Diophantine properties for $q$-analogues of Dirichlet’s beta function at positive integers

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Abstract. In this paper, we define $q$-analogues of Dirichlet’s beta function at positive integers, which can be written as $\beta_q(s) = \sum_{k \geq 1} \sum_{d | k} \chi(k/d)d^{s-1}q^k$ for $s \in \mathbb{N}^*$, where $q$ is a complex number such that $|q| < 1$ and $\chi$ is the non-trivial Dirichlet character modulo 4. For odd $s$, these expressions are connected with the automorphic world, in particular with Eisenstein series of level 4. From this, we derive through Nesterenko’s work the transcendance of the numbers $\beta_q(2s + 1)$ for $q$ algebraic such that $0 < |q| < 1$. Our main result concerns the nature of the numbers $\beta_q(2s)$: we give a lower bound for the dimension of the vector space over $\mathbb{Q}$ spanned by $1, \beta_q(2), \beta_q(4), \ldots, \beta_q(A)$, where $1/q \in \mathbb{Z} \setminus \{-1; 1\}$ and $A$ is an even integer. As consequences, for $1/q \in \mathbb{Z} \setminus \{-1; 1\}$, on the one hand there is an infinity of irrational numbers among $\beta_q(2), \beta_q(4), \ldots$, and on the other hand at least one of the numbers $\beta_q(2), \beta_q(4), \ldots, \beta_q(20)$ is irrational.

1 Introduction

For any complex number $s$ with $Re(s) \geq 1$, Dirichlet’s beta function at $s$ is defined by:

$$\beta(s) = \sum_{k=0}^{\infty} \frac{(-1)^k}{(2k + 1)^s}. \quad \text{Recall Euler’s identity:}$$

$$\beta(2m + 1) = \frac{(-1)^m E_{2m}}{2^{2m+2}(2m)!} n^{2m+1},$$

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where \( m \in \mathbb{N} \) and the rational numbers \( E_{2m} \) are Euler numbers defined by 

\[
\frac{1}{\cosh(z)} = \sum_{k \geq 0} E_k z^k/k!.
\]

Thus, as for the values of Riemann’s zeta function at odd positive integers, Lindemann’s theorem yields that for \( m \in \mathbb{N} \), \( \beta(2m + 1) \) is a transcendental number. However, nothing similar can be said concerning the values at even positive integers; the best known result in that direction is due to Rivoal and Zudilin [13]: at least one of the numbers \( \beta(2), \beta(4), \ldots, \beta(12) \) is irrational.

In this article, we define \( q \)-analogues of the values of \( \beta \) at positive integers. They can be written for \( s \in \mathbb{N}^* \) and for any complex number \( q \) with \( |q| < 1 \):

\[
\beta_q(s) := \sum_{k \geq 1} k^{s-1} \frac{q^k}{1 + q^{2k}} = \sum_{k \geq 1} \sum_{d \mid k} \chi(k/d) d^{s-1} q^k,
\]

where \( \chi \) is the non trivial Dirichlet character modulo 4, defined by \( \chi(2m+1) = (-1)^m \) and \( \chi(2m) = 0 \). One can justify the term of \( q \)-analogue by the following relation, valid for \( s \in \mathbb{N}^* \) (see the last section of this paper for a proof):

\[
\lim_{q \to 1} (1 - q)^s \beta_q(s) = (s-1)! \beta(s).
\]

Similarly to the \( q \)-analogues of Riemann’s zeta function at even positive integers considered in [6, 9], our definition (1.1) is related to modular forms when \( s \) is an odd positive integer. Indeed, consider the case \( s = 1 \), for which the first expression of (1.1) can be written:

\[
\beta_q(1) = \sum_{k \geq 1} \sum_{m \geq 0} (-1)^m q^{(2m+1)k} = \sum_{m \geq 0} (-1)^m \frac{q^{2m+1}}{1 - q^{2m+1}}.
\]

This yields immediately \( \beta_q(1) = (\pi_q - 1)/4 \), where \( \pi_q \) is a \( q \)-analogue of \( \pi \). The series \( \pi_q \) is considered in [3, 4], where an upper bound for its irrationality exponent is given. We also have (see [3]):

\[
\beta_q(1) = \sum_{k \geq 1} q^k \sum_{d \mid k} \chi(d) = \frac{\theta^2(q) - 1}{4},
\]

where \( \theta(q) := \sum_{n \in \mathbb{Z}} q^{n^2} \) is the classical theta function. This shows that if we set \( q = e^{2i\pi z} \), \( \beta_q(1) \) is, up to a rational constant, the Fourier expansion of a weight 1 modular form on \( \Gamma_1(4) \) [7, p. 138, Proposition 30]. Moreover, as remarked in [3], Nesterenko’s algebraic independence theorem from [11] shows that \( \theta(q) \), and therefore \( \beta_q(1) \), is a transcendental number when \( q \) is algebraic such that \( 0 < |q| < 1 \).

Concerning the other values at odd positive integers, one sees that for \( s \geq 1 \) and \( q = e^{2i\pi z} \), \( \beta_q(2s + 1) \) is also the Fourier expansion, with algebraic
coefficients, of a weight 2s+1 modular form on \( \Gamma_1(4) \). More precisely, consider the level 4 Eisenstein series [7, p. 131]:

\[
G^{(1,0)}_{2s+1}(z) := \sum_{(m_1, m_2) \in \mathbb{Z}^2} \frac{1}{(m_1 z + m_2)^{2s+1}}.
\]

Then we have the following Fourier expansion [7, proposition 22]:

\[
G^{(1,0)}_{2s+1}(z) = \frac{i}{(2s)!} \left( \frac{\pi}{2} \right)^{2s+1} \sum_{k \geq 1} \sum_{d | k} \chi(k/d) q^{2s+1} k^4/4.
\]

Hence

\[
\beta_q(2s+1) = \frac{i(-1)^s+1}{2\beta(2s+1)} E_{2s} G^{(1,0)}_{2s+1}(4z),
\]

and it is not difficult to see that \( z \mapsto G^{(1,0)}_{2s+1}(4z) \) is a weight 2s+1 modular form on \( \Gamma_1(4) \). Set \( \phi_s(q) := \beta_q(2s+1)/\theta^{4s+2}(q) \), which is a modular function (with weight 0) on \( \Gamma_1(4) \), having a Fourier expansion with algebraic coefficients. Thus \( \phi_s \) is algebraic on \( \mathbb{Q}(J) \) (see [7, p. 144, Problem 7]), where \( J \) is the modular invariant. Assume first that for \( s \geq 1 \), \( \phi_s \) is not a constant. We can deduce that \( J(q) \) and \( \phi_s(q) \) are algebraically dependent. Assume from now on that \( q \) is algebraic such that \( 0 < |q| < 1 \). Nesterenko’s algebraic independence theorem from [11] shows that \( J(q) \) and \( \theta(q) \) are algebraically independent. Therefore \( \beta_q(2s+1) \) and \( \theta(q) \) are necessarily also algebraically independent, and in particular \( \beta_q(2s+1) \) is a transcendental number. If now \( \phi_s \) is a constant (necessarily algebraic), then \( \theta^{4s+2}(q) \) is a transcendental number by [11], and so \( \beta_q(2s+1) \) is again a transcendental number. To summarize, we have the following result, which can be compared to the transcendence of the values of Dirichlet’s beta function at odd positive integers, as well as the transcendence of the values of \( \zeta_q \) at even positive integers in [9]: for \( s \in \mathbb{N} \) and \( q \) algebraic such that \( 0 < |q| < 1 \), \( \beta_q(2s+1) \) is a transcendental number.

Consider now the values at even positive integers \( \beta_q(2s) \), which do not seem to be directly related to Eisenstein series. We will prove the following theorem, which is the main result of the present paper:

**Theorem 1.1.** For \( 1/q \in \mathbb{Z} \ \setminus \ { -1; 1 } \) and any odd integer \( A \geq 3 \), we have the following lower bound:

\[
\dim_{\mathbb{Q}} \left( \mathbb{Q} + \mathbb{Q} \beta_q(2) + \cdots + \mathbb{Q} \beta_q(A-1) \right) \geq f(A), \tag{1.3}
\]

where

\[
f(A) = \max_{1 \leq r < A/2} f(r; A) \quad \text{and} \quad f(r; A) := \frac{4rA + A - 4r^2}{\left( \frac{48}{r^2} + 2 \right) A + 8r^2 - \frac{16}{r^2} + \frac{16r}{3}}.
\]
Moreover, $f(A)$ satisfies
\[ f(A) \sim \frac{\pi}{2\sqrt{\pi^2 + 24}} \sqrt{A} \text{ when } A \to +\infty. \]

The previous asymptotic estimate for $f(A)$ gives immediately the following:

**Corollary 1.2.** For $1/q \in \mathbb{Z} \setminus \{-1; 1\}$, there are infinitely many irrational numbers among $\beta_q(2), \beta_q(4), \beta_q(6), \ldots$.

On the other hand, the estimate $f(3; 21) \geq 1.02\ldots$ gives the following quantitative version:

**Corollary 1.3.** For $1/q \in \mathbb{Z} \setminus \{-1; 1\}$, at least one of the numbers $\beta_q(2), \beta_q(4), \beta_q(6), \ldots, \beta_q(20)$ is irrational.

Now we aim to sketch the proof of Theorem 1.1, which will be more detailed later on. We need the following proposition, which is a special case of Nesterenko’s linear independence criterion from [10]:

**Proposition 1.4.** Let $N \geq 2$ be an integer, and $v_1, \ldots, v_N$ be real numbers. Assume that there exist $N$ integer sequences $(p_j,n)_{n \geq 0}$ and two real numbers $\alpha_1$ et $\alpha_2$ with $\alpha_2 > 0$ such that:

i) $\lim_{n \to +\infty} \frac{1}{n^2} \log |p_1,n v_1 + \cdots + p_N,n v_N| = -\alpha_1,$

ii) for all $j \in \{1, \ldots, N\}$, we have $\limsup_{n \to +\infty} \frac{1}{n^2} \log |p_j,n| \leq \alpha_2$.

Then the dimension of the $\mathbb{Q}$-vector space spanned by $v_1, \ldots, v_N$ satisfies:
\[ \dim \mathbb{Q}(\mathbb{Q}v_1 + \cdots + \mathbb{Q}v_N) \geq 1 + \frac{\alpha_1}{\alpha_2}. \]

In order to use Nesterenko’s criterion in our context, we shall study the following hypergeometric series (see Section 2 for the notations):
\[
S_n(q) := (q)_n^{A-2r} \sum_{k \geq 1} (-1)^{k+1} q^{(k-1/2)((A-2r)n/2+A/2-1)} \times (1 - q^{2k+n-1}) \frac{(q^{k-n}, q^{k+n})_r}{(q^{k-1/2})^{A+1}_n},
\]

where $A$ is an integer, $r \in \mathbb{N}^*$ and $A - 2r > 0$. In a first step, we rewrite $S_n(q^2)$ as a linear combination of some $\beta_q(2m), m \in \mathbb{N}^*$:
\[
S_n(q^2) = \hat{P}_0,n(q^2) + \sum_{j=2}^{A-1} \hat{P}_{j,n}(q^2)\beta_q(j),
\]
where $|q| < 1$, $A$ and $n$ are odd positive integers and $\hat{P}_{j,n}(q^2)$ are a priori in $\mathbb{Q}(q)$, i.e. rational fractions in the variable $q$ (thus in the variable $1/q$) with coefficients in $\mathbb{Q}$. In a second step, we look for a common denominator $D_n(q)$ to these rational fractions in the variable $1/q$, satisfying:

$$D_n(q)\hat{P}_{j,n}(q^2) \in \mathbb{Z}\left[\frac{1}{q}\right] \text{ for all } j \in \{0, 2, 4, \ldots, A-1\}.$$ 

Next, we shall prove the following asymptotic estimates which are true for all $0 < |q| < 1$:

$$\lim_{n \to +\infty} \frac{1}{n^2} \log |S_n(q)| = -\frac{1}{2} r(A - 2r) \log |1/q|,$$

$$\limsup_{n \to +\infty} \frac{1}{n^2} \log |\hat{P}_{j,n}(q)| \leq \frac{1}{8} (A + 4r^2) \log |1/q|,$$

$$\lim_{n \to +\infty} \frac{1}{n^2} \log |D_n(q)| = \left(\frac{A}{4} + r^2 + \frac{12}{\pi^2}(A - 1) + \frac{4r}{3} + \frac{8}{\pi^2}\right) \log |1/q|.$$ 

Assume $1/q \in \mathbb{Z}\setminus\{-1; 1\}$. Then we apply Proposition 1.4 to the $N = (A+1)/2$ integer sequences $(D_n(q) \times \hat{P}_{j,n}(q^2))_{n \text{ odd}}$, which yields (1.3).

The estimate $f(A) \sim \pi \sqrt{A}/2\sqrt{\pi^2 + 24}$ for $A \to +\infty$, is obtained by choosing $r = u\sqrt{A}$ and finding the maximal value of $f(u\sqrt{A}; A)/\sqrt{A}$ in the variable $u$.

**Remark 1.5.** Corollary 1.3 can be proved directly without Nesterenko’s criterion. Indeed, for $1/q \in \mathbb{Z}\setminus\{-1; 1\}$, it is enough to obtain an asymptotic estimate of the linear combination $D_n(q) \times S_n(q^2)$ in $\beta_q(2), \beta_q(4), \ldots, \beta_q(20)$ with integer coefficients (by choosing $A = 21$ and $r = 3$). Therefore it is not necessary to find an upper bound for the height of the coefficients of the linear form, this is only useful for linear independence.

This article is organized as follows. In Section 2 we recall a few notations on $q$-series, which will be useful later. The third section is concerned with the study of the series $S_n(q)$ mentioned before. In particular, the structure of this series (it is a very-well-poised basic hypergeometric series) yields interesting properties. In particular, when $n$ is an odd integer, an appropriate expansion of $S_n(q^2)$ will give explicitely the already mentioned linear combination and its coefficients $\hat{P}_{j,n}(q^2)$. In the fourth section we find a common denominator $D_n(q)$ to the coefficients $\hat{P}_{j,n}(q^2)$, by using arithmetical techniques and cyclotomic polynomials. In Section 5, we study the asymptotics of $S_n(q)$, $\hat{P}_{j,n}(q)$ through Cauchy’s formula, and $D_n(q)$ by using the Möbius inversion. Finally, in the sixth and last section, we establish some links between $\beta_q$ and $\beta$, and we end by stating a $q$-denominators conjecture.
2 Notations

We recall some standard definitions and notations for \(q\)-series, which can be found in [5].

Let \(q\) be a fixed complex parameter (the “base”) with \(|q| \neq 1\). We define for any real number \(a\) and any \(k \in \mathbb{N}\) the \(q\)-shifted factorial by:

\[
(a)_k \equiv (a; q)_k := \begin{cases} 
1 & \text{if } k = 0 \\
(1 - a) \cdots (1 - aq^{k-1}) & \text{if } k > 0.
\end{cases}
\]

The base \(q\) can be omitted when there is no confusion (writing \((a)_k\) for \((a; q)_k\), etc). For the sake of simplicity, write for \(k \in \mathbb{N}\):

\[
(a_1, \ldots, a_m)_k := (a_1)_k \times \cdots \times (a_m)_k.
\]

Recall the definition of the \(q\)-binomial coefficient:

\[
\left[ \begin{array}{c} n \\ k \end{array} \right]_q := \frac{(q)_n}{(q)_k(q)_{n-k}},
\]

which is a polynomial in the variable \(q\), with integer coefficients (see for example [14]).

Further, recall the definition of the basic hypergeometric series \(s+1\phi_s\):

\[
s+1\phi_s \left[ \begin{array}{c} a_0, a_1, \ldots, a_s \\ b_1, \ldots, b_s \end{array} ; q, z \right] := \sum_{k=0}^{\infty} \frac{(a_0, a_1, \ldots, a_s)_k}{(q, b_1, \ldots, b_s)_k} z^k,
\]

with \(a_j \in \mathbb{C}\) for \(0 \leq j \leq s\), and \(b_j q^k \neq 1\) for all \(k \in \mathbb{N}\) and \(1 \leq j \leq s\). The series converges for \(|q| < 1\) and \(|z| < 1\), or \(|q| > 1\) and \(|z| < |b_1 \cdots b_s/a_1 \cdots a_{s+1}|\), and we say that \(s+1\phi_s\) is:

- well-poised if \(qa_0 = a_1 b_1 = \cdots = a_s b_s\)
- very-well-poised if it is well-poised and \(a_1 = q\sqrt{a_0} = -a_2\).

3 A very-well-poised series

We consider the following basic hypergeometric series:

\[
S_n(q) := (q)_n^{A-2r} \sum_{k \geq 1} (-1)^{k+1} q^{(k-1)/2} ((A-2r)n/2 + A/2 - 1) \\
\times (1 - q^{2k+n-1}) \frac{(q^{k-rn}, q^{k+n})_{rn}}{(q^{k-1/2})_{n+1}}.
\]

(3.1)
for any odd integer $A$, $r \in \mathbb{N}^*$ and $A - 2r > 0$. Note that this series converges for all $|q| \neq 1$. The series $S_n(q)$ satisfies:

$$S_n(1/q) = q^{n(r-1)} S_n(q),$$

which comes from the choice of the power of $q$ inside the sum of (3.1). Thanks to (3.2), it will be possible to expand $S_n(q^2)$ as a linear combination over $\mathbb{Q}(q)$ of the values of $\beta_q$ at even positive integers only, although one would expect values at odd positive integers as well. Besides, notice that:

$$S_n(q) = (-1)^n q^{(rn+1/2)\left(A-2r\right)n/2+A/2-1}$$

$$\times (1 - q^{(2r+1)n+1})(q)_n^{A-2r} \cdot q^{(r+1)n+1} q^{(r+1)n+1/2} \cdots q^{(r+1)n+3/2} q. $$

with $a = q^{(2r+1)n+1}$ and $z = -q^{(A-2r)n/2+A/2-1}$. This shows that $S_n(q)$ is a very-well-poised basic hypergeometric series.

3.1 Some auxiliary functions

For all $|q| \neq 1$ and $s \in \mathbb{N}^*$, we consider the functions:

$$Y_s(q) := \sum_{k \geq 0} (-1)^k \frac{q^{2k+1}}{(1 - q^{2k+1})^s}.$$  

(3.3)

We will need the signless Stirling numbers of the first kind (see [14]), which are integers denoted by $c(s, j)$ (where $s$ and $j$ are two integers such that $1 \leq j \leq s$) and that are defined by:

$$(x)_s := x(x + 1) \cdots (x + s - 1) = \sum_{j=1}^s c(s, j)x^j.$$  

The following result gives for $s \geq 2$ the expansion of the functions $Y_s$ in terms of values of $\beta_q$ at positive integers:

**Lemma 3.1.** For all $|q| < 1$ and any integer $s \geq 2$:

$$Y_s(q) = \frac{1}{(s-1)!} \sum_{j=2}^s c(s, j-1) \beta_q(j).$$  

(3.4)
Proof. The definition (3.3) can be expanded as follows, for \(|q| < 1\):

\[
Y_s(q) = \frac{1}{(s-1)!} \sum_{k \geq 0} \sum_{\ell \geq 1} (-1)^k \ell^{-1} q^{(2k+1)\ell} (s-1)! \sum_{k \geq 0} \sum_{\ell \geq 1} (-1)^k \ell^{-1} q^{(2k+1)\ell}
\]

and we conclude by using the definition (1.1).

The following lemma gives for \(s \geq 2\) the expansion of the functions \(Y_s\) in terms of values of \(\beta_q\) at even positive integers only:

**Lemma 3.2.** For all \(0 < |q| < 1\) and any integer \(s \geq 2\):

\[
Y_s(q) + Y_s(1/q) = \frac{2}{(s-1)!} \sum_{j=2}^{s} c(s-1, j-1) \beta_q(j).
\]

Proof. Set \(0 < |q| < 1\). Starting from the definition (3.3), we get:

\[
Y_s(1/q) = (-1)^s \sum_{k \geq 0} \sum_{\ell \geq 1} (-1)^k \ell^{-1} q^{(2k+1)(s-1)} (1-q^{2k+1})^s
\]

and we conclude by using the definition (1.1).

This shows (3.6) via the definition (1.1) of \(\beta_q\).
3.2 Linear combination in the $\beta_q(2j)$, $j \in \mathbb{N}^*$

Define:

$$R_n(T; q) := T^{(A-2r)n/2+A/2-2}q^{-A(n^2-1)/2-((A-2r)n+A-2)/4} \times \frac{(q)_n^{A-2r}(q^{-rn}T, q^n T)^{2n}}{(T-q^{1/2})^A(T-q^{1/2-1})^4 \ldots (T-q^{1/2-n})^A}.$$  \hspace{1cm} (3.8)

Then obviously we have:

$$S_n(q) = \sum_{k \geq 1} (-1)^{k+1} q^k (1 - q^{2k+n-1}) R_n(q^k; q).$$

Notice that when $n$ is odd, $R_n(T; q)$ is a rational fraction in the variable $T$ with degree $-n(A - 2r)/2 - A/2 - 2$, which is less or equal to $-3$, as $A > 2r \geq 2$. Assume from now on that $n$ is a fixed odd positive integer. The partial fraction expansion of $R_n(T; q)$ can be written:

$$R_n(T; q) = \sum_{s=1}^{A} \sum_{j=0}^{n} c_{s,j,n}(q) \left( \frac{1}{T - q^{1/2-j}} \right)^s = \sum_{s=1}^{A} \sum_{j=0}^{n} d_{s,j,n}(q) \left( \frac{1}{1 - Tq^{j-1/2}} \right)^s,$$

where

$$d_{s,j,n}(q) := (-1)^s q^{(j-1/2)s} c_{s,j,n}(q) \hspace{1cm} (3.9)$$

and

$$c_{s,j,n}(q) := \frac{1}{(A-s)!} \left[ \frac{d^{A-s}}{dT^{A-s}} R_n(T; q)(T - q^{1/2-j})^A \right]_{T=q^{1/2-j}}$$

$$d_{s,j,n}(u) \left[ \frac{d^{A-s}}{du^{A-s}} R_n(uq^{1/2-j}; q)(u-1)^A \right]_{u=1}. \hspace{1cm} (3.10)$$

The definition (3.8) gives $R_n(Tq^{n-1}; 1/q) = -q^{n(r-2)+1} R_n(T; q)$, which yields for all $j \in \{0, \ldots, n\}$ and $s \in \{1, \ldots, A\}$:

$$d_{s,n-j,n}(1/q) = -q^{n(r-2)+1} d_{s,j,n}(q),$$

or equivalently

$$c_{s,n-j,n}(1/q) = -q^{n(s+r-2)+1-s} c_{s,j,n}(q). \hspace{1cm} (3.12)$$

We can now prove the following lemma, which gives explicitly the expected linear combination in terms of the values of $\beta_q$ at even positive integers:
Lemma 3.3. For all $0 < |q| < 1$, any odd positive integers $n$ and $A$, and $r \in \mathbb{N}^*$ such that $A > 2r$:

$$S_n(q^2) = \hat{P}_{0,n}(q^2) + \sum_{j=2}^{A-1} \hat{P}_{j,n}(q^2) \beta_q(j),$$

(3.14)

where for $j = 2, 4, \ldots, A - 1$,

$$\hat{P}_{0,n}(q) := P_{0,n}(1, q) + q^{-n(r-1)} P_{0,n}(1, 1/q) - \frac{1}{2} P_{1,n}(1, q),$$

(3.15)

$$\hat{P}_{j,n}(q) := \sum_{s=j}^{A} \frac{2c(s-1,j-1)}{(s-1)!} P_{s,n}(1, q),$$

(3.16)

and

$$P_{0,n}(z, q) := \sum_{s=1}^{A} \sum_{j=1}^{n} \sum_{k=1}^{j} (-1)^{j+k} \frac{q^{k-j}}{(1-q^{k-1/2})s} d_{s,j,n}(q) z^{j-k},$$

(3.17)

$$P_{s,n}(z, q) := \sum_{j=0}^{n} (-1)^{j} q^{1/2-j} d_{s,j,n}(q) z^{j}.$$  

(3.18)

Proof. We fix an odd positive integer $n$ and a complex number $q$ such that $0 < |q| < 1$. Define for complex $z$

$$S_n(z; q) := \sum_{k=1}^{\infty} (-1)^{k+1} q^k R_n(q^k; q) z^{-k}.$$  

It is not difficult to see that $S_n(z; q)$ converges if $|z| > |q|^{-(A-2r)n/2 + A/2 - 1}$ and $S_n(1/z; 1/q)$ converges if $|z| < |q|^{-n(A-2r)/2 - A/2}$. Thus both series $S_n(z; q)$ and $S_n(1/z; 1/q)$ converge if $|q| < |z| \leq 1$. A direct calculation shows that:

$$S_n(1; q) + q^{-n(r-1)} S_n(1; 1/q) = S_n(q).$$

(3.19)

On the other hand, by using the partial fraction expansion of $R_n(T; q)$, we get:

$$S_n(z; q) = P_{0,n}(z, q) + \sum_{s=1}^{A} P_{s,n}(z, q) L_s(z; q),$$

(3.20)

where $P_{0,n}(z, q)$ and $P_{s,n}(z, q)$ are polynomials in the variable $z$ defined by (3.17) and (3.18), and

$$L_s(z; q) := \sum_{k=1}^{\infty} (-1)^{k+1} \frac{q^{k-1/2}}{(1-q^{k-1/2})s} z^{-k}.$$  

(3.21)
Coming back to the definition (3.18), we see that (3.12) implies that for $s \geq 1$, $P_{s,n}(1/z,1/q) = z^{-n}q^{(r-1)n}P_{s,n}(z,q)$. This gives us the idea to study the series:

$$\tilde{S}_n(z; q) := S_n(z; q) + z^n q^{-n(r-1)} S_n(1/z; 1/q),$$

with the convergence condition $|q| < |z| < 1$. The expansion (3.20), and the previous relation between $P_{s,n}(1/z,1/q)$ and $P_{s,n}(z,q)$, give for $|q| < |z| < 1$:

$$\tilde{S}_n(z; q) = P_{0,n}(z, q) + q^{-n(r-1)} z^n P_{0,n}(1/z, 1/q) \sum_{s=1}^{A} P_{s,n}(z,q)(L_s(z; q) + L_s(1/z; 1/q)).$$

Note that we can deduce directly from the definition (3.21):

$$L_1(1/z; 1/q) = -\sum_{k \geq 1} \sum_{\ell \geq 0} (-1)^{k+1} q^{(k-1/2)\ell} z^k,$$

which yields $L_1(1/z; 1/q) = z/(1+z)$, and therefore:

$$\lim_{z \to 1} P_{1,n}(z,q)(L_1(z; q) + L_1(1/z; 1/q)) = -\frac{1}{2} P_{1,n}(1,q).$$

It remains to let $z$ tend to 1 in (3.23) to get the lemma, via the definition (3.22), the relations (3.19), (3.6) and (3.24), together with the fact that $L_s(1; q^2) = Y_s(q)$ for $s \geq 2$. \hfill \Box

4 Arithmetical investigations

In this section, we find for any odd positive integer $n$ a common denominator $D_n(q)$ to the coefficients $\hat{P}_{j,n}(q^2) \in \mathbb{Q}(q)$. Let $d_n(x) \in \mathbb{Z}[x]$ be the unitary polynomial, with lowest degree, and common multiple of $1-x, 1-x^2, \ldots, 1-x^n$. Recall some standard properties on cyclotomic polynomials. For $t \in \mathbb{N}$, the $t$-th cyclotomic polynomial is defined by $\phi_t(x) := \prod_{k \perp t} (x - e^{2\pi k \pi/t})$.

Then one can prove:

$$x^n - 1 = \prod_{d|n} \phi_d(x),$$

which yields:

$$d_n(x) = \prod_{t=1}^{n} \phi_t(x).$$

Besides, we have $\phi_t(x) \in \mathbb{Z}[x]$ and $\phi_t(x)$ is irreducible in $\mathbb{Z}[x]$.

We will also need the following polynomials:

$$\Delta_n(x) := \prod_{t=1}^{2n-1} \phi_t(x),$$

where $t$ is odd.
and

\[ \varphi_n(x) := \phi_2(x)^n \phi_4(x)^{[n/2]} \cdots \phi_{2n}(x), \quad (4.4) \]

where \([x]\) is the integer part of the real number \(x\). In what follows, we denote by \(\Omega_t(Q(x))\) the greatest power of \(\phi_t(x)\) dividing the polynomial \(Q(x)\). We have the following useful arithmetical lemma:

**Lemma 4.1.** Let \(e\) be an odd positive integer and \(w_n(x) := \prod_{i=1}^{n} \frac{1-x^{e+2i}}{1-x^{2i}}\). Then

\[ \varphi_n(x) w_n(x) \in \mathbb{Z}[x]. \]

**Remark 4.2.** We can see that \(\varphi_n(x)\) always divides \(\prod_{i=1}^{n} (1 + x^i)^2\), so we could use this alternative polynomial later, instead of \(\varphi_n(x)\). This would give simpler manipulations, but we would have worse asymptotic properties (see Lemma 5.4).

**Proof of Lemma 4.1.** From (4.1), \(w_n(x)\) is a quotient of products of cyclotomic polynomials \(\phi_t(x)\). It is enough to prove that \(\Omega_t(\varphi_n(x) w_n(x)) \geq 0\) for all \(t\). Assume first that \(t\) is even. In view of (4.1), as \(e\) is odd, \(\phi_t(x)\) can only be a factor in the denominator of \(w_n(x)\). Then we have:

\[ \Omega_t(w_n(x)) = - \sum_{i=1}^{n} \Omega_t(1-x^{2i}) = - \sum_{i=1}^{n} \sum_{t|2i} 1 = - \sum_{i=1}^{n} \left\lfloor \frac{n}{t} \right\rfloor 1 = - \left\lfloor \frac{n}{t/2} \right\rfloor. \]

By the definition (4.4) we have \(\Omega_t(\varphi_n(x)) = \left\lfloor \frac{2n}{t} \right\rfloor\). Thus \(\Omega_t(\varphi_n(x) w_n(x)) = 0\).

Now if \(t\) is odd, we have \(\Omega_t(\varphi_n(x) w_n(x)) = \Omega_t(w_n(x))\), which is equal to

\[ \sum_{j=0}^{\left\lfloor \frac{n}{t} \right\rfloor - 1} \Omega_t \left( \prod_{i=j+1}^{(j+1)t} \frac{1-x^{e+2i}}{1-x^{2i}} \right) + \Omega_t \left( \prod_{i=\left\lfloor \frac{n}{t} \right\rfloor + 1}^{n} \frac{1-x^{e+2i}}{1-x^{2i}} \right). \]

Now the orders of divisibility in the sum over \(j\) are all equal to \(1 - 1 = 0\). Indeed, as \(t\) is odd, there is exactly one integer \(i \in \{jt+1, \ldots, (j+1)t\}\) for which \(t\) divides \(e + 2i\): \(i\) is of the form \(kt + (t - e)/2\) and it is unique for \(jt + 1 \leq i_1 \leq i_2 \leq (j+1)t\) and if \(i_1 \leq i_2 \leq (j+1)t\) and if \(t\) divides \(e + 2i_1\) and \(e + 2i_2\), then \(t\) divides \(2(i_1 - i_2)\) therefore divides \(i_1 - i_2\). Finally, as \(0 \leq i_2 - i_1 < t\), we have \(i_1 - i_2 = 0\). In the same vein, there is exactly one integer \(i \in \{jt+1, \ldots, (j+1)t\}\) for which \(t\) divides \(2i\); it is \(i = (j+1)t\).

Moreover we now show that:

\[ \Omega_t \left( \prod_{i=t\left\lfloor \frac{n}{t} \right\rfloor + 1}^{n} \frac{1-x^{e+2i}}{1-x^{2i}} \right) = \Omega_t \left( \prod_{i=t\left\lfloor \frac{n}{t} \right\rfloor + 1}^{n} (1-x^{e+2i}) \right) \in \{0; 1\}. \]
Indeed, the first equality is true as there is no multiple of \( t \) lying in \( \{ t \left\lfloor \frac{n}{r} \right\rfloor + 1, \ldots, n \} \). Besides, as before, as there are at most \( t \) consecutive integers lying in \( \{ t \left\lfloor \frac{n}{r} \right\rfloor + 1, \ldots, n \} \), there is at most one integer \( i \in \{ t \left\lfloor \frac{n}{r} \right\rfloor + 1, \ldots, n \} \) such that \( t \) divides \( e + 2i \).

This concludes the proof. \( \square \)

Throughout this section, we assume \( n \) and \( A \) to be odd positive integers, and \( r \) to be a positive integer such that \( A - 2r > 0 \).

**Lemma 4.3.** For all \( s \in \{1, \ldots, A\} \) and \( j \in \{0, \ldots, n\} \), we have:

\[
\varphi_n(1/q)^{2r} d_n \left( 1/q^2 \right)^{A-s} c_{s,j,n}(q^2) \in \mathbb{Z}\left[ q; \frac{1}{q} \right].
\]

**Proof.** Rewrite (3.10) as:

\[
c_{s,j,n}(q) = \frac{1}{(A-s)!} \left[ \frac{d^{A-s}}{dT^{A-s}} V_n(T; q) \right]_{T=q^{1/2-j}},
\]

with

\[
V_n(T; q) := R_n(T; q)(T - q^{1/2-j})^A
\]

\[
= (q)^{A-2r}T^{(A-2r)n/2+A/2-2}q^{-A(n^2-1)/2-(A-2r)n+A-2)/4}
\]

\[
\times \left( q^{-rnT}, q^nT \right) r_n(T - q^{1/2-j})^A (T - q^{1/2-1})^A \ldots (T - q^{1/2-n})^A.
\]

We collect the terms of \( V_n(T; q) \) as follows:

\[
V_n(T; q) = q^{an^2+bn+c}T^{A/2-2-n/2} F(T)^{A/2-r+1/2} G(T)^{A/2-r-1/2} \prod_{\ell=1}^{r} H_\ell(T) I_\ell(T),
\]

where \( a, b \) and \( c \) are integers (or half-integers) depending only on \( A \) and \( r \), and the functions \( F, G, H_\ell \) and \( I_\ell \) are defined below. First, \( F \) satisfies:

\[
F(T) := q^{-n(n+1)/2} \frac{(q)^n T^n (T - q^{1/2-j})}{(T - q^{1/2})(T - q^{1/2-1}) \ldots (T - q^{1/2-n})}
\]

\[
= (-1)^n (1/q; 1/q)_n + \sum_{i=0}^{n} (-1)^{n-i+1} q^{-i(i+1)/2} \left[ \frac{n}{i} \right] \frac{q^{1/2-j} - q^{1/2-i}}{T - q^{1/2-i}}.
\]

Then \( G \) satisfies:

\[
G(T) := q^{-n(n+1)/2} \frac{(q)^n (T - q^{1/2-j})}{(T - q^{1/2})(T - q^{1/2-1}) \ldots (T - q^{1/2-n})}
\]

\[
= \sum_{i=0}^{n} (-1)^{n-i+1} q^{(i/2)n-i(i+1)/2} \left[ \frac{n}{i} \right] \frac{q^{1/2-j} - q^{1/2-i}}{T - q^{1/2-i}}.
\]

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For all $\ell \in \{1, \ldots, r\}$, the function $H_\ell$ satisfies:

\[
H_\ell(T) := q^{-n(n+1)/2} (q^{-\ell n}T)_n (T - q^{1/2-j}) (T - q^{1/2}) (T - q^{1/2-1}) \cdots (T - q^{1/2-n})
\]
\[
= (-1)^n q^{-n^2-n} + \sum_{i=0}^{n} (-1)^i q^{-(n-i)^2/2-(2n+1)/2} \left[ \frac{n}{i} \right]_{1/q}
\]
\[
\times \frac{(q^{-(\ell-i)n-i-1/2}; q^{-1})_n q^{1/2-j} - q^{1/2-i}}{(q^{-1}; q^{-1})_n T - q^{1/2-i}}. \quad (4.9)
\]

Finally, $I_\ell$ satisfies:

\[
I_\ell(T) := q^{-n(n+1)/2} (q^{\ell n}T)_n (T - q^{1/2-j}) (T - q^{1/2}) (T - q^{1/2-1}) \cdots (T - q^{1/2-n})
\]
\[
= (-1)^n q^{-n^2-n} + \sum_{i=0}^{n} (-1)^n q^{n^2-n-i-i(1+1)/2} \left[ \frac{n}{i} \right]_{1/q}
\]
\[
\times \frac{(q^{-(\ell+i)n-i-1/2}; q^{-1})_n q^{1/2-j} - q^{1/2-i}}{(q^{-1}; q^{-1})_n T - q^{1/2-i}}. \quad (4.10)
\]

We see that if $q$ is replaced by $q^2$ and if $U$ denotes any of the functions $F$, $G$, or $U_0 : T \mapsto T^{A/2-2-n/2}$, then by using the partial fraction expansions (4.7) and (4.8):

\[
\frac{d_n}{\mu!} \left[ \frac{d^\mu}{dT^\mu} U(T) \right]_{T=q^{-1/2}} \in \mathbb{Z} \left[ q; \frac{1}{q} \right] \quad \text{for all } \mu \in \mathbb{N}. \quad (4.11)
\]

Now if $q$ is replaced by $q^2$ and if $U$ denotes any of the functions $H_\ell$ or $I_\ell$, then by using the partial fraction expansions (4.9), (4.10), and Lemma 4.1, we get for all $\mu \in \mathbb{N}$:

\[
\varphi_n(1/q) \frac{d_n}{\mu!} \left[ \frac{d^\mu}{dT^\mu} U(T) \right]_{T=q^{-1/2}} \in \mathbb{Z} \left[ q; \frac{1}{q} \right]. \quad (4.12)
\]

If we note $\partial^\mu U := \frac{1}{\mu!} \left[ \frac{d^\mu}{dT^\mu} U(T) \right]_{T=q^{-1/2}}$ and apply Leibniz’s formula to the function $V_n(T; q^2)$, we obtain via the definition (4.5):

\[
e_{s,j,n}(q^2) = q^{2(\bar{n}n^2+bn+c)} \sum_{\mu_0 + \cdots + \mu_A = A-s} (\partial^{\mu_0} U_0)(\partial^{\mu_1} F) \cdots (\partial^{\mu_{A/2-s+1/2}} F)
\]
\[
\times (\partial^{\mu_{A/2-s+3/2}} G) \cdots (\partial^{\mu_{A-2r+1}} H_1) \cdots (\partial^{\mu_{A-r+1}} I_1) \cdots (\partial^{\mu_{A}} I_r).
\]

Now we conclude the proof of the lemma by using (4.11) and (4.12). \qed
Lemma 4.4. Set \( \alpha = -A/4 - r^2 \). Then there exist real numbers \( \beta' \) and \( \gamma' \) depending only on \( A \) and \( r \) such that for all \( (s, j) \in \{1, \ldots, A\} \times \{0, \ldots, n\} \), the limit of the function \( q \mapsto q^{\alpha n^s + \beta' n + \gamma'} c_{s,j,n}(q^2) \) exists and is finite as \( q \to +\infty \).

Proof. Recall the expression (4.5) from the previous proof, and let \( v_n(T; q) := \frac{d}{dT} V_n(T; q)/V_n(T; q) \) be the logarithmic derivative of \( V_n(T; q) \), in the variable \( T \). As in [6] and [9], we use Faà di Bruno’s differentiation formula, which gives for all \( \mu \in \mathbb{N} \):

\[
\frac{1}{\mu!} \frac{d^\mu}{dT^\mu} V_n(T; q) = \sum_{k_1 + \cdots + \mu k_n = \mu} V_n(T; q) \prod_{\ell=1}^{\mu} \left( \frac{1}{\ell!} \frac{d^{\ell-1}}{dT^{\ell-1}} v_n(T; q) \right)^{k_\ell}. \tag{4.13}
\]

By (4.6) we get:

\[
v_n(T; q) = \frac{d}{dT} (\log V_n(T; q)) = \frac{(A - 2r)n/2 + A/2 - 2}{T} + \sum_{i=1}^{rn} \frac{1}{T - q^i}
\]

\[
+ \sum_{i=n}^{rn+n-1} \frac{1}{T - q^i} - A \sum_{i=0}^{n} \frac{1}{T - q^{1/2 - i}},
\]

thus for all \( \ell \in \mathbb{N}^* \):

\[
\frac{(-1)^{\ell-1}}{(\ell - 1)!} \frac{d^{\ell-1}}{dT^{\ell-1}} v_n(T; q) = \frac{(A - 2r)n/2 + A/2 - 2}{T^\ell} + \sum_{i=1}^{rn} \frac{1}{(T - q^i)^\ell}
\]

\[
+ \sum_{i=n}^{rn+n-1} \frac{1}{(T - q^i)^\ell} - A \sum_{i=0}^{n} \frac{1}{(T - q^{1/2 - i})^\ell}.
\]

We can rewrite this as follows:

\[
\frac{(-1)^{\ell-1}}{(\ell - 1)!} \frac{d^{\ell-1}}{dT^{\ell-1}} v_n(T; q) = \frac{(A - 2r)n/2 + A/2 - 2}{T^\ell} + \sum_{i=1}^{rn} \left( \frac{q^{-i}}{Tq^{-i} - 1} \right)^\ell
\]

\[
+ \sum_{i=n}^{rn+n-1} \frac{1}{(T - q^{-i})^\ell} - A \sum_{i=0}^{j-1} \left( \frac{q^{-i}}{Tq^{-i} - 1} \right)^\ell - A \sum_{i=j+1}^{n} \frac{1}{(T - q^{-i})^\ell}.
\]

Therefore, for all \( j \in \{0, \ldots, n\} \), the limit of \( q \mapsto q^{(1/2-j)\ell} \left[ \frac{d^{\ell-1}}{dT^{\ell-1}} v_n(T; q) \right]_{T=q^{1/2-j}} \) exists and is finite as \( q \to +\infty \). We deduce that for \( k_1 + \cdots + \mu k_n = \mu \):

\[
\lim_{q \to +\infty} q^{(1/2-j)\mu} \left[ \prod_{\ell=1}^{\mu} \left( \frac{1}{\ell!} \frac{d^{\ell-1}}{dT^{\ell-1}} v_n(T; q) \right)^{k_\ell} \right]_{T=q^{1/2-j}} < \infty. \tag{4.14}
\]
Besides, \( V_n(q^{1/2-j}; q) \) defined by (4.6) satisfies:
\[
\lim_{q \to +\infty} V_n(q^{1/2-j}; q) \times q^{-j(An-A+3)/2+j^2A/2-rn(rn-2)/2-A/2} = 1.
\]

It remains to choose \( \mu = A-s \) in (4.13). With the help of (4.5) and (4.14) we then deduce that the limit of
\[
q \mapsto q^{(1/2-j)(A-s)-(j(An-A+3)/2-j^2A/2+rn(rn-2)/2+A/2)}c_{s,j,n}(q)
\]
exists and is finite as \( q \to +\infty \). Replacing \( q \) by \( q^2 \), we can easily conclude, for we have that for all \( (s,j) \in \{1,\ldots,A\} \times \{0,\ldots,n\} \):
\[
(1-2j)(A-s) - (j(An-A+3) - j^2A + rn(rn-2) + A) \geq \alpha n^2 + \beta' n + \gamma',
\]
where \( \alpha = -A/4 - r^2, \beta' = 2r - (A+1)/2, \gamma' = -1/2 - 1/(2A) \) (this lower bound is obtained for \( s = 1 \) and \( j = (n+1)/2 + 1/(2A) \)).

Now we can prove the following lemma, which gives an expression for \( D_n(q) \), a common denominator to \( \hat{P}_{j,n}(q^2) \), for \( j \in \{0,2,4,\ldots,A-1\} \):

**Lemma 4.5.** Let \( n \) and \( A \) be odd positive integers, and \( r \in \mathbb{N}^* \) such that \( A - 2r > 0 \). For \( \alpha = -A/4 - r^2 \), there exist \( \beta \) and \( \gamma \) real numbers depending only on \( A \) and \( r \) such that for all \( j \in \{2,4,\ldots,A-1\} \):
\[
(A-1)! q^{(\alpha n^2 + \beta n + \gamma)} \varphi_n(1/q) 2^r d_n(1/q^2)^{A-j} \hat{P}_{j,n}(q^2) \in \mathbb{Z} \left[ \frac{1}{q} \right]
\]
and
\[
q^{(\alpha n^2 + \beta n + \gamma)} \varphi_n(1/q) 2^r d_{2n}(1/q)^{A-1} \Delta_n(1/q) \hat{P}_{0,n}(q^2) \in \mathbb{Z} \left[ \frac{1}{q} \right].
\]

Thus, by setting
\[
D_n(q) := (A-1)! q^{(\alpha n^2 + \beta n + \gamma)} \varphi_n(1/q) 2^r d_{2n}(1/q)^{A-1} \Delta_n(1/q),
\]
we get:
\[
D_n(q) \hat{P}_{j,n}(q^2) \in \mathbb{Z} \left[ \frac{1}{q} \right] \text{ for all } j \in \{0,2,4,\ldots,A-1\}.
\]

**Proof.** As \( \lim_{q \to +\infty} d_n(1/q^2) = d_n(0) = \pm 1 \) and \( \lim_{q \to +\infty} \phi_t(1/q) = \phi_t(0) = \pm 1 \), the previous lemma implies that for all \( (s,j) \in \{1,\ldots,A\} \times \{0,\ldots,n\} \), the limit of the function
\[
q \mapsto q^{\alpha n^2 + \beta' n + \gamma'} \varphi_n(1/q) 2^r d_n(1/q^2)^{A-s} c_{s,j,n}(q^2)
\]
exists and is finite as $q \to +\infty$. By using Lemma 4.3 we then obtain that for 
$\alpha = -A/4 - r^2$ there exist real numbers $\beta'$ and $\gamma'$ depending only on $A$ and $r$, such that for all $(s, j) \in \{1, \ldots, A\} \times \{0, \ldots, n\}$:

$$q^{\alpha n^2 + \beta' n + \gamma'} \varphi_n(1/q)^{2r} d_n\left(1/q^2\right)^{A-s} c_{s,j,n}(q^2) \in \mathbb{Z} \left[\frac{1}{q}\right].$$  \quad (4.18)

From the expressions (3.9), (3.16) and (3.18), we deduce (4.15) (some easy computations show that the values $\beta = \beta' - A + 1$ and $\gamma = \gamma' + A - 2$ are convenient).

Besides, the definition (4.3) shows that $\Delta_n(x)$ is nothing else but the lowest common multiple (lcm) of $1 - x$, $1 - x^3$, $\ldots$, $1 - x^{2n-1}$. Recall that $d_n(x)$ is the lcm of $1 - x$, $1 - x^2$, $\ldots$, $1 - x^n$. From the definition of lcm and equation (4.1), we deduce first

$$d_n(x^2) = \prod_{t=1}^{n} \phi_t(x) \times \prod_{t= n+1 \text{ even}}^{2n} \phi_t(x),$$

and then that $d_{2n}(x)^{A-1} \Delta_n(x)$ is the lcm of the polynomials $\Delta_n(x)^s d_n(x^2)^{A-s}$ when $s$ runs along $\{1, \ldots, A\}$. This yields (4.16), with the help of (4.18), expressions (3.15), (3.17) and (3.18), and equation (3.13).

## 5 Asymptotic estimates
We now evaluate the asymptotics for $S_n(q)$, the coefficients $\hat{P}_{j,n}(q)$ from (3.14), and finally $D_n(q)$. Throughout this section, we fix an odd integer $A$ and $r \in \mathbb{N}^*$ such that $A - 2r > 0$.

### 5.1 Asymptotic evaluation of $S_n(q)$

**Lemma 5.1.** For all $0 < |q| < 1$, we have:

$$\lim_{n \to +\infty} \frac{1}{n^2} \log |S_n(q)| = -\frac{1}{2} r(A - 2r) \log |1/q|. $$

**Proof.** Set $\rho_k(q) := q^k (1 - q^{2k+n-1}) R_n(q^k; q)$, so that $S_n(q) = \sum_{k \geq 1} \rho_k(q)$. By the definition (3.8) of $R_n(T; q)$, it is clear that $\rho_k(q) = 0 \iff k \in \{0, \ldots, rn\}$. Moreover we have for $k \geq rn + 1$:

$$\frac{\rho_{k+1}(q)}{\rho_k(q)} = q^{(A-2r)n/2 + A/2 - 1} \left(1 - \frac{q^{2k+n+1}}{1 - q^{2k+n-1}}\right) \left(1 - \frac{q^{k-rn}}{1 - q^{k-n}}\right) \times \frac{1 - q^{k+n+rn}}{1 - q^{k+n}} \left(1 - q^{k-1/2}/1 - q^{k+n-1/2}\right)^{A+1}. $$
Then, as \( A - 2r > 0 \), \( 0 < |q| < 1 \) and \( k \geq \rn + 1 \), we have for a sufficiently large \( n \) the following upper bound, uniformly in \( k \):

\[
\left| \frac{\rho_{k+1}(q)}{\rho_k(q)} \right| \leq |q|^{(A-2r)n/2} \left( \frac{1 + |q|}{1 - |q|} \right)^{A+3} < \frac{1}{3},
\]

which yields, as in [6] and [9], the following inequalities:

\[
\frac{1}{2} |\rho_{rn+1}(q)| \leq |S_n(q)| \leq \frac{3}{2} |\rho_{rn+1}(q)|.
\]

Besides,

\[
\rho_{rn+1}(q) = (-1)^{rn+2} q^{rn+1/2} (A-2r)n/2 + A/2 - 1
\times (1 - q^{2rn+n+1}) (q^n)^{A-2r} \frac{(q^{(r+1)n+1})^n}{(q^n)^{rn+1/2} n!}.
\]

therefore we get:

\[
\lim_{n \to +\infty} \frac{1}{n^2} \log |S_n(q)| = \lim_{n \to +\infty} \frac{1}{n^2} \log |\rho_{rn+1}(q)| = -\frac{1}{2} r(A - 2r) \log |1/q|.
\]

5.2 Asymptotic evaluation of the coefficients \( \hat{P}_{j,n}(q) \) of (3.14)

**Lemma 5.2.** For all \( j \in \{0, 2, 4, \ldots, A - 1\} \) and \( 0 < |q| < 1 \), we have:

\[
\limsup_{n \to +\infty} \frac{1}{n^2} \log |\hat{P}_{j,n}(q)| \leq \frac{1}{8} (A + 4r^2) \log |1/q|.
\]

**Proof.** We assume from now on that \( n \) is an odd positive integer. First note that for any complex numbers \( a_{i,n} \) \((0 \leq i \leq n)\):

\[
\left( \forall i \in \{0, \ldots, n\}, \limsup_{n \to +\infty} \frac{1}{n^2} \log |a_{i,n}| \leq c \right) \Rightarrow \limsup_{n \to +\infty} \frac{1}{n^2} \log \left( \sum_{i=0}^{n} a_{i,n} \right) \leq c.
\]

This shows, via the definition of the coefficients \( \hat{P}_{j,n}(q) \) given by (3.15)-(3.18), that it is enough to prove the inequality of the lemma for the coefficients \( d_{s,j,n}(q) = (-1)^s q^{(j-1/2)s} c_{s,j,n}(q) \), uniformly in \( j \) and \( s \). We fix the integer \( j \in \{0, \ldots, n\} \) and \( \eta = (1 - |q|)/2 > 0 \). Cauchy’s formula applied to (3.10) gives:

\[
d_{s,j,n}(q) = -\frac{1}{2i\pi} \int_{\mathcal{C}} R_n(T q^{1/2-j}; q) (1 - T)^{s-1} dT,
\]

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We first recall Möbius function \( \mu \). Back to the expression (3.8), we get:

\[
R_n(Tq^{1/2-j}; q)(1-T)^{s-1} = q^{-j((A-2r)n/2+A/2-2)-An-1/2}T^{(A-2r)n/2+A/2-2} \\
\times (q)^{A-2r}(1-T)^{s-1}\frac{(q^{-rn-j+1/2}T, q^{n-j+1/2}T)_{rn}}{(Tq^{-j})_{n+1}}.
\]

After some elementary manipulations, we can deduce:

\[
R_n(Tq^{1/2-j}; q)(1-T)^{s-1} = q^{A^2/2-2\cdot Anj/2+2j-(rn)^2/2-An-1/2}T^{(n-2j)/2+A/2-2} \\
\times (-1)^{A+j+rn}(q)^{A-2r}(1-T)^{s-1}\frac{(q^{j+1/2}/T, q^{n-j+1/2}T)_{rn}}{(q/T)^{A/j}(qT)^{A-j}}.
\]

In order to find an upper bound to this expression for \( T \in \mathbb{C} \), we use the following inequalities from [9], valid for \((a, b) \in \mathbb{N^*} \times \mathbb{N}, T \in \mathbb{C} \) and \( \eta = (1 - |q|)/2 \):

\[
0 < (|q|(1 + \eta); |q|)_{\infty} \leq |(q^a/T)_{b}| \leq (-(1 + \eta); |q|)_{\infty}, \\
0 < (|q|/(1 - \eta); |q|)_{\infty} \leq |(q^a/T)_{b}| \leq (-1/(1 - \eta); |q|)_{\infty}, \\
|T^{(n-2j)/2+A/2-2}| \leq (\max(1 + \eta; 1/(1 - \eta))^{n/2}(1 + \eta)^{A-2}, \\
|\langle q \rangle_n| \leq (-|q|; |q|)_{\infty} \text{ and } |1 - T|^{s-A-1} \leq 1/\eta^{A+1}.
\]

It remains to find a lower bound for the power of \( q \) in the previous expression of \( R_n(Tq^{1/2-j}; q)(1-T)^{s-1} \). This can be done by noting that the function \( j \mapsto Aj^2/2 - Anj/2 + 2j - (rn)^2/2 - An - 1/2 \) is minimal at \( j = n/2 - 2/A \), and this minimal value is equal to \(-An^2/8 - r^2n^2/2 + \lambda n + \mu \), where \( \lambda \) and \( \mu \) are real numbers depending only on \( A \) and \( r \). All this yields to the following:

\[
|d_{s,j,n}(q)| \leq c_0 \times |q|^{(A+4r^2)n^2/8},
\]

where \( c_0 \) depends neither on \( j \) nor on \( s \), and satisfies \( \lim_{n \to +\infty} c_0^{1/n^2} = 1 \), and we can conclude.

\( \square \)

### 5.3 Asymptotic evaluation of \( D_n(q) \) defined by (4.17)

We first recall Möbius function \( \mu : \mathbb{N^*} \to \{-1, 0, 1\} \), which is defined for any positive integer \( n \) by

\[
\mu(n) := \begin{cases} 
1 & \text{if } n = 1, \\
(-1)^s & \text{if } n \text{ is a product of } s \text{ distinct primes,} \\
0 & \text{otherwise.}
\end{cases}
\]

We prove a preliminary result:
Lemma 5.3. For any positive integer \( n \), we have:

\[
\sum_{1 \leq d \leq n, \atop d \text{ odd}} \frac{\mu(d)}{d^2} = \frac{8}{\pi^2} + O(1/n), \tag{5.1}
\]

\[
\sum_{1 \leq d \leq n, \atop d \text{ even}} \frac{\mu(d)}{d^2} = -\frac{2}{\pi^2} + O(1/n). \tag{5.2}
\]

Proof. Recall

\[
\frac{6}{\pi^2} = \sum_{d \geq 1} \frac{\mu(d)}{d^2} = \sum_{d \geq 1} \frac{\mu(2d)}{4d^2} + \sum_{d \geq 1 \atop d \text{ odd}} \frac{\mu(d)}{d^2}.
\]

Besides \( \mu(2d) = -\mu(d) \) if \( d \) is odd and \( \mu(2d) = 0 \) if \( d \) is even, so we get:

\[
\sum_{d \geq 1 \atop d \text{ odd}} \frac{\mu(d)}{d^2} = \frac{8}{\pi^2}, \tag{5.3}
\]

and this implies

\[
\sum_{d \geq 1 \atop d \text{ even}} \frac{\mu(d)}{d^2} = -\frac{2}{\pi^2}. \tag{5.4}
\]

Then we immediately deduce (5.1) (resp. (5.2)) from (5.3) (resp. (5.4)). \( \square \)

Now we can prove the following lemma:

Lemma 5.4. For all \( 0 < |q| < 1 \) we have:

\[
\lim_{n \to +\infty} \frac{1}{n^2} \log |\Delta_n(1/q)| = \frac{8}{\pi^2} \log |1/q|, \tag{5.5}
\]

\[
\lim_{n \to +\infty} \frac{1}{n^2} \log |\phi_n(1/q)| = \frac{2}{3} \log |1/q|. \tag{5.6}
\]

Proof. Recall Möbius inversion formula: if \( f \) is an arithmetic function defined on \( \mathbb{N}^* \), and if \( g(n) := \sum_{d|n} f(d) \), then \( f(n) = \sum_{d|n} \mu(n/d)g(d) \) for all \( n \in \mathbb{N}^* \). Applying this formula to (4.1) yields for \( k \in \mathbb{N}^* \):

\[
\log |\phi_k(x)| = \sum_{d|k} \mu(d) \log |x^{k/d} - 1|. \tag{5.7}
\]

First recall the definition (4.3):

\[
\Delta_n(x) = \prod_{k=1 \atop k \text{ odd}}^{2n-1} \phi_k(x).
\]

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Thus, by using (5.7), we can write for $|x| > 1$:

\[
\log |\Delta_n(x)| = \sum_{1 \leq d \leq 2n} \sum_{\ell \text{ odd}} \mu(d) \log |x^{\ell/d} - 1| = \sum_{1 \leq d \leq 2n} \mu(d) \sum_{1 \leq \ell \leq 2n/d} \log |x^{\ell} - 1|
\]

\[
= \sum_{1 \leq d \leq 2n} \mu(d) \sum_{\ell \text{ odd}} \left( \ell \log |x| + \log |1 - x^{-\ell}| \right)
\]

\[
= \log |x| \sum_{1 \leq d \leq 2n \atop d \text{ odd}} \mu(d) \sum_{\ell \text{ odd}} \ell + O \left( n \sum_{\ell=1}^{2n} \frac{1}{\ell} \right).
\]

Since \( \sum_{1 \leq d \leq 2n \atop d \text{ odd}} \ell = \frac{n^2}{d^2} + O(1) \) and \( O \left( n \sum_{\ell=1}^{2n} \frac{1}{\ell} \right) = O(n \log n) \), we get:

\[
\log |\Delta_n(x)| = n^2 \log |x| \sum_{1 \leq d \leq 2n \atop d \text{ odd}} \mu(d) + O(n \log n),
\]

which yields (5.5), by setting \( x = 1/q \) and using (5.1).

To prove (5.6), recall the definition (4.4):

\[
\varphi_n(x) = \phi_2(x)^n \phi_4(x)^{\lfloor n/2 \rfloor} \ldots \phi_{2n}(x).
\]

We use again (5.7), assuming \( |x| > 1 \):

\[
\log |\varphi_n(x)| = \sum_{k=1}^{n} \left| \frac{n}{k} \right| \sum_{d|2k} \mu(d) \log |x^{2k/d} - 1|
\]

\[
= \sum_{d \text{ even}, d \leq 2n} \mu(d) \left| \frac{2n}{d \ell} \right| \log |x^{\ell} - 1|
\]

\[
= \sum_{1 \leq d \leq 2n \atop d \text{ even}} \mu(d) \sum_{1 \leq \ell \leq 2n/d} \left| \frac{2n}{d \ell} \right| \log |x^{\ell} - 1|
\]

\[
+ \sum_{1 \leq d \leq 2n \atop d \text{ odd}} \mu(d) \sum_{1 \leq \ell \leq 2n/d \atop \ell \text{ even}} \left| \frac{2n}{d \ell} \right| \log |x^{\ell} - 1|.
\]

Hence, by setting \( u_n(x) := \sum_{\ell=1}^{n} \left| \frac{n}{\ell} \right| \log |x^{\ell} - 1| \), we have:

\[
\log |\varphi_n(x)| = \sum_{1 \leq d \leq 2n \atop d \text{ even}} \mu(d) u_{2n/d}(x) + \sum_{1 \leq d \leq 2n \atop d \text{ odd}} \mu(d) u_{n/d}(x^2). \tag{5.8}
\]
Assuming $|x| > 1$ we can write:

$$u_n(x) = \log |x| \sum_{\ell=1}^{n} \left\lfloor \frac{n}{\ell} \right\rfloor + \sum_{\ell=1}^{n} \left\lceil \frac{n}{\ell} \right\rceil \log |1 - x^{-\ell}|$$

$$= \log |x| \sum_{\ell=1}^{n} \sum_{1 \leq k \leq n/\ell} 1 + \sum_{\ell, k \geq 1 \atop \ell k \leq n} \log |1 - x^{-\ell}|$$

$$= \frac{\log |x|}{2} \sum_{k=1}^{n} \left\lfloor \frac{n}{k} \right\rfloor \left( \left\lfloor \frac{n}{k} \right\rfloor + 1 \right) + O \left( \sum_{\ell, k \geq 1 \atop \ell k \leq n} 1 \right)$$

$$= \frac{\log |x|}{2} \sum_{k=1}^{n} \frac{n^2}{k^2} + O (n \log n)$$

$$= n^2 \log |x| \times \frac{\pi^2}{12} + O (n \log n).$$

Therefore, by using (5.8), (5.2) and (5.1), we deduce:

$$\log |\varphi_n(x)| = \sum_{1 \leq d \leq 2n \atop d \text{ even}} \mu(d) \left( 4 \frac{n^2}{d^2} \log |x| \times \frac{\pi^2}{12} + O \left( \frac{2n}{d} \log \frac{2n}{d} \right) \right)$$

$$+ \sum_{1 \leq d \leq 2n \atop d \text{ odd}} \mu(d) \left( 2 \frac{n^2}{d^2} \log |x| \times \frac{\pi^2}{12} + O \left( \frac{n}{d} \log \frac{n}{d} \right) \right)$$

$$= n^2 \log |x| \times \frac{\pi^2}{3} \times -\frac{2}{\pi^2} + n^2 \log |x| \times \frac{\pi^2}{6} \times \frac{8}{\pi^2} + O (n \log^2 n)$$

$$= \frac{2}{3} n^2 \log |x| + O (n \log^2 n),$$

which, by setting $x = 1/q$, shows (5.6) as desired. \(\square\)

Now we are able to prove the following result:

**Lemma 5.5.** For all $0 < |q| < 1$ we have:

$$\lim_{n \to +\infty} \frac{1}{n^2} \log |D_n(q)| = \left( \frac{A}{4} + r^2 + \frac{12}{\pi^2} (A - 1) + \frac{4r}{3} + \frac{8}{\pi^2} \right) \log |1/q|.$$

**Proof.** For $0 < |q| < 1$ recall the estimate (see [2] and [15]):

$$\lim_{n \to +\infty} \frac{1}{n^2} \log |d_n(1/q)| = \frac{3}{\pi^2} \log |1/q|. \quad (5.9)$$

Thus, with the help of the expressions of $D_n(q)$ and $\alpha = -A/4 - r^2$ given by (4.17), and by using the previous lemma, we can easily conclude. \(\square\)
6 Concluding remarks

6.1 Link with Dirichlet’s beta function

As mentioned in the introduction, we now justify the term \( q \)-analogues of the values of Dirichlet’s beta function at positive integers. To this aim, recall the Stirling numbers of the second kind (see \([14]\)), which are integers denoted by \( S(s, j) \) (where \( s \) and \( j \) are integers such that \( 1 \leq j \leq s \)) and defined by:

\[
x^s = \sum_{j=1}^{s} S(s, j) x(x - 1) \ldots (x - j + 1).
\]

By expanding the summand in the first expression of (1.1), we can write for all \( s \geq 2 \) and \( |q| < 1 \):

\[
\begin{align*}
\beta_q(s) &= \sum_{k \geq 1} k^{s-1} \sum_{m \geq 0} (-1)^m q^{(2m+1)k} \\
&= \sum_{k, m \geq 0} (k + 1)^{s-1}(-1)^m q^{(2m+1)(k+1)} \\
&= \sum_{k, m \geq 0} \sum_{j=1}^{s-1} q^{(2m+1)(k+1)}(-1)^m s^{-1-j} S(s - 1, j) j! \binom{k + j}{j} \\
&= \sum_{j=1}^{s-1} (-1)^{s-1-j} S(s - 1, j) j! \sum_{m \geq 0} (-1)^m \frac{q^{2m+1}}{(1 - q^{2m+1})^{j+1}} \\
&= \sum_{j=1}^{s-1} (-1)^{s-1-j} S(s - 1, j) j! Y_{j+1}(q). \quad (6.1)
\end{align*}
\]

Note in passing that (6.1) is the inverse expansion of (3.4). Notice that our auxiliary functions \( Y_s(q) \) are clearly \( q \)-analogues of the function \( \beta \) at positive integers, since for \( s \geq 1 \):

\[
\lim_{q \to 1} (1 - q)^s Y_s(q) = \beta(s).
\]

This shows, by using (6.1) and (1.2), that for all \( s \geq 1 \):

\[
\lim_{q \to 1} (1 - q)^s \beta_q(s) = (s - 1)! \beta(s). \quad (6.2)
\]

6.2 Special emphasis on \( \beta_q(1) \) and \( \beta_q(2) \)

For \( s = 1 \), we have in fact:

\[
\beta_q(1) = Y_1(q),
\]

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which, as mentioned in the introduction, is, up to constants, equal to $\pi_q$ whose irrationality exponent was studied in [3, 4].

Now we inspect more carefully the link with Catalan’s constant, which is defined by
\[
G := \sum_{k \geq 0} \left( -1 \right)^k \frac{q^{2k+1}}{(2k+1)^2} = \beta(2).
\]
The $q$-analogue of $G$ proposed at the end of [4] corresponds to $Y_2(q)$. Although this is not really obvious from the definition of $\beta_q(2)$, we have in fact via (6.1) the following identity, which can also be deduced from (3.4):
\[
\beta_q(2) = Y_2(q) = \sum_{k \geq 0} \left( -1 \right)^k \frac{q^{2k+1}}{(1 - q^{2k+1})^2}.
\]

There are many similarities between the diophantine behaviour of the values of Riemann’s zeta function at even positive integers and the values of Dirichlet’s beta function at odd positive integers. However, no analogy to Apery’s famous result $\zeta(3) \notin \mathbb{Q}$ has been found for $G$ yet. Indeed, the linear forms built in [13] do not show that $G$ is irrational. Moreover, even the denominators conjecture formulated in [13] do not give the arithmetic nature of $G$. We point out that this denominators conjecture was proved in [12] through Padé approximants, and then in a simpler way by using transformation formulae for hypergeometric series in [8].

Now recall the linear combination for $G$ studied in [8]:
\[
n! \sum_{k \geq 1} (-1)^k \left( k + \frac{n-1}{2} \right) \frac{(k-n)_n(k+n)_n}{(k-1/2)_n^3} = a_n G - b_n, \quad (6.3)
\]
where the coefficients $a_n$ and $b_n$ are explicitly given in [8], and we recall $(x)_n := x(x+1)\ldots(x+n-1)$. We want to point out that our linear combination (3.14) gives a $q$-analogue of (6.3). Indeed, for any odd positive integer $n$, $A = 3$ and $r = 1$, (3.14) is:
\[
(q)_n \sum_{k \geq 1} (-1)^{k+1} q^{(2k-1)(n+1)/4} \left( 1 - q^{k+(n-1)/2} \right) \frac{q^{k-n} q^{k+n}_n}{(q^{k-1/2})^3 n+1} = A_n(q) \beta_{\sqrt{q}}(2) + B_n(q), \quad (6.4)
\]
with
\[
A_n(q) := \sum_{j=0}^n (-1)^j q^{1/2-j} \left( 2d_{2,j,n}(q) + d_{3,j,n}(q) \right)
\]

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and

\[ B_n(q) := \sum_{s=1}^{n} \sum_{j=1}^{n} \sum_{k=1}^{j} (-1)^{j+k} \left( \frac{q^{k-j}d_{s,j,n}(q)}{(1-q^{k-1/2})^s} + \frac{q^{-k+j}d_{s,j,n}(1/q)}{(1-q^{-k+1/2})^s} \right) \]

\[ -\frac{1}{2} \sum_{j=0}^{n} (-1)^j q^{1/2-j} d_{1,j,n}(q). \]

Multiplying (6.4) by \((1-q^{1/2})^2\), then letting \(q\) tend to 1, we get by using (6.2):

\[ -\frac{1}{2} n! \sum_{k \geq 1} (-1)^k \left( k + \frac{n-1}{2} \right) \frac{(k-n)(k+n)_n}{(k-1/2)^{n+1}} = \alpha_n G + \beta_n, \quad (6.5) \]

where \(\alpha_n := \lim_{q \to 1} A_n(q)\) and \(\beta_n := \lim_{q \to 1} (1-q^{1/2})^2 B_n(q)\). If the hypergeometric series on the left-hand side of (6.5) is multiplied by \(-2\), we obtain the left-hand side of (6.3). Moreover, by using the definition (3.8) of \(R_n\), the identity (3.9), and (3.11), a direct calculation gives:

\[ \alpha_n = -2 \sum_{j=0}^{n} (n-2j) \binom{n}{j}^3 \left( \binom{n+j-\frac{1}{2}}{n} \right) \left( \binom{2n-j-\frac{1}{2}}{n} \right) \]

\[ \times \left( \frac{1}{n-2j} + 3H_j + H_{j-\frac{1}{2}} - H_{n+j-\frac{1}{2}} \right), \]

where for any positive integer \(m\), \(H_m := \sum_{j=1}^{m} \frac{1}{j}\) is the \(m\)-th harmonic number, whose definition is extended to half-integers by \(H_m := \sum_{j=1}^{[m]+1} \frac{1}{m-j+1}\). This shows that for any odd positive integer \(n\), \(\alpha_n = -a_n/2\) (see [8]). Thus our linear combination (6.4) is indeed a \(q\)-analogue of the one in [8], as we necessarily have \(\beta_n = b_n/2\).

### 6.3 A \(q\)-denominators conjecture

As in [6], it seems possible to refine Lemma 4.5, by considering another common denominator to the \(\hat{P}_{j,n}(q^2)\), having the form \(\hat{D}_n(q) = D_n(q)/\Delta_n(1/q)\). We formulate in this direction the following \(q\)-denominators conjecture:

**Conjecture 6.1.** Let \(n\) and \(A\) be odd positive integers, and set \(r \in \mathbb{N}^*\) such that \(A - 2r > 0\). For \(\alpha = -A/4 - r^2\), there exist real numbers \(\beta\) and \(\gamma\) only depending on \(A\) and \(r\) such that if we set:

\[ \hat{D}_n(q) := (A-1)! q^{[\alpha n^2 + \beta n + \gamma]} \varphi_n(1/q)^2 r d_{2n}(1/q)^{A-1}, \]
then we get:

\[
\tilde{D}_n(q) \tilde{P}_{j,n}(q^2) \in \mathbb{Z} \left[ \frac{1}{q} \right] \quad \forall j \in \{0, 2, 4, \ldots, A - 1\}.
\]

In our opinion, Conjecture 6.1 should be solved by using transformation formulae for basic hypergeometric series, together with arithmetical techniques similar to those used in [6]. Proving this conjecture would imply for \(1/q \in \mathbb{Z} \setminus \{-1; 1\}\) and any odd integer \(A \geq 3\):

\[
\dim_{\mathbb{Q}} (\mathbb{Q} + \mathbb{Q}\beta_q(2) + \cdots + \mathbb{Q}\beta_q(A - 1)) \geq g(A),
\]

where

\[
g(A) = \max_{r \in \mathbb{N}} g(r; A) \quad \text{and} \quad g(r; A) := \frac{4rA + A - 4r^2}{\left( \frac{48}{\pi^2} + 2 \right) A + 8r^2 - \frac{48}{\pi^2} + \frac{16r}{3}}.
\]

Unfortunately, and unlike the case of \(\zeta_q\) in [6], solving this conjecture would not give a refinement of the quantitative version in Corollary 1.3. Indeed, although \(g(A) > f(A)\) for all \(A\), we have \(1 > g(19) \simeq 0.988 > f(19) \simeq 0.973\) and \(g(21) \simeq 1.042 > f(21) \simeq 1.028 > 1\).

References


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