



## On Large Values of the Divisor Function

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Jean-Louis Nicolas and András Sárközy dedicate this paper to the memory of Paul Erdős

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**Abstract.** Let  $d(n)$  denote the divisor function, and let  $D(X)$  denote the maximal value of  $d(n)$  for  $n \leq X$ . For  $0 < z \leq 1$ , both lower and upper bounds are given for the number of integers  $n$  with  $n \leq X$ ,  $zD(X) \leq d(n)$ .

**Key words:** division function, highly composite numbers, maximal order

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### 1. Introduction

Throughout this paper, we shall use the following notations:  $\mathbf{N}$  denotes the set of the positive integers,  $\pi(x)$  denotes the number of the prime numbers not exceeding  $x$ , and  $p_i$  denotes the  $i$ th prime number. The number of the positive divisors of  $n \in \mathbf{N}$  is denoted by  $d(n)$ , and we write

$$D(X) = \max_{n \leq X} d(n).$$

Following Ramanujan we say that a number  $n \in \mathbf{N}$  is highly composite, briefly h.c., if  $d(m) < d(n)$  for all  $m \in \mathbf{N}$ ,  $m < n$ . For information about h.c. numbers, see [13, 15] and the survey paper [11].

The sequence of h.c. numbers will be denoted by  $n_1, n_2, \dots$ :  $n_1 = 1, n_2 = 2, n_3 = 4, n_4 = 6, n_5 = 12, \dots$  (for a table of h.c. numbers, see [13, Section 7, or 17]). For  $X > 1$ , let  $n_k = n_{k(X)}$  denote the greatest h.c. number not exceeding  $X$ , so that

$$D(X) = d(n_{k(X)}).$$

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It is known (cf. [13, 8]) that  $n_k$  is of the form  $n_k = p_1^{r_1} p_2^{r_2} \cdots p_\ell^{r_\ell}$ , where  $r_1 \geq r_2 \geq \cdots \geq r_\ell$ ,

$$\ell = (1 + o(1)) \frac{\log X}{\log \log X}, \quad (1)$$

$$r_i = (1 + o(1)) \frac{\log p_\ell}{\log 2 \log p_i} \quad \left( \text{for } X \rightarrow \infty \text{ and } \frac{\log p_i}{\log p_\ell} \rightarrow 0 \right) \quad (2)$$

and, if  $m$  is the greatest integer such that  $r_m \geq 2$ ,

$$p_m = p_\ell^\theta + O(p_\ell^{\tau_0 \theta}) \quad (3)$$

where

$$\theta = \frac{\log(3/2)}{\log 2} = 0.585\dots \quad (4)$$

and  $\tau_0$  is a constant  $< 1$  which will be given later in (8).

For  $0 < z \leq 1$ ,  $X > 1$ , let  $S(X, z)$  denote the set of the integers  $n$  with  $n \leq X$ ,  $d(n) \geq zD(X)$ . In this paper, our goal is to study the function  $F(X, z) = \text{Card}(S(X, z))$ .

In Section 4, we will study  $F(X, 1)$ , further we will prove (Corollary 1) that for some  $c > 0$  and infinitely many  $X$ 's with  $X \rightarrow +\infty$ , we have  $F(X, z) = 1$  for all  $z$  and  $X$  satisfying

$$1 - \frac{1}{(\log X)^c} < z \leq 1.$$

Thus, to have a non trivial lower bound for  $F(X, z)$  for all  $X$ , one needs an assumption of the type  $z < 1 - f(X)$ , cf. (6).

In Section 2, we shall give lower bounds for  $F(X, z)$ . Under a strong, but classical, assumption on the distribution of primes, the lower bound given in Theorem 1 is similar to the upper bound given in Section 3. The proofs of the lower bounds will be given in Section 5: in the first step we construct an integer  $\hat{n} \in S(X, z)$  such that  $d(\hat{n})$  is as close to  $zD(X)$  as possible. This will be done by using diophantine approximation of  $\theta$  (defined by (4)), following the ideas of [2, 8]. Further, we observe that slightly changing large prime factors of  $\hat{n}$  will yield many numbers  $n$  not much greater than  $\hat{n}$ , and so belonging to  $S(X, z)$ . The proof of the upper bound will be given in Section 7. It will use the superior h.c. numbers, introduced by Ramanujan (cf. [13]). Such a number  $N_\varepsilon$  maximizes  $d(n)/n^\varepsilon$ . The problem of finding h.c. numbers is in fact an optimization problem

$$\max_{n \leq x} d(n)$$

and, in this optimization problem, the parameter  $\varepsilon$  plays the role of a Lagrange multiplier. The properties of the superior h.c. numbers that we shall need will be given in Section 6.

In [10, p. 411], it was asked whether there exists a positive constant  $c$  such that, for  $n_j$  large enough,

$$\frac{d(n_{j+1})}{d(n_j)} \leq 1 + \frac{1}{(\log n_j)^c}.$$

In Section 8, we shall answer this question positively, while in Section 4 we shall prove that for infinitely many  $n_j$ , one has  $d(n_{j+1})/d(n_j) \geq 1 + (\log n_j)^{-0.71}$ .

We are pleased to thank J. Rivat for communicating us reference [1].

## 2. Lower bounds

We will show that

**Theorem 1.** *Assume that  $\tau$  is a positive number less than 1 and such that*

$$\pi(x) - \pi(x - y) > A \frac{y}{\log x} \quad \text{for } x^\tau < y < x \tag{5}$$

*for some  $A > 0$  and  $x$  large enough. Then for all  $\varepsilon > 0$ , there is a number  $X_0 = X_0(\varepsilon)$  such that, if  $X > X_0(\varepsilon)$  and*

$$\exp(-(\log X)^\lambda) < z < 1 - \log X^{-\lambda_1} \tag{6}$$

*where  $\lambda$  is any fixed positive real number  $< 1$  and  $\lambda_1$  a positive real number  $\leq 0.03$ , then we have:*

$$F(X, z) > \exp((1 - \varepsilon) \min\{2(A \log 2 \log X \log(1/z))^{1/2}, 2(\log X)^{1-\tau} \log \log X \log(1/z)\}). \tag{7}$$

Note that (5) is known to be true with

$$\tau = \tau_0 = 0.535 \quad \text{and} \quad A = 1/20 \tag{8}$$

(cf. [1]) so that we have

$$F(X, z) > \exp((1 - \varepsilon) 2(\log X)^{0.465} \log \log X \log(1/z))$$

for all  $z$  satisfying (6), and assuming the Riemann hypothesis, (5) holds for all  $\tau > 1/2$  so that

$$F(X, z) > \exp((\log X)^{1/2-\varepsilon} \log(1/z))$$

for all  $\varepsilon > 0$ ,  $X$  large enough and  $z$  satisfying (6). Moreover, if (5) holds with some  $\tau < 1/2$  and  $A > 1 - \varepsilon/2$  (as it is very probable), then for a fixed  $z$  we have

$$F(X, z) > \exp((2 - \varepsilon)((\log 2)(\log X) \log(1/z))^{1/2}). \tag{9}$$

In particular,

$$F(X, 1/2) > \exp((1 - \varepsilon)(\log 2)(\log X)^{1/2}). \quad (10)$$

While we need a very strong hypothesis to prove (9) for all  $X$ , we will show without any unproved hypothesis that, for fixed  $z$  and with another constant in the exponent, it holds for infinitely many  $X \in \mathbf{N}$ :

**Theorem 2.** *If  $z$  is a fixed real number with  $0 < z < 1$ , and  $\varepsilon > 0$ , then for infinitely many  $X \in \mathbf{N}$  we have*

$$F(X, z) > \exp((1 - \varepsilon)(\log 4 \log X \log(1/z))^{1/2}) \quad (11)$$

so that, in particular

$$F(X, 1/2) > \exp((1 - \varepsilon)\sqrt{2} \log 2(\log X)^{1/2}). \quad (12)$$

We remark that the constant factor  $\sqrt{2} \log 2$  on the right hand side could be improved by the method used in [12] but here we will not work out the details of this. It would also be possible to extend Theorem 2 to all  $z$  depending on  $X$  and satisfying (6).

### 3. Upper bounds

We will show that:

**Theorem 3.** *There exists a positive real number  $\gamma$  such that, for  $z \geq 1 - (\log X)^{-\gamma}$ , as  $X \rightarrow +\infty$  we have*

$$\log F(X, z) = O((\log X)^{(1-\gamma)/2}), \quad (13)$$

and if  $\lambda, \eta$  are two real numbers,  $0 < \lambda < 1, 0 < \eta < \gamma$ , we have for

$$1 - (\log X)^{-\gamma+\eta} \geq z \geq \exp(-(\log X)^\lambda), \quad (14)$$

and  $X$  large enough:

$$F(X, z) \leq \exp\left(\frac{24}{\sqrt{1-\gamma}}(\log(1/z) \log X)^{1/2}\right). \quad (15)$$

The constant  $\gamma$  will be defined in Lemma 5 below. One may take  $\gamma = 0.03$ . Then for  $z = 1/2$ , (15) yields

$$\log F(X, 1/2) \leq 21(\sqrt{\log X})$$

which, together with the results of Section 2, shows that the right order of magnitude of  $\log F(X, 1/2)$  is, probably,  $\sqrt{\log X}$ .

**4. The cases  $z = 1$  and  $z$  close to 1**

Let us first define an integer  $n$  to be largely composite (l.c.) if  $m \leq n \Rightarrow d(m) \leq d(n)$ . S. Ramanujan has built a table of l.c. numbers (see [14, p. 280 and 15, p. 150]). The distribution of l.c. numbers has been studied in [9], where one can find the following results:

**Proposition 1.** *Let  $Q_\ell(X)$  be the number of l.c. numbers up to  $X$ . There exist two real numbers  $0.2 < b_1 < b_2 < 0.5$  such that for  $X$  large enough the following inequality holds:*

$$\exp((\log X)^{b_1}) \leq Q_\ell(X) \leq \exp((\log X)^{b_2}).$$

We may take any number  $< (1 - \frac{\log 3/2}{\log 2})/2 = 0.20752$  for  $b_1$ , and any number  $> (1 - \gamma)/2$  with  $\gamma > 0.03$  defined in Lemma 5, for  $b_2$ .

From Proposition 1, it is easy to deduce:

**Theorem 4.** *There exists a constant  $b_2 < 0.485$  such that for all  $X$  large enough we have*

$$F(X, 1) \leq \exp((\log X)^{b_2}). \tag{16}$$

*There exists a constant  $b_1 > 0.2$  such that, for a sequence of  $X$  tending to infinity, we have*

$$F(X, 1) \geq \exp((\log X)^{b_1}). \tag{17}$$

**Proof:**  $F(X, 1)$  is exactly the number of l.c. numbers  $n$  such that  $n_k \leq n \leq X$ . Thus  $F(X, 1) \leq Q_\ell(X)$  and (16) follows from Proposition 1.

The proof of Proposition 1 in [9, Section 3] shows that for any  $b_1 < 0.207$ , there exists an infinite number of h.c. numbers  $n_j$  such that the number of l.c. numbers between  $n_{j-1}$  and  $n_j$  (which is exactly  $F(n_j - 1, 1)$ ) satisfies  $F(n_j - 1, 1) \geq \exp((\log n_j)^{b_1})$  for  $n_j$  large enough, which proves (17). □

We shall now prove:

**Theorem 5.** *Let  $(n_j)$  be the sequence of h.c. numbers. There exists a positive real number  $a$ , such that for infinitely many  $n_j$ 's, the following inequality holds:*

$$\frac{d(n_j)}{d(n_{j-1})} \geq 1 + \frac{1}{(\log n_j)^a}. \tag{18}$$

*One may take any  $a > 0.71$  in (18).*

**Proof:** Let  $X$  tend to infinity, and define  $k = k(X)$  by  $n_k \leq X < n_{k+1}$ . By [8], the number  $k(X)$  of h.c. numbers up to  $X$  satisfies

$$k(X) \leq (\log X)^\mu \tag{19}$$

for  $X$  large enough, and one may choose for  $\mu$  the value  $\mu = 1.71$ , cf. [10, p. 411 or 11, p. 224]. From (19), the proof of Theorem 5 follows by an averaging process: one has

$$\prod_{\sqrt{X} < n_j \leq X} \frac{d(n_j)}{d(n_{j-1})} = \frac{D(X)}{D(\sqrt{X})}.$$

The number of factors in the above product is  $k(X) - k(\sqrt{X}) \leq k(X)$  so that there exists  $j$ ,  $k(\sqrt{X}) + 1 \leq j \leq k(X)$ , with

$$\frac{d(n_j)}{d(n_{j-1})} \geq \left( \frac{D(X)}{D(\sqrt{X})} \right)^{1/k(X)}. \quad (20)$$

But it is well known that  $\log D(X) \sim \frac{(\log 2)(\log X)}{\log \log X}$ , and thus

$$\log(D(X)/D(\sqrt{X})) \sim \frac{\log 2}{2} \frac{\log X}{\log \log X}.$$

Observing that  $X < n_j^2$ , it follows from (19) and (20) for  $X$  large enough:

$$\begin{aligned} \frac{d(n_j)}{d(n_{j-1})} &\geq \exp\left(\frac{1}{3} \frac{1}{(\log X)^{\mu-1} \log \log X}\right) \\ &\geq \exp\left(\frac{1}{3} \frac{1}{(2 \log n_j)^{\mu-1} \log(2 \log n_j)}\right) \\ &\geq \exp\left(\frac{1}{(\log n_j)^a}\right) \geq 1 + \frac{1}{(\log n_j)^a} \end{aligned}$$

for any  $a > \mu - 1$ , which completes the proof of Theorem 5.  $\square$

A completely different proof can be obtained by choosing a superior h.c. number for  $n_j$  and following the proof of Theorem 8 in [7, p. 174], which yields  $a = \frac{\log(3/2)}{\log 2} = 0.585\dots$ . See also [10, Proposition 4].

**Corollary 1.** *For  $c > 0.71$ , there exists a sequence of values of  $X$  tending to infinity such that  $F(X, z) = 1$  for all  $z$ ,  $1 - 1/(\log X)^c < z \leq 1$ .*

**Proof:** Let us choose  $X = n_j$ , with  $n_j$  satisfying (18), and  $c > a$ . For all  $n < X$ , we have

$$d(n) \leq d(n_{j-1}) \leq \frac{d(n_j)}{1 + (\log n_j)^{-a}} = \frac{D(X)}{1 + (\log X)^{-a}} < zD(X).$$

Thus  $S(X, z) = \{n_j\}$ , and  $F(X, z) = 1$ .  $\square$

**5. Proofs of the lower estimates**

**Proof of Theorem 1:** Let us denote by  $\alpha_i/\beta_i$  the convergents of  $\theta$ , defined by (4). It is known that  $\theta$  cannot be too well approximated by rational numbers and, more precisely, there exists a constant  $\kappa$  such that

$$|q\theta - p| \gg q^{-\kappa} \tag{21}$$

for all integers  $p, q \neq 0$  (cf. [4]). The best value of  $\kappa$

$$\kappa = 7.616 \tag{22}$$

is due to G. Rhin (cf. [16]). It follows from (21) that

$$\beta_{i+1} = O(\beta_i^\kappa). \tag{23}$$

Let us introduce a positive real number  $\delta$  which will be fixed later, and define  $j = j(X, \delta)$  so that

$$\beta_j \leq (\log X)^\delta < \beta_{j+1}. \tag{24}$$

By Kronecker's theorem (cf. [6], Theorem 440), there exist two integers  $\alpha$  and  $\beta$  such that

$$\left| \beta\theta - \alpha - \frac{\log z}{\log 2} - \frac{2}{\beta_j} \right| < \frac{2}{\beta_j} \tag{25}$$

and

$$\frac{\beta_j}{2} \leq \beta \leq \frac{3\beta_j}{2}. \tag{26}$$

Indeed, as  $\alpha_j$  and  $\beta_j$  are coprime, one can write  $B$ , the nearest integer to  $(\beta_j \frac{\log z}{\log 2} + 2)$ , as  $B = u_1\alpha_j - u_2\beta_j$  with  $|u_1| \leq \beta_j/2$ , and then  $\alpha = \alpha_j + u_2$  and  $\beta = \beta_j + u_1$  satisfy (25).

With the notation of Section 1, we write

$$\hat{n} = n_k \frac{p_{m+1}p_{m+2} \cdots p_{m+\beta}}{p_\ell p_{\ell-1} \cdots p_{\ell-\alpha+1}} \tag{27}$$

for  $X$  large enough. By (26), (24), and (6), (25) yields

$$\alpha \leq \beta\theta + \frac{\log(1/z)}{\log 2} \ll \max((\log X)^\delta, (\log X)^\lambda) \tag{28}$$

and

$$\alpha \geq \beta\theta - \frac{\log z}{\log 2} - \frac{4}{\beta_j} > \beta\theta - \frac{6}{\beta} + \frac{\log(1/z)}{\log 2} > 0$$

for  $X$  large enough. Thus, if we choose  $\delta < 1$ , from (3) and (1) we have  $r_\ell = r_{\ell-1} = \dots = r_{\ell-\alpha+1} = 1$ . By (1) and the prime number theorem, we also have

$$p_\ell \sim \log X \quad (29)$$

and by (3), we have  $r_{m+1} = r_{m+2} = \dots = r_{m+\beta} = 1$  so that, by (25),

$$d(\hat{n}) = d(n_k) \frac{(3/2)^\beta}{2^\alpha} = d(n_k) \exp(\log 2(\beta\theta - \alpha)) \geq zd(n_k) = zD(X). \quad (30)$$

Now we need an upper bound for  $\hat{n}/n_k$ . First, it follows from (5) that for  $i = o(m)$  we have

$$p_{m+i} - p_m \leq \max\left(p_{m+i}^\tau, \frac{i}{A} \log p_{m+i}\right) \quad (31)$$

and consequently,

$$\begin{aligned} \prod_{i=1}^{\beta} \frac{p_{m+i}}{p_m} &= \exp\left(\sum_{i=1}^{\beta} \log \frac{p_{m+i}}{p_m}\right) \leq \exp\left(\sum_{i=1}^{\beta} \frac{p_{m+i} - p_m}{p_m}\right) \\ &\leq \exp\left(\frac{\beta}{p_m} \max\left(p_{m+\beta}^\tau, \frac{\beta}{A} \log p_{m+\beta}\right)\right) \\ &\leq \exp\left(O\left(\max\left((\log X)^{\delta+\theta(\tau-1)}, (\log X)^{2\delta-\theta} \log \log X\right)\right)\right) \end{aligned} \quad (32)$$

by (26), (24), (3) and (1). Similarly, we get

$$\begin{aligned} \prod_{i=0}^{\alpha-1} \frac{p_\ell}{p_{\ell-i}} &\leq \exp\left(\frac{\alpha}{p_{\ell-\alpha+1}} \max\left(p_\ell^\tau, \frac{\alpha}{A} \log p_\ell\right)\right) \\ &\leq \exp\left(O\left(\max\left(\frac{(\log X)^\delta - \log z}{(\log X)^{1-\tau}}, \frac{((\log X)^\delta - \log z)^2}{\log X} \log \log X\right)\right)\right) \end{aligned} \quad (33)$$

by (28). Further, it follows from (3) and (25) that

$$\begin{aligned} \frac{p_m^\beta}{p_\ell^\alpha} &= p_\ell^{\beta\theta-\alpha} (1 + O(p_\ell^{(\tau-1)\theta}))^\beta \leq p_\ell^{\frac{\log z}{\log 2} + \frac{4}{\beta_j}} \exp(O(\beta p_\ell^{(\tau-1)\theta})) \\ &\leq \exp\left\{\left(\frac{\log z}{\log 2} \log p_\ell\right) + \frac{4 \log p_\ell}{\beta_j} + \frac{\beta}{p_\ell^{(1-\tau)\theta}}\right\}. \end{aligned} \quad (34)$$

It follows from (23) and (24) that

$$\beta_j \gg (\log X)^{\delta/\kappa}. \quad (35)$$

Multiplying (32), (33) and (34), we get from (27) and (29):

$$\hat{n}/n_k \leq \exp\left\{(1 + o(1)) \frac{\log z \log \log X}{\log 2}\right\} \quad (36)$$

if we choose  $\delta$  in such a way that the error terms in (32), (33) and (34) can be neglected. More precisely, from (6) and (36),  $\delta$  should satisfy:

$$\begin{aligned} \delta + \theta(\tau - 1) &< -\lambda_1 \\ 2\delta - \theta &< -\lambda_1 \\ \kappa\lambda_1 &< \delta < 1. \end{aligned}$$

It is possible to find such a  $\delta$  if  $\lambda_1$  satisfies

$$\lambda_1 < \min\left(\frac{(1 - \tau)\theta}{1 + \kappa}, \frac{\theta}{1 + 2\kappa}\right).$$

(4), (8) and (22) yield  $\lambda_1 < 0.03157$ .

For convenience, let us write

$$\hat{n} = p_1^{\hat{r}_1} p_2^{\hat{r}_2} \cdots p_t^{\hat{r}_t} \tag{37}$$

with, by (27),  $t = \ell - \alpha$ . It follows from (1) and (28) that

$$t = (1 + o(1)) \frac{\log X}{\log \log X}; \quad p_t \sim \log X \tag{38}$$

and from (24) and (26) that

$$\hat{r}_i = 1 \quad \text{for } i \geq t - t^{9/10}. \tag{39}$$

Now, consider the integers  $v$  satisfying

$$P(t, v) \stackrel{\text{def}}{=} \frac{p_{t+1} p_{t+2} \cdots p_{t+v}}{p_{t-v+1} p_{t-v+2} \cdots p_t} \leq \exp\left((1 - \varepsilon) \frac{\log(1/z) \log X}{\log 2}\right) \tag{40}$$

and

$$v \leq t^{9/10}. \tag{41}$$

By a calculation similar to that of (32) and (33), by (5) and the prime number theorem, for all  $v$  satisfying (41) and for all  $1 \leq i \leq v$  we have:

$$\begin{aligned} \frac{p_{t+i}}{p_{t-v+i}} &= 1 + \frac{p_{t+i} - p_{t-v+i}}{p_{t-v+i}} \leq 1 + (1 + o(1)) \frac{1}{p_t} \max\left(p_{t+v}^\tau, \frac{v}{A} \log p_{t+v}\right) \\ &= 1 + (1 + o(1)) \frac{1}{t} \max\left(t^\tau (\log t)^{\tau-1}, \frac{v}{A}\right) \end{aligned}$$

so that, by (38), the left hand side of (40) is

$$\begin{aligned}
P(t, v) &= \prod_{i=1}^v \frac{p_{t+i}}{p_{t-v+i}} \\
&\leq \exp\left(v(1+o(1))\frac{1}{t} \max\left(t^\tau (\log t)^{\tau-1}, \frac{v}{A}\right)\right) \\
&= \exp\left((1+o(1))v \frac{\log \log X}{\log X} \max\left(\frac{(\log X)^\tau}{\log \log X}, \frac{v}{A}\right)\right) \\
&= \exp\left((1+o(1))v \max\left((\log X)^{\tau-1}, \frac{v \log \log X}{A \log X}\right)\right). \tag{42}
\end{aligned}$$

By (42), (40) follows from

$$\exp\left((1+o(1))v \max\left((\log X)^{\tau-1}, \frac{v \log \log X}{A \log X}\right)\right) < \exp\left(\left(1 - \frac{\varepsilon}{2}\right) \frac{\log(1/z) \log X}{\log 2}\right). \tag{43}$$

An easy computation shows that with

$$\left(1 - \frac{5\varepsilon}{6}\right) \min\left(\left(\frac{A \log X}{\log 2} \log(1/z)^{1/2}\right), (\log X)^{1-\tau} \frac{\log \log X}{\log 2} \log(1/z)\right)$$

in place of  $v$  both (41) and (43) hold. Thus fixing  $v$  now as the greatest integer  $v$  satisfying (41) and (43), we have

$$v > \left(1 - \frac{3\varepsilon}{4}\right) \min\left(\left(\frac{A \log X}{\log 2} \log(1/z)^{1/2}\right), (\log X)^{1-\tau} \frac{\log \log X}{\log 2} \log(1/z)\right). \tag{44}$$

Then it follows from (39) and (41) that

$$\hat{r}_{t-v+i} = 1 \quad \text{for } i = 1, 2, \dots, v. \tag{45}$$

Let now  $\mathcal{A}$  denote the set of the integers  $a$  of the form

$$a = 2^{\hat{r}_1} p_2^{\hat{r}_2} \cdots p_{t-v}^{\hat{r}_{t-v}} p_{i_1} \cdots p_{i_v} \quad \text{where } t-v+1 \leq i_1 < i_2 < \cdots < i_v \leq t+v. \tag{46}$$

Then, by (37), (46) and (30) we have

$$d(a) = d(\hat{n}) \geq zD(X). \tag{47}$$

Moreover, by (40) and (36) such an  $a$  satisfies

$$a = \frac{p_{i_1} p_{i_2} \cdots p_{i_v}}{p_{t-v+1} p_{t-v+2} \cdots p_t} \hat{n} \leq P(t, v) \hat{n} \leq n_k. \tag{48}$$

It follows from (47) and (48) that  $a \in S(X, z)$  and

$$F(X, z) \geq |\mathcal{A}|. \tag{49}$$

The numbers  $i_1, i_2, \dots, i_v$  in (46) can be chosen in  $\binom{2v}{v}$  ways so that

$$|\mathcal{A}| = \binom{2v}{v} > \exp\left(\left(1 - \frac{\varepsilon}{8}\right)(\log 4)v\right). \tag{50}$$

Now (7) follows from (44), (49) and (50), and this completes the proof of Theorem 1.  $\square$

**Proof of Theorem 2:** By a theorem of Selberg [19, 9], if the real function  $f(x)$  is increasing,  $f(x) > x^{1/6}$  and  $\frac{f(x)}{x} \searrow 0$ , then there are infinitely many integers  $y$  such that

$$\pi(y + f(y)) - \pi(y) \sim \frac{f(y)}{\log y} \quad \text{and} \quad \pi(y) - \pi(y - f(y)) \sim \frac{f(y)}{\log y}. \quad (51)$$

We use this result with  $f(y) = (1 - \frac{\varepsilon}{3}) \log y (\frac{y \log(1/z)}{\log 4})^{1/2}$  and for a  $y$  value satisfying (51), define  $t$  by

$$p_t \leq y < p_{t+1}. \quad (52)$$

Further, we define  $\beta_j$  (instead of (24)) so that  $\beta_j \geq \frac{4 \log 2}{\varepsilon \log(1/z)}$  and  $\alpha, \beta$  by (25) and (26); we set  $\ell = t + \alpha$  and choose  $X = n_k$  a h.c. number whose greatest prime factor is  $p_\ell$  (such a number exists, see [13] or (59), (60) below). We define  $\hat{n}$  by (27), and (30) and (38) still hold, while (36) becomes

$$\begin{aligned} \frac{\hat{n}}{n_k} &\leq \exp\left((1 + o(1)) \log \log X \left(\frac{\log z}{\log 2} + \frac{4}{\beta_j}\right)\right) \\ &\leq \exp\left((1 + o(1)) \frac{\log \log X}{\log 2} \log z (1 - \varepsilon)\right) \\ &\leq \exp\left(\frac{\log \log X}{\log 2} \log z \left(1 - \frac{\varepsilon}{2}\right)\right) \end{aligned} \quad (53)$$

for  $X$  large enough. Let  $v$  denote the greatest integer with

$$p_{t+v} \leq y + f(y) \quad \text{and} \quad p_{t-v} \geq y - f(y), \quad (54)$$

so that by the definition of  $y$  we have

$$v \sim \frac{f(y)}{\log y}. \quad (55)$$

By (38) and (52), we have

$$y \sim \log x. \quad (56)$$

Moreover, by (38), (54) and (55), we have

$$\begin{aligned} P(t, v) &\stackrel{\text{def}}{=} \prod_{i=1}^v \frac{p_{t+i}}{p_{t-v+i}} \leq \left(\frac{y + f(y)}{y - f(y)}\right)^v \\ &\leq \exp\left((1 + o(1)) \frac{f(y)}{\log \log X} \log\left(1 + 2 \frac{f(y)}{y}\right)\right) \\ &= \exp\left((2 + o(1)) \frac{f^2(y)}{y \log \log X}\right) = \left(\frac{1}{\log 2} + o(1)\right) \left(1 - \frac{\varepsilon}{3}\right)^2 \log \log X \log(1/z). \end{aligned} \quad (57)$$

It follows from (53) and (57) that  $P(t, v) < n_k/\hat{n}$  for  $X$  large enough and  $\varepsilon$  small enough.

Again, as in the proof of Theorem 1, we consider the set  $\mathcal{A}$  of the integers  $a$  of the form (48). Then as in the proof of Theorem 1, by using (38) and (55) finally we obtain

$$\begin{aligned} F(X, z) &\geq |\mathcal{A}| = \binom{2v}{v} > \exp\left(\left(1 - \frac{\varepsilon}{3}\right)(\log 4)v\right) \\ &> \exp((1 - \varepsilon)(\log 4)^{1/2}(\log X)^{1/2}(\log(1/z))^{1/2}) \end{aligned}$$

which completes the proof of Theorem 2.  $\square$

## 6. Superior highly composite numbers and benefits

Following Ramanujan (cf. [13]) we shall say that an integer  $N$  is superior highly composite (s.h.c.) if there exists  $\varepsilon > 0$  such that for all positive integer  $M$  the following inequality holds:

$$d(M)/M^\varepsilon \leq d(N)/N^\varepsilon. \quad (58)$$

Let us recall the properties of s.h.c. numbers (cf. [13], [7, p. 174], [8–11]). To any  $\varepsilon$ ,  $0 < \varepsilon < 1$ , one can associate the s.h.c. number:

$$N_\varepsilon = \prod_{p \leq x} p^{\alpha_p} \quad (59)$$

where

$$x = 2^{1/\varepsilon}, \quad \varepsilon = (\log 2)/\log x \quad (60)$$

and

$$\alpha_p = \left\lfloor \frac{1}{p^\varepsilon - 1} \right\rfloor. \quad (61)$$

For  $i \geq 1$ , we write

$$x_i = x^{\log(1+1/i)/\log 2} \quad (62)$$

and then (61) yields:

$$\alpha_p = i \iff x_{i+1} < p \leq x_i. \quad (63)$$

A s.h.c. number is h.c. thus from (1) we deduce:

$$x \sim \log N_\varepsilon. \quad (64)$$

Let  $P > x$  be the smallest prime greater than  $x$ . There is a s.h.c. number  $N'$  such that  $N' \leq NP$  and  $d(N') \leq 2d(N)$ .

*Definition.* Let  $\varepsilon, 0 < \varepsilon < 1$ , and  $N_\varepsilon$  satisfy (58). For a positive integer  $M$ , let us define the benefit of  $M$  by

$$\text{ben } M = \varepsilon \log \frac{M}{N_\varepsilon} - \log \frac{d(M)}{d(N_\varepsilon)}. \quad (65)$$

From (58), we have  $\text{ben } M \geq 0$ . Note that  $\text{ben } N$  depends on  $\varepsilon$ , but not on  $N_\varepsilon$ : If  $N^{(1)}$  and  $N^{(2)}$  satisfy (58), (65) will give the same value for  $\text{ben } M$  if we set  $N_\varepsilon = N^{(1)}$  or  $N_\varepsilon = N^{(2)}$ .

Now, let us write a generic integer:

$$M = \prod_p p^{\beta_p},$$

for  $p > x$ , let us set  $\alpha_p = 0$ , and define:

$$\text{ben}_p(M) = \varepsilon(\beta_p - \alpha_p) \log p - \log \left( \frac{\beta_{p+1}}{\alpha_{p+1}} \right). \quad (66)$$

From the definition (61) of  $\alpha_p$ , we have  $\text{ben}_p(M) \geq 0$ , and (65) can be written as

$$\text{ben } M = \sum_p \text{ben}_p(M). \quad (67)$$

If  $\beta_p = \alpha_p$ , we have  $\text{ben}_p(M) = 0$ . If  $\beta_p > \alpha_p$ , let us set

$$\varphi_1 = \varphi_1(\varepsilon, p, \alpha_p, \beta_p) = (\beta_p - \alpha_p) \left( \varepsilon \log p - \log \frac{\alpha_p + 2}{\alpha_p + 1} \right) = (\beta_p - \alpha_p) \varepsilon \log \left( \frac{p}{x_{\alpha_p + 1}} \right)$$

$$\psi_1 = \psi_1(\alpha_p, \beta_p) = (\beta_p - \alpha_p) \log \left( 1 + \frac{1}{\alpha_p + 1} \right) - \log \left( 1 + \frac{\beta_p - \alpha_p}{\alpha_p + 1} \right).$$

We have

$$\text{ben}_p(M) = \varphi_1 + \psi_1,$$

$\varphi_1 \geq 0$ ,  $\psi_1 \geq 0$  and  $\psi_1(\alpha_p, \alpha_p + 1) = 0$ . Similarly, for  $\beta_p < \alpha_p$ , let us introduce:

$$\varphi_2 = \varphi_2(\varepsilon, p, \alpha_p, \beta_p) = (\alpha_p - \beta_p) \left( \log \frac{\alpha_p + 1}{\alpha_p} - \varepsilon \log p \right) = (\alpha_p - \beta_p) \varepsilon \log \left( \frac{x_{\alpha_p}}{p} \right)$$

$$\psi_2 = \psi_2(\alpha_p, \beta_p) = (\alpha_p - \beta_p) \log \left( 1 - \frac{1}{\alpha_p + 1} \right) - \log \left( 1 - \frac{\alpha_p - \beta_p}{\alpha_p + 1} \right).$$

We have  $\varphi_2 \geq 0$ ,  $\psi_2 \geq 0$ ,  $\psi_2(\alpha_2, \alpha_p - 1) = 0$ . Moreover, observe that  $\psi_1$  is an increasing function of  $\beta_p - \alpha_p$ , and  $\psi_2$  is an increasing function of  $\alpha_p - \beta_p$ , for  $\alpha_p$  fixed.

We will prove:

**Theorem 6.** Let  $x \rightarrow +\infty$ ,  $\varepsilon$  be defined by (60) and  $N_\varepsilon$  by (59). Let  $\lambda < 1$  be a positive real number,  $\mu$  a positive real number not too large ( $\mu < 0.16$ ) and  $B = B(x)$  such that

$x^{-\mu} \leq B(x) \leq x^\lambda$ . Then the number of integers  $M$  such that the benefit of  $M$  (defined by (65)) is smaller than  $B$ , satisfies

$$v \leq \exp\left(\frac{23}{\sqrt{1-\mu}}\sqrt{Bx}\right) \tag{68}$$

for  $x$  large enough.

In [9], an upper bound for  $v$  was given, with  $B = x^{-\gamma}$ . In order to prove Theorem 6, we shall need the following lemmas:

**Lemma 1.** *Let  $p_1 = 2, p_2 = 3, \dots, p_k$  be the  $k$ th prime. For  $k \geq 2$  we have  $k \log k \geq 0.46p_k$ .*

**Proof:** By [18] for  $k \geq 6$  we have

$$p_k \leq k(\log k + \log \log k) \leq 2k \log k$$

and the lemma follows after checking the cases  $k = 2, 3, 4, 5$ . □

**Lemma 2.** *Let  $p_1 = 2, p_2 = 3, \dots, p_k$  be the  $k$ th prime. The number of solutions of the inequality*

$$p_1x_1 + p_2x_2 + \dots + p_kx_k + \dots \leq x \tag{69}$$

*in integers  $x_1, x_2, \dots$ , is  $\exp((1 + o(1))\frac{2\pi}{\sqrt{3}}\sqrt{\frac{x}{\log x}})$ .*

**Proof:** The number  $T(n)$  of partitions of  $n$  into primes satisfies (cf. [5])  $\log T(n) \sim \frac{2\pi}{\sqrt{3}}\sqrt{\frac{n}{\log n}}$ , and the number of solutions of (69) is  $\sum_{n \leq x} T(n)$ . □

**Lemma 3.** *The number of solutions of the inequality*

$$x_1 + x_2 + \dots + x_r \leq A \tag{70}$$

*in integers  $x_1, \dots, x_r$  is  $\leq (2r)^A$ .*

**Proof:** Let  $a = \lfloor A \rfloor$ . It is well known that the number of solutions of (70) is

$$\binom{r+a}{a} = \frac{r+a}{a} \frac{r+a-1}{a-1} \dots \frac{r+2}{2} \frac{r+1}{1} \leq (r+1)^a \leq (2r)^a.$$

□

**Proof of Theorem 6:** Any integer  $M$  can be written as

$$M = \frac{A}{D}N_\varepsilon, \quad (A, D) = 1 \text{ and } D \text{ divides } N_\varepsilon.$$

First, we observe that, if  $p^y$  divides  $A$  and  $\text{ben } M \leq B$ , we have for  $x$  large enough:

$$y \leq x. \tag{71}$$

Indeed, by (61), we have

$$\alpha_p \leq \frac{1}{p^\varepsilon - 1} \leq \frac{1}{\varepsilon \log p} = \frac{\log x}{\log 2 \log p} \leq \frac{\log x}{(\log 2)^2} \leq 3 \log x.$$

It follows that

$$\begin{aligned} B \geq \text{ben } M &\geq \text{ben}_p(AN_\varepsilon) \geq \psi_1(\alpha_p, \alpha_p + y) \\ &= y \log\left(1 + \frac{1}{\alpha_p + 1}\right) - \log\left(1 + \frac{y}{\alpha_p + 1}\right) \\ &\geq \frac{y}{\alpha_p} - \log(1 + y) \geq \frac{y}{3 \log x} - \log(1 + y), \end{aligned}$$

and since  $B \leq x^\lambda$ , this inequality does not hold for  $y > x$  and  $x$  large enough.

Further we write  $A = A_1 A_2 \cdots A_6$  with  $(A_i, A_j) = 1$  and

$$\begin{aligned} p \mid A_1 &\implies p > 2x \\ p \mid A_2 &\implies x < p \leq 2x \\ p \mid A_3 &\implies 2x_2 < p \leq x \\ p \mid A_4 &\implies x_2 < p \leq 2x_2 \\ p \mid A_5 &\implies 2x_3 < p \leq x_2 \\ p \mid A_6 &\implies p \leq 2x_3, \end{aligned}$$

where  $x_2$  and  $x_3$  are defined by (62). Similarly, we write  $D = D_1 D_2 \dots D_5$ , with  $(D_i, D_j) = 1$  and

$$\begin{aligned} p \mid D_1 &\implies x/2 < p \leq x \\ p \mid D_2 &\implies x_2 < p \leq x/2 \\ p \mid D_3 &\implies x_2/2 < p \leq x_2 \\ p \mid D_4 &\implies 2x_3 < p \leq x_2/2 \\ p \mid D_5 &\implies p \leq 2x_3. \end{aligned}$$

We have

$$\text{ben } M = \sum_{i=1}^6 \text{ben}(A_i N_\varepsilon) + \sum_{i=1}^5 \text{ben}(N_\varepsilon / D_i),$$

and denoting by  $v_i$  (resp.  $v'_i$ ) the number of solutions of

$$\text{ben}(A_i N_\varepsilon) \leq B \quad (\text{resp. } \text{ben}(N_\varepsilon / D_i) \leq B),$$

we have

$$v \leq \prod_{i=1}^6 v_i \prod_{i=1}^5 v'_i. \quad (72)$$

In (72), we shall see that the main factors are  $v_2$  and  $v'_1$  and the other ones are negligible.

*Estimation of  $v_2$ .* Let us denote the primes between  $x$  and  $2x$  by  $x < P_1 < P_2 < \dots < P_r \leq 2x$ , and let

$$A_2 = P_1^{y_1} P_2^{y_2} \dots P_r^{y_r}, \quad y_i \geq 0.$$

From the Brun-Titchmarsh inequality, it follows for  $i \geq 2$  that

$$i = \pi(P_i) - \pi(x) \leq 2 \frac{P_i - x}{\log(P_i - x)} \leq 2 \frac{P_i - x}{\log 2(i-1)}$$

and it follows from Lemma 1:

$$P_i - x \geq \frac{i}{2} \log 2(i-1) \geq \frac{i \log i}{2} \geq 0.23 p_i.$$

By (60) and (61) we have  $\alpha_{P_i} = 0$  and

$$\begin{aligned} \text{ben}(A_2 N_\varepsilon) &\geq \sum_{i=2}^r \varphi_1(\varepsilon, P_i, 0, y_i) = \sum_{i=2}^r \varepsilon y_i \log(P_i/x) \\ &\geq \sum_{i=2}^r \varepsilon y_i \frac{P_i - x}{P_i} \geq \sum_{i=2}^r \frac{\varepsilon y_i}{2x} (P_i - x) \geq \sum_{i=2}^r 0.115 \frac{\varepsilon y_i}{x} p_i. \end{aligned}$$

By (71), the number of possible choices for  $y_1$  is less than  $(x+1)$ , so that  $v_2$  is certainly less than  $(x+1)$  times the number of solutions of:

$$\sum_{i=2}^{\infty} p_i y_i \leq \frac{Bx}{\varepsilon(0.115)} \leq 12.6 Bx \log x,$$

and, by Lemma 2,

$$v_2 \leq (x+1) \exp \left\{ (1+o(1)) \frac{2\pi}{\sqrt{3}} \sqrt{\frac{12.6 Bx \log x}{\log(Bx)}} \right\} \leq \exp \left( \frac{13\sqrt{Bx}}{\sqrt{1-\mu}} \right).$$

*Estimation of  $v_1$ .* First we observe that, if a large prime  $P$  divides  $M$  and  $\text{ben } M \leq B$  then we have:

$$B \geq \text{ben } M \geq \text{ben}_p(M) \geq \varphi_1(\varepsilon, P, 0, \beta_p) \geq \varepsilon \log(P/x),$$

so that

$$P \leq x \exp(B/\varepsilon) = x \exp\left(\frac{B \log x}{\log 2}\right).$$

If  $\lambda$  is large, we divide the interval  $[0, \lambda]$  into equal subintervals:  $[\lambda_i, \lambda_{i+1}]$ ,  $0 \leq i \leq s-1$ , such that  $\lambda_{i+1} - \lambda_i < \frac{1-\lambda}{2}$ . We set  $T_0 = 2x$ ,  $T_i = x \exp(x^{\lambda_i})$  for  $1 \leq i \leq s-1$ , and  $T_s = x \exp(\frac{B \log x}{\log 2})$ . If  $\lambda < \frac{1}{3}$ , there is just one interval in the subdivision. Further, we write  $A_1 = a_1 a_2 \dots a_s$  with  $p \mid a_i \implies T_{i-1} < p \leq T_i$ , and if we denote the number of solutions of  $\text{ben}(a_i N_\varepsilon) \leq B$  by  $v_1^{(i)}$  clearly we have

$$v_1 \leq \prod_{i=1}^s v_1^{(i)}.$$

To estimate  $v_1^{(i)}$  let us denote the primes between  $T_{i-1}$  and  $T_i$  by  $T_{i-1} < P_1 < \dots < P_r \leq T_i$ , and let  $a_i = P_1^{y_1} \dots P_r^{y_r}$ . We have

$$\begin{aligned} B \geq \text{ben}(a_i N_\varepsilon) &\geq \sum_{i=1}^r \varphi_1(\varepsilon, P_i, 0, y_i) = \sum_{i=1}^r \varepsilon y_i \log \frac{P_i}{x} \\ &\geq \sum_{i=1}^r \varepsilon y_i \log \frac{T_{i-1}}{x}. \end{aligned}$$

If  $i = 1$ ,  $T_0 = 2x$ , this implies  $\sum_{i=1}^r y_i \leq \frac{B(\log x)}{(\log 2)^2} \leq 3B \log x$ , and by Lemma 3,

$$v_1^{(1)} \leq \exp(3B \log x \log(2r)) \leq \exp(3B \log x \log T_1) \leq \exp((1 + o(1))Bx^{\lambda_1}).$$

If  $i > 1$ , we have  $\sum_{i=1}^r y_i \leq \frac{B}{\varepsilon x^{\lambda_{i-1}}}$ , and by Lemma 3,

$$v_1^{(i)} \leq \exp\left(\frac{B}{\varepsilon x^{\lambda_{i-1}}} \log T_i\right) \leq \exp\{(1 + o(1))Bx^{\lambda_i - \lambda_{i-1}}\},$$

and from the choice of the  $\lambda_i$ 's, one can easily see that, for  $B \leq x^\lambda$ ,  $v_1 = \prod_{i=1}^s v_1^{(i)}$  is negligible compared with  $v_2$ .

The other factors of (72) are easier to estimate:

*Estimation of  $v_3$ .* Let us denote the primes between  $2x_2$  and  $x$  by  $2x_2 < P_r < P_{r-1} < \dots < P_1 \leq x$ . By (62) and (4),  $x_2 = x^\theta$ , and by (63),  $\alpha_{P_i} = 1$ . Let us write  $A_3 = P_1^{y_1} \dots P_r^{y_r}$ . We have

$$B \geq \text{ben}(A_3 M) \geq \sum_{i=1}^r \varphi_1(\varepsilon, P_i, 1, 1 + y_i) = \sum_{i=1}^r \varepsilon y_i \log \frac{P_i}{x_2} \geq \sum_{i=1}^r \frac{(\log 2)^2}{\log x} y_i.$$

So,  $\sum_{i=1}^r y_i \leq B \log x / (\log 2)^2 \leq 3B \log x$ , and by Lemma 3,

$$v_3 \leq \exp(3B \log x \log(2r)) \leq \exp(3B(\log x)^2).$$

*Estimation of  $v_4$ .* Replacing  $x$  by  $x_2$  the upper bound obtained for  $v_2$  becomes:

$$v_2 = \exp(O(\sqrt{Bx_2})) = \exp(O(\sqrt{Bx^\theta})).$$

*Estimation of  $v_5$ .* Replacing  $x$  by  $x_2$ , the upper bound obtained for  $v_3$  becomes:

$$v_5 \leq \exp(3B \log x \log x_2) = \exp(3\theta B(\log x)^2).$$

*Estimation of  $v_6$ .* Let  $p_1, p_2, \dots, p_r \leq 2x_3$  be the first primes and write  $A_6 = p_1^{y_1} p_2^{y_2} \dots p_r^{y_r}$ . By (71),  $y_i \leq x$ , and thus by (62),

$$v_6 \leq (x+1)^r \leq (x+1)^{x_3} = \exp(x^{1-\theta} \log(x+1))$$

and for  $B \geq x^{-\mu}$  and  $\mu < 0.16$ , this is negligible compared with  $v_2$ .

*Estimation of  $v'_1$ .* Let us denote the primes between  $\frac{x}{2}$  and  $x$  by  $\frac{x}{2} < P_r < P_{r-1} < \dots < P_1 \leq x$ , and let  $D_1 = P_1^{y_1} \dots P_r^{y_r}$ . We have  $\alpha_{P_i} = 1$  and since  $D_1$  divides  $N_\varepsilon$ ,  $y_i = 0$  or  $1$ . By a computation similar to that of  $v_2$ , we obtain

$$B \geq \text{ben} \frac{N_\varepsilon}{D_1} \geq \sum_{i=2}^r \varphi_2(\varepsilon, P_i, 1, y_i) = \sum_{i=2}^r \varepsilon y_i \log \frac{x}{P_i} \geq \sum_{i=2}^r \varepsilon y_i \frac{x - P_i}{x},$$

and by using the Brun-Titchmarsh inequality and Lemma 1, it follows that

$$\sum_{i=2}^r p_i y_i \leq \frac{Bx}{0.23\varepsilon} \leq 6.3 Bx \log x.$$

Thus, as  $y_1$  can only take 2 values, by Lemma 2 we have

$$v'_1 \leq 2 \exp((1 + o(1)) \frac{2\pi}{\sqrt{3}} \sqrt{\frac{6.3 Bx \log x}{\log(Bx)}}) \leq \exp(9.2\sqrt{Bx}).$$

*Estimation of  $v'_2$ .* By an estimation similar to that of  $v_3$ , replacing  $\varphi_1$  by  $\varphi_2$  and using Lemma 3, we get

$$v'_2 \leq \exp(3B \log^2 x).$$

*Estimation of  $v'_3$ .* Replacing  $x$  by  $x_2$ , it is similar to that of  $v'_1$  and we get

$$v'_3 = \exp(O(\sqrt{Bx_2})).$$

*Estimation of  $v'_4$ .* Replacing  $x$  by  $x_2$ , we get, as for  $v'_2$ ,

$$v'_4 \leq \exp(3B \log x \log x_2) = \exp(3\theta B \log^2 x).$$

*Estimation of  $v'_5$ .* As we have seen for  $v_6$ , we have

$$D_5 = p_1^{y_1} \cdots p_r^{y_r}$$

with  $y_i \leq \alpha_{p_i} \leq 3 \log x$  and  $r \leq \pi(2x_3) \leq x_3$ . Thus

$$v'_5 \leq (1 + 3 \log x)^r \leq \exp(x^{1-\theta} \log(1 + 3 \log x)).$$

By formula (68) and the estimates of  $v_i$  and  $v'_i$ , the proof of Theorem 6 is completed.  $\square$

By a more careful estimate, it would have been possible to improve the constant in (68). However, using the Brun-Titchmarsh inequality we loose a factor  $\sqrt{2}$ , and we do not see how to avoid this loss. A similar method was used in [3]. Also, the condition  $\mu < 0.16$  can be replaced easily by  $\mu < 1$ .

### 7. Proof of Theorem 3

We shall need the following lemmas:

**Lemma 4.** *Let  $n_j$  the sequence of h.c. numbers. There exists a positive real number  $c$  such that for  $j$  large enough, the following inequality holds:*

$$\frac{n_{j+1}}{n_j} \leq 1 + \frac{1}{(\log n_j)^c}.$$

**Proof:** This result was first proved by Erdős in [2]. The best constant  $c$  is given in [8]:

$$c = \frac{\log(15/8)}{\log 8} (1 - \tau_0) = 0.1405\dots$$

with the value of  $\tau_0$  given by (8).  $\square$

**Lemma 5.** *Let  $n_j$  be a h.c. number, and  $N_\varepsilon$  the superior h.c. number preceding  $n_j$ . Then the benefit of  $n_j$  (defined by (65)) satisfies:*

$$\text{ben } n_j = O((\log n_j)^{-\gamma}).$$

**Proof:** This is Theorem 1 of [8]. The value of  $\gamma$  is given by

$$\gamma = \theta(1 - \tau_0)/(1 + \kappa) = 0.03157\dots$$

where  $\theta$ ,  $\tau_0$  and  $\kappa$  are defined by (4), (8) and (22).  $\square$

To prove Theorem 3, first recall that  $n_k$  is defined so that

$$n_k \leq X < n_{k+1}. \tag{73}$$

We define  $N_\varepsilon$  as the largest s.h.c. number  $\leq n_k$ . Now let  $n \in S(X, z)$ . We get from (65):

$$\begin{aligned} \text{ben } n &= \varepsilon \log \frac{n}{N_\varepsilon} - \log \frac{d(n)}{d(N_\varepsilon)}, \\ \text{ben } n_k &= \varepsilon \log \frac{n_k}{N_\varepsilon} - \log \frac{d(n_k)}{d(N_\varepsilon)} \end{aligned}$$

and, subtracting,

$$\text{ben } n = \text{ben } n_k + \varepsilon \log \frac{n}{n_k} - \log \frac{d(n)}{d(n_k)}.$$

But  $n \in S(X, z)$  so that  $n \leq X$  and  $d(n) \geq zd(n_k)$ . Thus

$$\text{ben } n \leq \text{ben } n_k + \varepsilon \log \frac{X}{n_k} + \log(1/z).$$

By (73) and Lemma 4, we have  $n_k \sim X$ , and by (60), (64), (73) and Lemma 4, we have

$$\varepsilon \log \frac{X}{n_k} \leq \varepsilon \log \frac{n_{k+1}}{n_k} \leq \frac{1}{(\log X)^{c+o(1)}}.$$

By Lemma 5,

$$\text{ben } n \leq B = \log \frac{1}{z} + O(\log X)^{-\gamma}.$$

Applying Theorem 6 completes the proof of Theorem 3.  $\square$

### 8. An upper bound for $d(n_{j+1})/d(n_j)$

We will prove:

**Theorem 7.** *There exists a constant  $c > 0$  such that for  $n_j$  large enough, the inequality*

$$\frac{d(n_{j+1})}{d(n_j)} \leq 1 + \frac{1}{(\log n_j)^c}$$

*holds. Here  $c$  can be chosen as any number less than  $\gamma$  defined in Lemma 5.*

**Proof:** Let  $N_\varepsilon$  the s.h.c. number preceding  $n_j$ . We have by Lemma 5  $\text{ben}(n_j) = O((\log n_j)^{-\gamma})$  and  $\text{ben}(n_{j+1}) = O((\log n_j)^{-\gamma})$ . Further, it follows from (65) that

$$\log \frac{d(n_{j+1})}{d(n_j)} = \varepsilon \log \frac{n_{j+1}}{n_j} + \text{ben}(n_{j+1}) - \text{ben}(n_j) \leq \log \frac{n_{j+1}}{n_j} + \text{ben}(n_{j+1})$$

which, by using Lemma 4 and Lemma 5, completes the proof of Theorem 7.  $\square$

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