

# Minimal prime ages, words and permutation graphs

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WQO-BQO: What is up?  
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# Abstract

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- We classify these classes according to the number of *prime* structures they contain.
- We consider such classes that are *minimal prime*: classes that contain infinitely many primes but every proper hereditary subclass contains only finitely many primes.
- We give a complete description of such classes. In fact, each one of these classes is a *well-quasi-ordered age* and there are uncountably many of them.

- Our description of minimal prime classes uses a description of minimal prime graphs (Pouzet-Zaguia 2009) and previous work by Sobrani 1992, 2202, Oudrar and Pouzet 2006, and on properties of uniformly recurrent words and the associated graphs.

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- The completeness of our description is based on classification results of Chudnovsky, Kim, Oum and Seymour 2016 and Malliaris and Terry 2018.



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- A *graph* is a pair  $G := (V, \mathcal{E})$ , where  $\mathcal{E}$  is a subset of  $[V]^2$ , the set of 2-element subsets of  $V$ . Elements of  $V$  are the *vertices* of  $G$  and elements of  $\mathcal{E}$  its *edges*.

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- At times we may also consider *binary relational structures*, that is ordered pairs  $R := (V, (\rho_i)_{i \in I})$  where each  $\rho_i$  is a binary relation or a unary relation on  $V$ . The sequence  $s := (n_i)_{i \in I}$  of arity  $n_i$  of  $\rho_i$  is the *signature* of  $R$ . We denote by  $\Omega_s$  the collection of finite structures of signature  $s$ . In the sequel we will suppose the signature finite, i.e.  $I$  finite.

- Let  $R := (V, (\rho_i)_{i \in I})$  be a binary relational structure. A *module* of  $R$  is any subset  $A$  of  $V$  such that  $(x \rho_i a \Leftrightarrow x \rho_i a')$  and  $(a \rho_i x \Leftrightarrow a' \rho_i x)$  for all  $a, a' \in A$  and  $x \notin A$  and  $i \in I$ .

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- Graphs on a set of size at most two are prime. Also, there are no prime graphs on a three-element set.



# Unavoidable graphs

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- What are the unavoidable prime induced subgraphs in large (infinite) prime graphs?

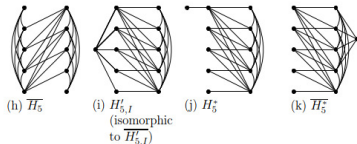
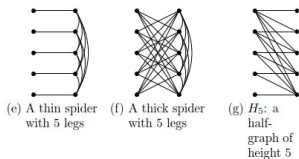
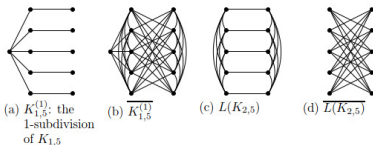
# Unavoidable finite prime graphs in large finite graphs

The following is due to Chudnovsky, Kim, Oum and Seymour 2016:

**Theorem 1.** *For every integer  $n \geq 3$  there is  $N$  such that every prime graph with at least  $N$  vertices contains one of the following graphs or their complements as an induced subgraph.*

- ① *The 1-subdivision of  $K_{1,n}$ .*
- ② *The line graph of  $K_{2,n}$ .*
- ③ *The thin spider with  $n$  legs.*
- ④ *The bipartite half-graph of height  $n$ .*
- ⑤ *The graph  $H'_{n,l}$ .*
- ⑥ *The graph  $H_n^*$ .*
- ⑦ *A prime graph induced by a chain of length  $n$ .*

## Unavoidable finite prime graphs in large finite graphs



# An extension of Chudnowski et al result

Malliaris and Terry 2018 prove an infinitary version of the previous theorem for infinite prime graphs.

**Theorem 2.** *An infinite prime graph  $G$  contains one of the following.*

- ① *Copies of  $H_n, \overline{H_n}, H_n^*, \overline{H_n^*}, H'_{n,l}, \overline{H'_{n,l}}$  for arbitrarily large finite  $n$ ,*
- ② *Prime graphs induced by arbitrarily long finite chains,*
- ③ *The 1-subdivision of  $K_{1,\omega}$  or its complement,*
- ④ *The line graph of  $K_{2,\omega}$  or its complement,*
- ⑤ *A spider with  $\omega$  many legs.*

# Unavoidable prime graphs in infinite graphs with no infinite cliques

The graphs mentioned in the last three items and some infinite versions of the graphs in Item 1 were already considered by Pouzet and Zaguia in 2009. In addition, the following characterization of unavoidable infinite prime graphs without infinite clique (or infinite independent set) was given.

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**Theorem 3.** *An infinite prime graph which does not contain an infinite clique embeds one of the following:*

- ① *The bipartite half-graph of height  $\omega$ .*
- ② *The infinite one way path.*
- ③ *The 1-subdivision of  $K_{1,\omega}$ .*
- ④ *The complement of the line graph of  $K_{2,\omega}$ .*



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- At the core is the notion of *embeddability*, a quasi-order between relational structures.
- Several important notions in the study of these structures, like *hereditary classes*, *ages*, *bounds*, derive from this quasi-order.

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- The *age* of a relational structure  $R$  is the class  $\text{Age}(R)$  of all finite relational structures, considered up to isomorphy, which embed into  $R$ . This is an *ideal* of  $\Omega_S$  that is, a nonempty, hereditary and *up-directed* class  $\mathcal{C}$  (any pair of members of  $\mathcal{C}$  are embeddable in some element of  $\mathcal{C}$ ).

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- A characterization of ages is due to Fraïssé. Namely, a class  $\mathcal{C}$  of finite relational structures is the age of some relational structure if and only if  $\mathcal{C}$  is an ideal of  $\Omega_S$ .

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- At the next level, there is the classes who contain infinitely many proper subclasses, but every proper subclass contains only finitely many. It is a simple exercise based on Ramsey's theorem that there are only two such classes: the class of finite cliques and the class of their complements.
- Pursuing this idea further, we would like to attach a rank to each class, preferably an ordinal. If we do this, it turns out that a class has a rank if and only if the set of its proper subclasses ordered by set inclusion is well founded. This latter condition amounts to the class being well-quasi-ordered (this follows from Higman's characterization of well-quasi-orders).

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- A hereditary class of finite relational structure is w.q.o. if and only if it contains no infinite antichain.
- A hereditary class of finite relational structure is *hereditary w.q.o.* if it remains w.q.o when its elements are labeled with elements from any w.q.o.

# Hereditary classes containing finitely many prime structures

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**Theorem 4.** *Let  $\mathcal{C}$  be a hereditary class of finite binary structures containing only finitely many prime structures. Then  $\mathcal{C}$  is hereditarily well-quasi-ordered. In particular,  $\mathcal{C}$  has finitely many bounds.*

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- This notion appears in the thesis of Oudrar 2015 (see Theorem 5.12, p. 92, and Theorem 5.15, p. 94).
- Due to their definition, minimal prime classes ordered by set inclusion form an antichain.

# Hereditary classes containing infinitely many prime structures

**Theorem 6.** *Every hereditary class of finite binary structures (with a given finite signature), which contains infinitely many prime structures contains a minimal prime hereditary subclass.*

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**Theorem 7.** *Every minimal prime hereditary class is the age of some prime structure; furthermore this age is well-quasi-ordered.*

# Minimal prime ages of graphs

Our description of minimal prime ages of graphs is based on several results.

- A previous description of unavoidable prime graphs in large finite prime graphs of Chudnovsky, Kim, Oum and Seymour 2016, see also Malliaris and Terry 2018.

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- Properties of uniformly recurrent words and the associated graphs.
- A description of minimal prime graphs by Pouzet and Zaguia 2009.

# Chains

**Definition 8.** To a word  $\mu$  on the alphabet  $\{0, 1\}$  we associate the graph  $G_\mu$  whose vertex set  $V(G_\mu)$  is  $\{-1, 0, \dots, n-1\}$  if the domain of  $\mu$  is  $\{0, \dots, n-1\}$ ,  $\{-1\} \cup \mathbb{N}$  if the domain of  $\mu$  is  $\mathbb{N}$ , and  $\mathbb{N}^*$  or  $\mathbb{Z}$  if the domain of  $\mu$  is  $\mathbb{N}^*$  or  $\mathbb{Z}$ . For two vertices  $i, j$  with  $i < j$  we let  $\{i, j\}$  be an edge of  $G_\mu$  if and only if

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$$\mu_j = 1 \text{ and } j = i + 1, \text{ or}$$

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- For instance, if  $\mu$  is the word defined on  $\mathbb{N}$  by setting  $\mu_i = 1$  for all  $i \in \mathbb{N}$ , then  $G_\mu$  is the infinite one way path on  $\{-1\} \cup \mathbb{N}$ .



# Examples of chains



Figure: 0-1 words of length two and their corresponding graphs.

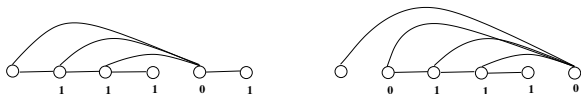
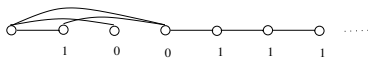


Figure: Two distinct 0-1 sequences with isomorphic corresponding graphs.

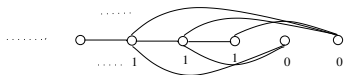
# Chains

This correspondence between 0-1 words and graphs was considered by Sobrani in his Thèse de 3ème cycle 1992 and his Thèse d'État 2002. See also Zverovich 2003.

# 0-1 graphs nonrealizable by a sequence on $\mathbb{Z}$ .



(a)



(b)

## Alert Message

Given a 0-1 graph defined on  $\mathbb{N} \cup \{-1\}$  or on  $\mathbb{N}^*$  there does not exist necessarily a 0-1 graph on  $\mathbb{Z}$  with the same age.

# Modules in chains

$G_\mu$  not being prime forces  $\mu$  to have a large factor of 0's or of 1's.

**Theorem 9.** Let  $\mu = \mu_0 \dots \mu_{n-1}$  be a finite 0-1 word,  $n \geq 4$ . Suppose  $G_\mu$  is not prime and let  $M$  be a nontrivial module of  $G_\mu$ . Then  $M$  has cardinality 2 or  $n$ .

- $M$  has cardinality 2. Then either  $M = \{-1, n-1\}$  and  $(\mu = 1 \underbrace{00 \dots 0}_{n-3} 10$  or  $\mu = 0 \underbrace{11 \dots 1}_{n-3} 01)$ , or  $M = \{0, n-1\}$ , and  $(\mu = 11 \underbrace{00 \dots 0}_{n-4} 10$  or  $\mu = 00 \underbrace{11 \dots 1}_{n-4} 01)$ .
- $M$  has cardinality  $n$ . Then either  $M = \{0, \dots, n-1\}$  and  $(\mu = 1 \underbrace{00 \dots 0}_{n-1}$  or  $\mu = 0 \underbrace{11 \dots 1}_{n-1})$ , or  $M = \{-1, 1, \dots, n-1\}$  and  $(\mu = 00 \underbrace{11 \dots 1}_{n-2}$  or  $\mu = 11 \underbrace{00 \dots 0}_{n-2})$ .

# Prime chains

We note that all 0-1-graphs  $G_\mu$  on  $\mathbb{N}$ , except four, are prime.

**Theorem 10.** *If  $\mu$  is a word with domain  $\mathbb{N}$  then  $G_\mu$  is prime if and only if  $\mu \notin \{011111\dots, 100000\dots, 0011111\dots, 1100000\dots\}$ .*

# Permutation graph

**Definition 11.** A graph  $G := (V, E)$  is a *permutation graph* if there is a linear order  $\leq$  on  $V$  and a permutation  $\sigma$  of  $V$  such that the edges of  $G$  are the pairs  $\{x, y\} \in [V]^2$  which are reversed by  $\sigma$ .

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**Theorem 12.** For every 0-1 word  $\mu$  the age  $\text{Age}(G_\mu)$  consists of permutation graphs.

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- Let  $\mu$  be an infinite sequence of 0's and 1's. The sequence  $\mu$  is *Sturmian* if for some  $x \in [0, 1)$  and some irrational  $\theta \in (0, \infty)$ ,  $w$  is realized as the cutting sequence of the line  $f(t) = \theta t + x$ .

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- There are  $2^{\aleph_0}$  uniformly recurrent words with distinct sets of factors (e.g. Sturmian words or billiard sequence with different slopes).

- In general, it is not true that two words with different sets of finite factors give different ages. For instance, if  $\mu$  is the word defined on  $\mathbb{N}$  by setting  $\mu_i = 1$  for all  $i \in \mathbb{N}$  and if  $\mu'$  is the word defined on  $\mathbb{N}$  by setting  $\mu'_i = 1$  for all  $i \in \mathbb{N} \setminus \{1\}$  and  $\mu'_1 = 0$ , then  $G_\mu$  and  $G_{\mu'}$  are the infinite one way path. In particular, the graphs  $G_\mu$  and  $G_{\mu'}$  have the same age but  $\mu$  and  $\mu'$  do not have the same sets of finite factors. But, we prove:

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**Theorem 13.** *Let  $\mu$  and  $\mu'$  be two words. If  $\mu$  is recurrent and  $\text{Age}(G_\mu) \subseteq \text{Age}(G_{\mu'})$ , then  $\text{Fac}(\mu) \subseteq \text{Fac}(\mu')$ .*

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**Theorem 13.** *Let  $\mu$  and  $\mu'$  be two words. If  $\mu$  is recurrent and  $\text{Age}(G_\mu) \subseteq \text{Age}(G_{\mu'})$ , then  $\text{Fac}(\mu) \subseteq \text{Fac}(\mu')$ .*

- Using this result and the fact that there are  $2^{\aleph_0}$  0-1 recurrent words with distinct sets of factors, we obtain the following.

- In general, it is not true that two words with different sets of finite factors give different ages. For instance, if  $\mu$  is the word defined on  $\mathbb{N}$  by setting  $\mu_i = 1$  for all  $i \in \mathbb{N}$  and if  $\mu'$  is the word defined on  $\mathbb{N}$  by setting  $\mu'_i = 1$  for all  $i \in \mathbb{N} \setminus \{1\}$  and  $\mu'_1 = 0$ , then  $G_\mu$  and  $G_{\mu'}$  are the infinite one way path. In particular, the graphs  $G_\mu$  and  $G_{\mu'}$  have the same age but  $\mu$  and  $\mu'$  do not have the same sets of finite factors. But, we prove:

**Theorem 13.** *Let  $\mu$  and  $\mu'$  be two words. If  $\mu$  is recurrent and  $\text{Age}(G_\mu) \subseteq \text{Age}(G_{\mu'})$ , then  $\text{Fac}(\mu) \subseteq \text{Fac}(\mu')$ .*

- Using this result and the fact that there are  $2^{\aleph_0}$  0-1 recurrent words with distinct sets of factors, we obtain the following.

**Corollary 14.** *There are  $2^{\aleph_0}$  ages of permutation graphs.*

A result which has been obtained by other means.

# Uncountably many w.q.o. ages of permutation graphs.

The ages we obtain are not necessarily well-quasi-ordered. To obtain well-quasi-ordered ages, we consider graphs associated to uniformly recurrent sequences.



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**Theorem 15.** *Let  $\mu$  be a 0-1 sequence on an infinite interval of  $\mathbb{Z}$ . The following propositions are equivalent.*

- (i)  $\mu$  is uniformly recurrent.
- (ii)  $\mu$  is recurrent and  $\text{Age}(G_\mu)$  is minimal prime.

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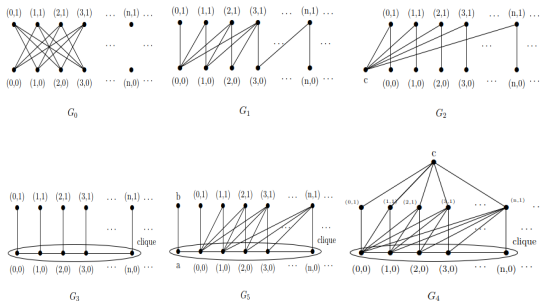
**Theorem 15.** *Let  $\mu$  be a 0-1 sequence on an infinite interval of  $\mathbb{Z}$ . The following propositions are equivalent.*

- (i)  $\mu$  is uniformly recurrent.
- (ii)  $\mu$  is recurrent and  $\text{Age}(G_\mu)$  is minimal prime.

**Corollary 16.** *There are  $2^{\aleph_0}$  ages of permutation graphs which are minimal prime, and hence w.q.o.*

# Minimal prime ages of graphs

**Theorem 17.** *A hereditary class  $\mathcal{C}$  of finite graphs is minimal prime if and only if  $\mathcal{C} = \text{Age}(G_\mu)$  for some uniformly recurrent word on  $\mathbb{N}$ , or  $\mathcal{C}$  is the age of one of the following graphs or their complement.*



# Bounds of ages of chains

- A *bound* of a hereditary class  $\mathcal{C}$  of finite structures (e.g. graphs) is any structure  $R \notin \mathcal{C}$  such that every proper induced substructure of  $R$  belongs to  $\mathcal{C}$ .

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- If  $\mu$  is a 0-1 periodic word,  $\text{Age}(G_\mu)$  may have infinitely many bounds. This is the case if  $\mu$  is constant. For the remaining cases within uniformly recurrent sequences, we have the following.

**Theorem 18.** *Let  $\mu$  be a 0-1 uniformly recurrent word.*

- ① *If  $\mu$  is non periodic, then  $\text{Age}(G_\mu)$  has infinitely many bounds;*
- ② *If  $\mu$  is periodic and non constant, then  $\text{Age}(G_\mu)$  has finitely many bounds.*





- Brignall, Engen and Vatter 2018 provided an example of a hereditary class of permutation graphs which is w.q.o., have finitely many bounds, but are not labelled w.q.o. solving negatively a conjecture of Korpelainen, Lozin and Razgon 2013.

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- As stated in (2) of the previous theorem, ages of 0-1 graphs corresponding to periodic and non constant words provide infinitely many examples of such classes.







**Thank you!**




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