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# Maximum degree in minor-closed classes of graphs

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## ABSTRACT

Given a class of graphs  $\mathcal{G}$  closed under taking minors, we study the maximum degree  $\Delta_n$  of random graphs from  $\mathcal{G}$  with  $n$  vertices. We prove several lower and upper bounds that hold with high probability. Among other results, we find classes of graphs providing orders of magnitude for  $\Delta_n$  not observed before, such as  $\log n / \log \log \log n$  and  $\log n / \log \log \log \log n$ .

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## 1. Introduction

A class of labeled graphs  $\mathcal{G}$  is minor-closed if whenever a graph  $G$  is in  $\mathcal{G}$  and  $H$  is a minor of  $G$ , then  $H$  is also in  $\mathcal{G}$ . A basic example is the class of planar graphs or, more generally, the class of graphs embeddable in a fixed surface.

All graphs in this paper are labeled. Let  $\mathcal{G}_n$  be the graphs in  $\mathcal{G}$  with  $n$  vertices. By a random graph from  $\mathcal{G}$  of size  $n$  we mean a graph drawn with uniform probability from  $\mathcal{G}_n$ . We say that an event  $A$  in the class  $\mathcal{G}$  holds with high probability (w.h.p.) if the probability that  $A$  holds in  $\mathcal{G}_n$  tends to 1 as  $n \rightarrow \infty$ . Let  $\Delta_n$  be the random variable equal to the maximum vertex degree in random graphs from  $\mathcal{G}_n$ . We are interested in events of the form

$$\Delta_n \leq f(n) \quad \text{w.h.p.}$$

and of the form

$$\Delta_n \geq f(n) \quad \text{w.h.p.}$$

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Typically  $f(n)$  will be of the form  $c \log n$  for some constant  $c$ , or some related functions. We say that  $f(n) = O(g(n))$  if there exist an integer  $n_0$  and a constant  $c > 0$  such that  $|f(n)| \leq c|g(n)|$  for all  $n \geq n_0$ ,  $f(n) = \Omega(g(n))$ , if  $g(n) = O(f(n))$ , and finally  $f(n) = \Theta(g(n))$ , if both  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$  hold. Also,  $f(n) = \omega(g(n))$ , if  $\lim_{n \rightarrow \infty} |f(n)|/|g(n)| = \infty$ , and  $f(n) = o(g(n))$ , if  $g(n) = \omega(f(n))$ . Throughout this paper  $\log n$  refers to the natural logarithm.

A classical result says that for labeled trees  $\Delta_n$  is of order  $\log n / \log \log n$  (see [13]). In fact, much more precise results are known in this case, in particular that (see [2])

$$\frac{\Delta_n}{\log n / \log \log n} \rightarrow 1 \quad \text{in probability.}$$

Many more results about the distribution of maximum degree, its concentration, and several different models of randomly generated trees can be found in the survey of [9].

McDiarmid and Reed [12] show that for the class of planar graphs there exist constants  $0 < c_1 < c_2$  such that

$$c_1 \log n < \Delta_n < c_2 \log n \quad \text{w.h.p.}$$

More recently this result has been strengthened using subtle analytic and probabilistic methods [5], by showing the existence of a computable constant  $c$  such that

$$\frac{\Delta_n}{\log n} \rightarrow c \quad \text{in probability.}$$

For planar maps (planar graphs with a given embedding), more precise results on the distribution of  $\Delta_n$  can be found in [7,3,8].

Analogous results have been proved for series–parallel and outerplanar graphs [4], with suitable constants. Using the framework of Boltzmann samplers, results about the degree distribution of subcritical graph classes such as outerplanar graphs, series–parallel graphs, cactus graphs and clique graphs can also be found in [1]. This paper also contains conjectures of the exact values of  $c_{OP}$  ( $c_{SP}$ , respectively) so that the maximum degree in outerplanar graphs (series–parallel graphs, respectively) will be roughly  $c_{OP} \log n$  ( $c_{SP} \log n$ , respectively).

The goal in this paper is to analyze the maximum degree in additional minor-closed classes of graphs. Our main inspiration comes from the work of McDiarmid and Reed mentioned above. The authors develop proof techniques based on double counting that assume only mild conditions on the classes of graphs involved. We now explain the basic principle.

Let  $\mathcal{G}$  be a class of graphs and suppose we want to show that a property  $P$  holds in  $\mathcal{G}$  w.h.p. Let  $\mathcal{B}_n$  be the graphs in  $\mathcal{G}_n$  that do not satisfy  $P$  (the ‘bad’ graphs). Suppose that for a constant fraction  $\alpha > 0$  of graphs in  $\mathcal{B}_n$  we have a rule producing at least  $C(n)$  graphs in  $\mathcal{G}_n$  (the ‘construction’ function). A graph in  $\mathcal{G}_n$  can be produced more than once, but assume every graph in  $\mathcal{G}_n$  is produced at most  $R(n)$  times (the ‘repetition’ function). By double counting we have

$$\alpha |\mathcal{B}_n| C(n) \leq |\mathcal{G}_n| R(n),$$

hence

$$\alpha \frac{|\mathcal{B}_n|}{|\mathcal{G}_n|} \leq \frac{R(n)}{C(n)}.$$

If the procedure is such that  $C(n)$  grows faster than  $R(n)$ , that is  $R(n) = o(C(n))$ , then we conclude that  $|\mathcal{B}_n| = o(|\mathcal{G}_n|)$ , that is, the proportion of bad graphs goes to 0. Equivalently, property  $P$  holds w.h.p. We often use the equivalent formulation  $C(n)/R(n) \rightarrow \infty$ .

We will apply this principle in order to obtain lower and upper bounds on the maximum degree for several classes. In this context, lower bounds are easier to obtain, and only in some cases we are able to prove matching upper bounds. The proof of the upper bound for planar graphs in [12] depends very strongly on planarity, and it seems difficult to adapt it to general situations; however we obtain such a proof for outerplanar graphs. On the other hand, we develop new tools for proving upper bounds based on the decomposition of a connected graph into 2-connected components.

Here is a summary of our main results. We denote by  $\text{Ex}(H)$  the class of graphs not containing  $H$  as a minor. All the claims hold w.h.p. in the corresponding class, and  $c$ ,  $c_1$  and  $c_2$  are suitable positive constants. The fan graph  $F_n$  consists of a path with  $n - 1$  vertices plus a vertex adjacent to all the vertices in the path.

- In  $\text{Ex}(C_4)$  we have, for all  $\epsilon > 0$ ,

$$(2 - \epsilon) \frac{\log n}{\log \log n} \leq \Delta_n \leq (2 + \epsilon) \frac{\log n}{\log \log n}.$$

- In  $\text{Ex}(C_5)$  we have, for all  $\epsilon > 0$ ,

$$(1 - \epsilon) \frac{\log n}{\log \log \log n} \leq \Delta_n \leq (1 + \epsilon) \frac{\log n}{\log \log \log n}.$$

- In  $\text{Ex}(C_6)$  we have

$$c_1 \frac{\log n}{\log \log \log n} \leq \Delta_n \leq c_2 \frac{\log n}{\log \log \log n}.$$

- In  $\text{Ex}(C_7)$  we have

$$c_1 \frac{\log n}{\log \log \log \log n} \leq \Delta_n \leq c_2 \frac{\log n}{\log \log \log \log n}.$$

- If  $H$  is 2-connected and contains  $C_{2\ell+1}$  as a minor, then in  $\text{Ex}(H)$  we have

$$\Delta_n \geq c \frac{\log n}{\log^{(\ell+1)} n},$$

where  $\log^{(\ell+1)} n = \log \dots \log n$ , iterated  $\ell + 1$  times.

- If  $H$  is 2-connected and is not a minor of  $F_n$  for any  $n$ , then in  $\text{Ex}(H)$  we have

$$\Delta_n \geq c \log n.$$

The results on  $\text{Ex}(H)$  also hold when forbidding more than one graph as a minor, as discussed in the next section.

**Organization of the paper.** In Section 2 we prove the lower bounds for the maximum degree. In Section 3 we determine the structure of 2-connected graphs in the classes  $\text{Ex}(C_5)$ ,  $\text{Ex}(C_6)$  and  $\text{Ex}(C_7)$ . This is quite technical and based on case analysis. The reason we undertake this analysis is to exemplify our technique for proving upper bounds and to show that different asymptotic estimates for the maximum degree are indeed possible. The proofs for the upper bound are contained in Section 4. We conclude with some remarks and several conjectures and open problems.

## 2. Lower bounds

A *pendant* vertex is a vertex of degree one. The following lemma follows from [11].

**Lemma 1.** *Let  $H_1, \dots, H_k$  be 2-connected graphs and let  $\mathcal{G} = \text{Ex}(H_1, \dots, H_k)$ . Then there is a constant  $\alpha > 0$  such that a graph in  $\mathcal{G}_n$  contains at least  $\alpha n$  pendant vertices w.h.p.*

To illustrate our proof technique, we reprove the following well-known result (see [13], and see [2] for more precise results, as mentioned above), but without the need of enumerative tools.

**Lemma 2.** *Let  $\epsilon > 0$  be any constant. In the class of forests, w.h.p.*

$$(1 - \epsilon) \frac{\log n}{\log \log n} \leq \Delta_n.$$

**Proof.** Let  $\mathcal{G}$  be the class of forests, and  $\mathcal{G}_n$  the class of forests with exactly  $n$  vertices. Let  $\epsilon > 0$  be any constant and let  $\mathcal{B}_n \subseteq \mathcal{G}_n$  denote the set of bad graphs with  $\Delta_n < (1 - \epsilon) \frac{\log n}{\log \log n}$ , and suppose

for contradiction that  $|\mathcal{B}_n| \geq \mu |\mathcal{G}_n|$  for some  $\mu > 0$ , infinitely often. Our goal is to show that we can obtain  $\omega(|\mathcal{B}_n|)$  new graphs in  $\mathcal{G}_n$ , or equivalently,  $C(n)/R(n) \rightarrow \infty$ , contradicting  $|\mathcal{B}_n| \geq \mu |\mathcal{G}_n|$ . Consider the subclass  $\mathcal{B}'_n \subseteq \mathcal{B}_n$  of graphs in  $\mathcal{B}_n$  with at least  $\alpha n$  pendant vertices. By Lemma 1,  $|\mathcal{B}'_n| = (1 + o(1))|\mathcal{B}_n|$ . Let  $G$  be a graph in  $\mathcal{B}'_n$ . Choose from the pendant vertices a subset of size  $s + 1$ , where  $s = \lceil (1 - \epsilon) \frac{\log n}{\log \log n} \rceil$ , and delete all their pendant edges. Among those choose a vertex, call it  $v_1$ , and make it adjacent to all other  $s$  vertices. Finally, choose a vertex  $u$  different from the  $s + 1$  chosen vertices, and make  $u$  adjacent to  $v_1$  (we have at least  $n - s \geq n/2$  choices for  $u$ ). In this way one can construct at least  $\binom{\alpha n}{s+1} (s+1) \frac{n}{2}$  graphs. From how many graphs  $G$  may the newly constructed graph  $G'$  come? We identify  $v_1$  as the only vertex with largest degree in  $G'$  and  $u$  as the only non-pendant neighbor of  $v_1$ . In order to reconstruct  $G$  completely we only need to reattach the  $s + 1$  vertices in all possible ways, which can be done in at most  $n^{s+1}$  ways. Hence

$$\frac{C(n)}{R(n)} \geq \frac{\binom{\alpha n}{s+1} (s+1)n}{2n^{s+1}} \geq \frac{n(\alpha/2)^{s+1}}{2s!}.$$

Taking logarithms, this gives

$$\log \frac{C(n)}{R(n)} \geq \log n - s \log s + O(s) = \log n - (1 - \epsilon)(1 + o(1)) \log n,$$

which tends to infinity. Hence,  $|\mathcal{B}_n| = o(|\mathcal{G}_n|)$ , and thus w.h.p.  $(1 - \epsilon) \frac{\log n}{\log \log n} \leq \Delta_n$ , and the result follows.  $\square$

Now we are ready to state new results that can be obtained using our techniques. In order to prove a lower bound for  $\Delta_n$  in a class  $\mathcal{G}_n$ , the basic idea is to generalize the previous proof. Take a graph  $G$  in  $\mathcal{G}_n$  whose maximum degree is too small (a bad graph), take enough pendant vertices and make with them a special graph  $S$  rooted at a special vertex  $v$  (in the previous proof a star rooted at its center), and attach  $S$  to  $G$  through a single edge, producing a new graph  $G'$  in  $\mathcal{G}_n$ . Then  $v$  becomes the unique vertex of maximum degree  $s = |S|$ , and  $G$  can be reconstructed from  $G'$  easily by reattaching the vertices in  $S$ , which are neighbors of  $v$  in  $G'$ . Double counting is then used to show that the proportion of bad graphs goes to 0 as  $n$  goes to infinity.

**Theorem 3.** *The following claims refer to the class  $\text{Ex}(H_1, \dots, H_k)$ .*

1. *Let  $c$  be a positive constant satisfying  $c < \frac{1}{\log(2/\alpha)}$ . If all the  $H_i$  are 2-connected and none of them is a minor of a fan graph  $F_n$ , then*

$$\Delta_n \geq c \log n \quad \text{w.h.p.}$$

*This holds in particular if the  $H_i$  are 3-connected or not outerplanar.*

2. *If all the  $H_i$  are 2-connected and contain  $C_4$  as a minor (that is, all the  $H_i$  are not  $C_3$ ), then for every  $\epsilon > 0$ ,*

$$\Delta_n \geq (2 - \epsilon) \frac{\log n}{\log \log n} \quad \text{w.h.p.}$$

3. *If all the  $H_i$  are 2-connected and contain  $C_5$  as a minor, then for every  $\epsilon > 0$ ,*

$$\Delta_n \geq (1 - \epsilon) \frac{\log n}{\log \log \log n} \quad \text{w.h.p.}$$

4. *For  $\ell \geq 3$ , let  $c = c(\ell)$  be a positive constant satisfying  $c < 1/\ell$ . If all the  $H_i$  are 2-connected and contain  $C_{2\ell+1}$  as a minor for some  $\ell \geq 3$ , then*

$$\Delta_n \geq c \frac{\log n}{\log^{(\ell+1)} n} \quad \text{w.h.p.}$$

*Note that if all the  $H_i$  are 2-connected, since every 2-connected graph contains  $C_3$  as a minor, the bound  $\Delta_n \geq c \log n / \log \log n$  always holds for  $c < 1$ .*

**Proof.** Throughout the proof we will assume for contradiction that there is some constant  $\mu > 0$  such that for each item and its corresponding graphs in  $\mathcal{B}_n$ , we have  $|\mathcal{B}_n| \geq \mu |\mathcal{G}_n|$  infinitely often. Our goal is to show that we can obtain  $\omega(|\mathcal{B}_n|)$  new graphs in  $\mathcal{G}_n$ , or equivalently,  $C(n)/R(n) \rightarrow \infty$ , contradicting  $|\mathcal{B}_n| \geq \mu |\mathcal{G}_n|$ . Since, by assumption,  $|\mathcal{B}_n| \geq \mu |\mathcal{G}_n|$ , as before, by Lemma 1, the subclass  $\mathcal{B}'_n \subseteq \mathcal{B}_n$  of graphs with at least  $\alpha n$  pendant vertices satisfies  $|\mathcal{B}'_n| = (1 + o(1))|\mathcal{B}_n|$ , and we will in all cases below consider a graph of  $\mathcal{B}'_n$ , where the definition of  $\mathcal{B}_n$ , and thus of  $\mathcal{B}'_n$ , changes from case to case.

1. Let  $\mathcal{G} = \text{Ex}(H_1, \dots, H_k)$  and let  $\mathcal{B}_n \subseteq \mathcal{G}_n$  be the graphs with  $\Delta_n < c \log n$ , where  $c$  is a positive constant satisfying  $c < \frac{1}{\log(2/\alpha)}$ , and let  $h = \lceil c \log n \rceil$ . Let  $G$  be a graph in  $\mathcal{B}'_n \subseteq \mathcal{B}_n$ . Choose an ordered list  $v_1, \dots, v_h$  of  $h$  pendant vertices in  $G$ , delete the edges joining the  $v_i$  to the rest of the graph, and make a copy of  $F_h$  with a path  $v_2, \dots, v_h$  and  $v_1$  adjacent to all of them. Select a vertex  $u$  of  $G$  different from the  $v_i$  and make it adjacent to  $v_1$ . The graph  $G'$  constructed in this way belongs to  $\mathcal{G}_n$ , since the  $H_i$  are 2-connected and none of them is a minor of a fan graph, and has the same number of vertices as  $G$ .

The number of graphs constructed in this way is at least (where  $(m)_k$  denotes a falling factorial)

$$(\alpha n)_h (n - h) \geq \left(\frac{\alpha n}{2}\right)^h n,$$

the last inequality being true for  $n$  large enough; we use the fact that  $h = \lceil c \log n \rceil$  is small compared with  $n$ .

How many times a graph  $G'$  can be constructed in this way? Since  $G \in \mathcal{B}_n$ ,  $v_1$  can be identified as the only vertex of degree  $h$ . Vertices  $v_2, \dots, v_h$  can be identified as the neighbors of  $v_1$  inducing a path (among the neighbors of  $v_1$ ,  $u$  is the only cut-vertex, and hence it can be identified easily). In order to recover  $G$ , we delete all the edges among the  $v_i$  and the edge  $v_1 u$ , and make  $v_1, \dots, v_h$  adjacent to one of the remaining vertices through a single edge. The number of possibilities is at most

$$(n - h)^h \leq n^h.$$

Summarizing, we can take  $C(n) = (\alpha/2)^h n^{h+1}$  and  $R(n) = n^h$ . Then

$$\frac{C(n)}{R(n)} \geq n(\alpha/2)^{c \log n},$$

which tends to infinity if  $c < \frac{1}{\log(2/\alpha)}$ . This finishes the proof.

2. Assume now that the  $H_i$  contain  $C_4$  as a minor, that is, they all contain a cycle of length at least four. As before, let  $\mathcal{G} = \text{Ex}(H_1, \dots, H_k)$ , let  $\mathcal{B}_n \subseteq \mathcal{G}_n$  be the graphs with  $\Delta_n < (2 - \epsilon) \log n / \log \log n$ , and let  $s = \lceil (2 - \epsilon) \log n / \log \log n \rceil$ . Let  $G$  be a graph in  $\mathcal{B}'_n$ . Choose an (unordered) set of  $s + 1$  pendant vertices  $v_1, \dots, v_{s+1}$  in  $G$ , and delete the edges joining the  $v_i$  to the rest of the graph. Among those choose one of them, say  $v_1$ , and make it adjacent to all others. The other  $s$  vertices are paired up, and vertices of pairs are made adjacent (if  $s$  is odd, one vertex remains unpaired). Finally, another pendant vertex  $u$  is chosen and made adjacent to  $v_1$ . Note that there are at least  $\alpha n/2$  choices for  $u$ . There are thus at least  $\binom{\alpha n}{s+1} (s + 1) ((s - 1)!) (\alpha n/2)$  constructions, where  $(2k - 1)!! = 1 \cdot 3 \cdots (2k - 1)$ . The graph  $G'$  constructed in this way belongs to  $\mathcal{G}_n$ , and has the same number of vertices as  $G$ . When reconstructing  $G$ ,  $v_1$  can be identified as the unique vertex of maximum degree, and  $u$  is identified as the only neighbor of  $v_1$  adjacent to a vertex which is not a neighbor of  $v_1$ . Thus, only the  $s + 1$  chosen vertices have to be reattached, and there are at most  $n^{s+1}$  choices. Hence,

$$\frac{C(n)}{R(n)} \geq \frac{\binom{\alpha n}{s+1} \left(\frac{1}{2}\alpha n\right) ((s + 1)!!)}{n^{s+1}} \geq \frac{\left(\frac{1}{2}\alpha\right)^{s+2} ((s + 1)!!) n}{(s + 1)!}.$$

Using  $(2g - 1)!! = (2g)!/(2^g g!)$  and taking logarithms we obtain

$$\log \frac{C(n)}{R(n)} \geq \log n - (s/2) \log s + O(s) = \log n - (1 - (\epsilon/2))(1 + o(1)) \log n,$$

which tends to infinity, as desired.

3. Now we may assume that the  $H_i$  contain  $C_5$  as a minor. As before, let  $\mathcal{G} = \text{Ex}(H_1, \dots, H_k)$  and let  $\mathcal{B}_n \subseteq \mathcal{G}_n$  be the graphs with  $\Delta_n < (1 - \epsilon) \log n / \log \log \log n$ , and let  $s' = \lceil (1 - \epsilon) \log n / \log \log \log n \rceil$ .

Let  $F_{n,m}$  be the following graph: take  $m$  disjoint copies of  $K_{2,n-1}^+$  (the complete bipartite graph  $K_{2,n-1}$  plus an edge joining the two vertices in the part of size two), and glue them together by identifying a vertex of degree  $n - 1$  in each copy. Notice that the longest cycle in  $F_{n,m}$  is  $C_4$ , and that  $F_{n,m}$  has  $mn + 1$  vertices. Let  $G$  be a graph in  $\mathcal{B}'_n$ . For an integer  $s < s'$  to be made precise below, choose a set of  $s + 1$  pendant vertices  $v_1, \dots, v_{s+1}$  in  $G$ , delete the edges joining the  $v_i$  to the rest of the graph, and make a copy of  $F_{r,s/r}$  with the  $v_i$ , where  $r$  is an integer to be determined later. Let  $v_1$  be the vertex chosen to be adjacent to all other  $v_i$  (there are  $s + 1$  choices for this vertex). Select a vertex  $u$  of  $G$  different from the  $v_i$  and make it adjacent to  $v_1$ . The graph  $G'$  constructed in this way belongs to  $\mathcal{G}_n$ , since the  $H_i$  are 2-connected and have no cycle of length more than four, and has the same number of vertices as  $G$ .

The number of graphs constructed in this way is at least

$$\frac{\binom{\alpha n}{s+1} (s + 1) \binom{s}{r, \dots, r} r^{s/r \frac{n}{2}}}{(s/r)!},$$

where the first binomial is for the choice of the pendant vertices;  $(s + 1)$  is for the choice of the center vertex  $v_1$ , the multinomial coefficient divided by  $(s/r)!$  stands for a lower bound on the number of partitions of the  $s$  vertices into groups of size  $r$ ; the factor  $r^{s/r}$  for the choice of the vertices of degree  $r$  in each group; and finally  $n/2$  is a lower bound for the choices of the target vertex  $u$ . The number of ways such a graph  $G'$  can be constructed is at most  $n^{s+1}$ , the argument is the same as before. Therefore, for  $n$  large enough, we have

$$\frac{C(n)}{R(n)} \geq \frac{\binom{\alpha n}{s+1} (s + 1) \binom{s}{r, \dots, r} r^{s/r \frac{n}{2}}}{(s/r)! n^{s+1}} \geq \frac{(\frac{\alpha}{2})^{s+1} \frac{n}{2} r^{s/r}}{(r!)^{s/r} (s/r)!}.$$

Taking logarithms in the last expression we obtain

$$(1 + o(1)) \left( (s + 1) \log \frac{\alpha}{2} + \log \frac{1}{2} + \log n + \frac{s}{r} \log r - s \log r - \frac{s}{r} \log \frac{s}{r} \right).$$

For the choices

$$s' = \left\lceil (1 - \epsilon) \frac{\log n}{\log \log \log n} \right\rceil, \quad r = \left\lfloor \frac{2 \log s'}{\epsilon \log \log s'} \right\rfloor$$

and  $s$  to be the largest integer smaller or equal to  $s'$  with the property of being divisible by  $r$  (note that  $s = (1 + o(1))s'$ ), we can safely ignore the term  $(s + 1) \log(\alpha/2) + \log(1/2) + (s/r) \log r$ . Plugging in these values of  $s$  and  $r$  into the remaining term, we obtain

$$\begin{aligned} & (1 + o(1)) \left( \log n - s \log r - \frac{s}{r} \log \frac{s}{r} \right) \\ & \geq (1 + o(1)) \left( \log n - s(\log \log s - \log \log \log s) - \frac{\epsilon}{2} s \log \log s \right) \\ & \geq (1 + o(1)) \left( \log n - \left(1 + \frac{\epsilon}{2}\right) s \log \log s \right) \\ & \geq (1 + o(1)) \left( \log n - \left(1 + \frac{\epsilon}{2}\right) (1 - \epsilon) \log n \right), \end{aligned}$$

which tends to infinity, since  $(1 + \frac{\epsilon}{2})(1 - \epsilon) < 1$ .

4. As before, assume that the  $H_i$  contain  $C_{2\ell+1}$  as a minor, and let  $\mathcal{G} = \text{Ex}(H_1, \dots, H_k)$ . Let  $\mathcal{B}_n \subseteq \mathcal{G}_n$  be the graphs with  $\Delta_n < c \log n / \log^{(\ell+1)} n$  (where  $c$  is a small enough constant), and let  $s = \lceil c \log n / \log^{(\ell+1)} n \rceil$ .

Let  $G$  be a graph in  $\mathcal{B}'_n \subseteq \mathcal{B}_n$ . Choose a set of  $s + 1$  pendant vertices  $v_1, \dots, v_{s+1}$  in  $G$ , delete the edges joining the  $v_i$  to the rest of the graph, and make a copy of the following graph  $F$  with the  $v_i$ : first,

as before, choose one special vertex, call it  $v_1$ , and make it adjacent to all other  $v_i$ . Group the remaining  $v_i$  (all except for  $v_1$ ) into groups of size  $r_1 = \log s / \log^{(\ell)} s$  (we ignore rounding issues, taking care of them below). Choose in each of the  $s/r_1$  groups a center vertex. Call all center vertices to be vertices at level 1. Iteratively, for  $i = 1, \dots, \ell - 2$ , do the following: group each group of size  $r_i - 1$  (from each group we eliminate the center vertices at level  $i$ ) into subgroups of size  $r_{i+1} = \log^{(i+1)} s / \log^{(\ell)} s$ . Choose in each subgroup a new center vertex, and call all center vertices chosen in this step to be vertices at level  $i + 1$ . Connect each center vertex at level  $i$  with all center vertices at level  $i + 1$  resulting from subgroups of the group of vertex  $i$ . Connect all center vertices at level  $\ell - 1$  with the remaining vertices of its corresponding subgroup (those vertices not chosen as centers).

Observe that the graph  $F$  does not contain a  $C_{2\ell+1}$ , since in the construction we add a forest of maximum path length  $2(\ell - 1)$  to a star centered at  $v_1$ , and thus the maximum cycle length is  $2\ell$ .

Next select a vertex  $u$  of  $G$  different from the  $v_i$  and make it adjacent to  $v_1$ . The graph  $G'$  constructed in this way belongs to  $\mathcal{G}_n$ , and has the same number of vertices as  $G$ . As before, we count the number of different graphs obtained by applying this construction to one graph of  $\mathcal{B}'_n$ . We obtain at least

$$\frac{\frac{n}{2} \binom{\alpha n}{s+1} (s+1) \binom{s}{r_1, \dots, r_1} \prod_{i=1}^{\ell-2} \binom{r_i-1}{r_{i+1}, \dots, r_{i+1}}^{s/r_i(1+\beta_i)} (r_i - 1)^{s/r_i(1+\beta_i)}}{\left(\frac{s}{r_1}\right)! \prod_{i=1}^{\ell-2} \left(\left(\frac{r_i-1}{r_{i+1}}\right)!\right)^{s/r_i(1+\beta_i)}}$$

many graphs, where the  $\beta_i = o(1)$  take into the account rounding issues and also the fact that in the  $i$ th step only  $r_i - 1$  vertices are split into subgroups of size  $r_{i+1}$  (for example, we approximate  $\frac{s(r_i-1)}{r_1 r_2}$  by  $\frac{s}{r_2}$ ;  $\beta_2$  accounts for the difference). Indeed, even for the last term  $\beta_{\ell-2}$  the error term is bounded from above by  $\sum_{i=1}^{\ell-3} \frac{1}{r_i} = o(1)$ . By the same argument as in the proof of 2., a new graph can have at most  $n^{s+1}$  preimages. Thus, for  $n$  sufficiently large (the factors  $r_i^{s/r_i}$  in the denominator are a lower bound corresponding to the fact that the factors  $r_i$  in the numerator do not exactly cancel), we have

$$\frac{C(n)}{R(n)} \geq \frac{\frac{1}{2} n \left(\frac{1}{2}\alpha\right)^{s+1} ((r_1 - 1)!)^{s/r_1}}{(r_1!)^{s/r_1} \left(\frac{s}{r_1}\right)! (r_{\ell-1})!^{s/r_{\ell-1}(1+\beta_{\ell-1})} \prod_{i=2}^{\ell-2} r_i^{s/r_i} \prod_{i=1}^{\ell-2} \left(\left(\frac{r_i-1}{r_{i+1}}\right)!\right)^{s/r_i(1+\beta_i)}}.$$

Taking logarithms, we obtain

$$(1 + o(1)) \left( \log n + s \log(r_1 - 1) - s \log r_1 - \frac{s}{r_1} \log \frac{s}{r_1} - s \log r_{\ell-1} - \sum_{i=1}^{\ell-2} \frac{s}{r_i} \frac{r_i - 1}{r_{i+1}} \log \frac{r_i - 1}{r_{i+1}} \right).$$

Using  $s \log(r_1 - 1) = s \log(r_1) + s \log(1 - 1/r_1)$  and  $\frac{s}{r_i} \frac{r_i-1}{r_{i+1}} \log \frac{r_i-1}{r_{i+1}} \leq \frac{s}{r_{i+1}} \log r_i$ , we get that this expression is at least

$$(1 + o(1)) \left( \log n - \frac{s}{r_1} \log \frac{s}{r_1} - s \log r_{\ell-1} - \sum_{i=1}^{\ell-2} \frac{s}{r_{i+1}} \log r_i \right). \tag{1}$$

Plugging in the values  $r_i = \log^{(i)} s / \log^{(\ell)} s$ , all but the first term are  $(1 + o(1))s \log^{(\ell)} s$ , and thus, plugging in the value  $s = c \log n / \log^{(\ell+1)} n$ , for  $c < 1/\ell$ , the expression in (1) tends to infinity.  $\square$

**Remark.** The 2-connected graphs which are a minor of some  $F_n$  consist just of a cycle and some chords, all of them incident to the same vertex. In particular, if we forbid the graph consisting of a cycle of length six  $v_1, v_2, v_3, v_4, v_5, v_6$  and the chords  $v_1 v_3$  and  $v_4 v_6$ , the condition of part 1 of Theorem 3 still holds, and the conclusion that w.h.p.  $\Delta_n \geq c \log n$  follows. The same also holds when forbidding the 6-cycle together with the chords  $v_1 v_3, v_3 v_5, v_5 v_1$ .

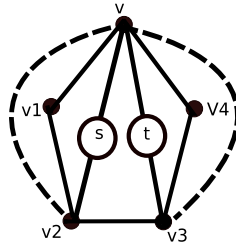


Fig. 1. The graph  $H_{2,s,t}$  with the notation as in Lemma 5, and with two optional edges (dashed).

**3. Characterization of 2-connected graphs in  $Ex(C_5)$ ,  $Ex(C_6)$  and  $Ex(C_7)$**

In this section we determine all 2-connected graphs in the classes  $Ex(C_5)$ ,  $Ex(C_6)$  and  $Ex(C_7)$ . This is an essential ingredient for the proofs in the next section.

As usual,  $K_{2,n}$  is the complete bipartite graph with partite sets of size 2 and  $n$ . Recall that  $K_{2,n}^+$  denotes the graph obtained from  $K_{2,n}$  by adding an edge between the two vertices of degree  $n$ . We have the following:

**Lemma 4.** *The only 2-connected graphs in  $Ex(C_5)$  are  $K_3$ ,  $K_4$ ,  $K_{2,m}$  and  $K_{2,m}^+$ , for  $m \geq 2$ .*

**Proof.** Let  $G$  be a 2-connected graph in  $Ex(C_5)$ . If  $G$  has at most three vertices, then it has to be  $K_3$ . Otherwise, if  $G$  has exactly four vertices, then it is either  $C_4$ ,  $K_4$  minus one edge, or  $K_4$ . Otherwise, suppose that  $G$  has at least 5 vertices. Let  $v, v_1, v_2, v_3$  be the vertices in cyclic order of a  $C_4$  in  $G$ . Assume without loss of generality that  $v$  has another neighbor different from  $v_1$  and  $v_3$ , and also different from  $v_2$ . Observe that  $a$  cannot be adjacent to  $v_1$  or  $v_3$ , since this would create a  $C_5$ . By 2-connectivity, there must exist a path from  $a$  to  $v_2$  containing none of  $v, v_1, v_3$ . Since  $G$  is in  $Ex(C_5)$ , it follows that  $a$  is adjacent to  $v_2$ . This holds for all neighbors of  $v$  different from  $v_2$ . Thus, they must form an independent set, and we obtain a copy of  $K_{2,m}$ . The only edge that can be added while staying in  $Ex(C_5)$  is the edge  $vv_2$ , giving rise to  $K_{2,m}^+$ .  $\square$

For  $s, t \geq 0$ , define the graph  $H_{2,s,t}$ , obtained by identifying a vertex  $v$  of degree  $s + 1$  in  $K_{2,s+1}$  and a vertex of degree  $t + 1$  in  $K_{2,t+1}$ , and by adding an edge between the other vertices  $v_2$  and  $v_3$  of degree  $s + 1$  and  $t + 1$ , respectively. Note that  $v$  has thus at least one common neighbor with  $v_2$ , call it  $v_1$ , and at least one common neighbor with  $v_3$ , call it  $v_4$  (see Fig. 1). We denote by  $H_{2,s,t}^*$  any graph obtained from  $H_{2,s,t}$  by adding a subset of the edges between vertices  $x$  and  $y$  with  $x, y \in \{v, v_1, v_2, v_3, v_4\}$ , unless they are creating a cycle of length 6 or longer (see Fig. 1). Observe that the subset of edges allowed depends on the fact whether  $s$  or  $t$  is different from 0 or not; only in the case  $s = t = 0$  all edges between special vertices can be added, yielding  $K_5$ .

**Lemma 5.** *The only 2-connected graphs in  $Ex(C_6)$  are those in  $Ex(C_5)$ , the graphs  $H_{2,s,t}$ , and any graph of the form  $H_{2,s,t}^*$ , for  $s, t \geq 0$ .*

**Proof.** Let  $G$  be a 2-connected graph in  $Ex(C_6)$ . If  $G$  is in  $Ex(C_5)$ , we apply the previous lemma. If  $G$  contains  $C_5$  and has exactly 5 vertices, then  $G$  is either  $H_{2,0,0}$  or  $H_{2,0,0}^*$ . Otherwise let  $v, v_1, v_2, v_3, v_4$  be the vertices in cyclic order of a  $C_5$  in  $G$  (see Fig. 1). Call these vertices special. Observe that except for possible edges between neighbors of  $v$  that are both special vertices,  $N(v)$  is an independent set. Consider a non-special neighbor  $a$  of  $v$ . As in the proof of Lemma 4, by 2-connectivity,  $a$  is adjacent to either  $v_2$  or  $v_3$ , but not both. Let  $A = N(v) \cap N(v_2) - \{v_1\}$ ,  $B = N(v) \cap N(v_3) - \{v_4\}$ ,  $s = |A|$ , and  $t = |B|$ . With this notation, it can be checked that  $G$  is either  $H_{2,s,t}$  or is in  $H_{2,s,t}^*$ , possibly with  $v_3$  or  $v_4$  playing the role of  $v$ .  $\square$

**Remark.** When later we refer to graphs  $H_{2,s,t}$  or in  $H_{2,s,t}^*$ , with  $v_3$  or  $v_4$  playing the role of  $v$ , they will be denoted as  $\tilde{H}_{2,s,t}$  and  $\tilde{H}_{2,s,t}^*$ .



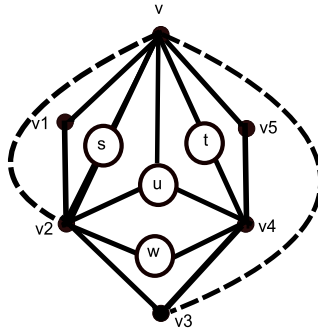


Fig. 2. The graph  $S_{s,t,u,w}$  with the notation as in Lemma 6, and with two optional edges (dashed).

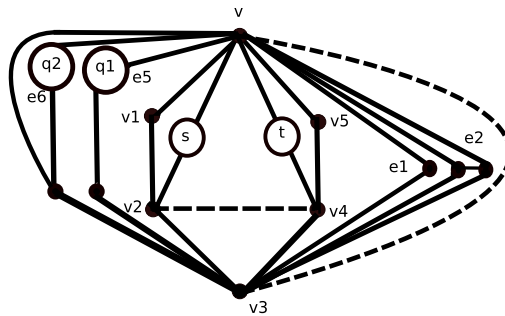


Fig. 3. The graph  $V_{s,t,E}$  with the notation as in Lemma 6 ( $e_1 = e_2 = 1, e_3 = e_4 = 0, e_5 = e_6 = 1$  with corresponding degrees  $q_1$  and  $q_2$ ), and with two optional edges (dashed).

Define the graph  $S_{s,t,u,w}$  to be the graph constructed as follows: start with a 6-cycle whose vertices in cyclic order are  $v, v_1, v_2, v_3, v_4, v_5$ , and call these vertices *special*. In addition there are  $w \geq 0$  vertices connecting  $v_2$  and  $v_4$ ,  $s \geq 0$  vertices connecting  $v$  with  $v_2$ ,  $t \geq 0$  vertices connecting  $v$  with  $v_4$ , and  $u \geq 0$  vertices connecting  $v$  with both  $v_2$  and  $v_4$  (in all cases excluding special vertices). Define then by  $S_{s,t,u,w}^*$  any graph obtained by possibly adding any of the edges between special vertices without creating a cycle of length 7 or more, see Fig. 2.

Finally, let  $V_{s,t,E}$  be the following class of graphs: start with a 6-cycle  $v, v_1, v_2, v_3, v_4, v_5$ , again called special vertices. There is a set  $A$  of  $s \geq 0$  vertices connecting  $v$  with  $v_2$ , and a set  $B$  of  $t \geq 0$  vertices connecting  $v$  with  $v_4$  (always excluding special vertices).

In addition, there is the following set of connections between  $v$  and  $v_3$  (not including vertices in  $A$  or  $B$  or special vertices) specified by  $K = \{e_1, e_2, e_3, e_4, e_5, e_6\}$ . There are  $e_1 \geq 0$  vertices connecting  $v$  with  $v_3$ , and  $e_2$  pairs of vertices which are adjacent to each other, and both are adjacent to both  $v$  and  $v_3$ . Furthermore, there are  $e_3$  disjoint graphs  $K_{2,q_i}$  (for  $i = 1, \dots, e_3$ ) emanating from  $v_3$ , and the other vertex of degree  $q_i$  is connected to  $v$ . For  $e_4$ , the construction is the same, except that for these graphs also the edge between  $v_3$  and the other vertex of degree  $q_i$  is present. Finally, there are  $e_5$  and  $e_6$  disjoint graphs  $K_{2,q_i}$  which are as the graphs  $e_3$  and  $e_4$ , but with the roles of  $v_3$  and  $v$  exchanged. For further reference, call the graphs of group  $e_3$  and  $e_4$  *double stars* of degree  $q_i$  emanating from  $v_3$  (for  $i = 1, \dots, e_3$ ), and those of group  $e_5$  and  $e_6$  *double stars* emanating from  $v$  of degree  $q_i$ . All vertices appearing in any of the six groups are disjoint and we refer to them as *external vertices*. Finally,  $V_{s,t,E}^*$  is the class of graphs obtained by possibly adding any of the edges between special vertices without creating a cycle of length 7 or more (see Fig. 3 for an example).

**Lemma 6.** *The only 2-connected graphs in  $\text{Ex}(C_7)$  are those in  $\text{Ex}(C_6)$ , the graphs  $S_{s,t,u,w}, V_{s,t,E}$  and the corresponding graphs  $S_{s,t,u,w}^*, V_{s,t,E}^*$ .*

**Proof.** Let  $G$  be a 2-connected graph in  $\text{Ex}(C_7)$ . If  $G$  is in  $\text{Ex}(C_6)$ , we apply the previous lemma. If  $G$  contains  $C_6$  and has exactly 6 vertices, then  $G = S_{0,0,0,0}$  or  $G = S_{0,0,0,0}^*$ . Otherwise, let  $v, v_1, v_2, v_3, v_4, v_5$  be the vertices in cyclic order of a  $C_6$  in  $G$ , again called special. We distinguish two cases now. In the sequel all new vertices considered are not special vertices.

*Case 1:* There is no other vertex  $a$  with the property that there are two internally vertex-disjoint paths of length three from  $v$  to  $a$ . We distinguish between two subcases.

*Case 1.1:* Suppose first that there exist  $u \geq 1$  vertices  $a \in N(v)$  that are adjacent to both  $v_2$  and  $v_4$ . Observe that the existence of such a vertex  $a$  implies that no external vertex  $e$  can be present in  $G$ , as otherwise one would have a cycle of length at least 7 (namely,  $v, v_1, v_2, a, v_4, v_3, e, v$ ). Hence, all non-special neighbors of  $v$  can be partitioned into three sets  $A, B$  and  $C$ , where  $A$  is the set of  $s \geq 0$  vertices connected only with  $v_2$ ,  $B$  is the set of  $t \geq 0$  vertices connected only with  $v_4$ , and  $C$  is the set of  $u \geq 1$  vertices connected to both  $v_2$  and  $v_4$ . This corresponds exactly to the graph  $S_{s,t,u,w}$  with  $w = 0$ . It is easy to check that except for edges yielding a graph in  $S_{s,t,u,0}^*$ , no edge can be added, as otherwise a 7-cycle would be generated (see Fig. 2).

*Case 1.2:* Suppose that there is no vertex  $a \in N(v)$  adjacent to both  $v_2$  and  $v_4$ . Let  $A$  be the neighbors of  $v$  connecting  $v$  with  $v_2$ , and let  $B$  be the neighbors of  $v$  connecting  $v$  with  $v_4$ . Let  $s = |A|$  and  $t = |B|$ . External vertices connecting  $v$  with  $v_3$  are now possible. Note first that none of them can be adjacent to a special vertex except for  $v, v_2$  in the case of  $A$  and except for  $v, v_4$  in the case of  $B$ , neither to another vertex in  $A$  nor  $B$ . There can be  $e_1$  vertices connecting  $v$  with  $v_3$ , and  $e_2$  pairs of vertices, adjacent to each other, both adjacent to  $v$  and  $v_3$ . Also, we might have  $e_3$  ( $e_4$ , respectively) double stars of degree  $q_i \geq 0$  emanating from  $v_3$ , where the other vertex of degree  $q_i$  is also adjacent to  $v$  (in the case of the  $e_4$  vertices, the edge between  $v_3$  and the other vertex of degree  $q_i$  is also present). Also, the roles of  $v_3$  and  $v$  can be interchanged, yielding  $e_5$  double stars ( $e_6$ , respectively) of degree  $q_i$  emanating from  $v$  (in the case of the  $e_6$  stars, the edge between  $v$  and the other vertex of degree  $q_i$  is added as well; observe that in the case of the  $e_5$  double stars we may assume  $q_i \geq 2$ , as otherwise these vertices appear already among the  $e_3$  stars). The six groups are disjoint and there can be no other edge between external vertices. Thus, denoting  $K = \{e_1, \dots, e_6\}$ , we obtain a graph in  $V_{s,t,E}$ . As before, no other edge except for edges yielding a graph in  $V_{s,t,E}^*$  can be added (see Fig. 3).

*Case 2:* There exists at least one more vertex  $a$  such that there are two internally vertex-disjoint paths of length three from  $v$  to  $a$ . These paths must be of the form  $v, v_1, v_2, a$  and  $v, v_5, v_4, a$  (if for example instead of the edge  $vv_1$  there would be an edge  $vz$  for some other vertex  $z$ , there would be a path of length 6 going from  $z, v, v_5, \dots, v_1$ , which, by 2-connectivity, would give a cycle of length at least 7). We suppose there are  $w \geq 1$  such vertices  $a$  with such paths. Observe that the existence of such a vertex  $a$  implies that no external vertex  $e$  can be present in  $G$ , as otherwise one would have a cycle of length at least 7 (namely, the cycle  $v, v_1, v_2, a, v_4, v_3, e, v$ ). All non-special neighbors of  $v$  can thus be partitioned into three sets  $A, B$ , and  $C$ , where  $A$  are those connected only with  $v_2$ ,  $B$  those connected only with  $v_4$ , and  $C$  those connected both with  $v_2$  and  $v_4$ . We let  $s = |A|, t = |B|, u = |C|$ . Let  $W$  be the vertices which are neither neighbors of  $v$  nor special vertices, and  $w = |W|$ . Again it can be checked that they all are such that there are two internally vertex-disjoint paths of length three from  $v$  to them, thus yielding a graph in  $S_{s,t,u,w}$ . As before, except for edges yielding a graph in  $S_{s,t,u,w}^*$ , no other edge can be added.  $\square$

**Remark.** When later we refer to graphs in  $S_{s,t,u,w}$  or  $V_{s,t,E}$  (or to the corresponding graphs in  $S_{s,t,u,w}^*$  or  $V_{s,t,E}^*$ ), where either  $v_2, v_3, v_4$  or any of the external vertices of high degree play the role of  $v$ , they will be denoted as  $\tilde{S}_{s,t,u,w}$  and  $\tilde{V}_{s,t,E}$  ( $\tilde{S}_{s,t,u,w}^*$  and  $\tilde{V}_{s,t,E}^*$ , respectively).

#### 4. Upper bounds

We make repeated use of the following well-known lemma, whose proof is standard and therefore omitted.

**Lemma 7.** Let  $n_1, \dots, n_r$  be positive integers such that  $\sum_i n_i = N$  for some constant  $N$ . Then  $\sum_i n_i \log n_i$  is minimized when all  $n_i$  are equal to  $\lceil N/r \rceil$  or  $\lfloor N/r \rfloor$ .

Also, we need the following lemma, whose proof is a straightforward generalization of Lemma 2.2 from [12].

**Lemma 8.** *Let  $\mathcal{G} = \text{Ex}(H_1, \dots, H_k)$ , where the  $H_i$  are 2-connected. Then w.h.p. each vertex in a graph in  $\mathcal{G}_n$  is adjacent to at most  $2 \log n / \log \log n$  pendant vertices.*

As in Section 2, we illustrate our technique to reprove in a simpler way the following known result (see [13,2]), complementing Lemma 2.

**Lemma 9.** *Let  $\epsilon > 0$  be any constant. In the class of forests, w.h.p.*

$$\Delta_n \leq (1 + \epsilon) \frac{\log n}{\log \log n}.$$

**Proof.** Let  $\mathcal{G}$  be the class of forests and  $\mathcal{G}_n$  the class of forests with  $n$  vertices. Let  $\mathcal{B}_n \subseteq \mathcal{G}_n$  now denote the set of bad graphs with

$$\Delta_n > (1 + \epsilon) \frac{\log n}{\log \log n},$$

and suppose for contradiction that  $|\mathcal{B}_n| \geq \mu |\mathcal{G}_n|$  for some  $\mu > 0$ , infinitely often. Let  $\mathcal{B}'_n \subseteq \mathcal{B}_n$  be the class of graphs that has at least  $\alpha n$  pendant vertices, and which is such that every vertex is adjacent to at most  $2 \log n / \log \log n$  pendant vertices. By Lemmas 1 and 8,  $|\mathcal{B}'_n| = (1 + o(1)) |\mathcal{B}_n|$ . Let  $G$  be a graph in  $\mathcal{B}'_n$ , and let  $v$  be a vertex with degree  $k > (1 + \epsilon) \frac{\log n}{\log \log n}$ . Since  $G \in \mathcal{B}'_n$ , there are at least  $(\alpha n - 2 \log n / \log \log n) \geq 2\alpha n / 3$  pendant vertices not adjacent to  $v$ . Let  $c = \min(\frac{\epsilon/2}{1+\epsilon}, \alpha/3)$  and choose a set of  $\lceil ck \rceil \leq 2\alpha n / 3$  pendant vertices not adjacent to  $v$  and delete their adjacent edges. Maintain vertex  $v$  and delete all its adjacent edges. Attach the  $\lceil ck \rceil$  chosen vertices to  $v$ , and construct many new graphs by attaching the former  $k$  neighbors of  $v$  in all possible ways to any of the previously added  $\lceil ck \rceil$  vertices. More precisely, a fixed new graph is obtained by choosing for each of the former  $k$  neighbors of  $v$ , its corresponding vertex among the  $\lceil ck \rceil$  vertices previously added, and then connecting to it by an edge. Observe that the new vertices have been added in a tree-like way, and hence the new graph is still in  $\mathcal{G}_n$ . Since we are interested in an asymptotic result, we may ignore ceilings from now on. The number of graphs constructed in this way is at least  $\binom{2\alpha n/3}{ck} (ck)^k$ . From how many graphs may the newly constructed graph  $G'$  come? One has to guess  $v$ , and then reattach the  $ck$  pendant vertices, giving rise to at most  $n^{ck+1}$  choices. Hence,

$$\frac{C(n)}{R(n)} \geq \frac{\binom{2\alpha n/3}{ck} (ck)^k}{n^{ck+1}} \geq \frac{(\alpha/3)^{ck} (ck)^k}{n(ck)!}.$$

Note that  $(ck)^k / (ck)! > (ck)^{(1-c)k}$ . Taking logarithms, this gives

$$\log \frac{C(n)}{R(n)} \geq (1 - c)k \log k - \log n + O(k) \geq (1 - c)(1 + \epsilon)(1 + o(1)) \log n - \log n,$$

which tends to infinity by our choice of  $c$ . Hence,  $|\mathcal{B}_n| = o(|\mathcal{G}_n|)$ , and thus w.h.p.  $\Delta_n > (1 + \epsilon) \frac{\log n}{\log \log n}$ , and the result follows.  $\square$

Recall that a block  $H$  is a maximal connected subgraph without having a cut-vertex. Note that if  $H$  is a block, either  $H$  is 2-connected or  $H$  has at most 2 vertices.

Now we proceed to prove new results. In order to prove an upper bound for  $\Delta_n$  in a class  $\mathcal{G}_n$ , the basic idea is to generalize the previous proof. Take a graph  $G$  in  $\mathcal{G}_n$  whose maximum degree is too large (a bad graph), and let  $v$  be a vertex with large degree. Consider the blocks containing  $v$  and their contribution to the degree of  $v$ : the lemmas in Section 3 tell us all possible 2-connected components that can occur, which therefore, together with isolated vertices and isolated edges, tell us all blocks that can occur. We classify the blocks according to whether this contribution is larger or smaller than a suitable threshold. If  $B$  is a block with a vertex  $b$  of large degree  $t$ , remove the edges connecting

$b$  to its neighbors  $b_1, \dots, b_t$ , take  $ct$  pendant vertices (where  $c < 1$  is a suitable constant), isolate them and make them adjacent to  $v$ , and connect arbitrarily each of the  $b_i$  to any of the new  $ct$  vertices. Whatever was attached to the  $b_i$  remains untouched. When necessary, we add a few extra vertices and edges to ensure unique reconstruction. Blocks with small degree are not dismantled. This construction guarantees that we stay in  $\mathcal{G}_n$ . Double counting is used again to show that the proportion of bad graphs goes to 0 as  $n$  goes to infinity.

In the next proof we do not need all the power of this method, since blocks in  $\text{Ex}(C_4)$  have bounded degree, but already in the class  $\text{Ex}(C_5)$  there are blocks of arbitrary high degree.

**Lemma 10.** *Let  $\epsilon > 0$  be any constant. In the class  $\text{Ex}(C_4)$ , w.h.p.*

$$\Delta_n \leq (2 + \epsilon) \frac{\log n}{\log \log n}.$$

**Proof.** We first observe that the only blocks in  $\text{Ex}(C_4)$  are isolated vertices, edges and triangles. Let  $\mathcal{G} = \text{Ex}(C_4)$  and let  $\mathcal{B}_n \subseteq \mathcal{G}_n$  now denote the set of bad graphs with

$$\Delta_n > (2 + \epsilon) \frac{\log n}{\log \log n}.$$

As before, let  $\mathcal{B}'_n \subseteq \mathcal{B}_n$  be the class of graphs that has at least  $\alpha n$  pendant vertices, and which is such that every vertex is adjacent to at most  $2 \log n / \log \log n$  pendant vertices. Once again, by Lemmas 1 and 8,  $|\mathcal{B}'_n| = (1 + o(1))|\mathcal{B}_n|$ . Let  $G$  be a graph in  $\mathcal{B}'_n$  and let  $v$  be a vertex with degree  $k > (2 + \epsilon) \frac{\log n}{\log \log n}$ . As before, there are at least  $(\alpha n - 2 \log n / \log \log n) \geq 2\alpha n/3$  pendant vertices not adjacent to  $v$ . Let  $c = \min(\frac{\epsilon/3}{1+(\epsilon/2)}, \alpha/3)$ . Let  $r$  be the number of blocks incident to  $v$  and observe that  $(k/2) \leq r \leq k$ , since the only blocks are edges and triangles. Choose a set of  $\lceil cr \rceil \leq 2\alpha n/3$  pendant vertices not adjacent to  $v$  and delete their adjacent edges. Maintain vertex  $v$  and delete all its adjacent edges. Attach the  $\lceil cr \rceil$  chosen vertices to  $v$ , and construct, as before, new graphs by attaching the roots of all  $r$  blocks in all possible ways to any of the previously added  $\lceil cr \rceil$  vertices. Ignoring ceilings, the counting is as before: the number of graphs constructed in this way is at least  $\binom{2\alpha n/3}{cr} (cr)^r$ , and for recovering  $G$ , one has to guess  $v$ , then reattach the  $cr$  pendant vertices, giving rise to at most  $n^{cr+1}$  choices. Hence,

$$\frac{C(n)}{R(n)} \geq \frac{\binom{2\alpha n/3}{cr} (cr)^r}{n^{cr+1}} \geq \frac{(\frac{1}{3}\alpha)^{cr} (cr)^r}{n(cr)!}.$$

Note that  $(cr)^r / (cr)! > (cr)^{(1-c)r}$ . Thus, taking logarithms, this gives

$$\log \frac{C(n)}{R(n)} \geq (1 - c)r \log r - \log n + O(r) \geq (1 - c)(k/2) \log k - \log n + O(k),$$

which again tends to infinity by our choice of  $c$ . Hence,  $|\mathcal{B}_n| = o(|\mathcal{G}_n|)$ .  $\square$

**Theorem 11.** *Let  $\epsilon > 0$  be any constant. In the class  $\text{Ex}(C_5)$ , w.h.p.*

$$\Delta_n \leq (1 + \epsilon) \frac{\log n}{\log \log n}.$$

**Proof.** Let  $\mathcal{G} = \text{Ex}(C_5)$  and let  $\mathcal{B}_n \subseteq \mathcal{G}_n$  be the graphs with

$$\Delta_n > (1 + \epsilon) \log n / \log \log n.$$

Assume for contradiction that there is some constant  $\mu$  such that  $|\mathcal{B}_n| \geq \mu |\mathcal{G}_n|$  infinitely often. Once more, let  $\mathcal{B}'_n \subseteq \mathcal{B}_n$  be the class of graphs that has at least  $\alpha n$  pendant vertices, and which is such that every vertex is adjacent to at most  $2 \log n / \log \log n$  pendant vertices. Again, we have  $|\mathcal{B}'_n| = (1 + o(1))|\mathcal{B}_n|$ . Let  $G$  be a graph in  $\mathcal{B}'_n$  and let  $v$  be a vertex of  $G$  such that  $k = \deg(v) >$

$(1 + \epsilon) \log n / \log \log \log n$ . Since  $G \in \mathcal{B}'_n$ , at least  $(\alpha n - 2 \log n / \log \log n) \geq 2\alpha n / 3$  pendant vertices are not adjacent to  $v$ . The strategy of the proof is as follows. We partition the blocks incident with  $v$  according to their type and to their contribution to the degree of  $v$ . Those with degree smaller than a threshold can be safely ignored for the asymptotics. Those of large degree, which by Lemma 4 are isomorphic to either  $K_{2,t}$  or  $K_{2,t}^+$ , are used to produce many new graphs as in the proofs for the lower bounds. Then a double counting argument is used again to show that  $|\mathcal{B}_n|/|\mathcal{G}_n| \rightarrow 0$ . The strategy for  $\text{Ex}(C_6)$  and  $\text{Ex}(C_7)$  is very similar but there are more types of blocks to consider, making the situation a bit cumbersome.

Let us proceed with the proof. We partition the blocks attached to  $v$ . Using Lemma 4, they can be partitioned into the following classes:

1. blocks contributing to  $\text{deg}(v)$  at most  $\frac{\log k}{\log \log k}$ . That is, these are blocks whose root degree is at most  $\frac{\log k}{\log \log k}$ .
2. blocks of type  $K_{2,t}$  with  $t > \frac{\log k}{\log \log k}$ .
3. blocks of type  $K_{2,t'}^+$  with  $t' > \frac{\log k}{\log \log k}$ .

Let  $r_i$  be the number of blocks of class  $i$  and denote by  $k_i$  the total contribution of edges belonging to a block of class  $i$  to  $\text{deg}(v)$ . Clearly,  $k = k_1 + k_2 + k_3$ , and also observe that  $r_1 \geq \frac{k_1 \log \log k}{\log k}$  and that  $r_i < \frac{k_i \log \log k}{\log k}$  for  $i = 2, 3$ .

In order not to run out of pendant vertices, let now  $c = \min(\frac{\epsilon/2}{1+\epsilon}, \frac{1}{4}\alpha)$ . From  $G$  we construct now a class of graphs, as follows.

- Choose a set  $U$  of  $h$  ( $h$  will be determined below) pendant vertices and delete their adjacent edges. Maintain vertex  $v$  and delete all its adjacent edges. Choose three vertices from  $U$ , eliminate them from  $U$  and make them neighbors of  $v$ . Call them w.l.o.g.  $v_1, v_2, v_3$  and assume that their labels are sorted increasingly. Choose  $\lceil cr_1 \rceil$  vertices from  $U$ , eliminate them from  $U$  and make them neighbors of  $v_1$ . Attach the roots of all blocks of class 1 in all possible ways to any of the previously added  $\lceil cr_1 \rceil$  vertices.
- Choose  $r_2$  vertices from  $U$ , eliminate them from  $U$  (each of them representing a block of class 2) and make them neighbors of  $v_2$ . For each block of class 2 of type  $K_{2,t_i}$  ( $i = 1, \dots, r_2$ ) choose  $1 + \lceil ct_i \rceil$  vertices from  $U$ , eliminate them from  $U$ , and connect all of them to the previously added vertex that represents the  $i$ th block of this class. Let  $x_i$  be the vertex with smallest label among the  $1 + \lceil ct_i \rceil$  vertices added ( $i = 1, \dots, r_2$ ). For each block  $K_{2,t_i}$  of  $G$ , define  $z_i^0$  to be the other vertex apart from  $v$  of degree  $t_i$ , and let  $z_i^1, \dots, z_i^{t_i}$  be the vertices of degree 2. In our construction, we delete all edges belonging to the original block and we add the following edges:  $z_i^0$  is connected with  $x_i$ , and we connect each of the vertices  $z_i^j$  ( $j \geq 1$ ) in all possible ways to any of the previously added  $\lceil ct_i \rceil$  vertices excluding  $x_i$ .
- For blocks of class 3, do the analogous steps as for blocks of type 2.

Observe that the new vertices have been added in a tree-like way in this construction, that is, we have not created any cycle that did not exist in the original graph. In particular, if  $G \in \text{Ex}(C_5)$ , so are all the newly constructed graphs. Also observe that the number of pendant vertices  $h$  used satisfies  $h \leq ck(1 + o(1)) < \alpha n / 3$ .

We proceed to count the number of different graphs we obtain by applying this construction to one graph of  $\mathcal{B}'_n$ . To simplify notation, we will ignore ceilings. We obtain at least

$$\binom{2\alpha n / 3}{h} \binom{h}{cr_1, r_2, ct_1 + 1, \dots, ct_{r_2} + 1, r_3, ct'_1 + 1, \dots, ct'_{r_3} + 1, 3} r_2! r_3! \times (cr_1)^{r_1} \left( \prod_{i=1}^{r_2} (ct_i)^{t_i} \right) \left( \prod_{i=1}^{r_3} (ct'_i)^{t'_i} \right) \tag{2}$$

many graphs, since there are at least  $\binom{2\alpha n / 3}{h}$  ways to choose  $h$  pendant vertices not incident to  $v$ , which then have to be partitioned into the different groups explained before (yielding the multinomial

coefficient). The factors  $r_2!$  and  $r_3!$  come from the fact that blocks of class 2 and 3 are distinguishable because of their labels, hence any permutation of the  $r_2$  and  $r_3$  vertices will give rise to different graphs. The last group of three vertices in the multinomial coefficient corresponds to the vertices  $v_1, v_2, v_3$  (there is no  $3!$ , since the roles of these vertices are determined by their labels). The remaining  $cr_1$  factors count the possible ways to do the connections between the  $r_1$  vertices and the added  $cr_1$  vertices, between the added  $t_i$  vertices and the added  $ct_i$  vertices, and between the  $t'_i$  and the  $ct'_i$ .

Since different original graphs may give rise to the same new graph, we have to divide the total number of constructions by the number of preimages of a new graph. This number is as before at most  $n \cdot n^h$ , since we first must guess the vertex  $v$  of the original graph (this gives the factor  $n$ ) and then we have to redistribute the  $h$  newly added vertices as pendant vertices (for those we have at most  $n^h$  choices).

Our goal is to show that the total number of newly constructed graphs divided by the number of preimages of a new graph tends to infinity as  $n$  increases, hence contradicting the assumption that  $|\mathcal{B}_n| \geq \mu |\mathcal{G}_n|$  for infinitely many values of  $n$ .

Note that the following expression is a lower bound of (2).

$$(1/2)^{k \log \log k / \log k} \left( \frac{1}{3}(\alpha - c)n \right)^h (cr_1)^{(1-c)r_1} \prod_{i=1}^{r_2} (ct_i)^{(1-c)t_i} \prod_{i=1}^{r_3} (ct'_i)^{(1-c)t'_i},$$

where we have used that  $h = ck(1 + o(1))$ ,  $k < n$  so that  $\frac{1}{6}(\frac{2}{3}\alpha n)! / (\frac{2}{3}\alpha n - h)!$  is bounded from below by  $(\frac{1}{3}(\alpha - c)n)^h$ ; we also used that for any  $g > 0$  it holds that  $(cg)^g / (cg)! \geq (cg)^{(1-c)g}$ , and that for any  $g$  such that  $cg \geq 3$  it holds that  $(cg)^g / (cg + 1)! \geq (cg)^{(1-c)g}$ , and for smaller values of  $cg$ ,  $(cg)^g / (cg + 1)! \geq \frac{1}{2}(cg)^{(1-c)g}$ , giving the additional  $(1/2)^{k \log \log k / \log k}$  leading factor.

We now divide by the number of preimages  $n \cdot n^h$ , and then we take logarithms. Hence, noting that  $k_2 = \sum_{i=1}^{r_2} t_i$  and  $k_3 = \sum_{i=1}^{r_3} t'_i$ , we obtain

$$\begin{aligned} & -\log n + o(k) + O(h) + (1 - c)r_1 \log r_1 + O(r_1) + (1 - c) \sum_{i=1}^{r_2} t_i \log t_i + O(k_2) \\ & + (1 - c) \sum_{i=1}^{r_3} t'_i \log t'_i + O(k_3). \end{aligned}$$

By Lemma 7,  $\sum_{i=1}^{r_2} t_i \log t_i$  is minimal when all  $t_i$  are equal, and the same applies to the  $t'_i$ . Hence, the previous expression is bounded from below by

$$-\log n + O(k) + (1 - c + o(1)) \left( r_1 \log r_1 + k_2 \log \frac{k_2}{r_2} + k_3 \log \frac{k_3}{r_3} \right). \tag{3}$$

Now, letting  $k_i = \beta_i k$  for  $i = 1, 2, 3$ , we obtain

$$r_1 \geq \frac{k_1 \log \log k}{\log k} = \beta_1 \frac{k \log \log k}{\log k},$$

and thus

$$r_1 \log r_1 \geq \beta_1 \frac{k \log \log k}{\log k} (\log k + o(\log k)) = \beta_1 k \log \log k (1 + o(1)).$$

Also, recall that  $r_2 \leq \frac{k_2 \log \log k}{\log k}$ , so that

$$\frac{k_2}{r_2} \geq \frac{\log k}{\log \log k},$$

and the term  $k_2 \log \frac{k_2}{r_2}$  in (3) is at least

$$k_2 \log \frac{k_2}{r_2} \geq k_2 \log \log k (1 + o(1)) = \beta_2 k \log \log k (1 + o(1)).$$

By the same argument,  $k_3 \log \frac{k_3}{r_3} \geq \beta_3 k \log \log k(1 + o(1))$ . As  $\beta_1 + \beta_2 + \beta_3 = 1$ , one of the  $\beta_i$  has to be at least  $\frac{1}{3}$ , hence we can safely ignore the term  $O(k)$  in (3). The expression in (3) is thus bounded from below by

$$(1 + o(1))(1 - c)k \log \log k - \log n,$$

which by our choice of  $c$  tends to infinity, as desired.  $\square$

**Theorem 12.** *Let  $C > 0$  be a sufficiently large constant. In the class  $\text{Ex}(C_6)$ , w.h.p.*

$$\Delta_n \leq C \frac{\log n}{\log \log \log n}.$$

**Proof.** The proof starts as for  $\text{Ex}(C_5)$ . Let  $\mathcal{G} = \text{Ex}(C_6)$  and let  $\mathcal{B}_n \subseteq \mathcal{G}_n$  be the class of graphs with

$$\Delta_n > C \log n / \log \log \log n.$$

We assume for contradiction that there is some constant  $\mu$  such that  $|\mathcal{B}_n| \geq \mu|\mathcal{G}_n|$  infinitely often. Let also  $\mathcal{B}'_n \subseteq \mathcal{B}_n$  be the class of graphs that has at least  $\alpha n$  pendant vertices, and which is such that every vertex is adjacent to at most  $2 \log n / \log \log n$  pendant vertices. Again, we have  $|\mathcal{B}'_n| = (1 + o(1))|\mathcal{B}_n|$ . Let  $G$  be a graph in  $\mathcal{B}'_n$  and let  $v$  be a vertex of  $G$  such that

$$k = \deg(v) > \frac{C \log n}{\log \log \log n}$$

for some constant  $C$  large enough, and since  $G \in \mathcal{B}'_n$ , there are at least  $2\alpha n/3$  pendant vertices not incident to  $v$ . We partition the blocks attached to  $v$  into different classes (see Lemma 5):

1. blocks contributing to  $\deg(v)$  at most  $\frac{\log k}{\log \log k}$ .
2. blocks of type  $K_{2,s}$  and  $K_{2,s}^+$  with  $s > \frac{\log k}{\log \log k}$ .
3. blocks of type  $H_{2,s,t}$  or  $H_{2,s,t}^*$ .
4. blocks of type  $\tilde{H}_{2,s,t}$  or  $\tilde{H}_{2,s,t}^*$  (see the remark after Lemma 5).

Choose a set  $U$  of  $h$  pendant vertices not incident to  $v$  and delete their adjacent edges. Maintain vertex  $v$  and delete all its adjacent edges. We now have a bounded number  $N$  of subclasses represented by classes 1 to 4 and the possible cases in the definition of  $H_{2,s,t}^*$ ,  $\tilde{H}_{2,s,t}$  and  $\tilde{H}_{2,s,t}^*$ . For each subclass  $i$ , let  $r_i$  be the number of blocks of subclass  $i$  incident with  $v$ . For each  $i$ , take a pendant vertex  $w_i$  from  $U$  and make it adjacent to  $v$ , and sort the  $w_i$  in increasing order of the labels. For each  $i$  (except for class 1), take  $r_i$  pendant vertices from  $U$  and make them adjacent to  $w_i$ . Let  $c = \min(1 - \frac{3N}{C}, \frac{1}{4}\alpha)$ . Note that for  $C < 3N$  the expression for  $c$  is negative, so the assumption that  $C$  is sufficiently large in particular also implies that  $C \geq 3N$ .

For blocks in classes 1 and 2 (they give rise to  $r_1, r_2, r_3$ ), the  $r_i$  play the same role as in the proof of Theorem 11, and we append the same construction as there.

For blocks of type  $H_{2,s,t}$  the construction is very similar; they behave like the graphs  $K_{2,s}$ , but with two sets, of size  $s$  and  $t$ , of vertices of degree two. For each block of type  $H_{2,s,t}$ , we add two new sorted vertices from  $U$  and make them adjacent to the vertex representing the block. Take  $2 + cs$  and  $2 + ct$  vertices from  $U$  (ignoring ceilings from now on) and connect them, respectively, to the two previously added vertices. Let  $x_0, x_1$  and  $y_0, y_1$ , respectively, be the vertices with smallest labels (in this order) among the  $2 + cs$  and the  $2 + ct$  added vertices. Delete all edges belonging to the original block and attach the  $s$  vertices to the newly added  $cs$  vertices (excluding  $x_0$  and  $x_1$ ) in all possible ways, and do the same for the  $t$  vertices (excluding  $y_0$  and  $y_1$ ). Also, connect  $x_0$  to  $v_1$  (notation as in Lemma 5),  $x_1$  to  $v_2$ , and  $y_0$  to  $v_3$ ,  $y_1$  to  $v_4$ . For blocks of type  $H_{2,s,t}^*$  the construction is exactly the same; the fact that the different subclasses are identified by the labels as well as the special role of  $v_1, v_2, v_3, v_4$  guarantees unique reconstruction. Finally, consider blocks of type  $\tilde{H}_{2,s,t}$ , and assume without loss of generality that  $v_2$  plays the role of  $v$ . In this case we add only  $cs + 4$  vertices from  $U$  and make them adjacent to the vertex representing the block. Let  $x_0, x_1, x_2$  and  $x_3$  be the vertices with the four smallest labels

(in this order). Delete all edges in  $\tilde{H}_{2,s,t}$  emanating from  $v$  and  $v_2$ , all edges between special vertices, and connect all the  $s$  non-special neighbors of  $v_2$  to the  $cs$  vertices (excluding  $x_0, x_1, x_2$  and  $x_3$ ) in all possible ways. Connect  $x_0$  to  $v, x_1$  to  $v_3, x_2$  to  $v_1$ , and  $x_3$  to  $v_4$ . As before, the same construction is applied for  $\tilde{H}_{2,s,t}^*$  (the only difference being that all optional edges are deleted as well); as before, the special roles and the different labels of different subclasses provide all information for unique reconstruction.

Since no new cycle is created, given  $v$  and the new graph, we can uniquely determine the original graph it comes from. Observe also that we used only  $h \leq ck(1 + o(1))$  pendant vertices. As before, we count the number of different graphs we obtain by applying this construction, yielding similar multinomial coefficients and other factors. Dividing by the number of preimages of a new graph, which is at most  $n^{h+1}$ , and taking logarithms, we obtain

$$\begin{aligned}
 & -\log n + o(k) + O(h) + (1 - c)r_1 \log r_1 + O(r_1) + (1 - c) \sum_{j=1}^{r_2} (s_j)_2 \log (s_j)_2 + O(k_2) \\
 & + (1 - c) \sum_{j=1}^{r_3} (s_j)_3 \log (s_j)_3 + O(k_3) + (1 - c) \sum_{i \geq 4, i \in \mathcal{T}} \sum_{j=1}^{r_i} (s_j)_i \log (s_j)_i \\
 & + (1 - c) \sum_{i \geq 4, i \in \mathcal{T}'} \sum_{j=1}^{r_i} (t_j)_i \log (t_j)_i + O\left(\sum_{i \geq 4} k_i\right),
 \end{aligned}$$

where we denote by  $k_i$  the total contribution of blocks of subclass  $i$  to the degree of  $v$ , and by  $(s_j)_i$  and  $(t_j)_i$  the corresponding sizes of the  $j$ th block of subclass  $i \geq 2$ ; both sets  $\mathcal{T}$  and  $\mathcal{T}'$  contain all indices of subclasses belonging to blocks  $H_{2,s,t}$  or  $H_{2,s,t}^*$ ,  $\mathcal{T}$  contains in addition to this all indices of subclasses of blocks of type  $\tilde{H}_{2,s,t}$  and  $\tilde{H}_{2,s,t}^*$  with  $v_2$  playing the role of  $v$ , and  $\mathcal{T}'$  contains in addition to this all subclasses of blocks of type  $H_{2,s,t}$  and  $\tilde{H}_{2,s,t}^*$  with  $v_3$  playing the role of  $v$ . This distinction is needed since in the cases of  $\tilde{H}_{2,s,t}$  and  $\tilde{H}_{2,s,t}^*$  only one of the two sums above has to be counted. Suppose w.l.o.g. that the contribution of all  $(t_j)_i$  to the degree of  $v$  is at most  $k/2$ , and we may ignore this contribution above. Define then for  $1 \leq i \leq 3, k'_i = k_i$ , and for  $i \geq 4$ , let  $k'_i = \sum_{j=1}^{r_i} (s_j)_i$ . Note that  $k'_i$  counts the contribution of the  $i$ th subclass to the degree of  $v$  coming from the  $(s_j)_i$  only. Clearly,  $k'_i \leq k_i$  and by assumption  $\sum_{i \geq 1} k'_i \geq k/2$ . By Lemma 7, the previous expression is at least

$$-\log n + O(k) + (1 - c + o(1)) \left( r_1 \log r_1 + \sum_{i \geq 2} k'_i \log \frac{k'_i}{r_i} \right). \tag{4}$$

Since  $\sum_{i \geq 1} k'_i \geq k/2$ , there exists some  $1 \leq i \leq N$  with  $k'_i \geq k/(2N)$ . If this is true for  $i = 1$ , then

$$r_1 \log r_1 \geq \frac{k'_1 \log \log k}{\log k} (\log k_1 + o(\log k_1)) = \frac{k \log \log k}{2N} (1 + o(1)).$$

Otherwise, if  $i \geq 2$ , since  $\frac{k'_i}{r_i} \geq \frac{\log k}{2N \log \log k}$ , as before,  $k'_i \log \frac{k'_i}{r_i} \geq \frac{k \log \log k}{2N} (1 + o(1))$ . Thus, by our choice of  $c$ , for  $C$  sufficiently large, (4) tends to infinity as desired.  $\square$

In the class  $\text{Ex}(C_7)$ , the right order of magnitude of the expected maximum degree changes, compared to  $\text{Ex}(C_5)$  and  $\text{Ex}(C_6)$ . Before going into the proof, we give some intuition about the different behavior in  $\text{Ex}(C_7)$ . The existence of a component  $V_{s,t,E}$  as described in Lemma 6, and in particular the existence of  $t$  stars of different degrees  $q_i$  inside one block, gives rise to new constructions. In order to ensure many constructions, both for the number of stars  $t$  (call this the first level), as well as for their degrees  $q_i$  (call this the second level), choices have to be made: if there were few stars of a high degree, only on the second level many choices can be made, but if, however, there are many stars of small degree, on the first level many choices can be made. For a medium number of stars with medium degree, on both levels some choices can be made. These two choices imply that the definition of *small* has to be changed, and the trade-off between the contribution of small blocks and other larger blocks



(which give different types of contributions in the proofs) gives rise to an additional application of the logarithm. We now state the result for this class.

**Theorem 13.** *Let  $C > 0$  be a sufficiently large constant. In the class  $\text{Ex}(C_7)$ , w.h.p.*

$$\Delta_n \leq C \frac{\log n}{\log \log \log \log n}.$$

**Proof.** Let  $\mathcal{G} = \text{Ex}(C_7)$ . The proof starts as for  $\text{Ex}(C_5)$  and  $\text{Ex}(C_6)$ . Let  $\mathcal{B}_n \subseteq \mathcal{G}_n$  be the graphs with

$$\Delta_n > C \log n / \log \log \log \log n.$$

We assume once more for contradiction that there is some constant  $\mu$  such that  $|\mathcal{B}_n| \geq \mu |\mathcal{G}_n|$  infinitely often. As in the previous proofs, let  $\mathcal{B}'_n \subseteq \mathcal{B}_n$  be the class of graphs that has at least  $\alpha n$  pendant vertices, and which is such that every vertex is adjacent to at most  $2 \log n / \log \log n$  pendant vertices. Again,  $|\mathcal{B}'_n| = (1 + o(1)) |\mathcal{B}_n|$ . Let  $G$  be a graph in  $\mathcal{B}'_n$  and let  $v$  be a vertex of  $G$  such that

$$k = \deg(v) > \frac{C \log n}{\log \log \log \log n}$$

for some constant  $C$  large enough, and since  $G \in \mathcal{B}'_n$ , there are at least  $2\alpha n/3$  pendant vertices not incident to  $v$ . As before, we partition the blocks attached to  $v$  into different classes. Using Lemma 6, whose notation is used in the following (see also the remark following Lemma 6), we may partition them into

1. blocks contributing to  $\deg(v)$  at most  $\frac{\log k}{\log \log \log k}$
2. blocks of type  $K_{2,s}, K_{2,s}^+, H_{2,s,t}, H_{2,s,t}^*, \tilde{H}_{2,s,t}, \tilde{H}_{2,s,t}^*$
3. blocks of type  $S_{s,t,u,w}, V_{s,t,E}$ , and the corresponding graphs  $S_{s,t,u,w}^*, V_{s,t,E}^*$
4. blocks of type  $\tilde{S}_{s,t,u,w}, \tilde{V}_{s,t,E}$ , and the corresponding graphs  $\tilde{S}_{s,t,u,w}^*, \tilde{V}_{s,t,E}^*$ .

Choose a set of  $U$  of  $h$  pendant vertices not incident to  $v$  and delete their adjacent edges. Maintain vertex  $v$  and delete all its adjacent edges. We still have a bounded number of subclasses  $N$  represented by the different classes and the possible optional edges. For each subclass  $i$ , let  $r_i$  be the number of blocks of subclass  $i$  incident with  $v$ . For each  $i$ , take a pendant vertex  $w_i$  from  $U$  and make it adjacent to  $v$ , and sort the  $w_i$  in increasing order of the labels. For each  $i$  (except for those subclasses belonging to class 1), take  $r_i$  pendant vertices from  $U$  and make them adjacent to  $w_i$ . Let  $c = \min(1 - \frac{9N}{C}, \frac{1}{4}\alpha)$ . As before, we assume that  $C$  is large enough and in particular  $C \geq 9N$ , so that  $c$  is positive.

For blocks in classes 1 and 2, we proceed as in the proof of Theorems 11 and 12. We ignore ceilings and justify after the constructions that they may be safely disregarded. For blocks  $S_{s,t,u,w}$  and  $S_{s,t,u,w}^*$  the construction is very similar as before: for the new vertex  $b$  (among the  $r_i$  added ones) representing a block of such a subclass, take three sorted vertices  $b_1, b_2, b_3$  from  $U$  and make them adjacent to  $b$ . Take  $5 + cs$ , ( $ct, cu$ , respectively) vertices from  $U$ , and attach them to the first of these sorted vertices (second and third, respectively). Denote by  $x_1, x_2, x_3, x_4, x_5$  the vertices with smallest labels (in this order) of the first group. Delete all edges from the original block except for the edges incident to the  $w$  vertices (excluding  $v, v_1, v_3, v_5$ , if the edges are present) that are connected with both  $v_2$  and  $v_4$ . Append the special vertices  $v_1, v_2, v_3, v_4$  and  $v_5$  to the vertices  $x_1, x_2, x_3, x_4, x_5$  in this order. Connect then the  $s$  vertices (which originally were adjacent to  $v$  and  $v_2$ ) to the  $cs$  vertices of the first group (excluding  $x_1, \dots, x_5$ ) in all possible ways, and do the analogous construction for the  $t$  and  $u$  vertices. Note that this time we might construct cycles of length 6 (of the type  $b_1, x_2, v_2, a, v_4, x_4$ ), where  $a$  is one of the  $w$  vertices connecting  $v_2$  and  $v_4$ , but by the special roles of special vertices unique reconstruction is still guaranteed.

For blocks of type  $V_{s,t,E}$  and  $V_{s,t,E}^*$ , and its corresponding vertex  $b$  representing the block, take eight sorted vertices  $b_1, \dots, b_8$  from  $U$  and make them adjacent to  $b$ . Take  $ce_1, ce_2, ce_3, ce_4$  elements from  $U$  and add them to  $b_1, b_2, b_3, b_4$ , respectively. Take  $5 + cs$  elements from  $U$  (call the vertices with the 5 smallest labels  $x_1, \dots, x_5$ , in this order, as before), make them adjacent to  $b_7$ , and take  $ct$  elements from  $U$  and make them adjacent to  $b_8$ . From the original block delete all edges emanating from  $v, v_2, v_4$ , all

edges between special vertices, all edges going between  $v_3$  and any of the  $e_1, e_2$  vertices of the first and second group of  $E$ . For the  $e_3$  graphs of the third group of  $E$ , for any  $i$ ,  $1 \leq i \leq e_3$ , the edges between the vertices of degree  $q_i$  (different from  $v_3$ ) and its  $q_i$  neighbors of degree 2 are retained, and all others are deleted, and analogously for the  $e_4$  graphs of the fourth group. For the  $e_5$  and  $e_6$  graphs of the fifth and sixth group of  $E$ , all edges of it are deleted if the vertex of degree  $q_i$  (different from  $v$ ) satisfies  $q_i > \frac{\log \log \log n}{\log \log \log \log n}$ , otherwise all edges going between the vertex of degree  $q_i$  (different from  $v$ ) and its  $q_i$  neighbors different from  $v$  and  $v_3$  are retained and the others are deleted. Now, connect  $v_1, \dots, v_5$  with  $x_1, \dots, x_5$ . For the  $e_1$  vertices originally connecting  $v$  and  $v_3$ , connect them to the  $ce_1$  vertices (which were attached to  $b_1$ ) in all possible ways. For the  $e_2$  pairs adjacent to each other and both connecting  $v$  and  $v_3$ , connect the one with smaller label in all possible ways to the  $ce_2$  vertices attached to  $b_2$  (recall that the edge connecting such a pair is not deleted). For the  $e_3$  and  $e_4$  double stars  $K_{2,q_i}$ , connect all vertices of degree  $q_i$  (different from  $v_3$ ) and its pending  $q_i$  neighbors with the  $ce_3$  and  $ce_4$  vertices attached to  $b_3$  and  $b_4$ , respectively, in all possible ways. For the  $e_5$  graphs  $K_{2,q_i}$  emanating from  $v$  (of degrees  $q_1, \dots, q_{e_5}$ ), take  $\frac{c}{2}e_5$  vertices from  $U$ , attach them to  $b_5$ , and connect each of the  $e_5$  vertices  $z_1, \dots, z_{e_5}$  of degree  $q_1, \dots, q_{e_5}$  to the  $\frac{c}{2}e_5$  vertices in all possible ways. Then, for each of the  $z_i$  ( $1 \leq i \leq e_5$ ), do the following: if  $q_i \leq \frac{\log \log \log n}{\log \log \log \log n}$ , do nothing (recall that the neighbors of  $z_i$  are still pending). Otherwise, take  $\frac{c}{2}q_i$  vertices from  $U$  and make them adjacent to  $z_i$ . Connect each of the  $q_i$  vertices (originally neighbors of  $z_i$ ) in all possible ways to the newly attached  $\frac{c}{2}q_i$  vertices. The analogous construction is done for  $e_6$  (with  $b_6$  instead of  $b_5$ ). Finally, connect the  $s$  vertices originally connecting  $v$  and  $v_2$  (excluding special vertices) with the group of  $cs$  new vertices (excluding  $x_1, \dots, x_5$ ) attached to  $b_7$  in all possible ways. Similarly, connect the  $t$  vertices originally connecting  $v$  and  $v_4$  with the group of  $ct$  new vertices attached to  $b_8$  in all possible ways. Here, the graph constructed is always a tree, and reconstruction is unique.

For blocks of type  $S_{s,t,u,w}$  and  $S_{s,t,u,w}^*$ , the strategy is similar as before. Assume without loss of generality that  $v_2$  plays the role of  $v$ . In this case we take three vertices from  $U$  (sorted) and make them adjacent to the vertex representing this block. Take  $5 + cs$  new vertices from  $U$ , make them adjacent to the first one, then  $cu$  further ones, make them adjacent to the second one, and finally another  $cw$ , which are made adjacent to the third one. All edges are deleted except for edges between  $v_4$  and its  $t$  non-special neighbors that were also connected with  $v$ . The 5 vertices of the first group with smallest labels are connected to special vertices, and the  $s, u$  and  $w$  neighbors of  $v_2$  (except for special vertices) are, as before, connected in all possible ways with the  $cs, cu$  and  $cw$  vertices of the respective groups. Observe that the constructed graph is a tree.

For blocks of type  $V_{s,t,E}$  and  $V_{s,t,E}^*$ , either of  $v_2, v_3, v_4$  or any of the external vertices in double stars of degree  $q \geq \frac{C \log n}{\log \log \log \log n}$  arising in the groups  $e_3, e_4, e_5, e_6$  may play the role of  $v$ . In all cases, edges between special vertices are always deleted. If  $v_3$  plays the role of  $v$ , all edges between  $v_2$  and its  $s$  neighbors that are connected with  $v$ , and all edges between  $v_4$  and its  $t$  neighbors that are connected with  $v$  are retained; for the others deletion is as for  $V_{s,t,E}$  and  $V_{s,t,E}^*$  with  $v_3$  playing the role of  $v$ . In more detail, for each vertex  $b$  representing a block of such a subclass, six sorted vertices  $b_1, \dots, b_6$  from  $U$  are added, 5 extra vertices taking care of special vertices are attached to  $b_1$ , say, and the previous constructions restricted to  $b_1, \dots, b_6$  with  $v_3$  playing the role of  $v$  is performed. If  $v_2$  or  $v_4$  (assume  $v_2$  without loss of generality) plays the role of  $v$ , all edges emanating from a neighbor of  $v_2$  are deleted (in particular, all edges emanating from  $v_3$ ). For each block of such a subclass with  $b$  the newly attached vertex representing the block of this subclass, add  $5 + cs$  vertices to  $b$ . The 5 vertices with smallest labels (in order) are attached to  $v, v_1, v_3, v_4$  and  $v_5$ , respectively. The  $s$  vertices originally connected to  $v_2$  are then connected in all possible ways to the  $cs$  vertices excluding the 5 special vertices. Note that cycles of length 6 such as  $b, x_2, v, a, v_4, x_4, b$  with  $a$  being one of the  $t$  vertices connecting  $v$  with  $v_4$  can occur and  $x_2$  ( $x_4$ , respectively) one of the special neighbors of  $b$  to which  $v$  ( $v_4$ , respectively) is attached, but the special role of the special vertices still guarantees unique reconstruction. For the  $s$  edges emanating from  $v_2$  the usual reconstruction is performed (again with 5 special vertices assuring unique reconstruction, and another  $cs$  vertices to which the  $s$  vertices may connect in all possible ways). If any of the external vertices  $a$  of degree  $q$  plays the role of  $v$ , say w.l.o.g. a neighbor of  $v$ , the procedure is very similar: all edges emanating from a neighbor of  $a$ , in particular all edges emanating from  $v$ , are deleted. Then,  $5 + cq$  vertices are taken from  $U$ , and the  $q$  neighbors of  $a$  are connected in

all possible ways to the  $cq$  new vertices (the 5 vertices take care of special vertices). Note that again cycles of length 6 can be constructed (in case  $a$  is a neighbor of  $v_3$ ), but by the special roles of special vertices reconstruction is still unique.

Observe that the largest cycle created is of length at most 6, and in all cases the special vertices guarantee unique reconstruction. Observe also that the number of pendant vertices used is at most  $h = ck(1 + o(1))$ : for contributions of type  $e_5$  and  $e_6$  in components  $V_{s,t,E}$ ,  $V_{s,t,E}^*$  (and of type  $e_3$  and  $e_4$  in components  $\tilde{V}_{s,t,E}$ ,  $\tilde{V}_{s,t,E}^*$  with  $v_3$  playing the role of  $v$ ), at the first level  $\frac{c}{2}e_5$  vertices are used, and at the second level, at most  $\frac{c}{2} \sum_{i=1}^{e_5} q_i(1 + o(1))$  (note that ceilings may be safely disregarded, as only for sufficiently large  $q_i$  these vertices are chosen), and since  $e_5 \leq \sum_{i=1}^{e_5} q_i$ , the total number is at most  $c \sum_{i=1}^{e_5} q_i$ . For the other contributions it is obvious. As before, for each case we count the number of different graphs we obtain by applying this construction, yielding similar multinomial coefficients and other factors as before. Then we divide by the number of preimages of a new graph, which is at most  $n^{h+1}$ , and take logarithms. Similar calculations as before show that the most negative term is  $-\log n$ , coming from the choice of the vertex  $v$ . Recall that  $N$  is the total number of subclasses.

Now, if at least  $k/N$  of the degree of  $v$  is in blocks of size at most  $\frac{\log k}{\log \log k}$ , then the number of such blocks  $r_1$  is at least  $\frac{k \log \log \log k}{N \log k}$ . By the same arguments as before, the constructions of these blocks give a term  $r_1 \log r_1 \geq \frac{k \log \log \log k}{N \log k} (\log k + o(\log k)) = \frac{k}{N} \log \log \log k (1 + o(1))$ , and for  $c$  as chosen and  $C$  large enough this is bigger than the (negative) term  $\log n$ . Otherwise, suppose that at least  $k/N$  of the degree of  $v$  results from any fixed subclass of blocks excluding  $V_{s,t,E}$  or  $V_{s,t,E}^*$  (and also excluding  $\tilde{V}_{s,t,E}$  and  $\tilde{V}_{s,t,E}^*$  with  $v_3$  playing the role of  $v$ ). Letting  $r_j$  denote the number of such blocks, by similar calculations as before, as there is only one level of choice, we obtain a positive term  $\Theta(k \log \frac{k}{r_j})$ . Since  $r_j \leq \frac{k \log \log \log k}{\log k}$ ,

$$\Theta \left( k \log \frac{k}{r_j} \right) = \Omega(k \log \log k),$$

which is asymptotically bigger than  $\log n$ .

Hence, assume that  $k/N$  of the degree of  $v$  comes from a subclass  $j$  in  $V_{s,t,E}$  or  $V_{s,t,E}^*$  (or  $\tilde{V}_{s,t,E}$  and  $\tilde{V}_{s,t,E}^*$  with  $v_3$  playing the role of  $v$ ), and assume without loss of generality that it belongs to the class  $V_{s,t,E}$ . Let again  $r_j \leq \frac{k \log \log \log k}{\log k}$  be the number of blocks of this subclass. If at least  $k/(2N)$  of the total degree comes from contributions of the groups of  $s, t, e_1, e_2, e_3, e_4$  in the blocks of  $V_{s,t,E}$ , then, as before, only considering those terms, as there is one level of choice, we obtain a term  $\Theta(k \log \frac{k}{r_j}) = \omega(\log n)$ .

Hence, we may assume without loss of generality that  $k/(4N)$  of the total degree comes from contributions of group  $e_5$ . Once more, we split this into two subcases: if at least  $k/(8N)$  of the total degree comes from double stars  $K_{2,q}$  with  $q \leq \frac{\log \log \log n}{\log \log \log n}$ , then at least  $z \geq \frac{k \log \log \log \log n}{8N \log \log \log n}$  such double stars  $K_{2,q}$  are needed. Denote by  $z_i$  the number of double stars inside the  $i$ th block to  $z$ , for  $1 \leq i \leq r_j$ . Each such block gives a term  $z_i \log z_i$ , and the total contribution is by Lemma 7 minimized when the number of double stars is equally split among all blocks. Assuming the worst case of  $r_j = \frac{k \log \log \log k}{\log k}$  and  $z = \frac{k \log \log \log \log n}{8N \log \log \log n}$ , the total contribution is thus at least

$$(1 + o(1))(1 - c) \left( z \log \frac{z}{r_j} \right) = (1 + o(1))(1 - c) (z \log \log \log n) = (1 + o(1))(1 - c) \frac{C \log n}{8N},$$

which for our choice of  $c$  and  $C$  large enough is bigger than  $\log n$ . If on the other hand at least  $k/(8N)$  of the total degree comes from double stars  $K_{2,q}$  with  $q > \frac{\log \log \log n}{\log \log \log n}$ , then first observe that the number  $z$  of double stars  $K_{2,q}$  contributing to the total degree satisfies  $z \leq \frac{k \log \log \log \log n}{8N \log \log \log n}$ . Recall that  $q_i$  denotes the degree of the  $i$ th double star for  $1 \leq i \leq z$ . Clearly,  $\sum_{i=1}^z q_i \geq k/(8N)$ . Each such double star on the second level of choice gives rise to a term  $(1 - c)q_i \log q_i$ . Assume again the worst case  $\sum_{i=1}^z q_i = k/(8N)$  and  $z = \frac{k \log \log \log \log n}{8N \log \log \log n}$ . This contribution is, once more by Lemma 7, minimized if

the contribution is split evenly, that is,  $q_i = \frac{k}{8Nz}$ , and in this case we obtain

$$\begin{aligned} & (1 + o(1))(1 - c) \left( \frac{k}{8N} \log \frac{k}{8Nz} \right) \\ &= (1 + o(1))(1 - c) \left( \frac{k}{8N} \log \log \log \log n \right) = (1 + o(1))(1 - c) \frac{C \log n}{8N}, \end{aligned}$$

which for our choice of  $c$  and  $C$  large enough again is bigger than  $\log n$ . Hence, in all cases,  $C(n)/R(n) \rightarrow \infty$ , as desired, and the proof is finished.  $\square$

## 5. Conclusion and open problems

Our work suggests several conjectures and open problems.

1. We conjecture that the lower bound

$$\Delta_n \geq c \frac{\log n}{\log^{(\ell+1)} n}$$

for the class  $\text{Ex}(C_{2\ell+1})$  is of the right order of magnitude. The proofs for  $\text{Ex}(C_5)$  and  $\text{Ex}(C_7)$  seem difficult to adapt for arbitrary  $\ell$ .

2. We conjecture that the asymptotic behavior of  $\Delta_n$  is the same for  $\text{Ex}(C_{2\ell})$  as for  $\text{Ex}(C_{2\ell-1})$ . We have shown this is the case for  $\ell = 2$  and  $\ell = 3$ .
3. We conjecture an upper bound of the form

$$\Delta_n \leq c \log n$$

for the class  $\text{Ex}(H_1, \dots, H_k)$ , whenever the  $H_i$  are 2-connected (see also the concluding remarks of [11], where this question was also asked). Examples show that this is not true for arbitrary  $H$  (see the discussion below). Using analytic methods, this upper bound can be proved for so-called subcritical classes of graphs (see [6]), which include outerplanar and series–parallel graphs.

4. Which are the possible orders of magnitude of  $\Delta_n$  when forbidding a 2-connected graph? Assuming the truth of the conjecture in item 1, are there other possibilities besides  $\log n$  and  $\log n / \log^{(k+1)} n$ ?
5. Which are the possible orders of magnitude of  $\Delta_n$  for arbitrary minor-closed classes of graphs? Besides those discussed above, examples show that it can be constant (forbidding a star) and it can be linear (forbidding two disjoint triangles). The last statement follows from [10], where it is shown that the class  $\text{Ex}(C_3 \cup C_3)$  is asymptotically the same as the class of graphs  $G$  having a vertex  $v$  such that  $G - v$  is a forest.
6. Is it true that if  $H$  consists of a cycle and some chords, all of them incident to the same vertex, then  $\Delta_n = o(\log n)$  holds in  $\text{Ex}(H)$  w.h.p.? These are the 2-connected graphs that are a minor of some fan  $F_n$ , so that the proof of the first part in [Theorem 3](#) does not hold.
7. Prove an upper bound  $\Delta_n \leq c \log n$  for series–parallel graphs without using the analysis of generating functions as in [4]. More generally, prove such a bound for graphs of bounded tree-width (series–parallel graphs are those with tree-width at most two). For outerplanar graphs this is easy, but we decided to leave out the proof of this result.

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