1. If  $\bar{f} = a_0 a_1 \cdots a_n$ ,  $\bar{g} = a_0 b_1 \cdots b_m$ , ( $n, m \geq 0$ ) and  $lcm(AB) \neq \langle a_1 \cdots a_n b_1 \cdots b_m \rangle$ , where  $lcm(AB)$  denotes the least common multiple in  $[X]$  of associative words  $a_1 \cdots a_n$  and  $b_1 \cdots b_m$ , then let  $w = a_0 \langle lcm(AB) \rangle$ . The composition of type I of  $f$  and  $g$  relative to  $w$  is defined by

$$C_I(f, g)_w = f \left\langle \frac{lcm(AB)}{a_1 \cdots a_n} \right\rangle - g \left\langle \frac{lcm(AB)}{b_1 \cdots b_m} \right\rangle.$$

2. If  $\bar{f} = \overline{f^{(1)}} = a_0 a_1 \cdots a_n$ ,  $\overline{g^{(0)}} = a_i$  for some  $i \geq 2$  or  $\overline{g^{(0)}} = a_1$  and  $a_0 > a_2$ , then let  $w = \bar{f}$  and the composition of type II of  $f$  and  $g$  relative to  $w$  is defined by



# Gröbner-Shirshov bases for metabelian Lie algebras

3. If  $\bar{f} = \overline{f^{(1)}} = a_0 a_1 \cdots a_n$ ,  $\bar{g} = \overline{g^{(0)}} = a_1$  and  $a_0 \leq a_2$  or  $n = 1$ , then let  $w = \bar{f}$  and the composition of type III of  $f$  and  $g$  relative to  $w$  is defined by

$$C_{III}(f, g)_{\bar{f}} = f + g a_0 a_2 \cdots a_n.$$

4. If  $\bar{f} = \overline{f^{(1)}} = a_0 a_1 \cdots a_n$ ,  $g^{(1)} \neq 0$ ,  $\overline{g^{(0)}} = a_1$  and  $a_0 \leq a_2$  or  $n = 1$ , then for any  $a < a_0$  and  $w = a_0 \langle a_1 \cdots a_n a \rangle$ , the composition of type IV of  $f$  and  $g$  relative to  $w$  is defined by

$$C_{IV}(f, g)_w = f a - \beta^{-1} a_0 a a_2 \cdots a_n \cdot g.$$

5. If  $\bar{f} = \overline{f^{(1)}} = a_0 a_1 \cdots a_n$ ,  $f^{(0)} \neq 0$ ,  $g^{(1)} \neq 0$  and  $\overline{g^{(0)}} = b \notin \{a_i\}_{i=1}^n$ , then let  $w = a_0 \langle a_1 \cdots a_n b \rangle$  and the composition of type V of  $f$  and  $g$  relative to  $w$  is defined by

$$C_V(f, g)_w = f b - \beta^{-1} a_0 a_1 \cdots a_n \cdot g.$$

# Gröbner-Shirshov bases for metabelian Lie algebras

6. If  $\overline{f^{(0)}} = \overline{g^{(0)}} = a$  and  $f^{(1)} \neq 0$ , then for any  $a_0 a_1 \in R$  and  $w = a_0 \langle a_1 a \rangle$ , the composition of type VI of  $f$  and  $g$  relative to  $w$  is defined by

$$C_{VI}(f, g)_w = (a_0 a_1)(\alpha^{-1} f - \beta^{-1} g).$$

7. If  $f^{(1)} \neq 0$ ,  $g^{(1)} \neq 0$  and  $\overline{f^{(0)}} = a > \overline{g^{(0)}} = b$ , then for any  $a_0 > a$  and  $w = a_0 b a$ , the composition of type VII of  $f$  and  $g$  relative to  $w$  is defined by

$$C_{VII}(f, g)_w = \alpha^{-1}(a_0 b) f - \beta^{-1}(a_0 a) g.$$

## Theorem

**(Composition-Diamond lemma for metabelian Lie algebras)** *Let  $S \subset \mathcal{L}_{(2)}(X)$  be a nonempty set of monic polynomials and  $Id(S)$  be the ideal of  $\mathcal{L}_{(2)}(X)$  generated by  $S$ . Then the following statements are equivalent.*

- (i)  *$S$  is a Gröbner-Shirshov basis.*
- (ii)  *$f \in Id(S) \Rightarrow \bar{f} = \bar{u}_S$  for some normal  $S$ -word  $u_S$ .*
- (iii)  *$Irr(S) = \{u \mid u \in N, u \neq \bar{v}_S \text{ for any normal } S\text{-word } v_S\}$  is a  $\mathbf{k}$ -basis for  $\mathcal{L}_{(2)}(X|S) = \mathcal{L}_{(2)}(X)/Id(S)$ .*

# Gröbner-Shirshov bases for metabelian Lie algebras

Let  $\mathcal{A}$  be a metabelian Lie algebra with  $Y = \{a_i, i \in I\} \cup \{b_j, j \in J\}$  as a  $\mathbf{k}$ -basis, where  $\{a_i\}$  is a basis of  $\mathcal{A}^{(1)}$  and  $b_j$ 's are linear independent modulo  $\mathcal{A}^{(1)}$ . And

$$m_{1ij} : a_i b_j - \sum \gamma_{ij}^k a_k,$$

$$m_{2ij} : b_i b_j - \sum \delta_{ij}^k a_k, \quad (i > j),$$

$$m_{3ij} : a_i a_j, \quad (i > j).$$

Let  $\mathcal{S} = \mathcal{A} * \mathcal{L}_{(2)}(X) = \mathcal{L}_{(2)}(X \cup Y | M)$ .

## Theorem

*(CC 2011) Let the notion be as above. Then with respect to  $x_h > a_i > b_j$ , a Gröbner-Shirshov complement  $M^C$  of  $M$  in  $\mathcal{L}_{(2)}(X \cup Y)$  consists of  $M$  and some  $X$ -homogenous polynomials without  $(0)$ -part, whose leading words are of the form  $xy \cdots$  with an  $a_i$  as a strict subword,  $x \in X$ ,  $y \in Y$ . We say such polynomials satisfy property  $P_X$ .*

# Gröbner-Shirshov bases for metabelian Lie algebras

A metabelian Lie algebra is **partial commutative** related to a graph  $\Gamma = (V, E)$ , if  $\mathcal{ML}_\Gamma = \mathcal{L}_{(2)}(V \mid [o(e), t(e)] = 0, e \in E)$ .

The following algorithm gives a Gröbner-Shirshov basis for partial commutative metabelian Lie algebras with a finite relation set.

## Algorithm

*Input:* relations  $f_1, \dots, f_s$  of  $\mathcal{L}_{(2)}(X)$ ,  $f_i = xx'$ ,  $F = \{f_1, \dots, f_s\}$ .

*Output:* a Gröbner-Shirshov basis  $H = \{h_1, \dots, h_t\}$  for  $\mathcal{L}_{(2)}(X|F)$ .

*Initialization:*  $H := F$

*While:*  $f_i = x_{i_0}x_{i_1} \cdots x_{i_n}$ ,  $f_j = x_{j_0}x_{j_1} \cdots x_{j_m}$ , and  $x_{i_0} = x_{j_0}$ ,  $x_{i_1} \neq x_{j_1}$

*Then Do:*  $h := \max\{x_{i_1}, x_{j_1}\} \min\{x_{i_1}, x_{j_1}\} \langle x_{t_1}x_{t_2} \cdots x_{t_l} \rangle$

where  $\{x_{t_1}, x_{t_2}, \dots, x_{t_l}\} = \{x_{i_0}, x_{i_2}, \dots, x_{i_n}\} \cup \{x_{j_2}, \dots, x_{j_m}\}$

*If:* there is no  $f_j \in H$  such that  $f_j$  is a subword of  $h$

*Do:*  $H := H \cup \{h\}$

*End*

# Gröbner-Shirshov bases for metabelian Lie algebras

By using the above algorithm, we find Gröbner-Shirshov bases for partial commutative metabelian Lie algebras related to any circuits, trees and cubes. For example, we have a Gröbner-Shirshov basis  $S$  for the partial commutative metabelian Lie algebra related to 3-cube

$$\mathcal{ML}_{Cu_3} = \mathcal{L}_{(2)}(V_3 | \varepsilon\delta, d(\varepsilon, \delta) = 1, \varepsilon > \delta)$$

is the union of the following:

$$R_2 = \{[\varepsilon\delta] \mid d(\varepsilon, \delta) = 1\},$$

$$R_3 = \{[\varepsilon\delta]\mu \mid d(\varepsilon, \delta) = 2, \mu\varepsilon, \mu\delta \in R_1\},$$

$$R_4 = \{[\varepsilon\delta]\mu\gamma \mid d(\varepsilon, \delta) = 3, \mu\varepsilon \in R_2, \mu\delta\gamma \in R_3\},$$

$$R_5 = \{[\delta_1\delta_2]\gamma\langle\mu_1\mu_2\rangle \mid d(\delta_1, \delta_2) = 2, \gamma\delta_i\mu_i \in R_3, i = 1, 2\},$$

$$R'_5 = \{[\delta_1\delta_2]\gamma\mu\mu' \mid d(\delta_1, \delta_2) = 2, \gamma\delta_1 \in R_2, \gamma_2\mu\mu' \in R_4, d(\mu, \delta_1) \neq 1\},$$

where  $[\varepsilon\delta] = \max\{\varepsilon, \delta\} \min\{\varepsilon, \delta\}$ .

Also, we have that a reduced Gröbner-Shirshov basis (it means there is no composition of type I, II, III) for the partial commutative metabelian Lie algebra related to 4-cube consists of 268 relations.

Thank You!