

# The Parametric Complexity of Lossy Counter Machines

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# OUTLINE

lossy counter machines (LCM) reachability

- ▶ canonical ACKERMANN-complete problem
- ▶ complexity gap in fixed dimension  $d$ :  
 $F_d$ -hard, in  $F_{d+1}$

complexity using well-quasi-orders (wqo)

- ▶ controlled bad sequences
- ▶ length function theorem  
on the length of controlled bad sequences
- ▶  $F_{d+1}$  upper bounds for LCM reachability

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- ▶ width function theorem  
on the length of controlled antichains
- ▶  $\mathbf{F}_d$  upper bounds for LCM reachability

# MAIN RESULT

$$F_0(x) = x + 1$$

$$F_1(x) = \overbrace{F_0 \circ \cdots \circ F_0}^{x+1 \text{ times}}(x) = 2x + 1$$

$$F_2(x) = \overbrace{F_1 \circ \cdots \circ F_1}^{x+1 \text{ times}}(x) \approx 2^x$$

$$F_3(x) = \overbrace{F_2 \circ \cdots \circ F_2}^{x+1 \text{ times}}(x) \approx \text{tower}(x)$$

⋮

$$F_\omega(x) = F_{x+1}(x) \quad \approx \text{ackermann}(x)$$



## UPPER BOUND THEOREM

*LCM Reachability is  $F_d$ -complete in fixed dimension  $d \geq 3$ .*

# MAIN RESULT

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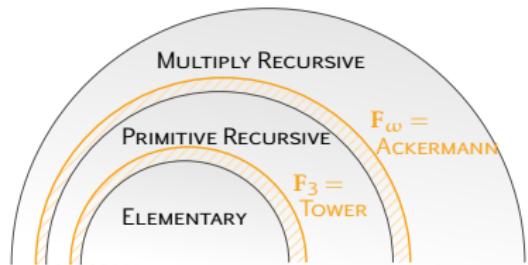
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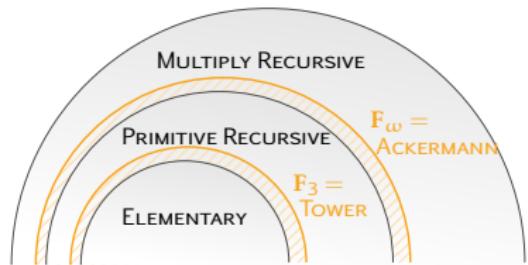
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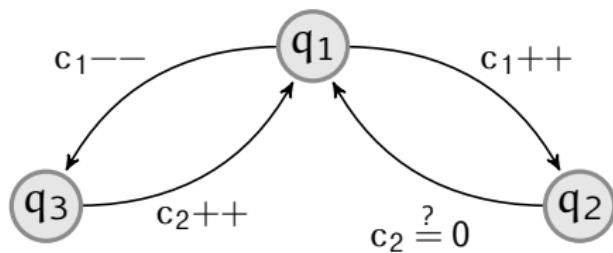


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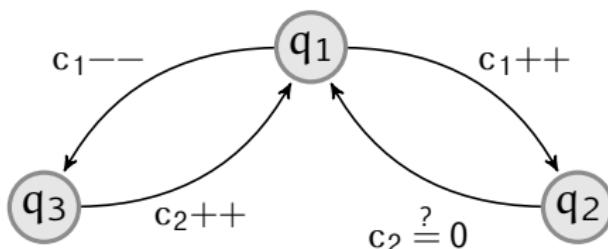
# LOSSY COUNTER MACHINES

Example



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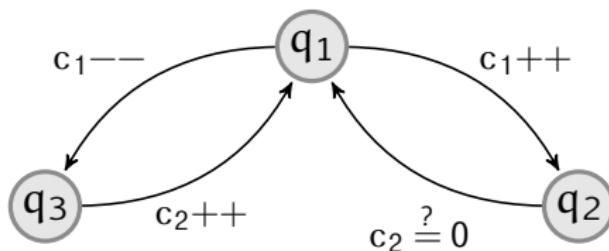


Lossy Semantics

$$q_1(0, 2) \xrightarrow[\ell]{c_1++} q_2(1, 1) \xrightarrow[\ell]{c_2 \stackrel{?}{=} 0} q_1(0, 0)$$

# LOSSY COUNTER MACHINES

Example

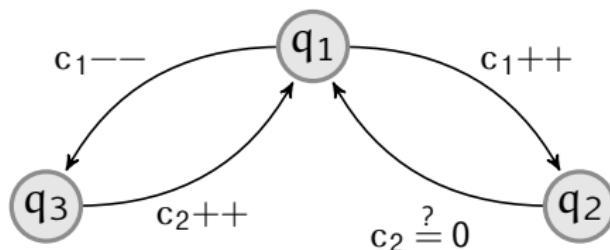


Lossy Semantics

$$\begin{array}{c} q_1(0,2) \xrightarrow[\ell]{c_1++} q_2(1,1) \xrightarrow[\ell]{c_2=?=0} q_1(0,0) \\ \nwarrow \wedge \qquad \swarrow \wedge \\ q_1(0,2) \xrightarrow{\textcolor{orange}{c_1++}} q_2(1,2) \end{array}$$

# LOSSY COUNTER MACHINES

Example



Lossy Semantics

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 q_1(0, 2) \xrightarrow[\ell]{c_1++} q_2(1, 1) \xrightarrow[\ell]{c_2 ?= 0} q_1(0, 0) \\
 \wedge \\
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 \end{array}$$

# REACHABILITY AND COVERABILITY

## Reachability Problem

input an LCM  $M$ , initial configuration  $q_0(\mathbf{v}_0)$ , target configuration  $q_f(\mathbf{v}_f)$

question  $q_0(\mathbf{v}_0) \xrightarrow{\ell}^* q_f(\mathbf{v}_f) ?$

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## Remark

equivalent to **coverability**:

question  $\exists v \geq v_f . q_0(v_0) \xrightarrow{\ell}^* q_f(v)$ ?

# REACHABILITY AND COVERABILITY

## Reachability Problem

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Lower Bound Theorem (Urquhart'99; Schnoebelen'02,'10)  
LCM Reachability is **ACKERMANN-hard**.

Upper Bound Theorem (McAloon'84,Cloet'86)  
LCM Reachability is in **ACKERMANN**.

# REACHABILITY AND COVERABILITY

## Reachability Problem

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## Lower Bound Theorem (S.'17)

LCM Reachability is  $\text{F}_d$ -hard in fixed dimension  $d \geq 3$ .

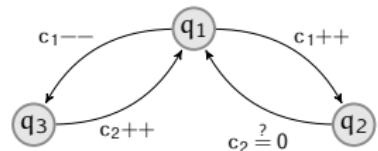
## Upper Bound Theorem (Figueira & al.'11, S. & Schnoebelen '12)

LCM Reachability is in  $\text{F}_{d+1}$  in fixed dimension  $d \geq 3$ .

# BACKWARD COVERABILITY

(Arnold & Latteux'78)

Example: coverability of  $q_2(1,1)$  in

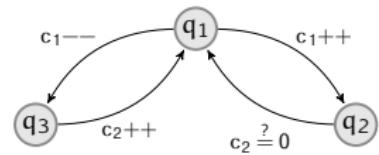


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$$U_k \stackrel{\text{def}}{=} \{q(v) \mid \exists v' \geq (1,1). q(v) \xrightarrow[\ell]^{\leq k} q_2(v')\}$$

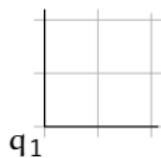


# BACKWARD COVERABILITY

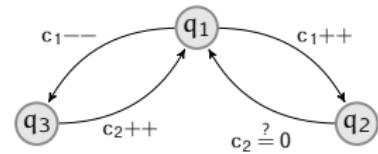
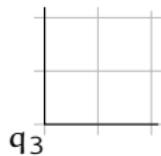
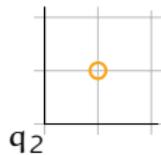
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Example: coverability of  $q_2(1,1)$  in

$$U_0 \stackrel{\text{def}}{=} \{q(v) \mid \exists v' \geq (1,1). q(v) \xrightarrow{\leq 0} q_2(v')\}$$



$q_2(1,1)$

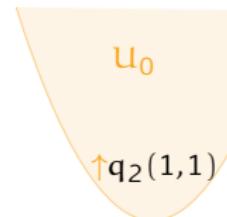
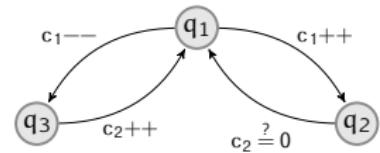
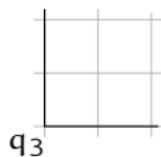
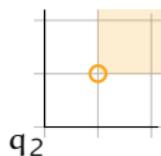
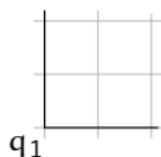


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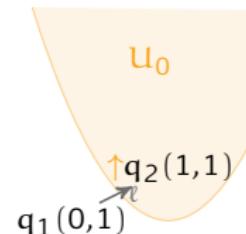
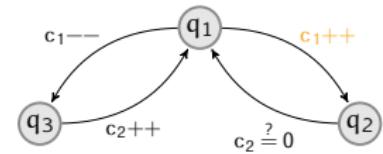
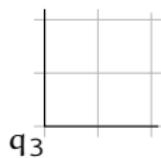
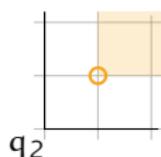
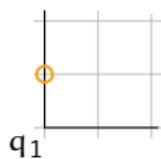


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Example: coverability of  $q_2(1,1)$  in

$$U_1 \stackrel{\text{def}}{=} \{q(v) \mid \exists v' \geq (1,1). q(v) \xrightarrow{\leq 1} q_2(v')\}$$

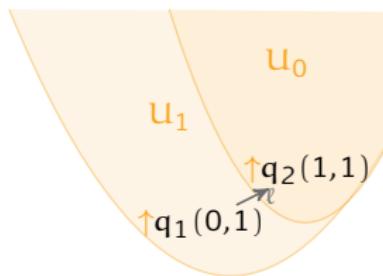
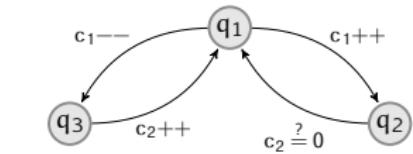
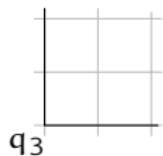
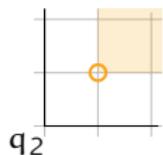
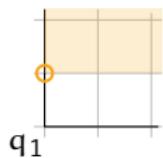


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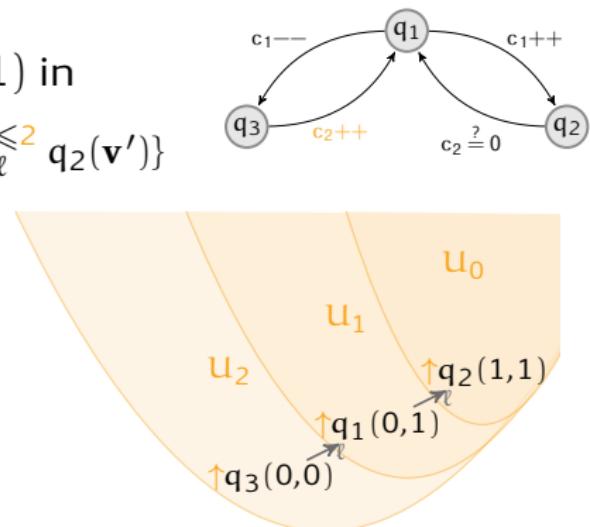
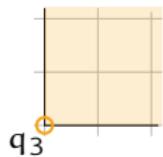
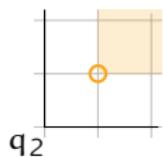
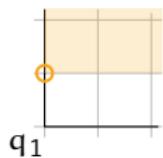


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Example: coverability of  $q_2(1,1)$  in

$$U_2 \stackrel{\text{def}}{=} \{q(v) \mid \exists v' \geq (1,1). q(v) \xrightarrow{\leq 2} q_2(v')\}$$

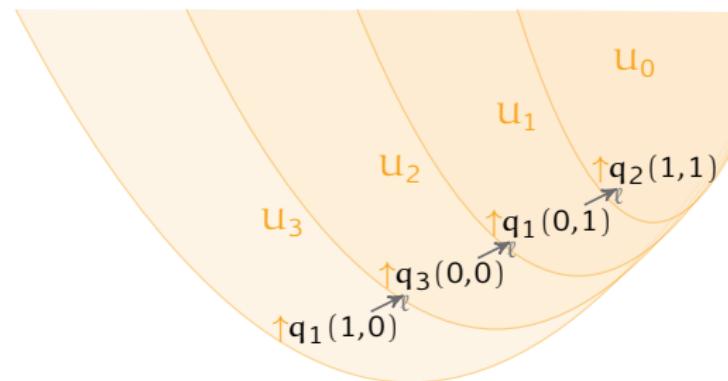
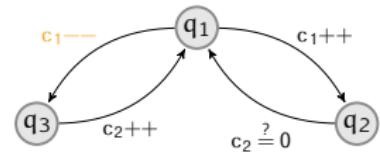
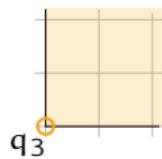
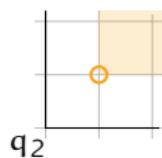
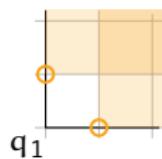


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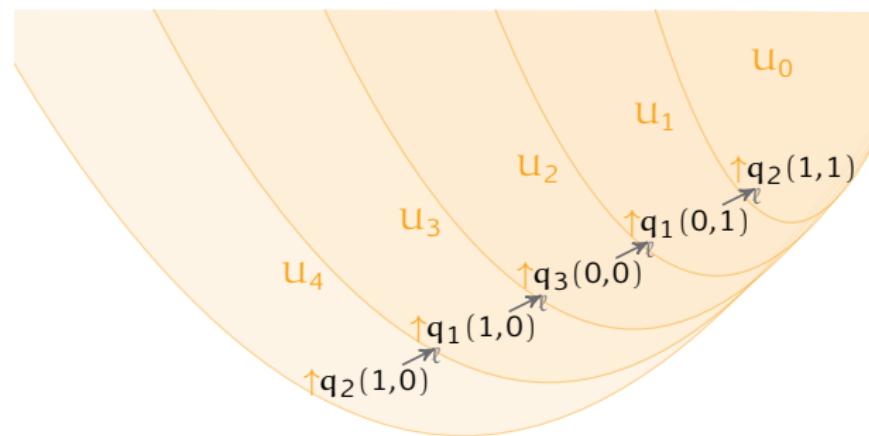
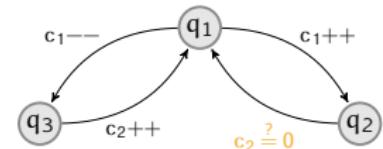
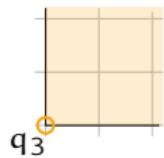
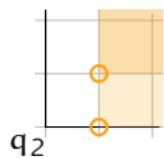
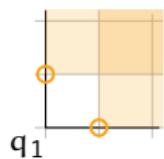


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Example: coverability of  $q_2(1,1)$  in

$$U_4 \stackrel{\text{def}}{=} \{q(v) \mid \exists v' \geq (1,1). q(v) \xrightarrow{\ell}^{< 4} q_2(v')\}$$

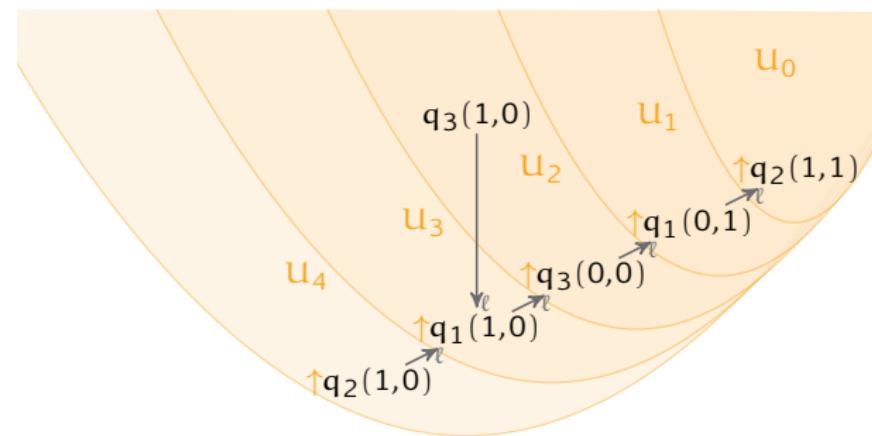
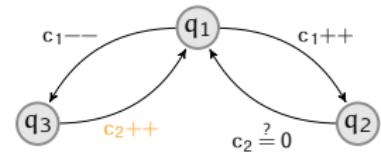
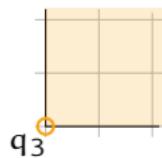
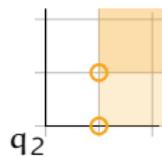
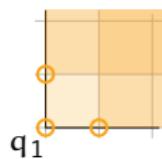


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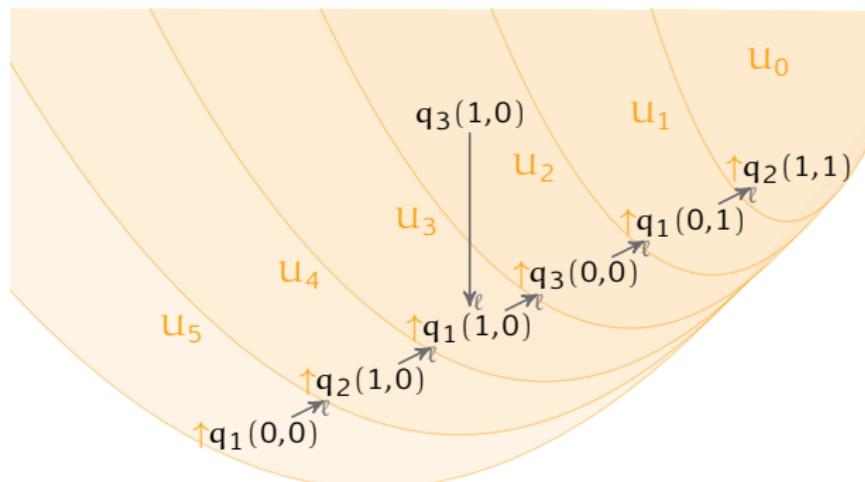
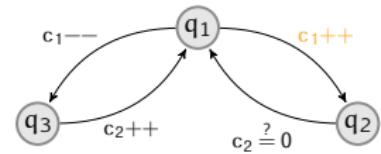
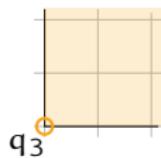
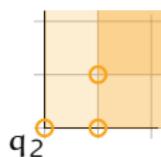
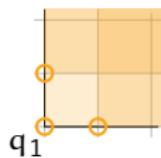


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Example: coverability of  $q_2(1,1)$  in

$$U_5 \stackrel{\text{def}}{=} \{q(v) \mid \exists v' \geq (1,1). q(v) \xrightarrow{\ell}^{< 5} q_2(v')\}$$

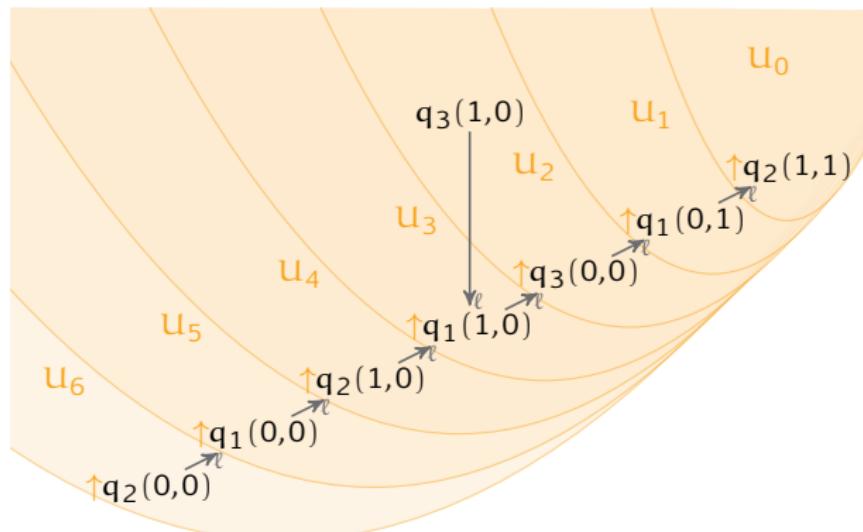
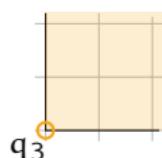
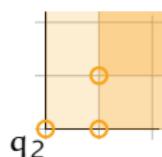
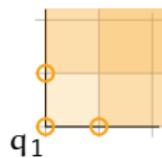


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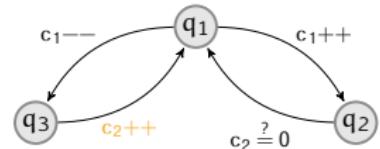
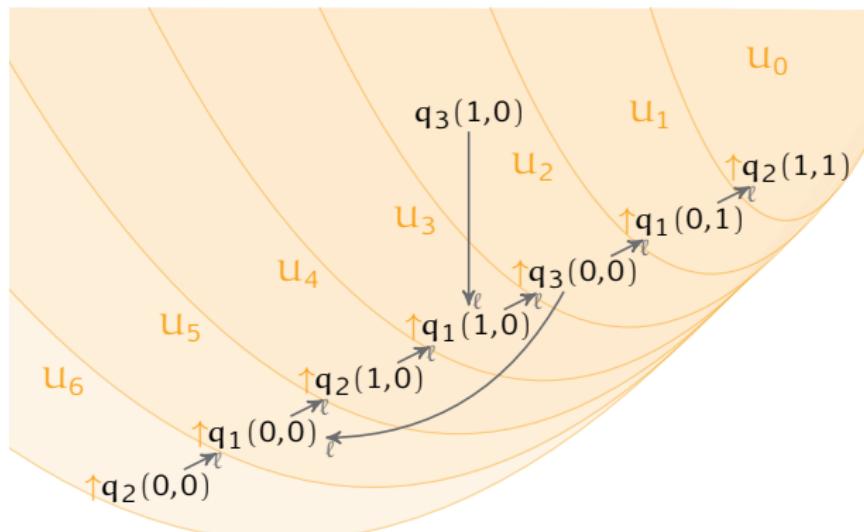
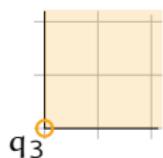
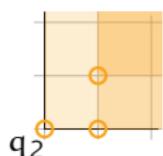
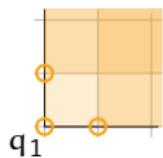


# BACKWARD COVERABILITY

(Arnold & Latteux'78)

Example: coverability of  $q_2(1,1)$  in

$$U_6 \stackrel{\text{def}}{=} \{q(\mathbf{v}) \mid \exists \mathbf{v}' \geq (1,1) . q(\mathbf{v}) \rightarrow_{\ell}^* q_2(\mathbf{v}')\}$$

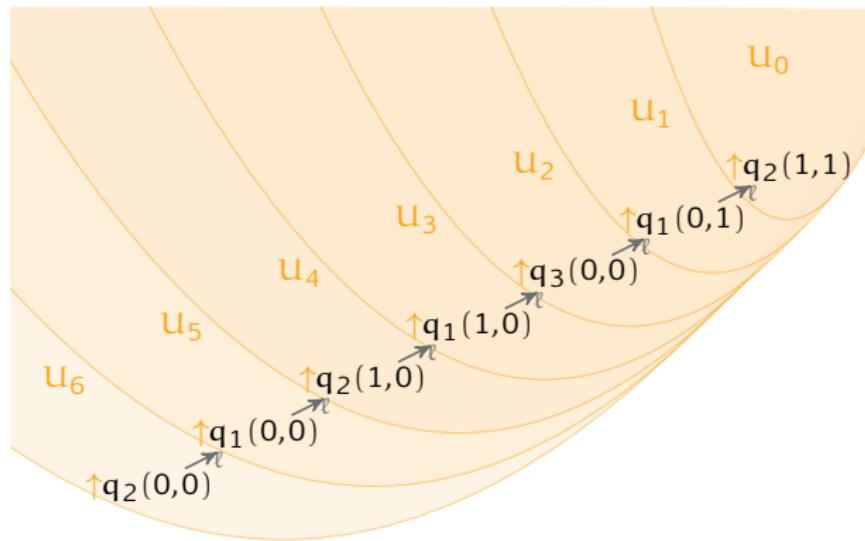
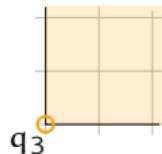
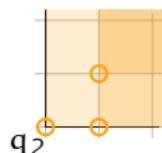
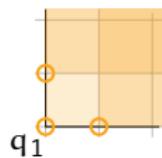


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The sequence  $q_2(1,1), q_1(0,1), q_3(0,0), q_1(1,0), q_2(1,0), q_1(0,0), q_2(0,0)$  is **bad**

# BAD SEQUENCES

Over a qo  $(X, \leq)$

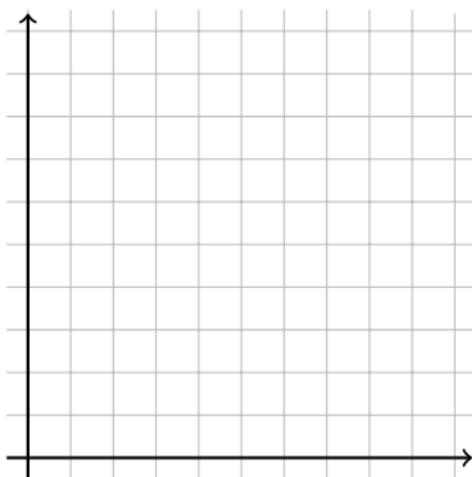
- ▶  $x_0, x_1, \dots$  is **bad** if  $\forall i < j . x_i \not\leq x_j$
- ▶  $(X, \leq)$  wqo if all bad sequences are **finite**

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- ... but can be of **arbitrary** length

Example (in  $\mathbb{N}^2$ )



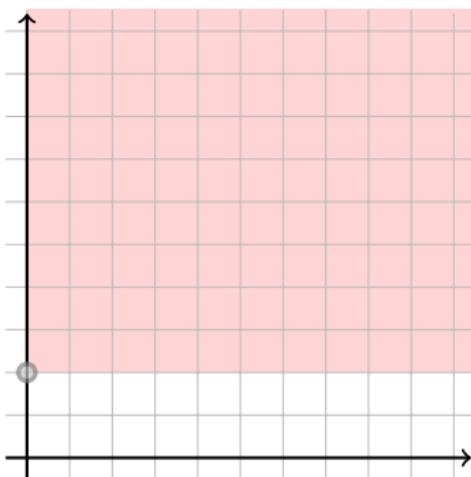
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Example (in  $\mathbb{N}^2$ )

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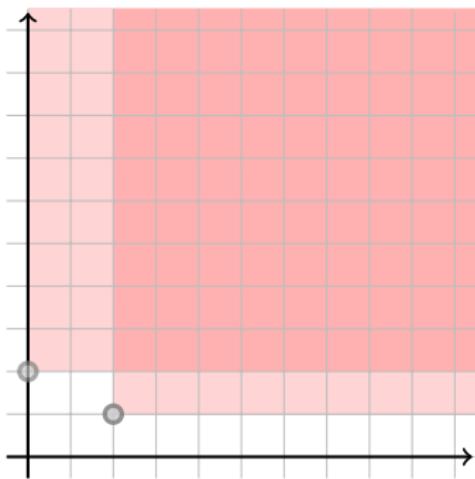
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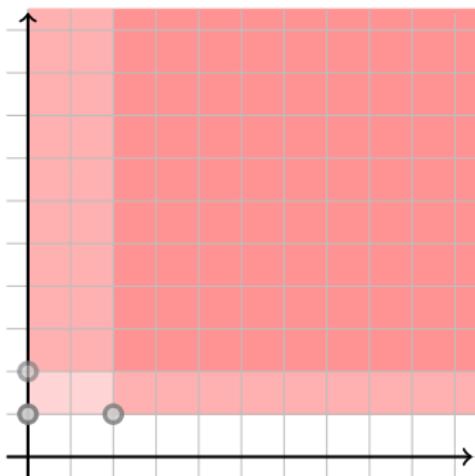
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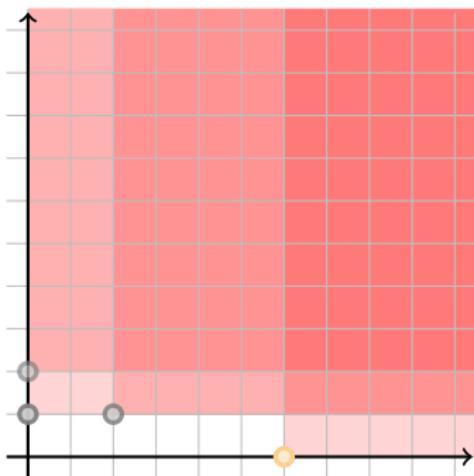
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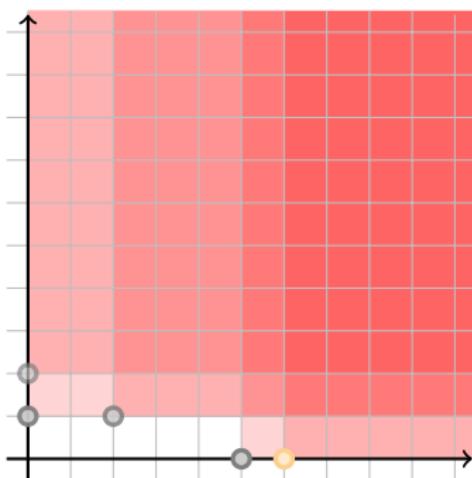
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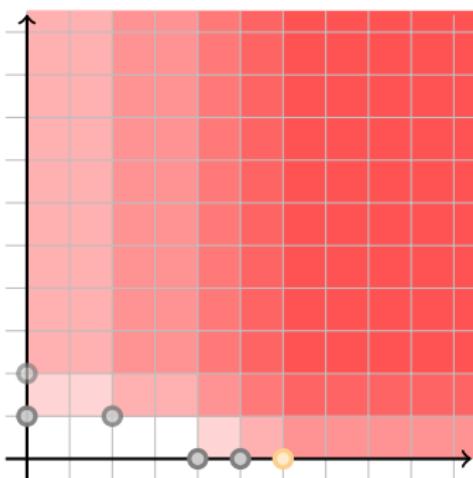
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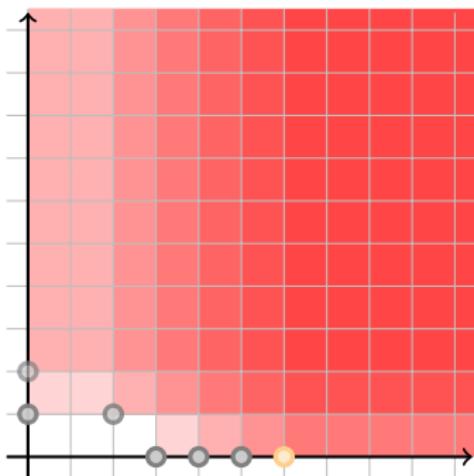
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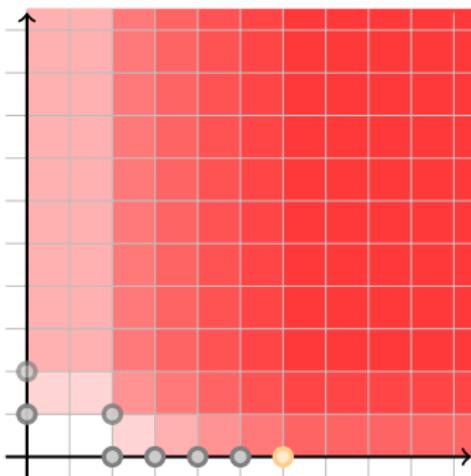
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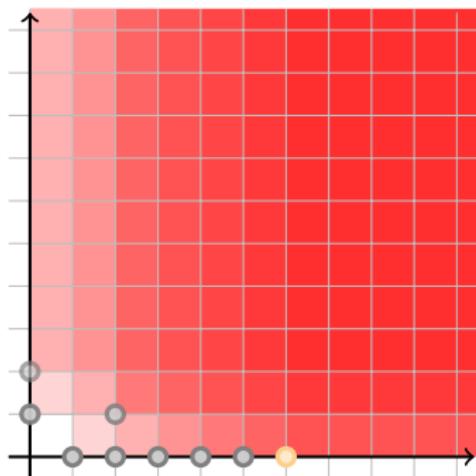
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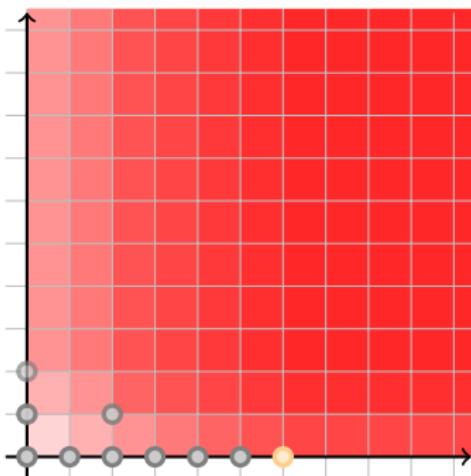
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# CONTROLLED BAD SEQUENCES

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- ▶  $x_0, x_1, \dots$  is bad if  $\forall i < j . x_i \not\leq x_j$
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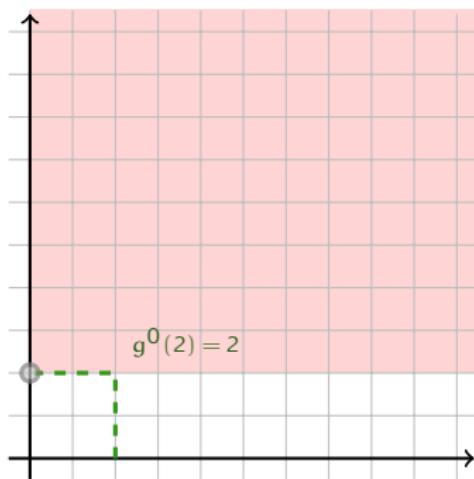
[Cichoń & Tahhan Bittar'98]

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Example (in  $\mathbb{N}^2$  with  $n_0 = 2$  and  $g(n) = n + 1$ )

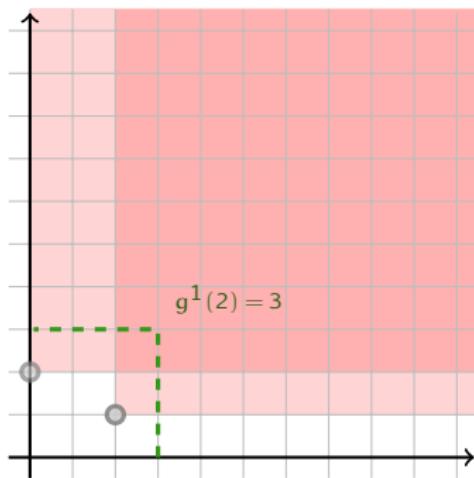
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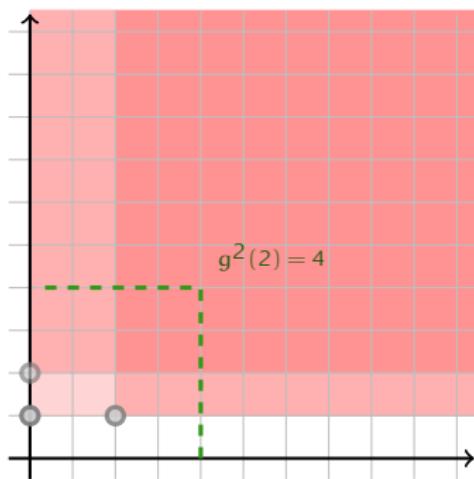
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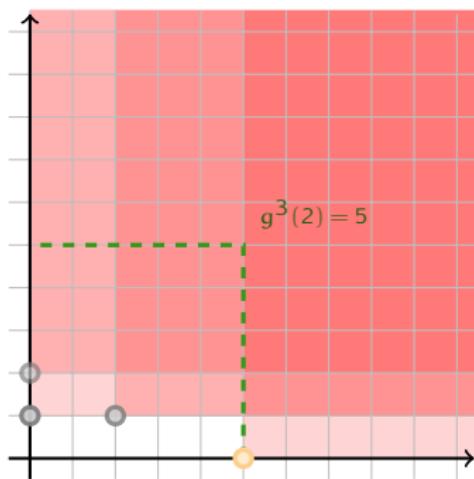
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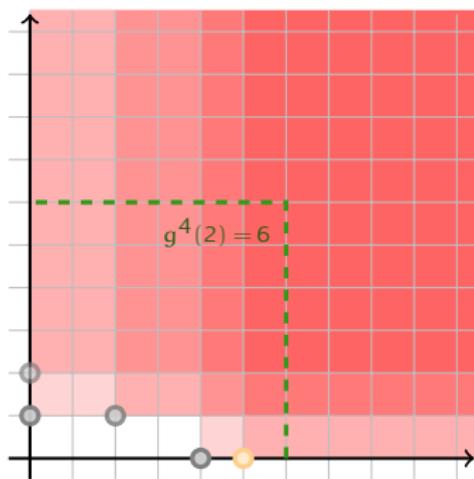
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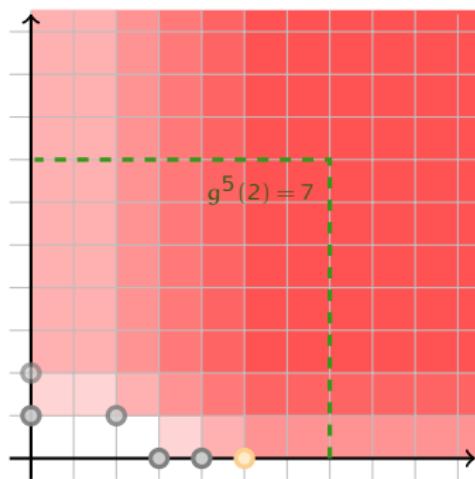
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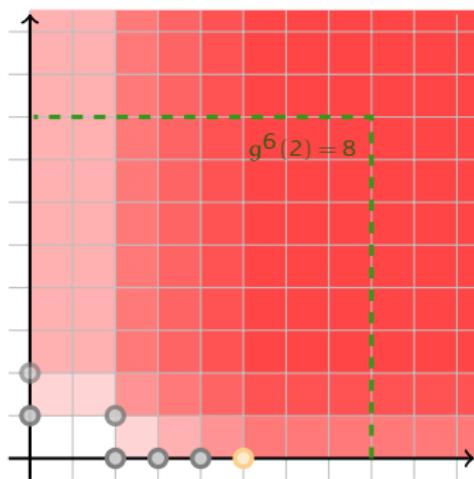
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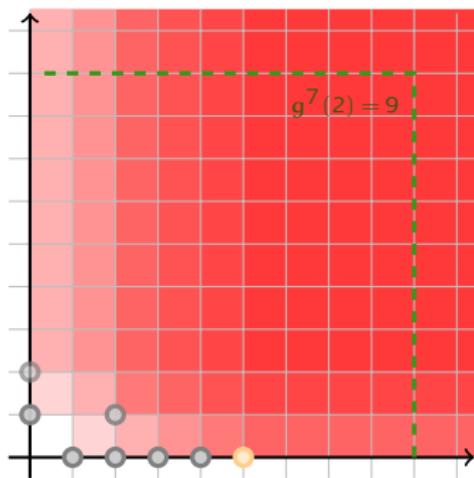
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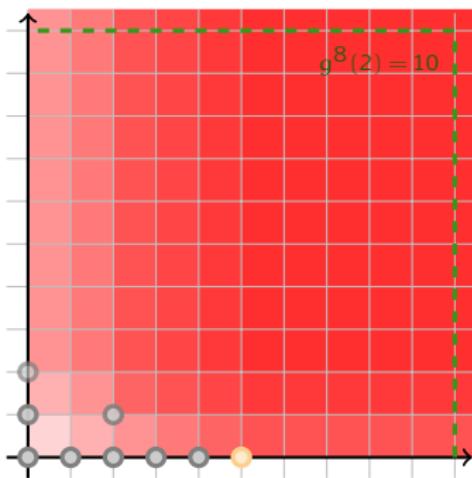
$$(0,2), (2,1), (0,1), (\textcolor{orange}{5},0), (4,0), (3,0), (2,0), (1,0)$$

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## PROPOSITION

In a wqo  $(X, \leq)$ , if  $\forall n. \{x \in X \mid \|x\| \leq n\}$  is finite, then amortised  $(g, n_0)$ -controlled bad sequences have a maximal length, denoted  $L_{g, X}^a(n_0)$ .

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## THEOREM (S. & Schnoebelen'12)

*For LCM Reachability,  $g(x) \stackrel{\text{def}}{=} x + 1$  and  $n_0 \stackrel{\text{def}}{=} \|\mathbf{v}_f\|$  fit, and*

$$L_{g,Q \times \mathbb{N}^d}^a(n_0) \approx F_{d+1}(n).$$

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# CONTROLLED ANTICHAINS

Over a qo  $(X, \leq)$

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## COROLLARY

In a wqo  $(X, \leq)$ , if  $\forall n \{x \in X \mid \|x\| \leq n\}$  is finite, then amortised  $(g, n_0)$ -controlled antichains have a maximal length, denoted  $W_{g,X}^a(n_0)$ .

# ANTICHAIN FACTORISATION

Example (strongly  $(x \mapsto x + 1, 4)$ -controlled bad sequence over  $\mathbb{N}^2$ )

$(3,4), (5,2), (4,3), (4,2), (5,1), (2,3), (4,1), (5,0), (1,4), (3,1), (0,4), (3,0), (1,1)$

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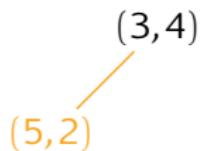
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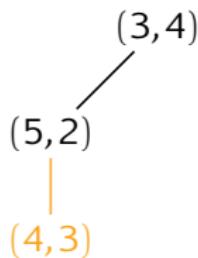
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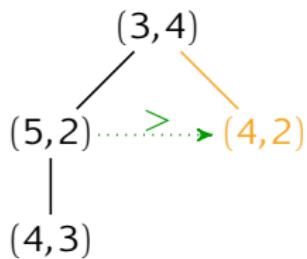
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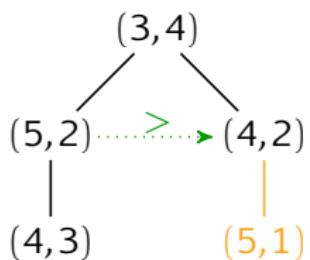
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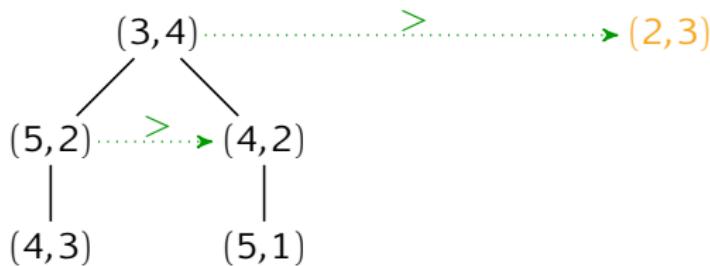
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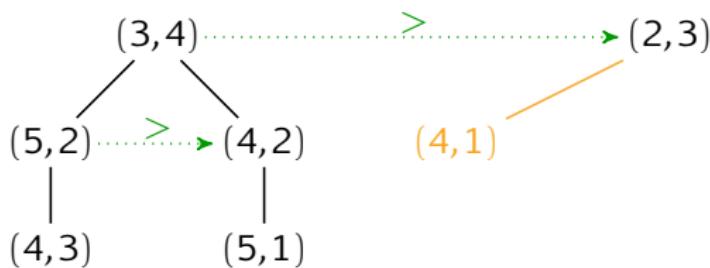
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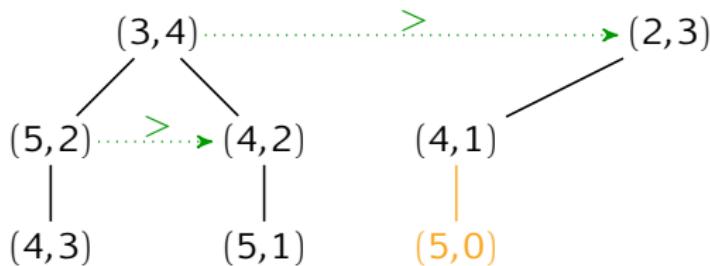
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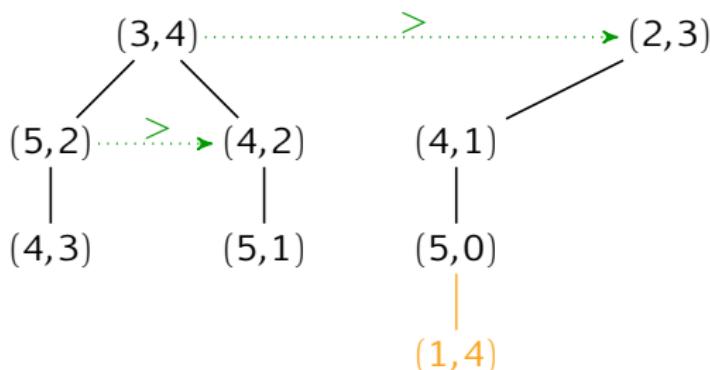
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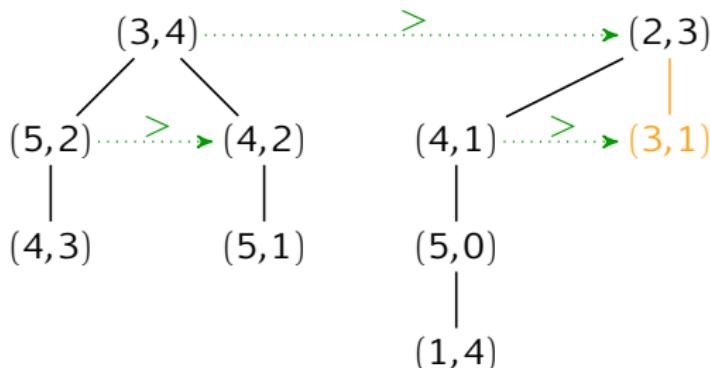
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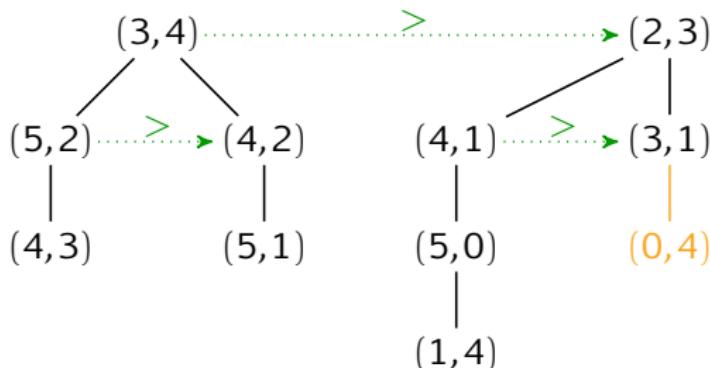
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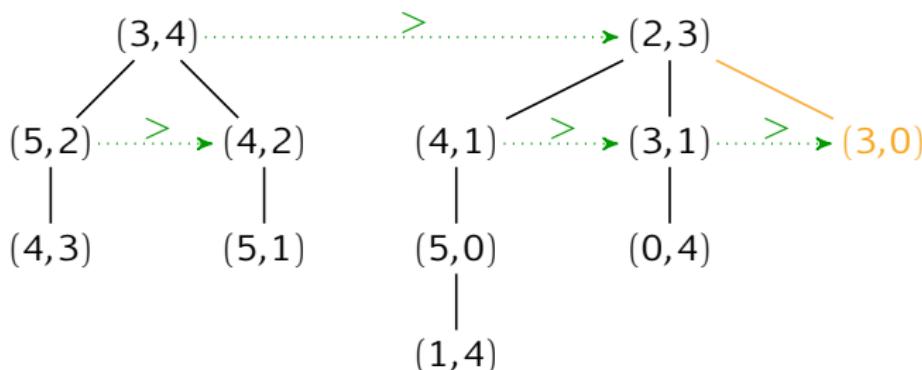
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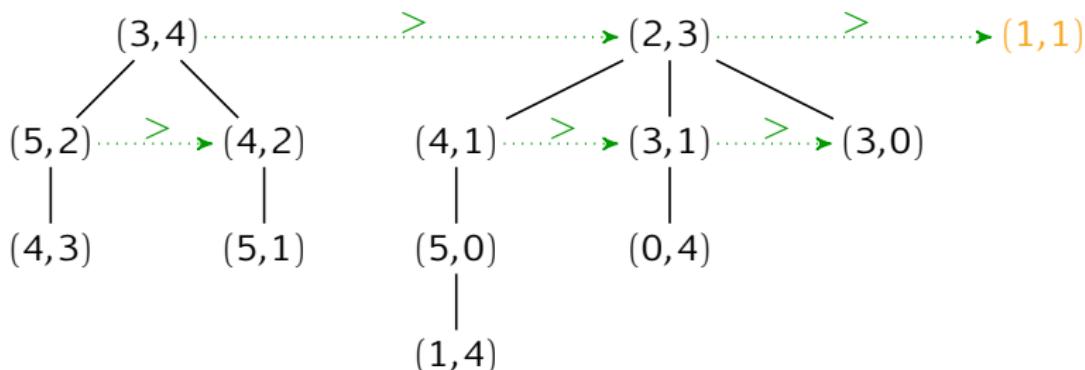
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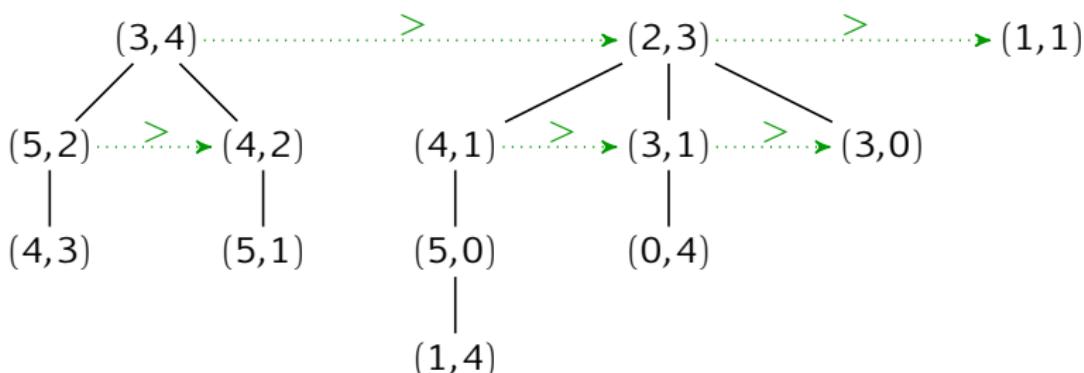
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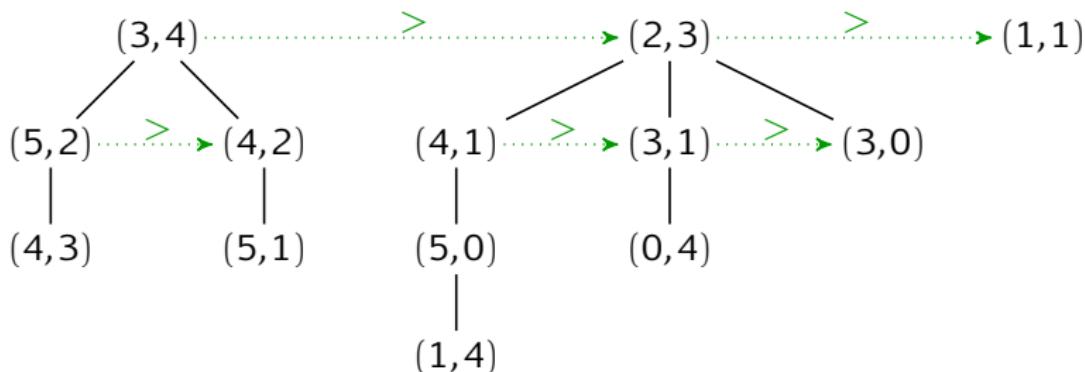
## PROPERTY

*Every branch is a strongly  $(x \mapsto x + 1, 4)$ -controlled antichain over  $\mathbb{N}^2$ .*

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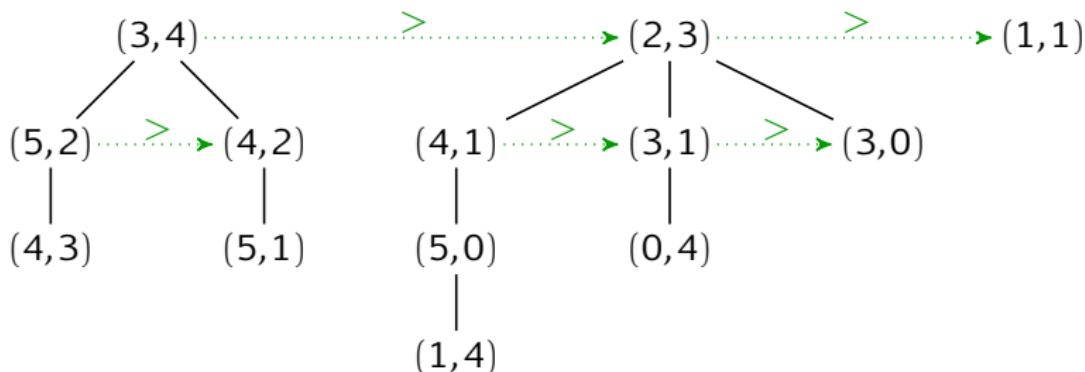
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- ▶ maximal norm at most  $g^3(n_0) = n_0 + 3 = 7$ ,
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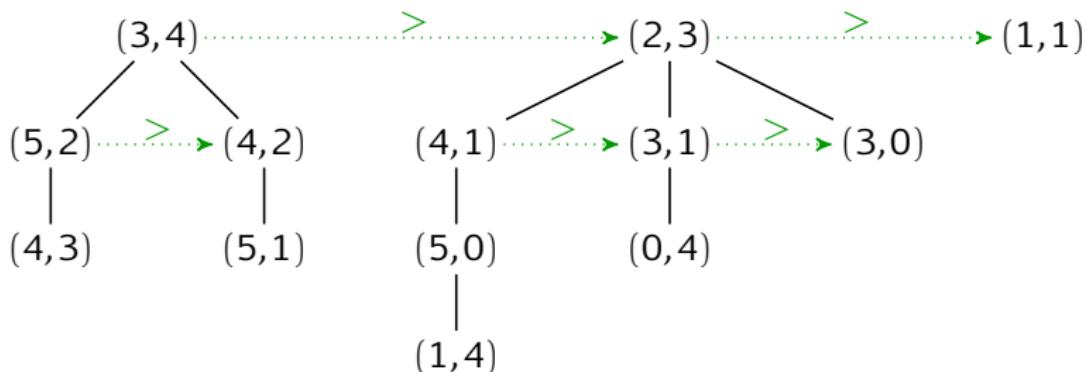
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Informal statement

$W_{g,Q \times \mathbb{N}^d}^a(n_0) \approx F_d(n)$  in the case of LCMs.

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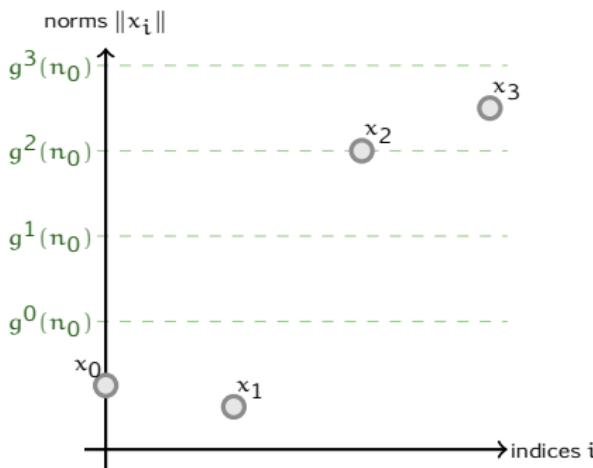
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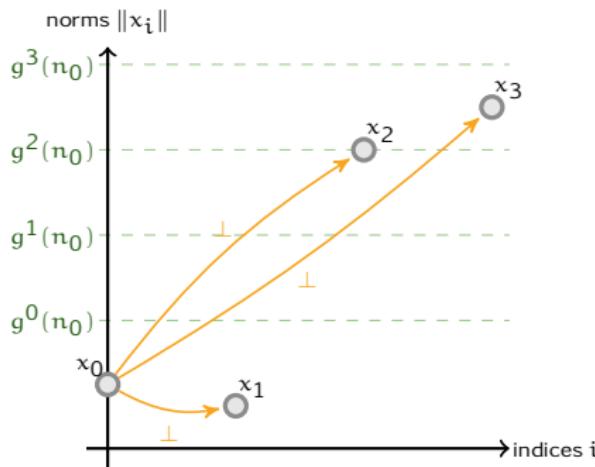
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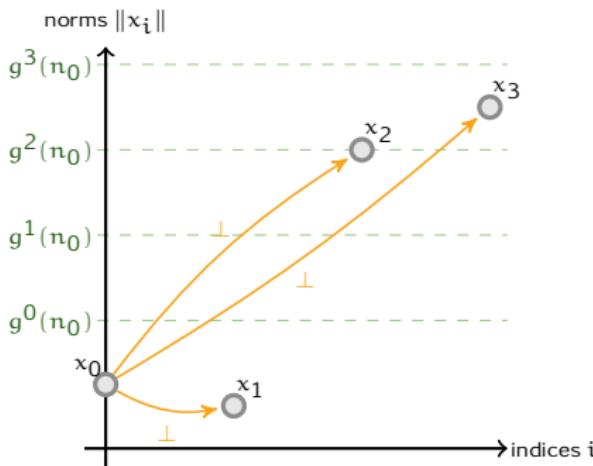
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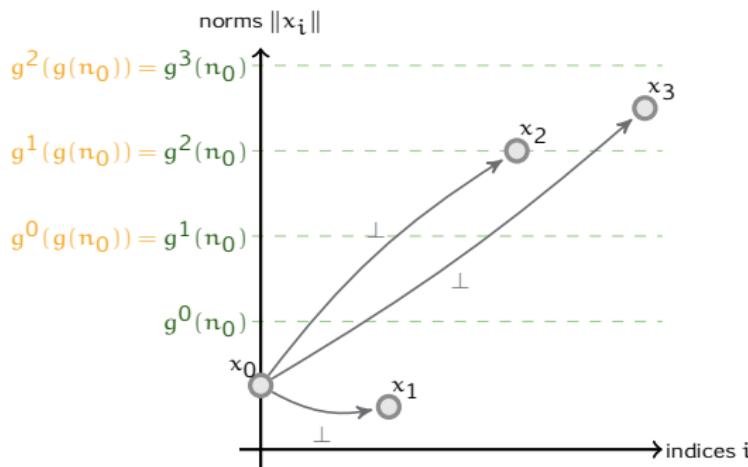


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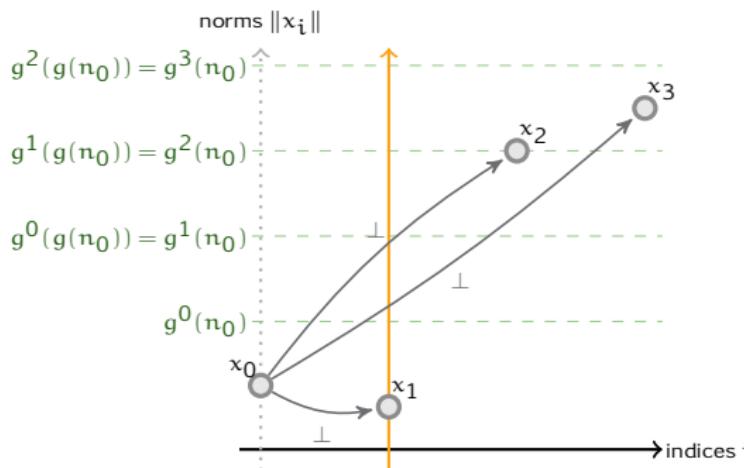
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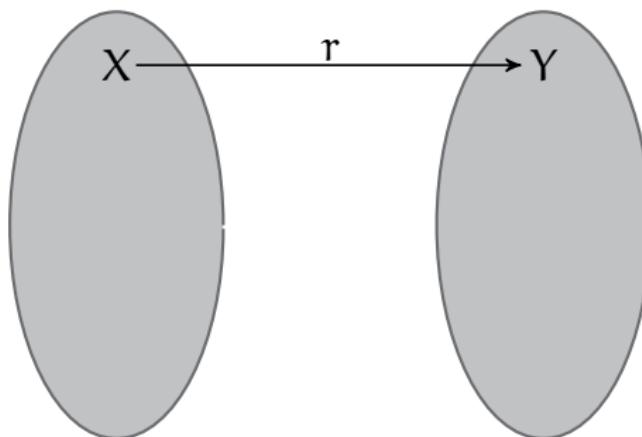
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## INGREDIENT 2: NORMED REFLECTIONS

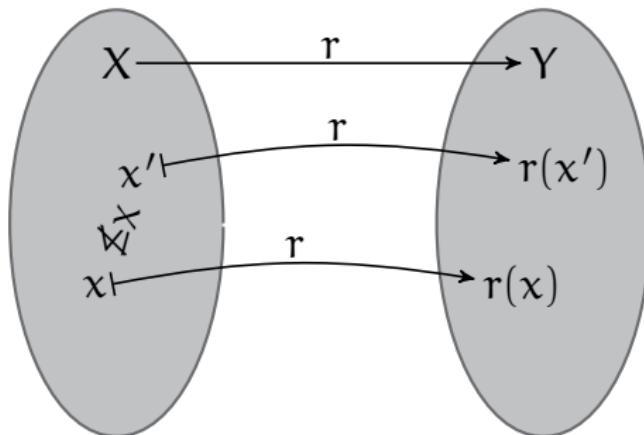


### DEFINITION

Let  $(X, \leq_X)$  and  $(Y, \leq_Y)$  be qos with norms  $\|.\|_X$  and  $\|.\|_Y$ . A **normed reflection** is a function  $r: X \rightarrow Y$  such that

1.  $\forall x, x' \in X. x \not\leq_X x' \text{ implies } r(x) \not\leq_Y r(x')$
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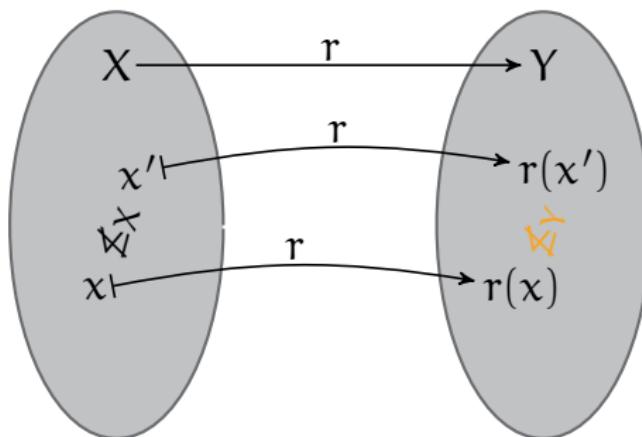


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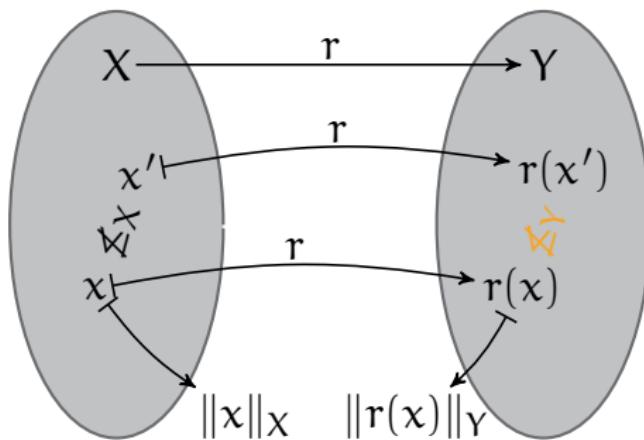


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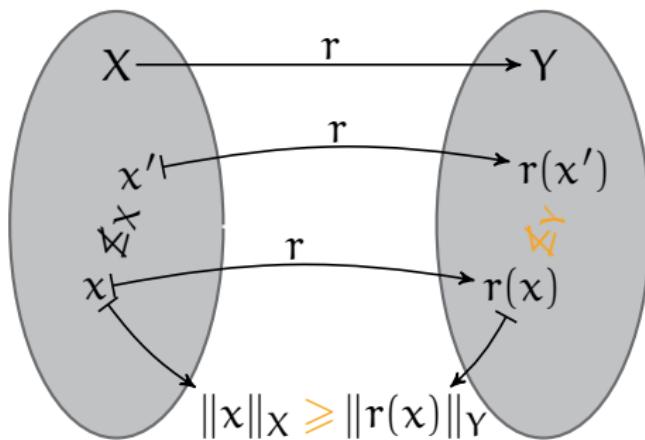


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- ▶ e.g.  $(\mathbb{N}^d)_{\perp v}$  reflects into the disjoint sum  $\mathbb{N}^{d-1} \cdot \sum_{1 \leq i \leq d} v(i)$

# OUTLINE

lossy counter machines (LCM) reachability

- ▶ canonical ACKERMANN-complete problem
- ▶ complexity gap in fixed dimension  $d$ :  
 $F_d$ -hard, in  $F_{d+1}$

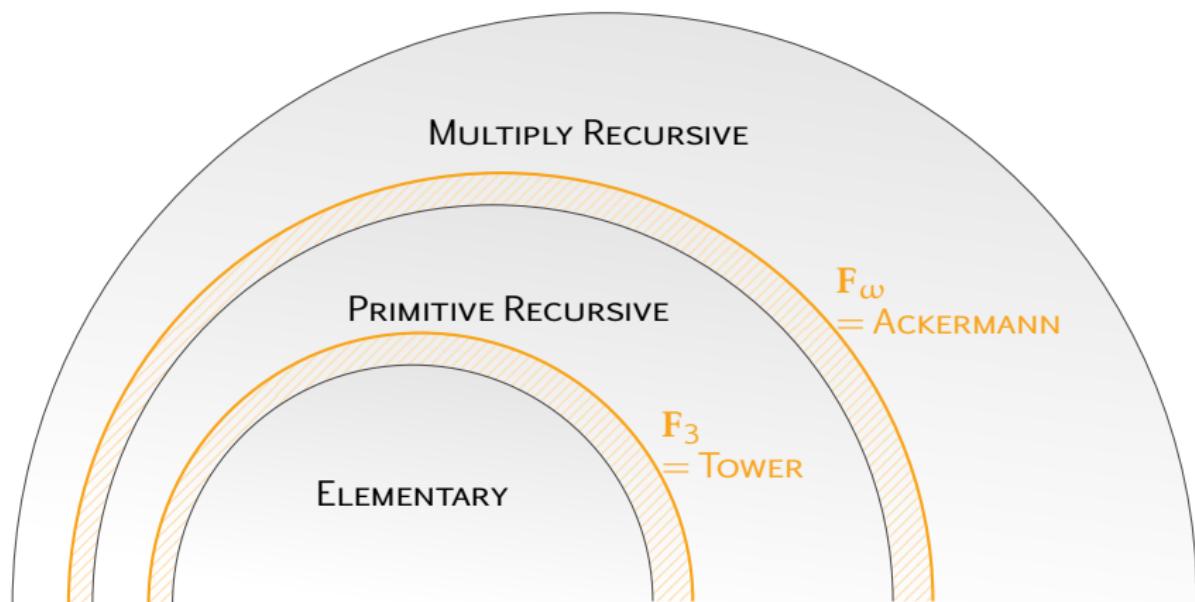
complexity using well-quasi-orders (wqo)

- ▶ strongly controlled bad sequences
- ▶ antichain factorisation
- ▶ width function theorem  
on the length of controlled antichains
- ▶  $F_d$  upper bounds for LCM reachability

# TECHNICAL APPENDIX

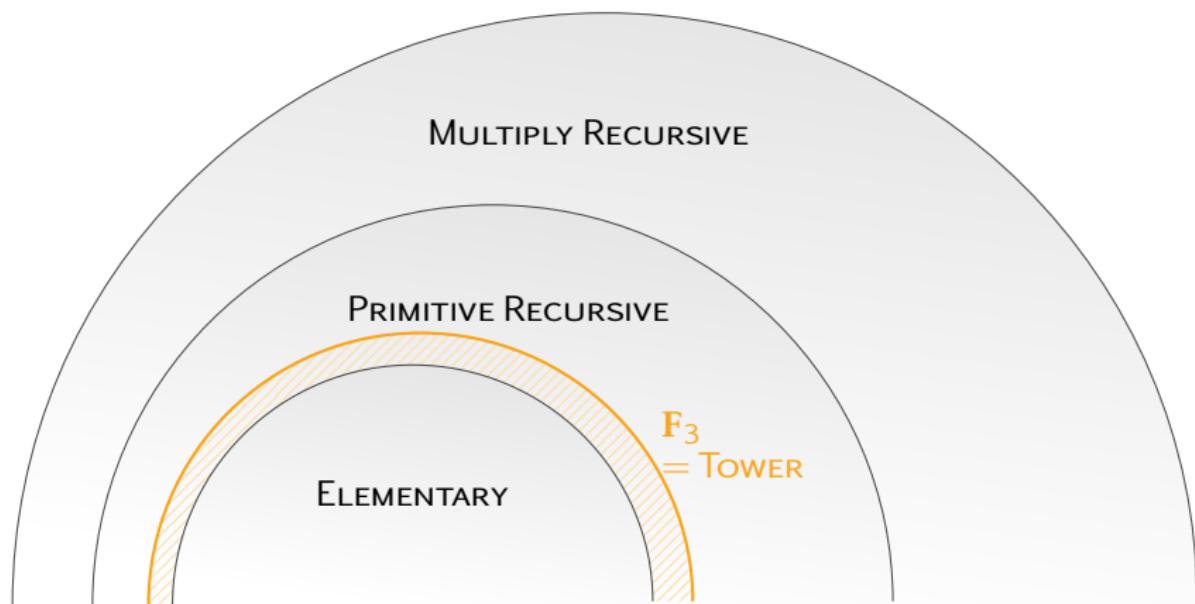
# COMPLEXITY CLASSES BEYOND ELEMENTARY

[S.'16]



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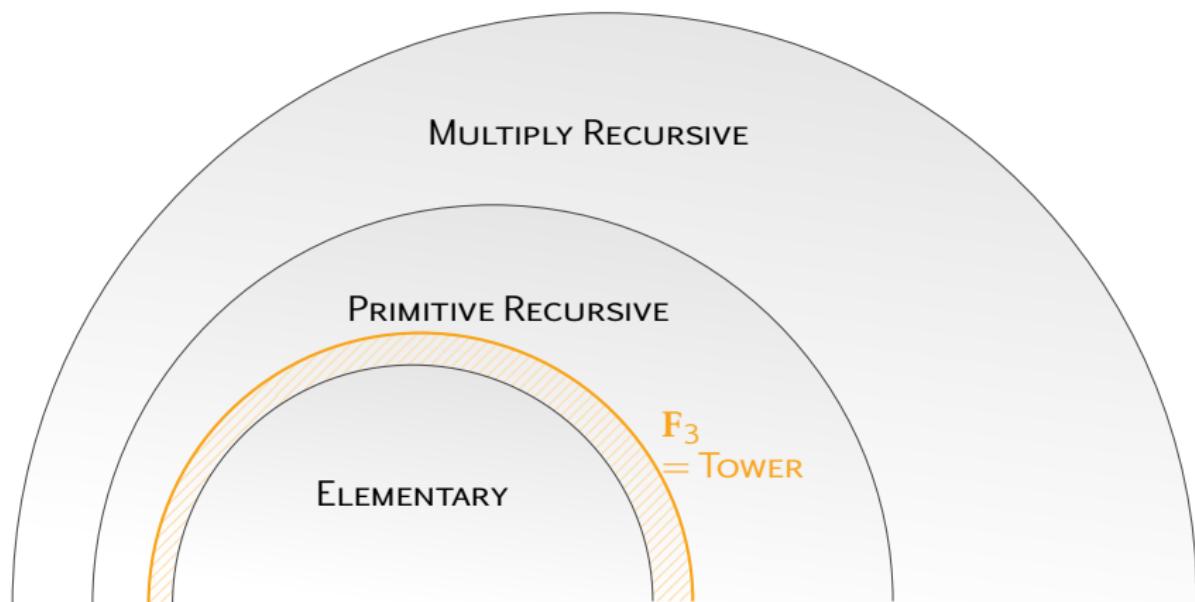
[S.'16]



$$F_3 \stackrel{\text{def}}{=} \bigcup_{e \text{ elementary}} \text{DTIME}(\text{tower}(e(n)))$$

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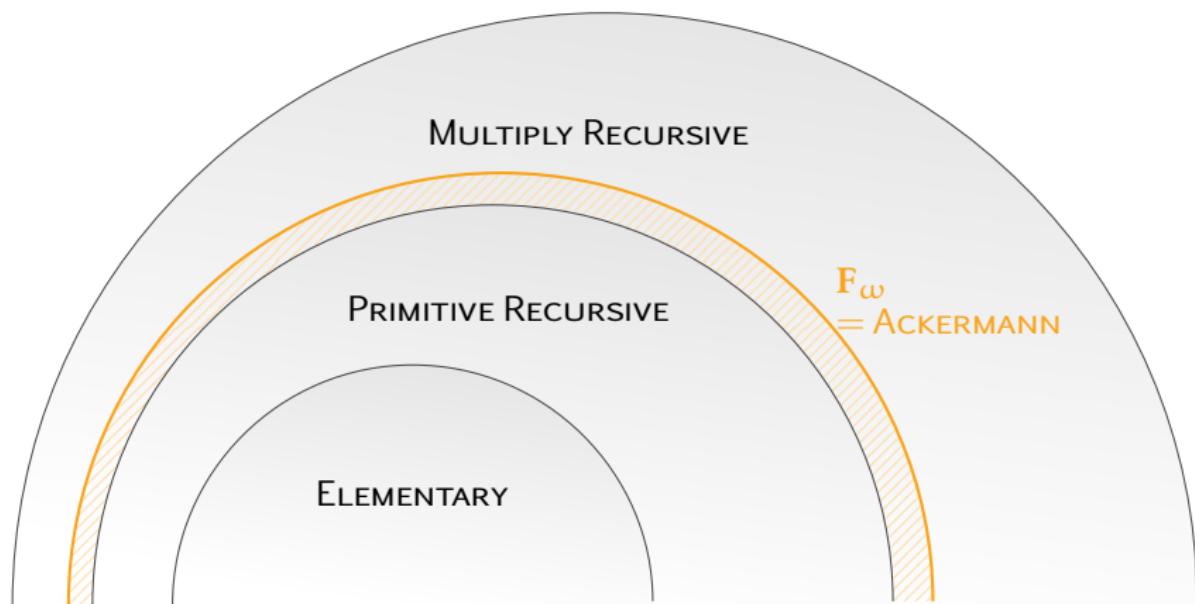


EXAMPLES OF TOWER-COMPLETE PROBLEMS:

- ▶ satisfiability of first-order logic on words [Meyer'75]
- ▶  $\beta$ -equivalence of simply typed  $\lambda$  terms [Statman'79]
- ▶ model-checking higher-order recursion schemes [Ong'06]

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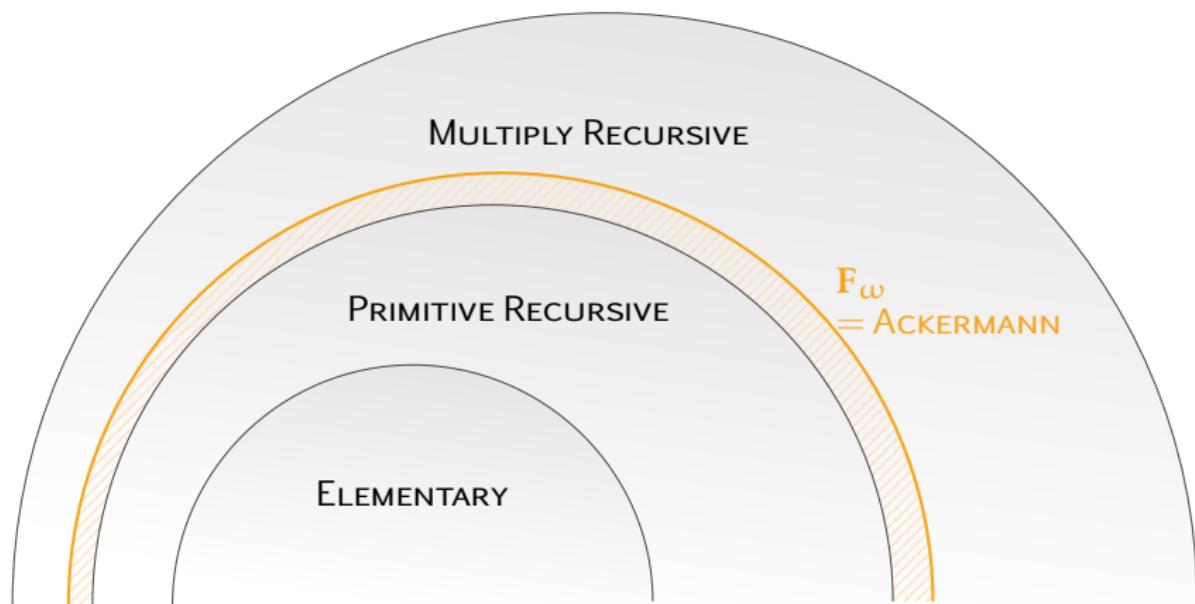
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$$F_\omega \stackrel{\text{def}}{=} \bigcup_{p \text{ primitive recursive}} \text{DTIME}(\text{ack}(p(n)))$$

# COMPLEXITY CLASSES BEYOND ELEMENTARY

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EXAMPLES OF ACKERMANN-COMPLETE PROBLEMS:

- ▶ **reachability in lossy Minsky machines** [Urquhart'98, Schnoebelen'02]
- ▶ **satisfiability of safety Metric Temporal Logic** [Lazić et al.'16]
- ▶ **satisfiability of Vertical XPath** [Figueira and Segoufin'17]

# BACKWARD COVERABILITY

(Arnold & Latteux'78)

Goal: Check whether

$$q_0(v_0) \in \text{Pre}_\exists^*(\uparrow q_f(v_f)) \stackrel{\text{def}}{=} \{q(v) \mid \exists v' \geq v_f . q(v) \rightarrow_\ell^* q_f(v')\}$$

Fixed-point computation

$$U_0 \stackrel{\text{def}}{=} \uparrow q_f(v_f) \quad U_{n+1} \stackrel{\text{def}}{=} U_n \cup \text{Pre}_\exists(U_n)$$

$$\text{where } \text{Pre}_\exists(S) \stackrel{\text{def}}{=} \{q(v) \mid \exists q'(v') \in S . q(v) \rightarrow_\ell q'(v')\}$$

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$$U_0 \subsetneq U_1 \subsetneq \dots \subsetneq U_L = U_{L+1} = \text{Pre}_\exists^*(\uparrow q_f(v_f))$$

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Fixed-point computation

$$B_0 \stackrel{\text{def}}{=} \{q_f(v_f)\} \quad B_{n+1} \stackrel{\text{def}}{=} \min(B_n \cup \text{Pre}_\exists(\uparrow B_n))$$

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$$\uparrow B_0 \subsetneq \uparrow B_1 \subsetneq \cdots \subsetneq \uparrow B_L = \uparrow B_{L+1} = \text{Pre}_\exists^*(\uparrow q_f(v_f))$$

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Then  $\forall i < j. c_i \leq c_j$

$(Q \times \mathbb{N}^d, \leq)$  is a well-quasi-order (wqo), thus

finite basis property: each  $B_n = \min U_n$  is finite

ascending chain condition: a finite length  $L$  exists

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# SUBRECURSIVE FUNCTIONS

**DEFINITION** (Cichoń Hierarchy)

For  $g: \mathbb{N} \rightarrow \mathbb{N}$ , define  $(g_\alpha: \mathbb{N} \rightarrow \mathbb{N})_\alpha$  by

$$g_0(x) \stackrel{\text{def}}{=} 0 \quad g_\alpha(x) \stackrel{\text{def}}{=} 1 + g_{P_x(\alpha)}(g(x))$$

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$$P_3(\omega^2) = \omega \cdot 3 + 3$$

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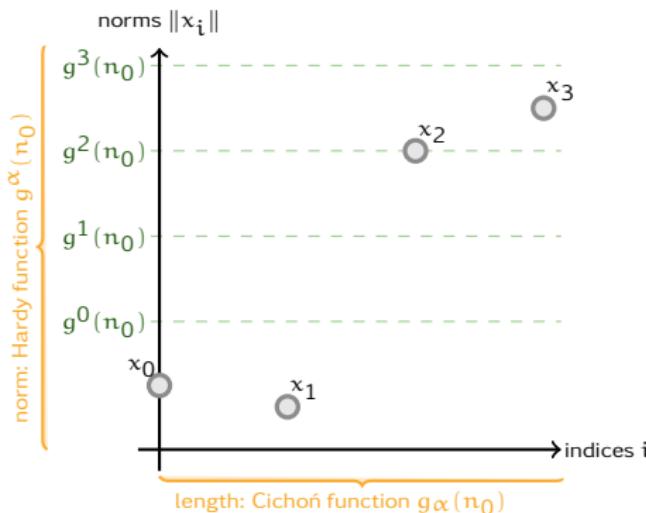
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If  $g(x) = x + 1$ , then  $g^{\omega^\alpha}(x) = F_\alpha(x)$

# RELATING NORM AND LENGTH

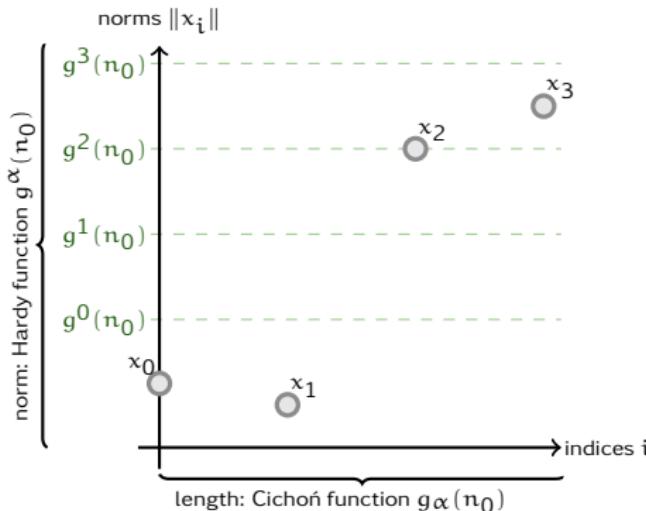
[Cichoń & Tahhan Bittar'98]



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